

# **Synthesis and Characterization of Some Nanofluids for Direct Absorption Solar Collectors**

**Thesis Submitted**

*By*

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## CERTIFICATE FROM THE SUPERVISORS

*This is to certify that the thesis entitled “**Synthesis and Characterization of Some Nanofluids for Direct Absorption Solar Collectors**” submitted by **Shri Pritam Kumar Das**, who got his name registered on **23<sup>rd</sup> of October, 2013** for the award of the **Ph.D. (Engineering)** degree of Jadavpur University, is absolutely based upon his own work under the supervision of **Prof. Apurba Kumar Santra and Prof. Ranjan Ganguly, Jadavpur University**, and that neither his thesis nor any part of the thesis has been submitted for any degree/diploma or any other academic award anywhere before.*

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Signature of the Supervisor  
and date with office seal

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*Dedicated to my Parents  
&  
my Family*

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## Abstract

Need for research in the area of harnessing renewable energy resources and conversion device has seen a drastic rise in the recent past in the face of depleting fossil fuel reserve and the burgeoning concern over the global warming. Harnessing solar energy with solar thermal collectors deploying advanced heat transfer materials has been pitched as a viable option by several researchers. Direct absorption solar collection (DASC) is a genre of solar thermal collector (STC), which allows direct absorption of solar energy in the heat transfer fluid. Nanofluids are the colloidal suspension of nanoparticles that have attracted much attention due to their enhanced heat transfer characteristics. It is therefore intuitive that the use of nanofluids with suitable thermophysical properties in DASC can augment the collector efficiency appropriately. However, such deployment would require a priori knowledge of the nanofluid properties like thermal stability, thermal conductivity, viscosity, etc.

The main aim of this research is to investigate the stability and characterize the properties of some nanofluids for a possible application in DASC. Different types of synthesis routes of nanofluids are discussed from the literature and their properties are compared. Theoretical models of thermal conductivity and viscosity are also summarized. Literature review clearly indicates a conflict amongst the reports by various groups on the thermophysical properties of different nanofluids – some of them are inconclusive and others describe contradicting trends while characterizing the effect of different parameters on thermal conductivity and viscosity of nanofluids. Stabilization of the nanofluid, which is also important for industrial applications, is addressed additionally. From the literature survey, the gap areas are identified on which the study is focused.

Three different types of nanoparticles ( $\text{TiO}_2$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{TiO}_2$ -anatase) are chosen to prepare the nanofluids. Stability of the nanoparticle suspension with various surfactants, e.g., acetic acid (AA), oleic acid (OA), cetyl trimethyl ammonium bromide (CTAB), sodium dodecylbenzenesulfonate (SDBS), and sodium dodecyl sulfate (SDS), is investigated. CTAB and AA surfactants are found to provide stable suspensions for  $\text{TiO}_2$  nanoparticles, SDBS works well for  $\text{Al}_2\text{O}_3$  nanoparticles, while SDS and CTAB offer good stability for  $\text{TiO}_2$  (anatase) nanoparticles. Transmission electron microscopy (TEM) measurement indicates the morphological behaviour of nanoparticles. Dynamic

light scattering (DLS) measurements shows particle size, clustering and polydispersity index of the suspension. Zeta potential techniques shows the stability of the nanofluids. The thermal conductivity, viscosity, and surface tension are measured using a KD2-Pro thermal conductivity meter; a Brookfield viscometer; and a standard tensiometer, respectively. Besides, the contact angle and pH of nanofluids are also measured under different levels of particle volume fraction  $\varphi$  and temperature  $T$ .

Thermal conductivity of the synthesized, stable nanofluids are measured for different  $\varphi$  (0.1–2.0 %) and  $T$  (20–60 °C). For all the nanofluids, thermal conductivity is found to increase with the increase in both  $\varphi$  and  $T$ . The observed thermal conductivity ratio of the nanofluid to base fluid ( $k_{nf}/k_f$ ) match well with existing correlation in the literature for  $\varphi > 1.0$  % but are underpredicted for lower range of  $\varphi$ . Modifications of existing property models are proposed to match the observed ( $k_{nf}/k_f$ ) values. The difference between the experimentally observed  $k_{nf}/k_f$  values and those predicted from models can be attributed to the limitation in accounting for the dynamic factor. Dynamic effect becomes more tangible at very low  $\varphi$ . Viscosity, surface tension, pH and contact angle data of the prepared nanofluid are measured for the respective  $\varphi$  and  $T$ . Moreover, the effect of shear rate on viscosity of nanofluids are also studied. Viscosity of the nanofluid is found to increase with  $\varphi$  and decrease with  $T$ . TiO<sub>2</sub>-AA and -CTAB nanofluids show slight shear thickening behavior for shear rates ranging between 76 to 760 s<sup>-1</sup>. The value of critical shear rate depends on the particle loading and nanofluid temperature. It is observed that for a particular  $\varphi$  the critical shear rate decreases with increase in temperature. Surface tension and contact angle of the nanofluids decrease with increase in  $\varphi$  and  $T$ .

Besides experimental characterization of the thermophysical characteristics of the nanofluids, forced convective heat transfer in them under various configurations relevant to direct absorption solar collectors (DASC) is also studied numerically. The governing transport equations (continuity, momentum and energy) are solved numerically using a commercial CFD software, ANSYS FLUENT 13.0. Single phase and mixture phase models are employed to analyze heat transfer in the nanofluids. For laminar forced convection in Cu-water and Al<sub>2</sub>O<sub>3</sub>-water nanofluids inside vertical tubes with constant heat flux, the HTC and Nusselt number increase with increase in  $Re$  and  $\varphi$ . For the same  $Re$

and  $\phi$ , Cu-water nanofluid exhibits better heat transfer than that of  $\text{Al}_2\text{O}_3$  nanofluid, while the wall shear stress is more for  $\text{Al}_2\text{O}_3$  nanofluid at a particular condition. For flow of nanofluid through vertical pipe,  $\text{Al}_2\text{O}_3$ -water nanofluid shows better heat transfer than  $\text{TiO}_2$ -water nanofluid. However, the effect of  $\phi$  becomes more important in the turbulent flow regime than the laminar one. Heat transfer and pressure drop in forced-flow arrangement are also investigated in DASC configuration. It is found that the average HTC increases with increase in  $\phi$  and flow rate. For  $\text{TiO}_2$ -nanofluid, the mixture phase model shows better enhancement of  $Nu$  and average HTC compared to the single-phase model. Pressure drop is also increased with increase in  $\phi$  and  $Re$ . Based on the simulation results, design of a DASC test rig is drawn for measurement of heat transfer performance of the device with different nanofluids.

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## **CHAPTER 1**

## **1. INTRODUCTION**

### **1.1. MOTIVATION AND BACKGROUND**

The importance of investigation for alternative sources of energy has grown by leaps and bounds across the world over the last few decades as the conventional energy sources are decaying rapidly. In this scenario, researchers, scientists and inventors visualize solar energy as the most potent alternative source. Solar energy is currently one of the most important sources of inexhaustible, free and renewable energy with minimal environmental impact. Due to the limited availability of fossil fuels and environmental problems associated with them, the solar energy application has seen a steady increase over time. Solar thermal collectors (STC) are heat exchangers that transform solar radiation energy to internal energy of the transport medium. STC is one of the major components of any solar energy harvesting system, because this is the device which absorbs the incoming solar radiation, converts it into heat and transfers this heat to a fluid (air, water, or oil) flowing through the collector. There are two types of solar collectors: concentrating and non-concentrating [1]. Direct absorption solar collector (DASC) is a genre of STC which allows direct absorption of solar energy in the heat transfer fluid [2]. These have emerged as promising STC devices, since they have simple design requirements and high collector efficiency. The efficiency of DASC can be improved by enhancing the fraction of direct absorption of solar radiation in the working fluid. Use of nanofluids as the working medium in DASC has been proposed in this regard as nanofluids exhibits high absorption of solar radiation [2] and favourable thermophysical properties. Nanofluid-based solar collectors have the potential to harness solar radiant energy more efficiently compared to conventional solar collectors.

Nanofluids are comparatively recent innovation; they exhibit enhanced heat absorbing and heat transport ability. Besides STC, heat removal from different engineering appliances that deal with high power and small size is also a major concern for the industry. For example, in electronics cooling, the thermal heat loads and heat fluxes are growing rapidly, warranting high-quality cooling medium for effective thermal management. Selection of a convenient heat transfer fluid for heat dissipation is important for the design of heat exchangers. Low thermal conductivity of conventional

fluid is often a matter of concern for industrial applications. Therefore, improving the fluid to develop a new class of fluids, which offer better cooling or heating performance for different thermal systems, is a highly relevant pursuit.

## 1.2. NANOFLUID

Nanofluid is a stable suspension of nanometer-sized (1-100 nm) materials (nanoparticles, nanofibers, nanotubes, nanowires, nanorods, and nanosheet) dispersed in conventional fluids. Since the first report of nanofluids by Choi [3] in 1995, several different types nanofluids have been prepared by researchers, by dispersing nanoparticles of copper (Cu), nickel (Ni), alumina (Al), Silver (Ag), metal oxides such as: titanium oxide ( $\text{TiO}_2$ ), zirconium dioxide ( $\text{ZrO}_2$ ), barium titanate ( $\text{BaTiO}_3$ ), aluminium oxide ( $\text{Al}_2\text{O}_3$ ), copper oxide (CuO), iron oxide ( $\text{Fe}_3\text{O}_4$ ), silicon dioxide ( $\text{SiO}_2$ ), zinc oxide (ZnO) and some other compounds such as; silicon carbide (SiC), carbon nanotube (CNT), aluminium nitride (AlN), graphene, calcium carbonate ( $\text{CaCO}_3$ ) etc in different host liquids such as: water, ethylene glycol (EG), engine oil (EO), etc. [4]. Experimentally, nanofluids have shown to possess improved thermal properties, heat transfer coefficients (HTC) and higher energy efficiency in a variety of thermal exchange systems for different industrial applications and also in STCs. Convective heat transfer is one of the most widely investigated thermal phenomena in nanofluids, relevant to a number of engineering applications. The advent of high heat flow processes has created significant demand for new technologies to enhance heat transfer. For example, microprocessors have continually become smaller and more powerful; heat flux demands have steadily increased over time, leading to new challenges in thermal management. In an automotive system, improved heat transfer can lead to smaller heat exchangers, resulting in reduced weight of the vehicle. It is challenging to manage the high thermal loads with conventional coolants, since they often are crippled with inferior heat transfer characteristics. Nanofluids play an important role in all these areas of applications, which lead to a major impact in developing future generation of equipment for numerous engineering and medical applications. Nanofluids have, therefore, found widespread applications in several areas such as: electronic cooling [5, 6], heat transfer in microchannel cooling [7], minichannel [8], heat exchanger cooling [9], and industrial cooling [10], radiator cooling [11], engine cooling [12],

refrigerator [13], energy (storage, solar absorption) [14, 2, 15], mechanical (friction reduction, magnetic sealing) [16, 17], biomedical (antibacterial activity, nanodrug delivery, cancer therapeutics, nanocryosurgery) [18, 19, 20], and many more.

### **1.3. STATE OF THE ART**

Deploying nanofluids in the above-mentioned engineering applications require an in-depth understanding of the procedure for synthesis of nanofluids, and the effect of different parameters on the thermophysical properties of nanofluids. A detailed account of the state of art on synthesis and characterization of nanofluids is provided in the following sections:

#### **1.3.1. Synthesis of nanofluids**

The foremost part in the study on nanofluids is identification of a viable method of synthesis where the nanofluid should be highly stable with no sedimentation and agglomeration to ensure its better utilization in industrial applications. Initially, Xuan and Li [21] suggested the basic methods for preparation of suspensions: (a) to change the pH value of suspensions, (b) to use surfactants or dispersants, (c) to use the ultrasonic vibration. These methods can change the surface properties of particles. The use of these techniques depends upon the application of nanofluids. Selection of suitable surfactants for stabilization depends mainly upon the properties of the base fluid and particles. Surfactants basically lower the surface tension between two liquids or between a solid and a liquid. Generally, there are mainly two techniques used to prepare the nanofluids – single or one-step method and two-step method.

##### **1.3.1.1. Single-step method**

Single step method is a process that combines the production of nanoparticles and their dispersion in the host fluid simultaneously. In one such method, called VEROS (Vacuum Evaporation onto a Running Oil Substrate, invented by Yatsuya et al. [22]) the nanoparticles are directly prepared by physical vapor deposition (PVD) technique or condensation of the nanoparticles from a vapour phase into a flowing low vapour-pressure fluid. In this technique, the processes of drying, storage, transportation, and dispersion of nanoparticles are not required, and hence, the agglomeration of nanoparticles can be minimized and the stability of nanofluids can be increased. Direct Evaporation

technique [23, 24] is a modified version of VEROS technique, in which vapours of metal condensed to nanoparticles and directly dispersed into the host fluid. This technique provides excellent control over size of nanoparticles and produce a stable nanofluid without using any additive [25]. Laser ablation (LA) [26, 27, 28, 29] is another method, which has been used to produce alumina nanofluids [30]. Pure chemical synthesis method is used to prepare copper nanofluids dispersed in EG [31]. The advantage of single step method is low agglomeration of nanoparticles, which leads to improved stability of nanofluids. Moreover, the cost of drying and dispersion can be avoided. Disadvantages of single-step method include (i) contamination by the residual reactants (impurities) that are left behind in the nanofluids due to the incomplete reaction, (ii) difficulty in scaling up due to high cost of production, and (iii) compatibility with only low vapour pressure base fluids [32]. Some other examples of single step processes are: chemical reduction [33, 34, 35, 36], microwave irradiation [37, 38], polyol process [39, 40, 41], submerged arc nanoparticle synthesis system (SANSS) [42, 43], and phase transfer method [44].

#### **1.3.1.2. Two-step method**

Two-step method is widely used by the researchers to prepare nanofluids. In this method, nanoparticles are first produced by either physical or chemical process (e.g., milling, grinding, sol-gel and vapour phase methods) in the form of dry powder. Then, in the second step, the produced nanoparticles are directly dispersed into the given base fluid with the help of dispersing device such as intensive magnetic stirrer, high-shear mixing, homogenizer, or by using ultrasonic devices like ultrasonic bath, and probe type ultrasonicator. Ultrasonication or stirring process decreases the particle agglomeration and sedimentation. Agglomeration is a major issue in synthesizing nanofluids. Two-step method is widely used for preparing nanofluids, due to large scalability and cost effectiveness. Moreover, it is also the most economical method for large-scale production of nanofluids in industrial applications. Manna [45] and Eastman et al. [24] suggested that the two-step method is more suitable for preparing nanofluids with oxide nanoparticles than with metallic nanoparticles. Nanoparticles tend to aggregate due to its high surface area and surface activity. Therefore, the nanoparticles are to be stabilized to prevent agglomeration [46] and hence, sonication

is required. Stability is the main issue for this method, as the nanopowder aggregate easily due to strong van der Waals force among the nanoparticles. Despite this issue, two-step methods are recognized as the most economical process for producing nanofluids.

### **1.3.2. Thermal conductivity of nanofluids**

Thermal conductivity of materials plays a significant role in the cooling of electronics equipment, nanofluid-based thermal applications and many more. Thermal conductivity of cooling fluid can be enhanced by adding small percentage of nanoparticles in a base fluid [47]. It has widely been observed in the literature that thermal conductivity of nanofluids exceed those of their respective base fluids [48]. Several other researchers also observed that effective thermal conductivity of nanofluid increases with increase in nanoparticle concentration and suggested appropriate models [49] for effective thermal conductivity of nanofluid. Based on the following literature review, it may be found that thermal conductivity of nanofluid depends on several factors, e.g., particle volume fraction, temperature, pH, extent of sonication, type of surfactants, particle type, thermal conductivity shape and diameter of nanoparticles.

#### **1.3.2.1. Effect of volume fraction**

The main issue that can highly affect thermal conductivity of nanofluid is concentration or volume fraction ( $\varphi$ ) of nanoparticles. From the past research conducted on nanofluids it was observed that the thermal conductivity increases with  $\varphi$ . Das et al. [50] measured the thermal conductivity of Al<sub>2</sub>O<sub>3</sub>-water and CuO-water nanofluids using temperature oscillation technique at different  $\varphi = 1-4$  %. At  $\varphi = 1.0$  %, the thermal conductivity enhancement increased from 2.0 % (21 °C) to 10.8 % (51 °C), whereas at  $\varphi = 4.0$  % it increased from 9.4 % (21 °C) to 24.3 % (51 °C). Zhu et al. [51] showed that the thermal conductivity of Al<sub>2</sub>O<sub>3</sub>-water nanofluids increased up to 10.1 % with 0.15 wt. % nanoparticle compared to the host fluid. Liu et al. [36] studied the enhancement of thermal conductivity of water in presence of copper by using chemical reduction method. The results showed that Cu-water nanofluids with low  $\varphi$  of nanoparticles had higher thermal conductivities than those of the base fluid, and at  $\varphi = 0.1$  % of Cu nanoparticles, thermal conductivity was improved upto 23.8 % compared to that of the base fluid. Wongwises [52] dispersed TiO<sub>2</sub> nanoparticles (diameters of 21 nm)



in water with  $\varphi = 0.2\text{--}2\%$  to prepare nanofluid and they observed an increment of thermal conductivity with an increase in  $\varphi$ . Murshed et al. [53] observed a nonlinear relationship of water-based nanofluids containing spherical and rod-shaped  $\text{TiO}_2$  nanoparticles. Angayarkanni and Philip [54] studied  $\text{Al}_2\text{O}_3$ ,  $\text{TiO}_2$  and  $\text{SiO}_2$  water-based nanofluids and found the thermal conductivity enhancement of 14, 16 and 9 %, at  $\varphi = 4\%$  respectively. An enhancement of 32.4 % was observed in the effective thermal conductivity of  $\text{Al}_2\text{O}_3$ -water-based nanofluids for  $\varphi = 4.3\%$  [55] and also found a linear relationship with  $\varphi$ . Mintsu et al. [56] investigated the effects of  $\varphi$ , temperature and particle size on thermal conductivity of  $\text{Al}_2\text{O}_3$ -water and  $\text{CuO}$ -water nanofluids. They observed that the effective thermal conductivity increased with an increase in  $\varphi$ . Enhancement between 1–8 % occurred in thermal conductivities for every 0.05 % increase in nanoparticle  $\varphi$  with  $\text{Al}_2\text{O}_3$  having the highest enhancement. Cho et al. [57] reported an anomalous increase in thermal conductivity of 18 % with a very low  $\varphi$  of Ag nanoparticle of 10,000 ppm. At  $\varphi = 4\%$ ,  $\text{Fe}_3\text{O}_4$ -water-based nanofluids exhibited 38 % enhancement in thermal conductivity. The measured thermal conductivities are higher than those predicted by existing models. The abnormal and nonlinear thermal conductivities of nanofluids were mainly due to the nanoparticle alignment and clustering [58]. Experimental and theoretical investigations of effective thermal conductivity of  $\text{Al}_2\text{O}_3$ -water nanofluid in the range of  $\varphi = 0.33\text{--}5\%$  were performed by Chandrasekar et al. [59]. They found that thermal conductivity of nanofluid increased with  $\varphi$ . They also proposed a new model that could be used for the prediction of thermal conductivity of  $\text{Al}_2\text{O}_3$ -water nanofluids. Lee et al. [60] observed more than 20 % of enhancement at  $\varphi = 4\%$  for  $\text{CuO}$ -EG based nanofluids. A linear increment in thermal conductivity was observed at low  $\varphi$ . Yoo et al. [61] found thermal conductivity enhancement of 16.5 % in EG based iron nanofluid for  $\varphi = 0.3\%$ , 4 % of enhancement with  $\varphi = 1.0\%$  of  $\text{Al}_2\text{O}_3$  nanoparticles, 14.4 % of enhancement with  $\varphi = 1.0\%$  of  $\text{TiO}_2$  nanoparticles, and 13.8 % of enhancement with  $\varphi = 0.3\%$  of  $\text{WO}_3$  nanofluid. Hwang et al. [62] investigated thermal conductivity of different types of nanoparticle such as MWCNTs,  $\text{CuO}$  and  $\text{SiO}_2$  dispersed in water and EG, to prepare nanofluid. The highest thermal conductivity enhancement was observed for an MWCNT-water nanofluid: 11.3 % at  $\varphi = 1\%$ . An increase in thermal conductivity with increase in  $\varphi$  was found for  $\text{CuO}$ -water,  $\text{Al}_2\text{O}_3$ -water, EG- $\text{CuO}$ , and EG- $\text{Cu}$

based nanofluids [63]. A similar type of relationship was observed by Wang et al. [64] with  $\text{Al}_2\text{O}_3$  and CuO nanoparticles in water and EG. Chopkar et al. [65] dispersed  $\text{Al}_2\text{Cu}$  and  $\text{Ag}_2\text{Al}$  nanoparticles in water and EG; an enhancement upto 100 % was observed at  $\varphi = 1.5$  %.  $\text{Al}_{70}\text{Cu}_{30}$  nanofluids was prepared by Chopkar et al. [66], who reiterated the same behavior of nanofluids and observed a dramatic enhancement of 200 % with 1.5 % addition of  $\text{Al}_{70}\text{Cu}_{30}$  nanoparticles in EG. Karthikeyan et al. [67] observed an enhancement in thermal conductivity of 31.6 % and 54.0 % at  $\varphi = 1$  % for CuO nanoparticles in water and EG respectively. Xuan and Li [21] performed studies by using Cu nanoparticles in water and transformer oil (TO); the ratio of thermal conductivity was varied from 1.24 to 1.78 as the  $\varphi$  increased from 2.5 to 7.5 %. Similar observations were reported by Eastman et al. [24], who dispersed  $\text{Al}_2\text{O}_3$ , CuO, and Cu in water and TO, to prepared nanofluids; they found 40 % enhancement in thermal conductivity at  $\varphi = 0.3$  % for Cu-EG nanofluids. Methanol-based  $\text{Al}_2\text{O}_3$ ,  $\text{SiO}_2$  and  $\text{TiO}_2$  nanofluids showed an increase in thermal conductivity with increase in  $\varphi$  (0.005–0.15 %) [68]. Lee et al. [69] prepared EG-based  $\text{TiO}_2$  (anatase) nanofluids by one-step pulsed wire evaporation (PWE) method to measure the thermal conductivity. They observed that the enhancement ratio of thermal conductivity did not show any temperature dependency for all  $\varphi$  for anatase-EG nanofluid; they also found that the thermal conductivity of the nanofluid increased with  $\varphi$  and the enhancement ratio was 16.2 % at  $\varphi = 5.5$  %. Yu et al. [70] studied nanofluids of graphene oxide nanosheets and found thermal conductivity enhancement of 30, 62 and 76 % at  $\varphi = 5$  %, for three different base fluids, viz., water, propyl glycol and liquid paraffin, respectively. It can be concluded that thermal conductivity enhancement is a strong function of  $\varphi$ .

#### **1.3.2.2. Effect of temperature**

Researchers have found that temperature and thermal conductivity were directly related to each other, i.e. when temperature increases, thermal conductivity of nanofluid also increases. Temperature plays an important role in enhancement of thermal conductivity of nanofluids, as the base fluid and particle thermal conductivities are strong functions of temperature. It has been largely agreed by the researchers that thermal Brownian motion of the nanoparticles plays a very important role in increasing thermal conductivity of nanofluids [71]. The change of temperature affects the

Brownian motion and clustering of nanoparticles, which in turn results in dramatic changes of thermal conductivity of nanofluids. Mintsas et al. [56] experimentally observed that the effective thermal conductivity of Al<sub>2</sub>O<sub>3</sub>-water and CuO-water increases with increase in temperature. Duangthongsuk and Wongwises [72] reported variation in thermal conductivity of TiO<sub>2</sub>-water nanofluids of different  $\phi$  (0.2–2 %) at different temperatures (15–35 °C). The results showed that the measured thermal conductivity of nanofluids increased with increase in nanofluids temperature and the experimental result did not match with existing correlations. Thermal conductivity of nanofluids containing Al<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub>, and TiO<sub>2</sub> in water illustrates decreasing trend with temperature [55], whereas the increasing trend of thermal conductivity with temperature, was established for Al<sub>2</sub>O<sub>3</sub>-water and CuO-water nanofluid [73, 74]. Murshed et al. [75] measured the thermal conductivity of aqueous TiO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub> nanofluids having 15 nm and 80 nm particles, respectively. Their result showed that the effective thermal conductivity of nanofluids increased with temperature as well as  $\phi$ . Chon et al. [76] measured the thermal conductivity of Al<sub>2</sub>O<sub>3</sub> nanofluid in the temperature range of 21–71 °C and nanoparticle size ranging between 11–150 nm (nominal diameters) using the transient hot wire method. They found that the thermal conductivity increased with temperature and decreased with nanoparticle size. A water-based Al<sub>2</sub>O<sub>3</sub> nanofluids ( $\phi = 1$  %) showed a thermal conductivity enhancement from 2 to 10 % as the temperature was increased from 21 to 50 °C [77]. A constant thermal conductivity enhancement in hexadecane (HD) based Al<sub>2</sub>O<sub>3</sub> nanofluid was observed in the temperature range of 25–50 °C [78]. Li and Peterson [79] performed a study by dispersing Al<sub>2</sub>O<sub>3</sub> and CuO nanoparticles in water. An increase in mean temperature from 27 to 34.7 °C resulted in enhancement by almost threefold. The thermal conductivity ratio increased with temperature at constant  $\phi$ . Reddy and Rao [80] measured thermal conductivity of TiO<sub>2</sub> in EG-water host fluid (40 %:60 % and 50 %:50 % by weight) in the temperature range of 30–70 °C. They observed that the thermal conductivity increased with  $\phi$  and also with temperature. Vajjha et al. [81] experimentally investigated the thermal conductivity of three different nanofluids of Al<sub>2</sub>O<sub>3</sub>, CuO and ZnO<sub>2</sub>, where the nanoparticles were suspended in EG and water mixture. Studies were conducted for  $\phi = 10$  % between the temperature ranges of 298 K and 363 K. Results showed that the enhancement in thermal conductivity is achieved

with increase in temperature of nanoparticles. Syam Sundar et al. [82] prepared EG and water mixture based  $\text{Fe}_3\text{O}_4$  magnetic nanofluid and the experiments were conducted in the temperature range from 20–60 °C and  $\phi = 0.2\text{--}2.0\%$ . Thermal conductivity was enhanced by 46 % at 2.0 % of  $\phi$  of nanoparticles dispersed in 20:80 % EG and water mixture compared to other base fluids. Timofeeva et al. [83] observed that the increase in thermal conductivity with increase in temperature from 10 to 60 °C in water and EG-based  $\text{Al}_2\text{O}_3$  nanofluid ( $\phi = 5\%$ ). Few reports have shown that the thermal conductivity decreases with increase in temperature for  $\text{TiO}_2$ -water based nanofluid [72] and hexane based  $\text{Bi}_2\text{Te}_3$  nanorods [84]. It can be concluded that the thermal conductivity enhancement is a strong function of temperature, increasing with rise in temperature.

### 1.3.2.3. Effect of particle size

Strong effect of nanoparticle size on enhancement of thermal conductivity of nanofluid has been observed by several researchers – the effect is found more predominant for smaller particle size. Brownian motion of nanoparticles and liquid layering around nanoparticles are two important mechanisms for the enhancement of thermal conductivity of nanofluids. When the particle size decreases, the above mentioned mechanisms are very active and influence the thermal conductivity of nanofluids. An enhancement in thermal conductivity with decrease in particle size was reported in  $\text{Al}_2\text{O}_3$ -water-based nanofluids for three different particle diameters of 20, 50 and 100 nm [85]. Mintsa et al. [56] observed that the thermal conductivity of  $\text{Al}_2\text{O}_3$ -water nanofluid of 36 and 47 nm and CuO-water nanofluid of 29 nm; the effective thermal conductivity increased with decrease in particle size. Kailash et al. [86] observed a strong dependence of thermal conductivity on particle size for CuO-water-based nanofluid. A decrease in thermal conductivity with increase in particle size was reported in  $\text{TiO}_2$ -water-based nanofluids [87]. Chopkar et al. [65, 88] conducted experiments with  $\text{Al}_2\text{Cu}$  and  $\text{Ag}_2\text{Al}$  nanofluids in water and EG at 300 K to study the effects of particle size on thermal conductivity, where they also observed similar phenomenon. Thermal conductivity study in EG-based nanofluid also showed an increase in thermal conductivity with decrease in particle size [89]. Experiments with ZnO and  $\text{TiO}_2$  nanoparticles in water and EG were conducted by Kim et al. [90]. The measured thermal conductivity was found to be inversely proportional to the mean diameter of

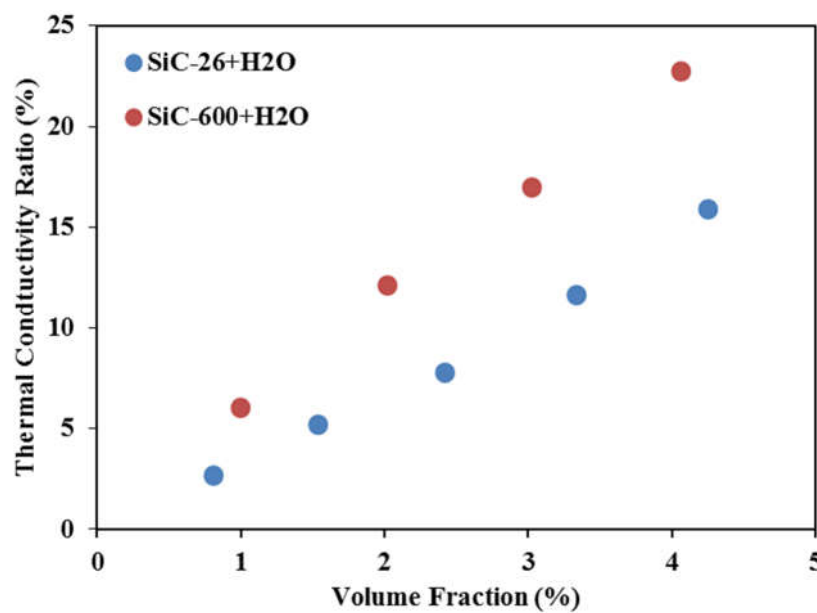
the suspended particles and reveals the size dependence for both nanofluids. Li and Peterson [91] evaluated experimentally the effect of particle size (36 nm and 47 nm diameter) on thermal conductivity of Al<sub>2</sub>O<sub>3</sub>-water nanofluid for  $\varphi = 0.5\text{--}6.0\%$  and  $T = 27\text{--}37\text{ }^\circ\text{C}$ . They found that at  $\varphi = 6\%$ , the thermal conductivity enhancement (over the base case of pure host fluids) rose from 26 % to 28 %, when the nanoparticle size was decreased from 47–36 nm. He et al. [87] experimentally measured the thermal conductivity, heat transfer coefficient (HTC) and flow behavior of TiO<sub>2</sub> (anatase + rutile)-water (i.e., a mixture of anatase and rutile nanoparticles) nanofluids with different particle size and  $\varphi$ . Results showed that the effective thermal conductivity increased with  $\varphi$  and decreased with increase in particle size. Wang et al. [64] dispersed Al<sub>2</sub>O<sub>3</sub> (28 nm) and CuO (23 nm) in different types of base fluid. They mentioned that the thermal conductivity is higher for CuO compared to Al<sub>2</sub>O<sub>3</sub> due to the smaller particle size of CuO particles. However, there are few contradictory results that appear in the literature as well. Beck et al. [92] have conducted experiments with Al<sub>2</sub>O<sub>3</sub> nanoparticles of five different sizes in water and EG in the range of 8–282 nm and found that the thermal conductivity enhancement decreased as the particle size decreased; they attributed it to phonon scattering at the solid-liquid interface. Shalkevich et al. [93] reported that the thermal conductivity decreased with decrease in particle size (in the size range of 2–40 nm) for water-based gold nanofluids. A larger thermal conductivity was observed for larger particle size in water-based silicon carbide (SiC) nanofluids with four different particle sizes 20, 30, 35 and 90 nm [94]. Xie et al. [95] studied the thermal conductivity enhancement using spherical and cylindrical shaped SiC nanoparticles of size 26 nm and 600 nm suspended in water, respectively. A 22.9 % enhancement of thermal conductivity was observed at  $\varphi = 4\%$  for cylindrical particles (600 nm), but only 15.8 % increment was reported at  $\varphi = 4.2\%$  for spherical particles (26 nm). Results showed higher enhancement at larger particle size, which contradicted the prior results. This anomaly may have occurred due to clustering of nanoparticles. Up to a certain level of clustering, thermal conductivity is augmented while excessive clustering may create an opposite effect; finally, sedimentation of nanoparticles occurs. Feng et al. [96] supported the above statement by showing the effect of clustering, which was observed to be more pronounced in smaller size of nanoparticles. However,

contradictory results were found from literature, as evidence, the decrease in thermal conductivity with decrease in particle size, mainly due to the clustering of small nanoparticles [97]. Shima et al. [98] also observed an increase in thermal conductivity with particle sizes (2.8–9.5 nm). For  $\varphi = 5.5\%$ , the enhancement was about 5 % and 25 %, for 2.8 and 9.5 nm respectively. They attributed the thermal conductivity enhancement due to the agglomeration of nanoparticles. Studies related to particle agglomeration were carried out by using the fractal theory [99]. Karthikeyan et al. [67] dispersed CuO nanoparticles in water and EG at  $\varphi = 1\%$ . The results showed that the thermal conductivity increased with increase in particle size and mono-dispersity of nanoparticles. They mentioned that the size of cluster not only depends on average particle diameter but also on  $\varphi$ . As  $\varphi$  in the fluid was higher, the inter-particle distance between the particles was smaller, increasing the probability of agglomeration due to van der Waals attraction. From the above studies it can be concluded that the thermal conductivity enhancement is a strong function particle size.

#### **1.3.2.4. Effect of particle shape**

Particle shape is also one of the factors which could affect the thermal conductivity of nanofluids. In the field of nanofluids, most of the nanoparticles used are of spherical and cylindrical shapes. Xie et al. [95] studied the thermal conductivity enhancement using spherical (26 nm) and cylindrical (600 nm) shaped SiC nanoparticles suspended in water. A 22.9 % increase in thermal conductivity was observed for SiC-H<sub>2</sub>O with cylindrical particles at  $\varphi = 4\%$ , but only 15.8 % increase is found for SiC-H<sub>2</sub>O with spherical particles at  $\varphi = 4.2\%$ . Kim et al. [100] investigated the effect of particle shape on suspension stability and thermal conductivities of water-based bohemite Al<sub>2</sub>O<sub>3</sub> nanofluids. The thermal conductivity of nanofluids with brick, platelet, and blade shaped particles are maximally enhanced up to 28 %, 23 %, and 16 % at 7.0 %, respectively. Murshed et al. [53] performed studies on the effect of particle shape using spherical and cylindrical TiO<sub>2</sub> nanoparticles. Results showed that the enhancement of thermal conductivity was greatly influenced by the shape of the nanoparticles. An enhancement of 29.7 % was obtained for spherical shape nanoparticles, whereas 32.8 % enhancement was observed for rod-shaped nanoparticles at  $\varphi = 5.0\%$ , respectively. Figure 1 shows the effect of particle shape studies using two different shapes of SiC-26 (spherical) and SiC-

600 (cylindrical) nanoparticles dispersed in water. From the results it is evident that cylindrical nanoparticles provide higher thermal conductivity enhancement than spherical particles. The only difference between the two suspension systems is on the particle morphology (shape and size). Here the particle shape affects heat transfers between the solid particles and the base liquid. Hence, it can be concluded that the cylindrical shape (rod-shaped) nanoparticles possess higher thermal conductivity enhancement compared to spherical shape nanoparticles due to larger surface area and rapid heat transport along relatively long distances due to the greater length, usually of the order of micrometers.



**Figure 1** Thermal conductivity ratios of two suspensions containing two different solid particles of SiC-26 and SiC-600 in DI-H<sub>2</sub>O [95]. (Reproduced from [95] with permission by Elsevier).

#### 1.3.2.5. Effect of base fluid

Thermal conductivity of the base fluid also affects the heat transfer enhancement of nanofluids. In case of nanofluids, the situation is complicated, as the Brownian motion is affected by the viscosity of the base fluid, which affects the thermal conductivity [135]. Lee [101] found a new concept, suggesting that the electrical double layer (EDL) formed around the nanoparticles influences the thermal conductivity, he also observed that thermal conductivity and thickness of the layer depend on the type of base fluid. Wang et al. [64] measured thermal conductivity of nanofluids by dispersing

$\text{Al}_2\text{O}_3$  and  $\text{CuO}$  nanoparticles in different base fluids such as water, EG, vacuum pump oil, and EO. The highest value of thermal conductivity was observed for  $\text{Al}_2\text{O}_3$ -water nanofluid, whereas the highest thermal conductivity ratio was obtained for  $\text{Al}_2\text{O}_3$ -EG nanofluid. Sonawane et al. [102] synthesized  $\text{TiO}_2$  (anatase) nanofluids (5 nm) by using different base fluids, e.g. water, EG, and paraffin oil, to measure the effect of sonication time on thermal conductivity at different  $\phi$  (1–6 %). They found that water-based nanofluids provide the highest thermal conductivity enhancement of 22 % as compared to other base fluids at highest  $\phi$ . Ruan and Jacobi [103] reported that the thermal conductivity enhancement for both water-based and EG-based MWCNT nanofluids was around 9 % at  $\phi = 0.24$  %. Agarwal et al. [104] dispersed  $\text{Al}_2\text{O}_3$  in distilled water and EG to prepare nanofluid and studied the thermal conductivity enhancement based on the base fluid. Result showed that the value of thermal conductivity of water-based nanofluids exhibit an almost constant rate of thermal conductivity with increase of  $\phi$  and temperature; EG-based nanofluids are more sensitive to increase of  $\phi$  as compared to temperature change. Thus water-based nanofluids would be more efficient in heat transfer applications where the rise in temperature is more prominent, whereas EG-based nanofluids would be better used in high  $\phi$  nanofluid samples for  $\text{Al}_2\text{O}_3$  nanoparticles. Similar type of studies was found in [62, 70, 105, 106] which portrayed the thermal conductivity enhancement in nanofluids. It was observed that the effective thermal conductivity ratio decreases with increase in base fluid of thermal conductivity and the enhancement of thermal conductivity is lowest when water is used as a base fluid. It is difficult to conclude the quantitative effect of base fluid on the thermal conductivity enhancement, primarily due to contradicting results and also for fewer studies. Experiments are required to be carried at a given particle concentration to clearly demonstrate the effect of base fluid alone for all nanoparticles.

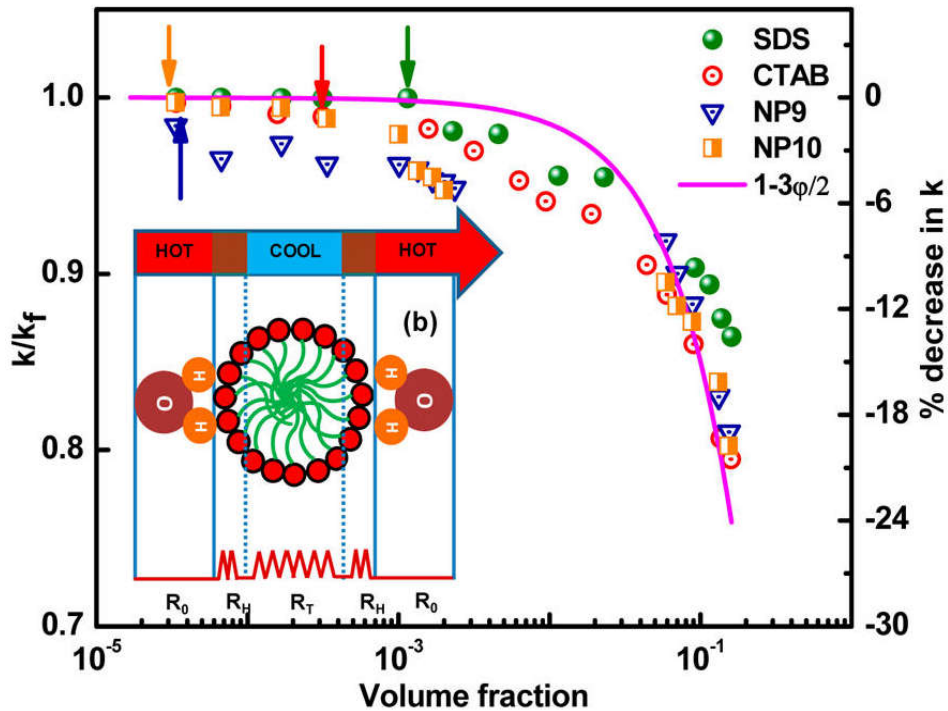
#### **1.3.2.6. Effect of surfactants**

Surfactants or additives are used for better stabilization of nanofluids and prevent the nanoparticles from agglomeration. Most of the reports have shown that an optimum concentration of additives will enhance the thermal conductivity of nanofluid. Additive makes an insulation layer around nanoparticles and could help them to be dispersed in the base fluid, but performances may



deteriorate at high temperature [107]. Wang et al. [108] also reported that an optimal concentration of sodium dodecylbenzenesulfonate (SDBS) can result in an enhanced thermal conductivity in water-based Cu and Al<sub>2</sub>O<sub>3</sub> nanofluids. Angayarkanni and Philip [109] characterized the variation of  $k_{nf} / k_f$  and the % of decrease in thermal conductivity as a function of surfactant concentration for SDS, CTAB, NP9 and NP10 with water (Figure 2). For all the cases, the  $k_{nf} / k_f$  was found to decrease with the increase in surfactant concentration. As the surfactant concentration increased, the number of micelles in the system increased, leading to an increase in the degree of disorder. This resulted in a decrease in  $k_{nf} / k_f$  with increase in surfactant concentration, because micellar system is a disordered system. Eastman et al. [24] found a drastic improvement in thermal conductivity for Cu-EG based nanofluid stabilized with thioglycolic acid, compared to that of non-acid-containing nanofluids. They observed about 40 % enhancement of thermal conductivity at  $\phi = 0.3$  % for thioglycolic acid-based nanofluid. Saleh et al. [110] prepared TiO<sub>2</sub> (anatase) water-based nanofluids (33 nm), using three different types of surfactants i.e., cetyl trimethyl ammonium bromide (CTAB), sodium dodecyl sulfate (SDS) and sorbitan monooleate (Span80), by two-step method and measured the thermal conductivity and HTC of the prepared nanofluids. However, the enhancement in the relative thermal conductivity exhibits a nonlinear relationship with respect to the  $\phi$  of nanoparticles and temperature. Mueller [111] has suggested that the strength of the inter-atomic binding force is related to thermal conductivity. Greater the strength of this binding force, greater is the thermal conductivity. The binding force is weak in the case of micellar systems due to its disordered structures, leading to a lower thermal conductivity. At low  $\phi$ , the number of micelles in the system is less so that the interfacial thermal resistance is also lower. The lower interfacial thermal resistance at lower  $\phi$  might be a probable reason for the observed large deviation from theoretical fit at lower  $\phi$  [111]. For  $\phi$  above 0.02, the experimental data fits well with the effective medium theory (EMT) for all surfactants. The long alkyl chain group of non-ionic surfactants, micelles were found to be very poor thermal conductors at very low  $\phi$ , with large interfacial tension, compared to their anionic counterparts. The thermal property of a nanofluid in the presence of surfactant and nanoparticles depend only on the thermal conductivity of nanoparticles in the fluid. The addition of surfactant

beyond the optimal or critical micellar concentration (CMC) could deteriorate the thermal conductivity of nanofluids. From the above studies, it can be stated that by using surfactants at a concentration below the CMC, the thermal conductivity may be enhanced. However, the literature still has a few contradiction in this regard [108, 109]. Therefore, extensive study is required for finding the actual surfactant effects on thermal conductivity enhancement.



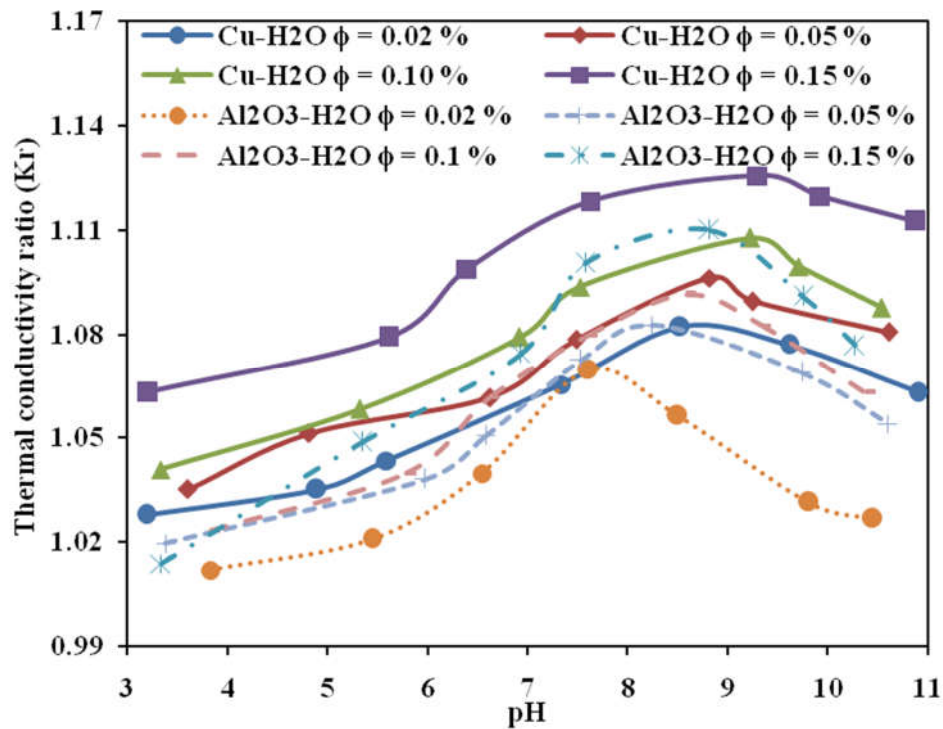
**Figure 2** The variation of thermal conductivity as a function of volume fraction for SDS, CTAB, NP9, and NP10 along with the theoretical fit following effective medium theory. The inset in the figure shows the schematic representation of micelles and the possible thermal resistive contributions from different moieties. (Reproduced from [109] with permission by Elsevier)

### 1.3.2.7. Effect of pH

While the thermal conductivity and viscosity of nanofluids have received much attention in the research community, a careful review of literature reveals that not enough report has been published on the impact of pH of the base fluid on thermal conductivity of nanofluid. pH is also one important factors which could affect the thermal conductivity of nanofluids. When metal oxide particles are suspended with water, hydroxyl radicals ( $\text{OH}^-$ ) are formed at the surface of the particle

[112]. The interaction between the water and particles depends on the pH of water, in acidic or alkaline range. In acidic water, the particle surface assumes positive charge, as a hydrogen ion ( $H^+$ ) which combine with hydroxyl radical ( $OH^-$ ); in alkaline water it has a negative charge due to the removal of hydrogen ion. At a certain value of pH, the mixture of particle and water reaches a point where the number of +ve ions and the -ve ions are equal. This state of the mixture is called equipotential or iso-electric point. The iso-electric point depends on the type of metallic oxide particles used in dispersion. If the pH of the fluid nanoparticle mixture is close to the iso-electric point, the particles will agglomerate and affect the thermal conductivity of the nanofluid. Xie et al. [105] prepared nanofluids by dispersing  $Al_2O_3$  nanoparticles in EG and water to investigate the effect of pH value of the suspension on nanofluid thermal conductivity. They observed that an increase in difference between the pH value and isoelectric point or decrease in pH for  $Al_2O_3$  particle resulted in thermal conductivity enhancement. Li et al. [113] observed that the surface charge increases when the pH of nanofluid moves away from the iso-electric point, because of more frequent attacks to the surface hydroxyl groups and phenyl sulphonic group (in SDBS) by potential determining ions ( $H^+$ ,  $OH^-$  and phenyl sulphonic group). They found that the colloidal particles were stable and eventually they altered the thermal conductivity of the nanofluid. They hypothesized that more surface charges at pH value in the optimum range of 8.5–9.5 led to the attainment of the maximum value of thermal conductivity [113]. Wang et al. [108] found that the value of thermal conductivity ratio was dependent on the pH value of nanofluid. Due to the higher thermal conductivity of Cu nanoparticles (compared to that of  $Al_2O_3$  nanoparticles), thermal conductivity enhancement of Cu-water nanofluids is greater than that of  $Al_2O_3$ -water nanofluids. For both nanofluids at lower pH (pH,  $Al_2O_3 < 7.5$ , pH, Cu  $< 9.0$ ), the thermal conductivity ratio was found to increase as pH increased for the all  $\phi$ . On the contrary, at higher pH (pH  $Al_2O_3 > 8.0$ ; pH Cu  $> 9.5$ ), the thermal conductivity ratio was found to decrease with pH for all  $\phi$  (see Figure 3). Experiments were conducted to determine the thermal conductivity of  $TiO_2$  nanofluid with 20 nm size particles at a pH value of 11 [87], and 15 nm size particles in the pH range of 6.2–6.8 [53]. Similar studies by others [114, 115] also showed the effect of pH on thermal

conductivity. It can be seen from the above study that, there is a strong effect of pH on thermal conductivity enhancement of nanofluids.



**Figure 3** Effects of pH on thermal conductivity ratio ( $k_{nf}/k_f$ ) of nano-suspensions with SDBS dispersants for Al<sub>2</sub>O<sub>3</sub>-H<sub>2</sub>O and Cu-H<sub>2</sub>O [108]. (Reproduced from [108] with permission by Elsevier).

### 1.3.2.8. Effect on sonication

Nanofluids have been considered as advantageous in heat transfer applications due to their improved thermophysical properties. Due to the inter-particle adhesion forces, nanoparticles become agglomerated and their settlement can be observed because of the gravity forces. In the presence of nanoparticle aggregates, the dispersion stability may decay with time. To increase the stable lifetime of nanofluids, ultrasonication has been widely utilized, and has been accepted as an essential step in the preparation of nanofluids. Ultrasonic vibration is a possible way to break up cluster formation of nanoparticles and help to scatter the nanoparticles into base fluids, so that ultrasonication processes is widely used for nanofluid preparation. Ultrasonication process is of two types: direct sonication, as the immersion of ultrasonic probe into the mixture, and indirect sonication, where the sample is kept inside a container that is submerged into a bath having liquid (mostly water) through which ultrasonic

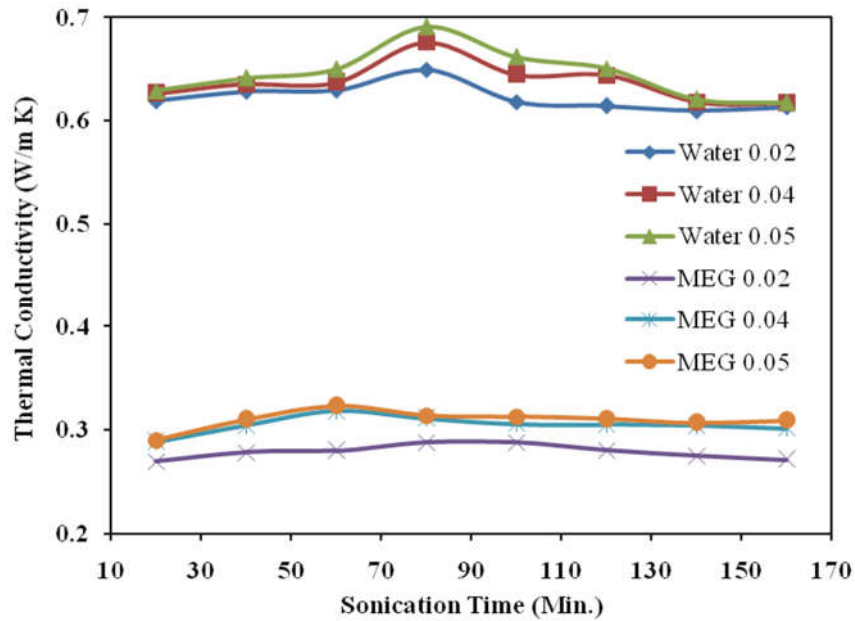
waves is transmitted. There are contradictory results among the researchers about the effect of ultrasonication duration on colloidal dispersion of nanoparticles. Karthikeyan et al. [67] found that the CuO nanofluid cluster size increased up to a few  $\mu\text{m}$  within several minutes of sonication (20–70 minutes). As the cluster size (8 nm) increased, the sedimentation rate also increased. They also noticed formation of mesh-like structures after 60 minutes of the sonication, accompanied by a reduction in thermal conductivity. Khedkar et al. [116] observed that the thermal conductivity of CuO nanofluids (25 nm) increased slightly with the sonication until a critical time (which varied from 60 – 80 minutes, depending on the nanofluid). Thermal conductivity starts decreasing after this critical sonication time. Initially, before this critical time of sonication, the Brownian motion of nanoparticles have an increasing effect in improving the thermal conductivity, but after the critical sonication time, clustering of nanoparticles leads to decrement in thermal conductivity. Ruan and Jacobi [117] mentioned that thermal conductivity of MWCNT nanofluids (10–30 nm) increased nonlinearly with an increase in sonication (0–23 h) specific energy input. Thermal conductivity increased with sonication time/energy because the effect on breaking agglomerates was more significant than the effects related to reducing the MWCNT lengths. Dehkordi et al. [118] found that at low  $\phi$  of TiO<sub>2</sub> nanofluids (25 nm) would become stable by low power and short period of sonication (2–20 minutes). Thermal conductivity enhancement was observed with increase the time and power of sonication as well as increase in  $\phi$  of TiO<sub>2</sub>. It is possible that increasing the sonication time and power, enhances the Brownian motion of TiO<sub>2</sub> nanoparticles, which improves the thermal conductivity of nanofluids. Hong and Yang [119] showed that the TiO<sub>2</sub> nanofluids having significant improvement in thermal conductivity ratio with sonication at different  $\phi$ . Ju et al. [120] found that the Al<sub>2</sub>O<sub>3</sub> particle size (20, 30, 45 nm) decreases slightly after 3 h of sonication (0–12 h) but remains constant. As the sonication time increased, particle size decreases. No significant change in DLS and thermal conductivity measurements were observed even after 12 h of sonication. Thermal conductivity of nanofluid prepared with the surfactant does increase appreciably after 6 h of sonication. Buonomo et al. [121] experimentally investigated the effect of sonication time (0–120 minutes) on thermal conductivity of Al<sub>2</sub>O<sub>3</sub> nanofluid and found that thermal conductivity was nearly constant over a certain sonication

time for all  $\phi$ . Mahbubul et al. [122] observed that the cluster size of nanofluids decreased with increase in sonication time (1-5 hours). They found that the value of thermal conductivity increased with the rise of temperature and ultrasonication durations. Thermal conductivity has been observed as unsteady for lower ultrasonication duration due to the effect of particle clustering and alignment. Four hours of ultrasonication provides steady thermal conductivity value as they are well-dispersed and overcome the effect of particle clustering and alignment. Figure 5 explains the mechanism behind the ultrasonication duration of thermal conductivity proposed by this group [122]. Kole and Dey [123] found that thermal conductivity of the ZnO nanofluid (30–40 nm) increased from ~21 % to ~40 % ( $\phi = 3.75$  %) as the sonication time (0–100 h) increased from 4 h to 60 h and then decreases to ~35 % for 100 h of sonication. They concluded that the effective thermal conductivity of ZnO-EG nanofluids increases with increasing sonication time and attains maximum after ~60 h of sonication. Yang et al. [124] suggested that the increase of ultrasonic time can disperse the Cu particles more uniformly. Beyond ultrasonication duration of 3 h, the value of thermal conductivity of Cu nanofluids (50 nm) tends to be constant. So, they concluded that 3 h of ultrasonic time is enough to disperse the Cu nanofluid. Ultrasonication (10–70 minutes) breaks nanoclusters into smaller clusters and also found that the thermal conductivity of nanofluids was related closely to the clustering of nanoparticles. From the above literature study, it can be concluded that effect of sonication was important in case of thermal conductivity, and researchers agreed that it increases with increase in sonication time upto a certain limit.

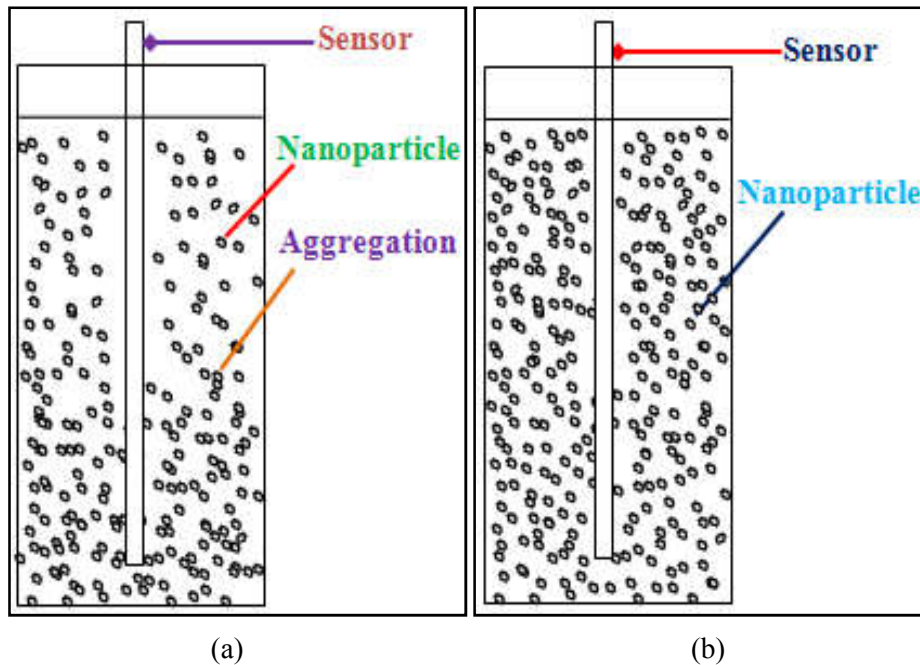
#### **1.3.2.9. Effect of particle aspect ratio**

Researchers have revealed that the thermal conductivity enhancement increased with increase in particle aspect ratio. Murshed et al. [53] observed that the rod shaped TiO<sub>2</sub> nanoparticles with an aspect ratio of 4 (10 nm × 40 nm) dispersed in water ( $\phi = 5$  %) shown a thermal conductivity enhancement of 32.8 %, whereas nanofluid containing spherical nanoparticles of diameter 15 nm shown an enhancement of 29 %. Buongiorno et al. [106] mentioned that the thermal conductivity enhancement of nanorod based Al<sub>2</sub>O<sub>3</sub>-PAO nanofluids was greater than PAO based Al<sub>2</sub>O<sub>3</sub>-nanofluids having spherical particles. They also identified that thermal conductivity enhancement afforded by the

tested nanofluids was increased with increase in  $\phi$ , particle aspect ratio and decreased with base fluid thermal conductivity.



**Figure 4** Effect of sonication time on thermal conductivity of CuO-water and CuO-Mono ethylene glycol (MEG) based nanofluid with different volume percent of nanoparticles [116]. (Reproduced from [116] with permission by Elsevier)



**Figure 5** Influence of the duration of ultrasonication on thermal conductivity: (a) lower ultrasonication yields high aggregation and cluster, (b) longer period of ultrasonication yields less aggregation [122].

Timofeeva et al. [125] found that the thermal conductivity enhancement was about 14, 18, 38 and 48 % for the nanotube length of 0.5, 1, 1.7 and 5  $\mu\text{m}$ , respectively. From the above results, it can be concluded that particles having higher aspect ratio can give a higher thermal conductivity enhancement than the spherical particle due to the effective heat transfer along the length of the rod-shaped particle.

#### **1.3.2.10. Effect of particle type**

Material of the nanoparticles is also another one important key factor, which affect the thermal conductivity of nanofluids. Several studies have concluded that particle material greatly contributes towards the thermal conductivity enhancement of nanofluids. For a specific base fluid, thermal conductivity of nanoparticles would greatly influence the thermal conductivity of one sample than the other one [4]. Higher thermal conductivity of nanoparticles is expected favor higher thermal conductivity of nanofluid. Hwang et al. [62] dispersed different types of nanoparticle such as MWCNTs, CuO and SiO<sub>2</sub> in water and EG to prepare nanofluids and concluded that the highest thermal conductivity enhancement was observed for an MWCNT-water nanofluid compared to any other nanoparticles. This is due to the fact that MWCNTs possess the highest thermal conductivity compared to other nanoparticles. Sinha et al. [126] reported that the thermal conductivity enhanced up to 48-70 % for the  $\varphi = 1$  % in Cu nanofluids whereas Fe nanofluids showed a thermal conductivity enhancement of around 21-33 %. Chopkar et al. [65] prepared nanofluids by dispersing Al<sub>2</sub>Cu and Ag<sub>2</sub>Al nanoparticles into water and EG; they found that thermal conductivity of Ag<sub>2</sub>Al nanofluid was slightly higher compared to Al<sub>2</sub>Cu nanofluid; they attributed it to a slightly higher thermal conductivity of Ag<sub>2</sub>Al than Al<sub>2</sub>Cu. Wang et al. [108] reported that Cu-water based nanofluid showed higher thermal conductivity enhancement compared to Al<sub>2</sub>O<sub>3</sub>-water based nanofluids, because Cu has higher thermal conductivity than Al<sub>2</sub>O<sub>3</sub>. However, this trend is not universal. For example, although Al<sub>2</sub>O<sub>3</sub> has higher thermal conductivity than SiO<sub>2</sub>, Pang et al. [127] observed that, at  $\varphi = 0.5$  %, Al<sub>2</sub>O<sub>3</sub>-methanol nanofluid showed inferior (10.74 %) enhancement in thermal conductivity than that of (14.29 %) for SiO<sub>2</sub>-methanol nanofluid. This anomaly may have arisen due to the effect of clustering. Shima and Philip [128] argued that thermal conductivity of nanoparticle does not influence the



thermal conductivity enhancement in the dilute limit. So, the thermal conductivity of nanofluids was fully dependent on the  $\phi$  of nanoparticle. Other researchers also reported that thermal conductivity of the nanoparticle is not a primary factor for enhancement in thermal conductivity of nanofluid [58]. Lee et al [60] found that CuO nanofluids exhibit higher thermal conductivity enhancement compared to Al<sub>2</sub>O<sub>3</sub> nanofluid, despite the fact that Al<sub>2</sub>O<sub>3</sub> has higher thermal conductivity than CuO. Lee et al. [60] observed that Al<sub>2</sub>O<sub>3</sub> nanoparticles formed relatively larger clusters compared to CuO nanoparticles. They argued that the effect of Brownian motion reduced at higher particle size, which explained the lower enhancement of thermal conductivity. For  $\phi = 4\%$ , water-based Fe<sub>3</sub>O<sub>4</sub> nanofluids exhibited 38 % thermal conductivity enhancement, while water-based nanofluids containing TiO<sub>2</sub> or Al<sub>2</sub>O<sub>3</sub> nanoparticles showed 30 % enhancement even though bulk Fe<sub>3</sub>O<sub>4</sub> crystal has a lower thermal conductivity than Al<sub>2</sub>O<sub>3</sub>, CuO, and TiO<sub>2</sub> crystals [58]. At  $\phi = 0.1\%$ , the thermal conductivity enhancement for water-based TiO<sub>2</sub> was higher than that of Al<sub>2</sub>O<sub>3</sub> nanofluids, though the bulk thermal conductivity is higher for Al<sub>2</sub>O<sub>3</sub> nanoparticle [61]. From the above literature study, it is clear that no direct relationship between the thermal conductivities of the nanoparticles and the corresponding nanofluids can be drawn and needs to be evaluated on a case to case basis.

### **1.3.3. Theoretical models of thermal conductivity of nanofluids**

Literature review revealed that there are number of theoretical models available for estimating the thermal conductivity of nanofluids. From the literature survey, the existing models categorized into two groups: (i) Static and (ii) Dynamic. (i) In static models [47] stationary nanoparticles in the base fluid are assumed; thermal conductivity is predicted by conduction-based model using conductivity of phase constituents and  $\phi$ . In static model the interfacial region, which is of nanometer thickness between a solid nanoparticle and the base liquid, have fluid molecules that are bonded or otherwise oriented at the particle/fluid interface. This results in unique thermophysical properties that are different from those of the both the particles and the base fluid. Hence, it is important to consider the interfacial layer as a separate component in the particle-fluid mixture in order to include its effects on the mixture (nanofluids) [47]. (ii) Dynamic models [129, 130] are based on random motion i.e., Brownian motion, of the nanoparticles in base fluid. The model assumes that the Brownian motion is

responsible for transporting energy through collision between nanoparticles leading to micro liquid convection and mixing, which in turn enhances the transport of thermal energy. Dynamic models also take into consideration the factors that influence the Brownian motion, e.g., the DLVO potential, and models the dynamic part of effective thermal conductivity [47]. Murshed et al. [47] presented a combined model for evaluation the effective thermal conductivity of nanofluids and also provides all mechanisms for the enhanced thermal conductivity of nanofluids. The model includes the effect of particle size, nanolayer, Brownian motion, particle surface chemistry and interaction potential covered for combined static and dynamic mechanisms. If there is no interaction between the nanoparticles and the interfacial layer, the equation resembles Maxwell model. Maxwell [49] derived an expression of effective thermal conductivity for two phase solid-liquid mixtures given by Eq. (1). This model becomes the basis for the evaluation of thermal conductivity for nanofluids. The model satisfactorily predicts the thermal conductivity of nanofluids bearing spherical particles at low  $\varphi$  and ambient conditions.

$$\left[ \frac{K_{nf}}{K_{bf}} \right] = \left[ \frac{K_p + 2K_{bf} + 2\varphi(K_p - K_{bf})}{K_p + 2K_{bf} - \varphi(K_p - K_{bf})} \right]. \quad (1)$$

Bruggeman [131] proposed a model to analyze the interactions among randomly distributed particles. The interactions among the randomly distributed particles are considered in the development of an equation in an implicit form and given as Eq. (2).

$$\left[ \left( \frac{K_p - K_{nf}}{K_p + 2K_{nf}} \right) \varphi + (1 - \varphi) \left( \frac{K_{bf} - 2K_{nf}}{K_{bf} + 2K_{nf}} \right) \right] = 0. \quad (2)$$

This model can be applied to spherical particles with no limitations on the concentration of inclusions. For low  $\varphi$ , the Bruggeman model results in almost the same results as the Maxwell model. After long years of Maxwell equation, another researcher named Hamilton and Crosser (HC) [132] modified that model to determine the effective thermal conductivity of non-spherical particles by applying a shape factor ( $n$ ). These extensions take into account various factors related to thermal conductivity, like particle shape, particle distribution, high  $\varphi$ , particle shell structure, and interface contact resistance. The equation for determining the nanofluids thermal conductivity is given as Eq. (3).

$$\left[ \frac{K_{nf}}{K_{bf}} \right] = \left[ \frac{K_p + (n-1)K_{bf} - (n-1)(K_{bf} - K_p)\varphi}{K_p + (n-1)K_{bf} + \varphi(K_{bf} - K_p)} \right]. \quad (3)$$

where  $n$  denotes the shape factor,  $n = 3/\psi$ ,  $\psi$  is the sphericity. Sphericity is defined as the ratio of the surface area of a sphere with volume equivalent to that of the average particle to the surface area of the particle,  $\psi = 1.0$  and  $\psi = 0.5$  for spherical and cylindrical shapes, respectively. The model of Hamilton and Crosser [132] reduces to Maxwell's model when  $\psi = 1$  and is found to be in agreement with experimental data for  $\phi < 0.3$ . The model is valid as long as the conductivity of the particles is larger by a factor of 100 compared to the conductivity of the continuous phase. The influence of particle size and the temperature are not considered in the model. The value of thermal conductivity predicted by this model for nanofluids are generally lower than the values obtained from experiments. Wasp et al. [133] developed an expression Eq. (4) for calculation the effective thermal conductivity of solid-liquid mixtures. This equation is a special case of Hamilton and Crosser model with  $\psi = 1$ .

$$\left[\frac{K_{nf}}{K_{bf}}\right] = \left[\frac{K_p + 2K_{bf} - 2\phi(K_{bf} - K_p)}{K_p + 2K_{bf} + \phi(K_{bf} - K_p)}\right] \quad (4)$$

The interfacial layer thickness results in a larger effective  $\phi$  of the particle-layered liquid structure and a higher thermal conductivity than liquid, which would tend to enhance thermal conductivity. Yu and Choi [134] proposed a renovated Maxwell model for nanofluid applications, where large range of  $\phi$  can be considered. They studied the effect of interfacial nanolayers to the thermal conductivity of nanofluids and found significance for particle diameter less than 10 nm. They found that the thermal conductivity increased up to eight-folds with the inclusion of nanolayers in the analysis. The value was higher than the Maxwell model without the nanolayer. The thermal conductivity enhancement was found to depend on the nanolayer thickness for  $K_{layer}$  less than  $10K_{bf}$ . They combined the thermal conductivities of nanoparticles and the nanolayers to generate net thermal conductivity of particle, shown in Eq. (5),

$$\left[\frac{K_{nf}}{K_{bf}}\right] = \left[\frac{K_p + 2K_{bf} + 2\phi(K_p - K_{bf})(1 + \beta)^3}{K_p + 2K_{bf} - \phi(K_p - K_{bf})(1 + \beta)^3}\right] \quad (5)$$

where  $\beta$  is the ratio of the nanolayer thickness to the diameter of the nanoparticle. Xuan et al. [135] established a model, by modifying Maxwell model for the estimation of thermal conductivity of nanofluid, considering the Brownian motion and clusters of nanoparticles, so that

$$\left[\frac{K_{nf}}{K_{bf}}\right] = \left[\frac{K_p + 2K_{bf} + 2\phi(K_p - K_{bf})}{K_p + 2K_{bf} - 2\phi(K_p - K_{bf})}\right] + \frac{1}{2K_{bf}} \rho_p C_{pp} \phi \sqrt{\frac{K_B T}{3\pi\mu_{bf} r_c}} \quad (6)$$

where  $K_B$  denotes the Boltzmann constant and  $r_c$  is the mean radius of the cluster (which in turn depends on the dimensions of the cluster structure). The smaller the radius of gyration of clusters suspended in the fluid, the larger is the thermal conductivity of the nanofluid at a given temperature. This happens because the smaller clusters move faster and farther per unit time and invoke a stronger energy transport inside the nanofluid. Therefore, relative contribution of Brownian motion increases in augmentation of the thermal conductivity of nanofluid. Later, Koo and Kleinstreuer [136] developed a model for effective thermal conductivity of nanofluids by considering kinetic energy of the nanoparticles due to the Brownian movement along the effects of particle size,  $\varphi$ , temperature and properties of the base fluid. The model Eq. (7) for Brownian contribution is given by,

$$\left[\frac{K_{nf}}{K_{bf}}\right] = \left[\frac{K_p+2K_{bf}+2\varphi(K_p-K_{bf})}{K_p+2K_{bf}-2\varphi(K_p-K_{bf})}\right] + \frac{5 \times 10^4}{K_{bf}} \theta \rho_{bf} C_{pbf} f(T, \varphi) \varphi \sqrt{\frac{K_B T}{\rho_p d_p}}. \quad (7)$$

where  $\theta$  is the fraction of the liquid volume which travels with particle. The fraction,  $\theta$  decreases with  $\varphi$  because of the viscous effect of the moving particles. An empirical equation for  $f(T, \varphi)$  was proposed, using the experimental data of Das et al. [50] for CuO nanofluids and valid in the range of  $0.01 < \varphi < 0.04$  and  $300 < T < 325K$ , as follows:

$$f(T, \varphi) = (-6.04 \varphi + 0.4705)T + (1722.3 \varphi - 134.63). \quad (8)$$

A theoretical model of nanoparticles, proposing shells between the surface of solid particle and the surrounding liquid, was suggested by Xue and Xu [137]. Based on this model, an implicit relationship for the determination of thermal conductivity for CuO-water and CuO-EG nanofluids was developed as follows:

$$\left(1 - \frac{\varphi}{\alpha}\right) \frac{K_{nf}-K_{bf}}{2K_{nf}+K_{bf}} + \frac{\varphi}{\alpha} \frac{(K_{nf}-K_i)(2K_i+K_p)-\alpha(K_p-K_i)(2K_i+K_{nf})}{(2K_{nf}+K_i)(2K_i+K_p)+2\alpha(K_p-K_i)(K_i-K_{nf})} = 0. \quad (9)$$

where  $\alpha = \left[\frac{d_p}{2t_1}\right]^3$ ,  $K_i$  and  $t_1$  represents the thermal conductivity and thickness of interfacial shell, respectively. The value depends on the type of nanofluids. Prasher et al. [130] included the Brownian motion effect as a correction factor to the Maxwell equation for predicting the enhanced thermal conductivity. The proposed thermal conductivity ratio is shown as:

$$\left[\frac{K_{nf}}{K_{bf}}\right] = \left(1 + 4 \times 10^4 Re_B^m Pr_{bf}^{0.33} \varphi\right) + \left(\frac{[K_p(1+2\alpha_B)+2K_m]+2\varphi[K_p(1-\alpha_B)-K_m]}{[K_p(1+2\alpha_B)+2K_m]-\varphi[K_p(1-\alpha_B)-K_m]}\right). \quad (10)$$

where  $K_m = K_{bf}(1 + 0.25Re_B Pr)$ , is the matrix conductivity,  $Re_B = \frac{1}{\vartheta} \sqrt{\frac{18K_B T}{\pi \rho_p d_p}}$ , is the Brownian-Reynolds number,  $m = 2.5 \% \pm 15 \%$  for water-based nanofluids,  $\alpha_B = \frac{d_p}{2R_b K_m}$  is the nanoparticle Biot number,  $R_b =$  interfacial thermal resistance between nanoparticle and the surrounding fluid. Jang and Choi [129], derived expression for the effective thermal conductivity of nanofluids based on the kinetic theory and using the Nusselt number relation for flow past a sphere, including different modes that contribute to the energy transfer. The resulting expression for the effective thermal conductivity of nanofluids is (11):

$$\frac{K_{nf}}{K_{bf}} = (1 - \varphi) + \beta \varphi \frac{K_p}{K_{bf}} + (18 \times 10^6) \frac{d_{bf}}{d_p} Re_{d_{nano}}^2 \varphi Pr_{bf}. \quad (11)$$

where  $\beta$  is a constant related to Kapitza resistance,  $Re_{d_{nano}} = C_{RM} d_p / \vartheta$  and  $C_{RM} = K_B T / 3\pi \mu_{bf} d_p l_{bf}$ . The equivalent diameter and mean free path at 300 K for water-based nanofluids are considered as  $d_{bf} = 0.384$  nm and,  $l_{bf} = 0.738$  nm, respectively. Kumar et al. [138] proposed a comprehensive model based on diffusive heat transport in both liquid and solid phases, based on Stokes-Einstein formula, and kinetic theory as:

$$\left[ \frac{K_{eff}}{K_{bf}} \right] = 1 + c \frac{2k_b T}{\pi \eta d_p^2} \frac{\varphi r_f}{k_{bf}(1-\varphi)r_a}. \quad (12)$$

The temperature dependence is attributed to the variation of Brownian velocity of the particles, which varies in proportion to  $T/\eta$ . Leong et al. [139] established an expression for the effective thermal conductivity based on the solution of two dimensional, steady-state heat conduction equations in spherical coordinates and making use of spatial averages of the heat fluxes and temperature gradients.

This model takes into account the effect of interfacial layer thickness  $\gamma = h/a$  so that

$$k_{eff} = \frac{(k_p - k_{lr})\phi_1 k_{lr} [2\beta_1^3 - \beta^3 + 1] + (k_p + 2k_{lr})\beta_1^3 [\phi_1 \beta^3 (k_{lr} - k_f) + k_f]}{\beta_1^3 (k_p + 2k_{lr}) - (k_p - k_{lr})\phi_1 [\beta_1^3 + \beta^3 - 1]}. \quad (13)$$

where  $\beta = 1 + h / rp$ ,  $\beta_l = 1 + h / 2rp$ . By considering steady state heat condition, the temperature fields within nanoparticle, nanolayer and fluid are governed by steady state heat conduction equations. Xie et al. [140] decomposed the average heat flux into contributions coming from the fluid, nanoparticles and nanolayers and deduced expression for effective thermal conductivity of nanofluid using the Fourier's law of heat conduction, so that

$$k_r = 1 + 3\Theta\varphi_e + \frac{3\Theta^2\varphi_e^2}{1-\Theta\varphi_e}. \quad [14]$$

where  $\Theta = \frac{\beta_{1f} \left[ (1+\gamma)^3 - \frac{\beta_{pl}}{\beta_{fl}} \right]}{(1+\gamma)^3 + 2\beta_{1f}\beta_{pl}}$ ,  $\gamma$  denotes the thickness ratio of nanolayer and  $\beta$  represents the functions of thermal conductivities of particle, base fluid, and nanolayer. This equation is the proposed model deduced for evaluating the effect of nanolayer on the effective thermal conductivity of nanoparticle-fluid mixtures. The expression is valid for linear thermal conductivity variation across nanolayer and in the absence of higher order pair interaction. A unit cell model for the effective thermal conductivity of nanofluid containing carbon nanofibers was proposed by Yamada and Ota [141], and it is expressed as:

$$\frac{k}{k_f} = \frac{\frac{k_p + K - K\varphi \left( 1 - \frac{k_p}{k_f} \right)}{\frac{k_p}{k_f} + K + \varphi \left( 1 - \frac{k_p}{k_f} \right)} k_f. \quad (15)$$

where  $K$ , the shape factor, and  $K = 2\varphi^{0.2}(l_p/d_p)$  for the cylindrical particle with length ( $l_p$ ), and diameter ( $d_p$ ) of the cylindrical particle. Hesselman and Johnson [142] proposed a new model for thermal conductivity of dilute nanofluids by considering the thermal barrier resistance at the interface between the materials for spherical, cylindrical and flat plate particle, which is shown as:

$$\frac{k}{k_f} = \frac{[\alpha(1+2\beta+2)] + 2\varphi[\alpha(1-\beta)-1]}{[\alpha(1+2\beta+2)] - \varphi[(1-\beta)-1]}. \quad (16)$$

where  $\beta = R_k k_f / k_p$ , and  $R_k$  is the Kapitza resistance. By considering the thickness liquid layer and Brownian motion of the dispersed particle, Wang et al. [143] modified the Maxwell model,

$$\frac{k}{k_f} = \frac{(1-\varphi) + 3\varphi \int_0^\infty \frac{k_{cl}(r)n(r)}{k_{cl}(r) + 2k_f} dr}{(1-\varphi) + 3\varphi \int_0^\infty \frac{k_f n(r)}{k_{cl}(r) + 2k_f} dr}. \quad (17)$$

where  $k_{cl}(r)$  is the effective thermal conductivity of clusters and  $n(r)$  is the radius distribution function.

By considering the freezing point of the base fluid, Corcione [144] developed a correlation as:

$$\left[ \frac{K_{nf}}{K_{bf}} \right] = 1 + 4.4 Re_p^{0.4} Pr_{bf}^{0.66} \left( \frac{T}{T_{fr}} \right)^{10} \left( \frac{K_p}{K_{bf}} \right)^{0.03} \varphi^{0.66}. \quad (18)$$

where  $Re = \frac{2\rho_{bf} K_{bf} T}{\pi \mu_{bf}^2 d_p}$ ,  $T$  is the nanofluid temperature,  $T_{fr}$  is the freezing point of the base liquid.

Shukla and Dhir [145] proposed a microscopic model which takes into account the dependence of size

of the nanoparticles and temperature. The contribution from the inter-particle potential was analysed through calculations involving DLVO interaction between the electric double layers on spherical nanoparticles. A general theoretical framework was presented for the derivation of the effective thermal conductivity of a nanofluid suspension by accounting for the Brownian motion and pair wise additive inter-particle potential between various nanoparticles. The effective thermal conductivity of the nanofluid suspension is mentioned as:

$$\begin{aligned} \lambda_{eff} = & \lambda_f \left\{ \frac{\lambda_p + 2\lambda_f + 2\varphi(\lambda_p - \lambda_f)}{\lambda_p + 2\lambda_f - \varphi(\lambda_p - \lambda_f)} \right\} + \varphi \frac{\rho C k_B T}{\gamma} + \left\{ \frac{nk_B^2 T}{\gamma} \right\} \times \left\{ \frac{(1-\varphi)Z}{8(1+(\rho_i/nZ))} \right\} \left\{ \frac{3.29(\alpha k_D)^2 + 3.61\alpha k_D + 1.89}{\alpha k_D(1+\alpha k_D)^2} \right\} + \\ & \varphi \left\{ \frac{\rho C k_B T}{\gamma} \right\} \left\{ \frac{(1-\varphi)Z}{16(1+(\rho_i/nZ))} \right\} \times \left\{ \frac{9.87(\alpha k_D)^2 + 21.64\alpha k_D + 17.05}{\alpha k_D(1+\alpha k_D)^2} \right\} + \left\{ \frac{\rho C k_B T}{\gamma} \right\} \times \left\{ \frac{(1-\varphi)^2 Z^2}{48(1+(\rho_i/nZ))^2} \right\} \times \\ & \left\{ \frac{1.92(\alpha k_D)^4 + 1.8(\alpha k_D)^3 + 1.05(\alpha k_D)^2 + 0.29\alpha k_D}{(1+\alpha k_D)^4} \right\}. \end{aligned} \quad (19)$$

where  $\rho$  and  $C$  denote the density and specific heat capacity of the solid, respectively, nanoparticle size = 10 nm at  $\varphi = 0.01$  % in water at a temperature of 300 K. Murshed et al. [47] proposed a combined (static and dynamic mechanisms-based) model for predicting the effective thermal conductivity of nanofluids. In this model they included the particle size, nanolayer, Brownian motion, particle surface chemistry, and interaction potential which are the static and dynamic mechanisms responsible for the enhanced effective thermal conductivity of nanofluids. The effects of these mechanisms are treated to be additive in this model. The combined model for the effective thermal conductivity of nanofluids is ( $k_{eff-nf} = k_{st} + k_{dy}$ ), which may be expressed as:

$$\begin{aligned} k_{eff-nf} = & \left\{ k_f \frac{\varphi_p \omega (k_p - \omega k_f) [2\gamma_1^3 - \gamma^3 + 1] + (k_p + 2\omega k_f) \gamma_1^3 [\varphi_p \gamma^3 (\omega - 1) + 1]}{\gamma_1^3 (k_p + 2\omega k_f) - (k_p - \omega k_f) \varphi_p [\gamma_1^3 + \gamma^3 - 1]} \right\} + \left\{ \varphi_p^2 \gamma^6 k_f \left( 3\Lambda^2 + \frac{3\Lambda^2}{4} + \right. \right. \\ & \left. \left. \frac{9\Lambda^3}{16} \frac{k_{cp} + 2k_f}{2k_{cp} + 3k_f} + \frac{3\Lambda^4}{2^6} + \dots \right) \right\} + \left\{ \frac{1}{2} \rho_{cp} C_{p-cp} d_s \left[ \sqrt{\frac{3K_{BT}(1-1.5\gamma^3\varphi_p)}{2\pi\rho_{cp}\gamma^3 r_p^3}} + \frac{G_T}{6\pi\gamma r_p d_s}} \right] \right\}. \end{aligned} \quad (20)$$

A few other semi-empirical models for effective thermal conductivity of nanofluids are summarized in Table 1.

**Table 1: Semi-empirical models for effective thermal conductivity of some nanofluids.**

References	Semi empirical models
[146]	$\left[\frac{K_{nf}}{K_f}\right] = 4.97\varphi^2 + 2.72\varphi + 1$ (21)
[83]	$\left[\frac{K_{nf}}{K_{bf}}\right] = (1 + 3\varphi)$ (22)
[147]	$\left[\frac{K_{nf}}{K_{bf}}\right] = \left[\frac{\{2(1-\gamma)+(1+\beta)^3(1+2\gamma)\}\gamma}{-(1-\gamma)+(1+\beta)^3(1+2\gamma)}\right]$ (23)
[148]	$\left[\frac{K_{nf}}{K_f}\right] = \left[1 + \frac{K_p A_p}{K_f A_f} + C \frac{K_p A_p}{K_f A_f} Pe\right]$ (24) where $\frac{A_p}{A_f} = \frac{d_f \varphi}{d_p (1-\varphi)}$ , and $Pe = \frac{U_p d_p}{\alpha_f}$ , Here $U_p = \frac{2K_b T}{\pi \mu_f d_p^2}$
[76]	$\left[\frac{K_{nf}}{K_{bf}}\right] = 1 + 64.7\varphi^{0.7460} \left(\frac{d_{bf}}{d_p}\right)^{0.3690} \left(\frac{K_p}{K_{bf}}\right)^{0.7476} Pr_{bf}^{0.9955} Re^{1.2321}$ [25] where $Re = \frac{\rho_{bf} K_B T}{3\pi \mu_{bf}^2 l_{bf}}$
[149]	$\left[\frac{K_{nf}}{K_{bf}}\right] = 0.8938 \left(1 + \frac{\varphi}{100}\right)^{1.37} \left(1 + \frac{T_{nf}}{70}\right)^{0.2777} \left(1 + \frac{d_p}{150}\right)^{-0.0336} \left(\frac{\alpha_p}{\alpha_{bf}}\right)^{0.01737}$ (26)
[150]	$\left[\frac{K_{nf}}{K_f}\right] = 1 + 7.47\varphi$ (Al <sub>2</sub> O <sub>3</sub> nanofluid) (27)
	$\left[\frac{K_{nf}}{K_f}\right] = 1 + 2.92\varphi - 11.99\varphi^2$ (TiO <sub>2</sub> nanofluids) (28)
[79]	$\left[\frac{K_{nf}}{K_f}\right] = 0.7644815\varphi + 0.018689T + 0.537853$ , (Al <sub>2</sub> O <sub>3</sub> nanofluid), (29)
	$\left[\frac{K_{nf}}{K_f}\right] = 3.761088\varphi + 0.017924T + 0.69266$ , (CuO nanofluid), (30)
[56]	$\left[\frac{K_{nf}}{K_f}\right] = 1 + 1.72\varphi$ (Al <sub>2</sub> O <sub>3</sub> nanofluid) (31)
	$\left[\frac{K_{nf}}{K_f}\right] = 0.99 + 1.74\varphi$ (CuO nanofluid) (32)

#### 1.3.4. Viscosity of nanofluids

Besides thermal conductivity, viscosity is also an important property of the nanofluids as it is relevant for estimating the pumping power requirement to ensure the flow of nanofluid in the flow



circuits of the relevant engineering applications [151]. If the viscosity of the nanofluid increases, the pressure drop across the flow section also increases, resulting in a decrease of the flow and heat transfer rate. Experimental investigation on viscosity of nanofluids reveals the rheological behavior that has equal importance in proposing theoretical models of viscosity as well as the predicting the flow behaviour in practical heat transfer applications. Several experiments on this particular topic show that particle shape and size, particle loading, temperature, surfactants and pH have direct impacts on the viscosity of nanofluids. A detail review of the experimental investigations is provided in the following sections.

#### **1.3.4.1. Effect of volume fraction**

Several studies have been reported regarding the effect of solid volume fraction ( $\phi$ ) on viscosity of nanofluids, which show that the nanofluid viscosity is a function of  $\phi$  – even at low  $\phi$  of nanofluid, the viscosity differ significantly from that of the base fluid. Chevalier et al. [152] observed that as  $\phi$  increased, the viscosity also increased. They also investigated the rheological behaviors of SiO<sub>2</sub>-ethanol nanofluids in microchannels and found a constant Newtonian behavior of the nanofluid over the range of  $\phi = 1.1\text{--}7\%$  and shear rate =  $5 \times 10^3 - 5 \times 10^4 \text{ s}^{-1}$ . Viscosity of Al<sub>2</sub>O<sub>3</sub>-PG at three different  $\phi$  was experimentally investigated by Prasher et al. [97] and the data were compared with Das et al. [73] and Wang et al. [64]. The study showed a strong dependence of viscosity on  $\phi$ . It was further reported that at  $\phi$  less than 4 %, the behavior of the nanofluid was Newtonian and the value of viscosity increased with increase in  $\phi$ . Different sizes and  $\phi$  of Al<sub>2</sub>O<sub>3</sub> nanoparticles were dispersed in different base fluids and their viscosities were studied by several researchers experimentally [153, 72, 59, 154]. They agreed that the nanoparticle loading increases the viscosity of nanofluids. Anoop et al. [154] mentioned that the viscosity ratio increases more for water-based nanofluids as compared with EG-based nanofluid. Duangthongsuk and Wongwises [72] found an enhancement of 4–15 % of viscosity for TiO<sub>2</sub>-water nanofluid with  $\phi = 0.2\text{--}2.0\%$  at  $T = 15\text{--}53\text{ }^\circ\text{C}$ . Chandrasekar et al. [59] studied the viscosity of Al<sub>2</sub>O<sub>3</sub>-water nanofluids at  $\phi = 0.33\text{--}5\%$  and mentioned that viscosity increased as  $\phi$  increases. Schmidt et al. [155] dispersed Al<sub>2</sub>O<sub>3</sub> nanoparticles in decane and isoparaffinic polyalphaolefin (PAO) and reported that viscosity increased when  $\phi$  increases from

0.25–1 %. Hojjat et al. [156] investigated the rheological behavior of various suspensions of Al<sub>2</sub>O<sub>3</sub>, TiO<sub>2</sub> and CuO nanoparticles in aqueous solution of carboxymethyl cellulose at different temperatures. They found that the viscosity of nanofluids to be the function of  $\varphi$  and  $T$ . They also observed that the viscosity of Al<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> nanofluids increased with increase in  $\varphi$ , but the viscosity of CuO nanofluid was almost independent of  $\varphi$ . Wang et al. [64] also measured viscosity of Al<sub>2</sub>O<sub>3</sub>-water nanofluids at  $\varphi = 3$  % and observed 20–30 % higher viscosity compared to that of the base fluid. Turgut et al. [157] have measured the viscosity of TiO<sub>2</sub>-water based nanofluid with  $\varphi$  at the range of 0.2–3 %. They found that the viscosity increased with increase in  $\varphi$ . Similar type of results were also reported by Bobbo et al. [158], Jarahnejad et al. [159] and Yiamsawas et al. [160] for TiO<sub>2</sub>-water nanofluids. He et al. [87] observed that viscosity of the nanofluid increased with  $\varphi$  and particle size. They found a shear thinning behavior, where the shear viscosity tends to be constant at shear rates above 100 s<sup>-1</sup> for TiO<sub>2</sub> (anatase + rutile) nanofluids. Chadwick. [161] studied the effect of  $\varphi$  on viscosity for TiO<sub>2</sub>-EG (uncoated anatase) and found that the value of viscosity increased with  $\varphi$ . They did not examine the effect of temperature on viscosity, which is important for heat transfer application. They also found a shear thinning behaviour at low shear rate and shear thickening behaviour at high shear rate. Saleh et al. [110] found that the viscosity increased with  $\varphi$ , and showed an independent behavior with temperature for TiO<sub>2</sub> (anatase) water-based nanofluids. Tseng and Lin [162] investigated the rheological behavior of TiO<sub>2</sub> (anatase) water-based nanofluids ( $\varphi = 0.05$ – $0.12$  %) and reported a pseudoplastic flow for most of the shear rates (10–1000 s<sup>-1</sup>). It can be concluded that viscosity enhancement is a strong function of  $\varphi$ .

#### **1.3.4.2. Effect of temperature**

Temperature has an inherent relation with viscosity. Many researchers admitted that the viscosity of nanofluids decreased with increase in temperature. Generally heating of liquids contributes to increase the random motion and weakening of intermolecular forces, leading to a reduction in viscosity. Turgut et al. [157] measured the viscosity of TiO<sub>2</sub>-water based nanofluids with a temperature range of 13–55 °C and found that viscosity of nanofluid decreased with increase in temperature. Similar trend was observed for water-based Al<sub>2</sub>O<sub>3</sub> and CuO nanofluids when the

temperature is raised from 21 °C to 75 °C [153]. Sekhara and Sharma [163] reported the effect of variation of temperature (25–45 °C) on viscosity of Al<sub>2</sub>O<sub>3</sub>-water nanofluid at low  $\varphi$  (0.01–1.0 %) and found a nonlinear increment of viscosity with  $\varphi$ , which attributed to the aggregation of particles. Anoop et al. [154] experimentally measured the EG-based Al<sub>2</sub>O<sub>3</sub> and CuO nanofluids and observed decreasing nature in temperature range of 20–50 °C for different  $\varphi$ . Duangthongsuk and Wongwises [72] reported the variation in viscosity of TiO<sub>2</sub>-water nanofluids of different  $\varphi$  (0.2–2 %) at different temperatures (15–35 °C). The results showed that the measured viscosity of nanofluids decreased with increase in nanofluid temperatures. Sahoo et al. [164] considered Al<sub>2</sub>O<sub>3</sub>-EG-water nanofluid for its rheological characteristics and mentioned that in higher temperature range (273–363 K), the nanofluid behaves as a Newtonian fluid. As the temperature increased, viscosity of the nanofluid decreased exponentially for all the  $\varphi$ . From the above study it can be reported that the value viscosity enhancement is a strong function of temperature. However, a few researchers [97, 165] have also found that the viscosity of nanofluids may be independent of temperatures, which is contradiction in general trend.

#### **1.3.4.3. Effect of particle size**

The viscosity of nanofluid suspension with the same nanoparticles varies with the particle size. Nguyen et al. [166] investigated the particle size effect on the viscosity of Al<sub>2</sub>O<sub>3</sub> (average particle sizes of 36 and 47 nm) water-based nanofluids. The results showed that for  $\varphi < 4$  %, the viscosity of Al<sub>2</sub>O<sub>3</sub>-water nanofluid was independent of particle size. However, for higher  $\varphi$ , viscosity increased with increase in particle size. He et al. [87] measured the viscosity of TiO<sub>2</sub>-water nanofluids for two different particle sizes (95 nm, 145 nm), and found that the viscosity increased with increase in particle size. Contrary to that, Namburu et al. [167] reported that the value of viscosity reduced with increase in particle size for SiO<sub>2</sub> nanoparticles. Chevalier et al. [152] also found results similar to that of Namburu et al. [167], while examining the viscosity of SiO<sub>2</sub>-ethanol nanosuspensions of three different particle sizes of 35, 94 and 190 nm. Same trend was observed by Anoop et al. [154] for Al<sub>2</sub>O<sub>3</sub>-water nanofluids. Fan et al. [168] prepared Al<sub>2</sub>O<sub>3</sub>-EG nanofluids and found that the smaller particles enhanced the viscosity more as compared to the larger particles. Hence, it can be concluded

from the above study that the value of viscosity of the nanofluid is strongly depend on the nanoparticle size.

#### **1.3.4.4. Effect on surfactants or additives**

A few studies have been conducted by the researchers about the effect of surfactants on viscosity of nanofluids, because surfactants or dispersants are mainly used to improve stabilization of the nanofluids. But these surfactants may alter the physical properties (viscosity, thermal conductivity and surface tension) of the nanofluids. Dispersant, usually a long-chain hydrocarbon, consists of a hydrophobic tail portion, and a hydrophilic polar head group. Two opposing forces control the self-association process with tail-water interactions. In aqueous phase, hydrophobic tail portion constitutes the core portion of the aggregates forming micelles, and the hydrophilic head portion are in contact with the enclosing liquid medium creating a level of continuity between the nanoparticles and base fluid [169]. Drzazga et al. [170] prepared CuO nanofluids by adding two different nonionic surfactants, i.e. Rocacet O7 and Rokanol K7. They mentioned that the addition of surfactant increased the value of dynamic viscosity. Hung et al. [171] found that addition of chitosan in MWCNTs-water nanofluids increased the viscosity of those nanofluids. The chitosan at 0.4 wt. % concentration provided a good suspension performance for all  $\phi$  of MWCNTs. A maximum viscosity enhancement of 233% occurs when the  $\phi$  of MWCNTs is 1.5 wt. % and that of chitosan is 0.4 wt. %. From the study it can be mentioned that the value of viscosity enhancement is a strong function of surfactants.

#### **1.3.4.5. Effect on Sonication**

Sonication is the simple way to break up agglomerates and promote dispersion of nanoparticles into base fluids It is the most widely utilized process in the literature to increase the stability of nanofluids. The nanofluid suspension is sonicated for sufficient time to reduce the nanoparticle agglomerate. If the suspension is sonicated too long, fragmentized nanoparticles can reagglomerate due to the effect of high surface energy. Thus, an optimal sonication time needs to be determined to achieve optimal agglomeration size and stability for different combinations of nanoparticle, base fluid, and for different  $\phi$  [172]. Adi et al. [173] prepared Al<sub>2</sub>O<sub>3</sub>-glycol nanofluid and measured the effect of sonication on viscosity. They found that the viscosity of the nanofluids

reduced as the ultrasonication energy increased upto an optimum value. Mahbulul et al. [174] investigated the effects of ultrasonication duration (180 minutes) on viscosity of Al<sub>2</sub>O<sub>3</sub>-water nanofluid for  $\phi = 0.5\%$ . They found that the viscosity of the nanofluid increased to a maximum, when the ultrasonication duration was of 60 minutes and then decreased with increase in sonication time. They also mentioned that by using possible higher ultrasonication durations, more stable and lower-viscosity nanofluids can be obtained. Overall, inconsistent outcomes have been reported in the literature even for the same type of nanofluid. can be mentioned that the effect of sonication is necessary to lower the viscosity value.

#### **1.3.4.6. Effect of shear rate**

Shear rate plays an important role on the viscosity of nanofluids. Rheological behaviour of any fluid is explained as the relationship between shear stress ( $\tau$ ) and shear rate ( $\dot{\gamma}$ ). Shear stress is defined as the tangential force applied per unit area and the shear rate is stated as the change of shear strain per unit time. The fluid behaviour is categorized into two types (i) Newtonian and (ii) non-Newtonian. The value of viscosity remains constant with shear rate and the stress exhibits linear relation with shear rate for Newtonian behaviour. For non-Newtonian fluid, the viscosity may vary with shear rate; the correlation between shear stress and strain rate may be non-linear, or it may follow linear profile with Bingham plastic behaviour. With an increase in shear rate, the particle-particle interactions become weaker and are even broken down, and the nanofluid shows Newtonian behavior [175]. Aladag et al. [176] investigated the effects of temperature and shearing time on the rheological properties of nanofluids for low temperature range (2–10 °C). They clearly revealed that for both Al<sub>2</sub>O<sub>3</sub>-water and CNT-water nanofluids a viscosity hysteresis phenomenon was observed when the stress is gradually loaded. Results showed that Al<sub>2</sub>O<sub>3</sub>-water-based nanofluid was non-Newtonian and CNT water-based nanofluid was Newtonian only for high shear rate. Sahoo et al. [164] investigated the rheological characteristics of Al<sub>2</sub>O<sub>3</sub>-EG-water nanofluids and found that the nanofluids showed non-Newtonian behavior at low temperature. This behavior specifically fitted into the characteristics of a Bingham plastic and was more pronounced as  $\phi$  of the nanoparticles increased. Tseng and Lin [162] investigated the rheology of TiO<sub>2</sub>-water nanofluid and reported that within  $\phi =$

5–12 %, the nanofluid exhibited non-Newtonian viscoplastic fluid behavior. As nanoparticles were added to the base fluid up to  $\varphi = 5$  %, an initial yield stress was needed to be exceeded before flow could be achieved. This feature puts the nanofluids in the viscoplastic non-Newtonian regime. Namburu et al. [177] showed that CuO nanoparticles in water and EG behaved as Newtonian fluids. Chen et al. [165] found that EG-based-TiO<sub>2</sub> nanofluids were Newtonian with the shear viscosity depending strongly on temperature and  $\varphi$ . Mahbubul et al. [178] studied the rheological behaviours of Al<sub>2</sub>O<sub>3</sub>-R141b nanorefrigerants for  $\varphi = 0.05$ – $0.15$  %, with the temperature range of 4 to 16 °C upto 305.75 s<sup>-1</sup> shear rate. In their study, nanorefrigerants showed non-Newtonian behaviour (dilatant or shear thickening fluid) with low shear rates, but at high shear rates almost Newtonian trend was observed. With the increase of shear rate, the sedimentation formed during the particle agglomeration easily break down to form a dispersed suspension with near Newtonian behaviour. Richmond et al. [179] found that mixing of TiO<sub>2</sub> in SiO<sub>2</sub>-water nanofluid changes its flow behaviour from Newtonian to non-Newtonian. Penkavova et al. [180] investigated the rheological behaviour of TiO<sub>2</sub>-water nanofluid and the result showed Newtonian behaviour. Al<sub>2</sub>O<sub>3</sub>-water-nanofluid showed non-Newtonian behaviour [181]. Similar findings on generalized Newtonian behaviour [158, 97, 77] of nanofluids have been reported, while a few others observed non-Newtonian shear thinning trend [162, 182].

### 1.3.5. Theoretical model of viscosity of nanofluids

Literature review have revealed that there are number of theoretical models available for estimating the viscosity of nanofluids. Some representative viscosity models are discussed in this section, followed by selected empirical models which were obtained by fitting experimentally observed viscosity data of particular type of nanofluids. The earliest model for nanofluid viscosity dates back to 1906 when Einstein [183] proposed an equation to predict the viscosity of nanofluids; applicable for ( $\varphi \leq 0.01$ ) dilute suspension of spherical particles, he suggested the ratio of the viscosity of nanofluid ( $\mu_{nf}$ ) to that of the base fluid ( $\mu_{bf}$ ) as:

$$\frac{\mu_{nf}}{\mu_{bf}} = [1 + 2.5\varphi]. \quad (33)$$

Taylor [184] established another model by extending the Einstein model. The author considered the liquid drops to be spherical.

$$\frac{\mu_{nf}}{\mu_{bf}} = 1 + 2.5\varphi \left( \frac{\mu' + \frac{2}{3}\mu}{\mu' + \mu} \right). \quad (34)$$

$\mu'$  denotes as the velocity of the liquid. Brinkman [185] extended and modified the Einstein model for denser suspensions, i.e., for up to  $\varphi \leq 4\%$ , which states:

$$\frac{\mu_{nf}}{\mu_{bf}} = \left[ \frac{1}{(1-\varphi)^{2.5}} \right]. \quad (35)$$

Frankel and Acrivos [186] developed a model using asymptotic technique to describe the viscosity of the nanofluids in terms of the actual  $\varphi$  to the maximum attainable particle loading  $\varphi_m$  such that

$$\mu_{eff} = \mu_o \frac{9}{8} \left[ \frac{(\varphi/\varphi_m)^{1/3}}{1 - (\varphi/\varphi_m)^{1/3}} \right]. \quad (36)$$

Graham [187] model is the modified form of Franken-Acrivos model, considered the particle diameter  $d_p$  and interparticle spacing  $h$ . Brownian motion, van der Waals, and electro-viscous forces were considered in this model.

$$\frac{\mu_{nf}}{\mu_{bf}} = \left( 1 + 2.5\varphi + 4.5 \left[ \frac{1}{\left( \frac{h}{d_p} \left( 2 + \frac{h}{d_p} \right) \right) \left( 1 + \frac{h}{d_p} \right)^2} \right] \right). \quad (37)$$

Azmi et al. [188] developed a viscosity correlation based on  $\varphi$ , temperature  $T_{nf}$  and particle size  $d_p$ .

$$\frac{\mu_{nf}}{\mu_o} = C_1 \left( 1 + \frac{\varphi}{100} \right)^\alpha \left( 1 + \frac{T_{nf}}{70} \right)^{-\lambda} \left( 1 + \frac{d_p}{170} \right)^{-\sigma}. \quad (38)$$

where  $C_1$  is empirical constant and the value of  $\alpha$ ,  $\lambda$ , and  $\sigma$  exponents are 11.3, 0.038, and 0.061, respectively. Khanafer and Vafai [189] proposed a correlation to calculate the viscosity of  $\text{Al}_2\text{O}_3$ -water nanofluid as function of  $\varphi$ , temperature and particle size as

$$\begin{aligned} \mu_{nf} = & -0.4491 + \frac{28.837}{T} + 0.574\varphi - 0.1634\varphi^2 + 23.053 \frac{\varphi^2}{T^2} + 0.0132\varphi^3 - 2354.735 \frac{\varphi}{T^3} + \\ & 23.498 \frac{\varphi^2}{d_p^2} - 3.0185 \frac{\varphi^3}{d_p^2}. \end{aligned} \quad (39)$$

Hosseini et al. [190] obtained a new dimensionless model for calculating the viscosity of nanofluids. They considered the hydrodynamic volume fraction  $\varphi_h$  of nanoparticles, and thickness  $r$  of capping layer, diameter  $d$  of the nanoparticle, and temperature  $T$ .

$$\frac{\eta_{nf}}{\eta_{bf}} = \exp \left[ m + \alpha \left( \frac{T}{T_o} \right) + \beta(\varphi_h) + \gamma \left( \frac{d}{1+r} \right) \right], \quad (40)$$

where  $T_o$  is a reference temperature taken to be 20 °C, and  $m$  is a system property constant that is a function of types of nanoparticles, types of base fluids, and the interactions between them.  $\alpha$ ,  $\beta$  and  $\gamma$  are empirical constants obtainable from the experimental data.

Corcione [191] developed a model to calculate the viscosity of nanofluids.

$$\mu_{nf} = \mu_o \left( \frac{1}{1 - 34.87(d_p/d_f)^{-0.3} \varphi^{1.03}} \right). \quad (41)$$

$d_f$  is the equivalent diameter of a base fluid molecule,  $d_f = 0.1 \left( \frac{6M}{N\pi\rho_f} \right)^{1/3}$ , where  $M$  is the molecular weight of the base fluid,  $N$  is the Avogadro number, and  $\rho_f$  is the mass density of the base fluid calculated at room temperature. Sharma et al. [192] proposed a viscosity model for SiC and metal oxide nanofluids, and they also considered the value of  $C_1 = 1:4$ , for SiC nanofluids and  $C_1 = 1.0$ , for metal oxide nanofluids.

$$\frac{\mu_{nf}}{\mu_f} = C_1 \left\{ \left( 1 + \frac{\varphi}{100} \right)^{11.3} \left( 1 + \frac{T_{nf}}{70} \right)^{-0.038} \left( 1 + \frac{d_p}{170} \right)^{-0.061} \right\}. \quad (42)$$

Sekhar and Sharma [193] proposed a model to calculate the viscosity of Al<sub>2</sub>O<sub>3</sub>-water nanofluid.

$$\mu_{nf} = 0.935\mu_o \left( 1 + \frac{T_{nf}}{70} \right)^{0.5602} \left( 1 + \frac{d_p}{80} \right)^{-0.05915} \left( 1 + \frac{\varphi}{100} \right)^{10.51}. \quad (43)$$

The above equation is valid for in the temperature range of  $T = 20-70$  °C, particle diameter  $d_p = 13-100$  nm, and  $\varphi = 0.01-5.0$  %. Abu-Nada [194] developed a temperature dependent viscosity model, valid for Al<sub>2</sub>O<sub>3</sub> nanofluids.

$$\mu_{nf} = -0.155 - \frac{19.582}{T} + 0.794\varphi + \frac{2094.47}{T^2} - 0.192\varphi^2 - 8.11 \frac{\varphi}{T} - \frac{27463.86}{T^3} + 0.127\varphi^3 + 1.6044 \frac{\varphi^2}{T} + 2.1754 \frac{\varphi}{T^2}. \quad (44)$$

A few other models for viscosity of nanofluids are summarized in Table 2.

**Table 2: Summary of the models for viscosity of nanofluids.**

References	Viscosity model
Mooney [195]	$\frac{\mu_{nf}}{\mu_{bf}} = \exp\left(\frac{2.5\varphi}{1-\nu\varphi}\right)$ (45)
Ward and Whitmore [196]	$\frac{\mu_{nf}}{\mu_f} = [1 + \eta(\varphi_{eff} + 2.5\eta + (2.5\eta)^2 + \dots)]$ (46)
Batchelor [197]	$\frac{\mu_{nf}}{\mu_{bf}} = [1 + 2.5\varphi + 6.5\varphi^2]$ (47)



References	Viscosity model
Saito [198]	$\frac{\mu_{nf}}{\mu_{bf}} = (2.5\varphi + 14.1\varphi^2)$ (48)
Booth [199]	$\mu_{eff} = \mu_0 \left[ 1 + 2.5\varphi \left\{ 1 + \sum_1^\infty b_l \left( \frac{e\xi}{K_b T} \right)^l \right\} \right]$ (49) $b_l$ is the characteristics of electrolyte, $e$ is the electronic charge on particles, $K_b$ is the Boltzmann constant, and $T$ is the absolute temperature.
Krieger and Dougherty [200]	$\frac{\mu_{nf}}{\mu_{bf}} = \left( 1 - \left( \frac{\varphi}{\varphi_m} \right) \right)^{-[\eta]\varphi_m}$ (50) $\varphi_m$ is the maximum concentration and $\eta$ is the intrinsic viscosity = 2.5.
Lundgren [201]	$\frac{\mu_{nf}}{\mu_{bf}} = \left( 1 + 2.5\varphi + \frac{25}{4}\varphi^2 + f(\varphi^3) \right)$ (51)
Thomas and Muthukumar [202]	$\frac{\mu_{nf}}{\mu_{bf}} = (1 + 2.5\varphi + 4.83\varphi^2 + 6.4\varphi^3)$ (52)
Vand [203]	$\frac{\mu_{nf}}{\mu_{bf}} = (1 + 2.5\varphi + 7.349\varphi^2 + \dots)$ (53)
Roscoe [204]	$\frac{\mu_{nf}}{\mu_{bf}} = (1 - 1.35\varphi)^{-2.5}$ (54)
Kitano et al. [205]	$\frac{\mu_{nf}}{\mu_{bf}} = \frac{1}{\left[ 1 - \left( \frac{\varphi}{\varphi_m} \right) \right]^2}$ (55)
Bicerano [206]	$\mu_r = (1 + \eta\varphi + k_H\varphi^2)$ (56) $\mu_r$ is the ratio of dispersion to the dispersing fluid, $\eta$ is the intrinsic viscosity, and $K_H$ is the Huggins coefficient.
Tseng and Chen [207]	$\mu_{nf} = \mu_f \times 0.4513e^{0.6965\varphi}$ (57)
Buongiorno [150]	$\mu_{nf} = \mu_f(1 + 39.11\varphi + 533.9\varphi^2)$ Al <sub>2</sub> O <sub>3</sub> nanofluid (58)
	$\mu_{nf} = \mu_f(1 + 5.45\varphi + 108.2\varphi^2)$ TiO <sub>2</sub> nanofluid (59)
De Bruijn [208]	$\frac{\mu_{nf}}{\mu_f} = \frac{1}{1 - 2.5\varphi + 1.552\varphi^2}$ (60)
Song et al. [209]	$\mu_{nf} = \mu_f(1 + 56.5\varphi)$ (61)
Chen et al. [210]	$\frac{\mu_{nf}}{\mu_f} = \left( 1 - \frac{\varphi_a}{\varphi_m} \right)^{-2.5\varphi_m}$ (62) $\varphi_a = \varphi \left( \frac{a_a}{a} \right)^{3-D}$ , $\varphi_a$ = effective volume fraction of agglomerates, $D$ = fractal index; $a_a$ and $a$ are the radii of the agglomerates and primary particles.
Masoumi et al. [211]	$\mu_{nf} = \mu_f \left( 1 + \frac{\rho_N V_b d_N^2}{72C\delta\mu_f} \right)$ (63)
Maiga et al. [212]	$\mu_{nf} = \mu_f(1 + 0.19\varphi + 306\varphi^2)$ , Al <sub>2</sub> O <sub>3</sub> -EG (64)
	$\mu_{nf} = \mu_f(1 + 7.3\varphi + 123\varphi^2)$ Al <sub>2</sub> O <sub>3</sub> -water (65)
Nguyen et al. [213]	Al <sub>2</sub> O <sub>3</sub> -water nanofluid

References	Viscosity model
	$\mu_{nf} = \mu_o \times 0.904e^{0.1483\varphi} \text{ (for 47 nm particle size)} \quad (66)$ $\mu_{nf} = \mu_o(1 - 0.025\varphi + 0.015\varphi^2) \text{ (for 36 nm particle size)} \quad (67)$ <p>CuO-water nanofluid</p> $\mu_{nf} = \mu_o(1.475 - 0.319\varphi + 0.051\varphi^2 + 0.009\varphi^3) \quad (68)$
Nielsen [214]	$\frac{\mu_{nf}}{\mu_{bf}} = (1 + 1.5\varphi)e^{\frac{\varphi}{(1-\varphi_m)}} \quad (69)$ <p>valid for <math>\varphi</math> more than 0.02. where <math>\varphi_m</math> is the maximum packing fraction (0.64) which is approximately 0.64 for randomly dispersed spheres.</p>
Nguyen et al. [213]	$\mu_{nf} = \mu_o(1.125 - 0.0007T) \quad \text{for } \varphi = 1 \%, \quad (70)$ $\frac{\mu_{nf}}{\mu_f} = (2.1275 - 0.0215T + 0.00027T^2) \quad \text{for } \varphi = 4 \%, \quad (71)$
Smoluchowski [215]	$\mu_{eff} = \mu_o \left[ 1 + 2.5\varphi \left\{ 1 + \frac{1}{k\mu_o a^2} \left( \frac{\zeta D_E}{2\pi} \right)^2 \right\} \right] \quad (72)$ <p><math>k</math> = specific conductivity of the electrolyte, <math>a</math> = radius of the solid particles, <math>D_E</math> = dielectric constant of the water, and <math>\zeta</math> = zeta potential of the particle with respect to the electrolytic medium.</p>
Kulkarni et al. [216]	$\ln(\mu_{nf}) = -(2.8751 + 53.548\varphi - 107.12\varphi^2) + \frac{(1078.3+15857\varphi+20587\varphi^2)}{T}$ <p>CuO-water nanofluids <span style="float: right;">(73)</span></p>
Prasher et al. [217]	$\mu_{nf} = \mu_o + \frac{\rho_p V_B d_p^2}{72C_1 \delta}, \quad (74)$ $V_B = \frac{1}{d_p} \sqrt{\frac{18k_b T}{\pi \rho_p d_p}}, \quad \delta = \sqrt[3]{\frac{\pi}{6\varphi} d_p}$
Rashin and Hemalatha [218]	$\mu_{nf} = \mu_o(1 + A\varphi - B\varphi^2) \quad \text{CuO-coconut oil} \quad (75)$ $\mu_{nf} = C e^{-0.03T} \quad (76)$ <p>For <math>\varphi = 0.5-2.5 \%</math> and <math>T = 35-55 \text{ }^\circ\text{C}</math>. <math>A</math>, <math>B</math>, and <math>C</math> are parameters.</p>
Heyhat et al. [219]	$\frac{\mu_{nf}}{\mu_o} = \text{Exp} \left( \frac{5.989\varphi}{0.278-\varphi} \right) \quad \text{Al}_2\text{O}_3\text{-water} \quad (77)$
Suganthi and Rajan [220]	$\mu_{nf} = \mu_o(1 + 2.5\varphi_a), \quad (78)$ <p>where <math>\frac{\varphi_a}{\varphi} = \left( \frac{D_a}{d_p} \right)^{3-D}</math></p>
Suganthi et al. [221]	$\mu_{nf} = A\theta^{-B} \quad \text{ZnO-PG nanofluid} \quad (79)$ <p><math>\theta</math> = Temperature in degree Celsius, <math>A</math> and <math>B</math> are empirical constants are different for different <math>\varphi</math>.</p>
Singh et al. [222]	$\mu_{nf} = \mu_{\infty, T} \exp \left( \frac{E_a}{R_g T} \right), \quad (80)$ <p>where <math>\mu_{\infty, T}</math> is the viscosity at infinite temperature, <math>E_a</math> is the activation</p>

References	Viscosity model
	energy to viscous flow, $R_g$ is the universal gas constant.
Yiamsawas et al. [223]	$\mu_{nf} = A\varphi^B T^C \mu_0^E, \quad (81)$ <p>TiO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub> EG-water nanofluid, where <math>\mu_0 = 2.3775 - 0.0461T + 0.0003T^2</math>. <math>A</math>, <math>B</math>, <math>C</math>, and <math>E</math> are the empirical constants.</p>
Namburu et al. [177, 167]	$\text{Log}(\mu_{nf}) = Ae^{-BT}, \quad (82)$ <p>(<math>T = -35-50</math> °C) and <math>\varphi</math> (1–10 %) for CuO-EG-water. <math>A</math> and <math>B</math> are empirical curve fit parameters and in this case are functions of <math>\varphi</math>, with <math>R^2 = 0.99</math>. For CuO nanofluids,</p> $A = 1.8375\varphi^2 - 29.643\varphi + 165.56,$ $B = 4 \times 10^{-6}\varphi^2 - 1 \times 10^{-3}\varphi + 1.86 \times 10^{-2},$ <p>For SiO<sub>2</sub> nanofluids,</p> $A = 0.1193\varphi^3 - 1.9289\varphi^2 - 2.245\varphi + 167.17,$ $B = (-7 \times 10^{-6})\varphi^2 - 0.0004\varphi + 0.0192,$
Hemmat Esfe and Saedodin [224]	$\frac{\mu_{nf}}{\mu_o} = 0.98118 e^{(5.49\varphi - 0.00001359T^2)} + 0.0303 \ln(T), \quad (83)$
Tseng and Lin [225]	$\mu_{nf} = \mu_o \times 13.47 e^{35.98\varphi} \quad (84)$
Duangthongsuk and Wongwises [226]	$\mu_{nf} = \mu_o(A + B\varphi + C\varphi^2) \quad \text{TiO}_2\text{-water} \quad (85)$ <p><math>A</math>, <math>B</math>, and <math>C</math> are empirical constants obtained from curve fitting at three different temperatures.</p>
Kole and Dey [227]	$\log(\mu_{nf}) = A \exp(-BT), \quad \text{Al}_2\text{O}_3 \text{ nanofluids} \quad (86)$ $A = -225.245\varphi^2 + 18.404\varphi + 1.749,$ $B = 575.835\varphi^3 - 32.101\varphi^2 + 0.148\varphi + 0.011,$
Kole and Dey [228]	$\mu_{nf} = \mu_o \left(1 - \frac{\varphi}{0.5} \left(\frac{a_a}{a}\right)^{1.3}\right)^{-1.25} \quad \text{CuO-gear oil} \quad (87)$ $\ln(\mu_{nf}) = A + \frac{1000B}{(T+C)} \quad (88)$ <p><math>a_a</math> and <math>a</math> are the aggregates and primary particles, respectively. <math>A</math>, <math>B</math>, and <math>C</math> are empirical curve fit constants.</p>
Chen et al. [229]	$\mu_{nf} = \mu_o(1 + 10.6\varphi + (10.6\varphi)^2) \quad \text{TiO}_2\text{-EG} \quad (89)$
Chen et al. [229]	$\ln(\eta) = A + \frac{1000B}{(T+C)} \quad (90)$ <p><math>\eta</math> is the shear viscosity of nanofluids, and <math>A</math>, <math>B</math>, and <math>C</math> are empirical constants varying with <math>\varphi</math>.</p>
Noni et al. [230]	$\frac{\mu_{nf}}{\mu_f} = 1 + b \left(\frac{\varphi}{1-\varphi_m}\right)^n \quad \text{Al}_2\text{O}_3\text{-water} \quad (91)$

References	Viscosity model
	$b$ and $n$ are the empirical coefficients which was calculated by least squares regression method. The values of the coefficients $b$ and $n$ were 1631 and 2.8, respectively.
Chandrasekar et al. [231]	$\mu_{nf} = \mu_o \left( 1 + A \left( \frac{\varphi}{1-\varphi} \right)^n \right) \quad \text{Al}_2\text{O}_3\text{-water} \quad (92)$ <p>By using Noni et al.'s [230] model with the same <math>n = 2.8</math>, and a fixed value of coefficient <math>b = 5300</math> with temperature range of 5–50 °C.</p>
Hernandez Battez et al. [232]	$\eta_r(cp) = 52.8 - 9.76 \times 10^{-7}\gamma + 0.172 \varphi - 0.912 T + 1.02 \times 10^{-8}\gamma T + 4.24 \times 10^{-3}T^2 \quad \text{ZnO nanofluid} \quad (93)$ $\eta_r(cp) = 53.78 - 9.25 \times 10^{-7}\gamma + 0.202 \varphi - 0.937 T + 9.65 \times 10^{-9}\gamma T + 4.39 \times 10^{-3}T^2 \quad \text{ZrO}_2 \text{ nanofluids} \quad (94)$ <p><math>\gamma =</math> shear rate.</p>

### 1.3.6. Surface tension and contact angle of nanofluids

Surface tension can be explained in terms of forces between molecules that develop at the interface between two immiscible fluids and lies on the plane of interface. This property is also important when analyzing the performance of a thermal system and has a wide range of applications including in boiling heat transfer [233]; boiling HTC has been observed to increase with reduction in surface tension [234]. Surface tension also plays a vital role in drops and bubble formation [235], oil recovery [236], capability of cleaning oil spills, etc. Adding nanoparticles in the liquid alters its surface energy and wettability on common solid surfaces [235]. Despite its importance, surface tension of TiO<sub>2</sub> nanofluid has not been characterized well and the studies of surface tension of nanofluids are limited in the literature. Murshed et al. [107] showed that surface tension of TiO<sub>2</sub>-water nanofluids was lower than that of pure water at room temperature. The reduction in surface tension was attributed to the Brownian motion and the adsorption of nanoparticles at the interfaces. Ravera et al. [237] argued that the presence of nanoparticles in surfacted liquid has two competing effects: (i) the nanoparticles adsorb the surfactant, leading to an increase of the interfacial tension, and (ii) the nanoparticles tend to attach themselves to the interface, thereby reducing the overall interfacial tension. Similarly, other researchers found that the surface tension of nanofluids decreased with increase in  $\varphi$  and temperatures [238, 239]. Chinnam et. al. [240] found that surface tension of TiO<sub>2</sub>,

SiO<sub>2</sub>, ZnO and Al<sub>2</sub>O<sub>3</sub> nanofluids decreased as the temperature and  $\varphi$  increases. They attributed the reduction in the surface tension of nanofluid (compared to the corresponding base liquid) to the downward pulling of host liquid molecules by the nanoparticles, leading to a local rarefaction on the interface. On the other hand, Vafaei et al. [241] reported that the surface tension of nanofluids first decreased with the particle loading, reached a minimum and then increased again at larger values of  $\varphi$ . Moosavi et al. [242] showed that the surface tension of ZnO-EG nanofluid increased by  $\sim 7\%$  at  $\varphi = 3\%$ . With Al<sub>2</sub>O<sub>3</sub> nanoparticles, Kim et al. [235] observed an increase in surface tension beyond  $\varphi = 0.01\%$ , while Golubovic et al. [243] and Das et al. [77] observed no significant change in surface tension with respect to  $\varphi$ . On the other hand, Tanvir and Qiao [244] observed that the surface tension of nanofluids increases linearly with increase in  $\varphi$ . Similar types of results were found by [235, 245, 246]. Besides that, Vafaei et al. [241] mentioned that the surface tension of nanofluids first decreased with the particle loading, reached a minimum and then increased again at larger values of  $\varphi$ . Thus, contradictory results exist regarding the variation of surface tension of nanofluids. From the above literature survey, it can be observed that there is a contradiction among the researchers regarding effect of  $\varphi$  and temperature on surface tension of nanofluids.

Droplet impact on solid surfaces is a key element of a wide variety of phenomena encountered in applications, such as inkjet printing and rapid spray cooling of surfaces. It also can be used in other applications, such as fire suppression by sprinklers, internal combustion engines, spray painting and coating, plasma spraying, and crop spraying. Many studies have been carried out to determine the parameters influencing the behavior of a single drop impact, in order to characterize their respective influence. Various parameters such as impact velocity, droplet diameter, liquid viscosity, surface tension, and substrate wettability have been investigated to correlate the droplet impact with the spreading process [247, 248, 249, 250]. The study of the shape of a liquid droplet, and the droplet contact angle in particular, has considerable scientific interest, since simple analysis of a fluid droplet can help to deduce important properties of the fluid itself. There are already established theoretical frameworks to link other physical properties to the mathematical equations governing droplet contact angle [251, 252, 253, 254]. However, there is a lack of consistent experimental studies comparing the

effects of concentration of different water-based nanofluids with stabilizing surfactants on their respective contact angles. Most of the recent developments have shown some similar results for different concentrations of nanofluids.

### **1.3.7. Application of nanofluids on solar thermal collector (STC)**

Solar thermal collector collects heat by absorbing sunlight whereas collector is a device for capturing solar radiation. Solar radiation is energy in the form of electromagnetic radiation from the ultraviolet to the infrared wavelengths. Solar water heating systems is one of the most widely deployed renewable energy technologies used mostly in residential buildings, can reduce the use of fossil fuels. Nanofluid-based direct absorption solar collectors are solar thermal collectors where nanoparticles in a liquid medium can scatter and absorb solar radiation. Applications of nanofluids in solar collectors were investigated for the energy efficiency, economic and environmental aspects. Nanofluids have the following advantages over the conventional fluids for use in solar collectors:

- A. Suspended nanoparticles will increase the surface area; however, it decreases the heat capacity of the fluid.
- B. Suspended nanoparticles will enhance the thermal conductivity, which helps to improve the efficiency of heat transfer systems.
- C. Absorption of solar energy will be maximized with change of the particle size, shape, material and  $\phi$  of the nanoparticles and the properties of the nanofluid can be changed by varying  $\phi$  of nanoparticles.

Researchers and scientists have continuously made an effort to increase the performance of solar collectors, which is the main components of any solar system [255, 256]. Initially Tyagi et al. [257] numerically investigated a nanofluid-based, low-temperature direct absorption solar collector (DASC). They used aluminum nanoparticle suspensions in water as the working fluid and showed an efficiency enhancement of around 10 % in comparison with a conventional flat plate solar collector. Otanicar et al. [2] also numerically evaluated the performance of low-temperature DASC. They considered three different nanofluids (graphite, silver, and CNT) for the simulation and found efficiency improvements of up to 5 % by utilizing nanofluids as the absorption medium. Luo et al

[258] investigated the effect of nanofluid on the performance of a solar flat collector based on direct absorption collection. They observed that by using the nanofluids, the solar efficiency could be enhanced upto about 2–25 %. Moradi et al. [259] developed a model of DASC using computational fluid dynamics (CFD) analysis of the flow and temperature. They found that there is a non-uniform dependence of the collector efficiency on the  $\phi$  of nanoparticle. Taylor et al. [15] investigated the application of nanofluid-based DASC to high-temperature, concentrated solar power plants. They found that the use of a nanofluid in the receiver can improve the efficiency by 10 %, if operating conditions were chosen carefully.

#### 1.4. GAP AREA

The above discussion points out to the fact that the thermophysical properties of the nanofluids play a major role in the performance of DASC. While the studies on nanofluids properties have increased prolifically over the past couple of decades, the literature is still replete with contradictory results and insufficient explanation of such results. For example, He et al. [87] and Wang et al. [64] showed that the effective thermal conductivity decreased with increase in particle size, while Beck et al. [92] and Shalkevich et al. [93] reported a reverse trend. Wang et al. [108] found that an optimal concentration of surfactant can enhanced thermal conductivity, while Angayarkanni and Philip [109] observed that the  $k / k_f$  decreased with the increase in surfactant loading. Xie et al. [105] observed that the value of thermal conductivity enhanced with decrease in pH value, but Wang et al. [108] found that the thermal conductivity ratio decreased at higher pH value. Mahbubul et al. [122] observed that the value of thermal conductivity increased with the rise of temperature and ultrasonication durations, while Kole and Dey [123] mentioned that the thermal conductivity of nanofluids increased with increase in sonication time and saturates at a maximum value beyond ~60 h of sonication. Wang et al. [108] reported that Cu-water nanofluid has a higher thermal conductivity enhancement than  $\text{Al}_2\text{O}_3$ -water nanofluid, because Cu nanoparticles exhibit higher thermal conductivity than  $\text{Al}_2\text{O}_3$  nanoparticles. On the contrary, Lee et al [60] found that CuO nanofluids possess higher thermal conductivity compared to  $\text{Al}_2\text{O}_3$  nanofluids, despite a lower thermal conductivity of CuO nanoparticles in comparison to  $\text{Al}_2\text{O}_3$  nanoparticle. Hence, to understand the

suitability of any nanofluid for a specific application, unambiguous knowledge of its thermophysical properties is essential. Besides, our literature survey indicates a paucity of research data on the stability of  $\text{TiO}_2$ ,  $\text{Al}_2\text{O}_3$ -water based nanofluids. Since the stability of nanofluids also depend on the specific mode of synthesis (which varies slightly from one group to another), it is imperative that the same needs to be verified in-house. In order to predict the performance of DASC with an in-house synthesized nanofluids, it is imperative that the ambiguities on the pertinent nanofluid properties are dispelled beforehand. For application of nanofluids in DASC, the study of the stability of these nanofluids are also needed. All these are possible if the entire study of synthesis, characterization and performance study (realistic DASC configuration) are carried out together. To the best of the author's knowledge, an all-encompassing study involving the above attributes has not been carried out before.

### **1.5. OBJECTIVE**

The present study focuses on detailed characterisation of  $\text{TiO}_2$ ,  $\text{Al}_2\text{O}_3$  and  $\text{TiO}_2$  (anatase) nanofluids. Titanium dioxide ( $\text{TiO}_2$ ) nanoparticles have the inherent advantage of having high chemical and physical stability, low cost and commercial availability, besides being free from health hazards. Therefore,  $\text{TiO}_2$  nanofluids can be pitched as a promising heat transfer medium. The rationale of choosing  $\text{Al}_2\text{O}_3$  nanoparticle as the dispersed phase of the nanofluid is its high chemical and physical stabilities, low cost, easy availability and feasibility of large-scale industrial production.  $\text{Al}_2\text{O}_3$  nanofluid in water has also been pitched as a promising heat transfer medium. Therefore, the present study attempts to characterize the thermophysical properties of  $\text{Al}_2\text{O}_3$  and  $\text{TiO}_2$  nanofluids for their use in DASC. The objectives of the thesis in terms of preparation, stability and measurable parameters described as follows.

- Synthesis of  $\text{TiO}_2$  (21 nm),  $\text{Al}_2\text{O}_3$  (51 nm), and  $\text{TiO}_2$ -anatase (25 nm) water-based nanofluids with and without surfactants. Testing the suitability of different surfactants, e.g., cetyl trimethyl ammonium bromide (CTAB), acetic acid glacial (AA) (99–100 %), oleic acid (OA), sodium dodecyl sulphate (SDS), and sodium dodecylbenzenesulfonate (SDBS) in stabilizing the nanofluids.

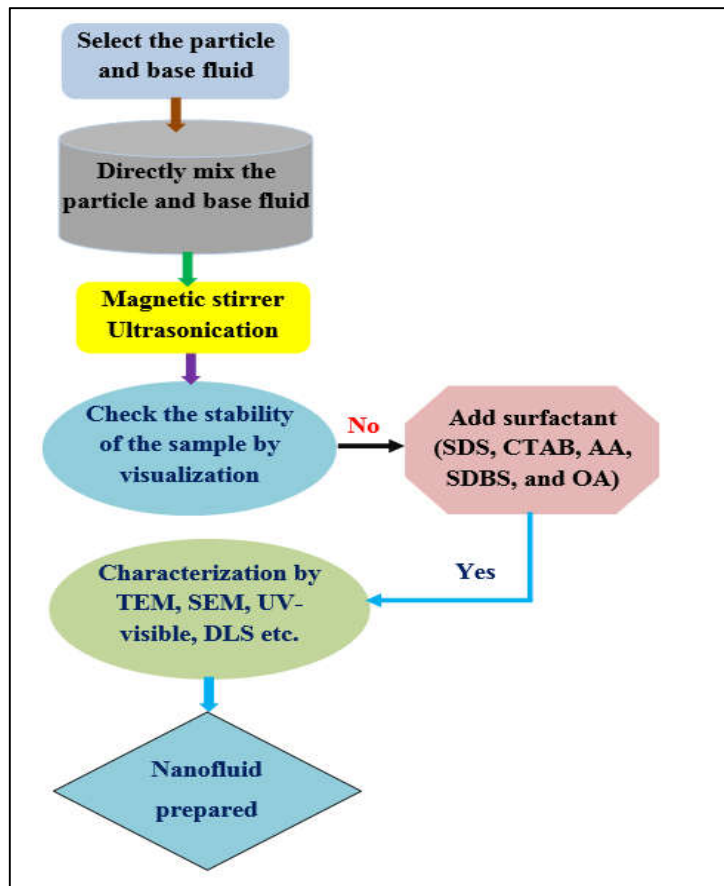


- Checking stability of the prepared nanofluids and particle distribution and morphology of the nanofluids by Zeta Potential, DLS and TEM method respectively.
- Determination of thermophysical properties (thermal conductivity, viscosity, surface tension) of the nanofluids for different  $\varphi$  (0.1–2.0 %) and temperature (20–60 °C).
- Performance analysis, through numerical study, of direct absorption solar collector (DASC) using nanofluids.

## **CHAPTER-2**

## 2. Experimental methods

In this chapter, experimental methods on the synthesis process, characterization techniques, and property measurements of nanofluids have been discussed. The algorithm proposed for preparation of nanofluids is described in Figure 6.



**Figure 6** Algorithm for preparation of nanofluids following two-step method.

### 2.1. Preparation of nanofluids

For synthesis of nanofluids, various types of nanoparticles and surfactants have been considered. The properties of the base fluid (water) and nanoparticles are summarized in Table 3. Selection of suitable surfactant depends mainly upon the properties of the solutions and particles. Surfactants typically lower the interfacial tension between two liquids or between a solid and a liquid, or between a liquid and a gas. Surfactants may act as detergents, wetting agents, emulsifiers, foaming agents, and dispersants. Various types of surfactants are available; it depends on the charge on the head group of surfactants. A few examples of surfactants are mentioned in Table 4.

**Table 3: Properties of nanoparticles**

Types of particle	Particle size (nm)	Density (Kg/m <sup>3</sup> )	Thermal conductivity (W/m K)
TiO <sub>2</sub>	21	4260	8.4
Al <sub>2</sub> O <sub>3</sub>	50	3960	40
TiO <sub>2</sub> (anatase)	25	3900	8.9
Water		1000	0.613 (30 °C)

**Table 4: Different types of surfactants**

Types of surfactants	Examples
<b>Anionic</b>	Carboxylates, Sulphonates, Petroleum Sulphonates, Ammonium lauryl sulphate, Sodium dodecylbenzene sulphonate (SDBS), Sodium dodecyl sulphate (SDS), Sodium stearate, Sodium laureth sulfate, Potassium lauryl sulphate, Alkyl–benzene–sulphonates, Olefin Sulphonates, Sulphated Esters, Sulphated Alkylphenols, , etc.
<b>Cationic</b>	Quaternary Ammonium Salts; Cetyl trimethyl ammonium bromide (CTAB), Benzalkonium chloride (BAC), Cetylpyridinium chloride (CPC), Benzethonium chloride (BZT), Distearyl dimethylammonium chloride, Dioctadecyldimethylammonium bromide (DODAB), Amines with Amide Linkages, Alicyclic Amines, etc..
<b>Nonionic</b>	Polyethylene Glycol (PEG) Esters, Ethoxylated Aliphatic Alcohol, Polyoxyethylene Fatty Acid Amides, Carboxylic Acetic acid (AA), Gum arabic (GA), Poly vinyl pyrrolidone (PVP), Tween 80, Tween X–100, Stearyl alcohol, Oleic acid (OA), Oleyl amine, etc.
<b>Amphoteric/Zwitterionic</b>	Both cationic and anionic. Sodium lauroamphoacetate, Cocamidopropyl betaine, Lecithin, Hydroxysultaine, Phospholipids phosphatidylserine, Phosphatidylcholine, Sphingomyelins, Phosphatidylethanolamine, etc.

### 2.1.1. Synthesis of TiO<sub>2</sub> nanofluids

TiO<sub>2</sub> nanoparticles purchased from Sigma Aldrich Chemicals Limited, Germany, and the base fluid consisted of distilled water (Merck Millipore) of 99.7 % purity were used to prepare the nanofluids. Surfactants or dispersants like CTAB, AA glacial (99–100 %), OA and SDS were purchased from Merck Millipore used to improve the stability of the suspensions. Two-step method was adopted for the preparation of nanofluid. The desired solid volume fractions ( $\phi$ ) of nanofluids

were obtained by dispersing a carefully measured mass of nanoparticle in the host liquid. A high-precision electronic balance (Sartorius, BSA 224S-CW, max 220 g, d = 0.1 mg) was used for this purpose. The resulting solid  $\varphi$  is obtained using the formula mentioned in Eq. (95),

$$\varphi = \left[ \frac{\left(\frac{w}{\rho}\right)_p}{\left(\frac{w}{\rho}\right)_p + \left(\frac{w}{\rho}\right)_{bf}} \right], \quad (95)$$

where  $\rho_p$  denotes the density of the TiO<sub>2</sub> nanoparticles,  $w_p$  is the weight of the nanoparticle,  $\rho_{bf}$  denotes the density of the host fluid, and  $w_{bf}$  is the weight of host fluid used. The measured quantity of nanoparticles were mixed with the host fluid and agitated for 2 h in an ultrasonic bath (Misonix, 40 KHz), and the suspensions were observed for several hours to check if the particles settled under gravity. To stabilize the nanofluids, different surfactants were used. It required selection of suitable surfactant with appropriate amount. Efficacies of CTAB, AA, SDS and OA have been tested as the possible surfactants. For CTAB and AA, the nanoparticle to surfactant mass ratio of 1:10 was found to give the best suspensions. For SDS and OA ranges of particle to surfactant mass ratio (from 1:2, to 1:10) were tried, but they did not exhibit satisfactory stabilization. First, the surfactant was mixed with distilled water and stirred in a magnetic stirrer for 1–2 h to ensure homogenous mixing of surfactant with the base fluid. Then the nanoparticles were added and stirred for another 2 h in the magnetic stirrer so that the particles get dispersed homogeneously within the mixture. Finally, the nanofluid mixture was dispersed in the ultrasonic bath for about 2–3 h at 30 °C to break the agglomerates retained even after stirring. The prepared samples using CTAB and AA were found to remain stable for several days with negligible disturbance. The prepared nanofluids were tested for their stability against settling through visual observations. Nanofluid samples were stored in vials for several days without disturbance and were imaged periodically shown in Figure 7.

### 2.1.2. Synthesis of Al<sub>2</sub>O<sub>3</sub> nanofluids

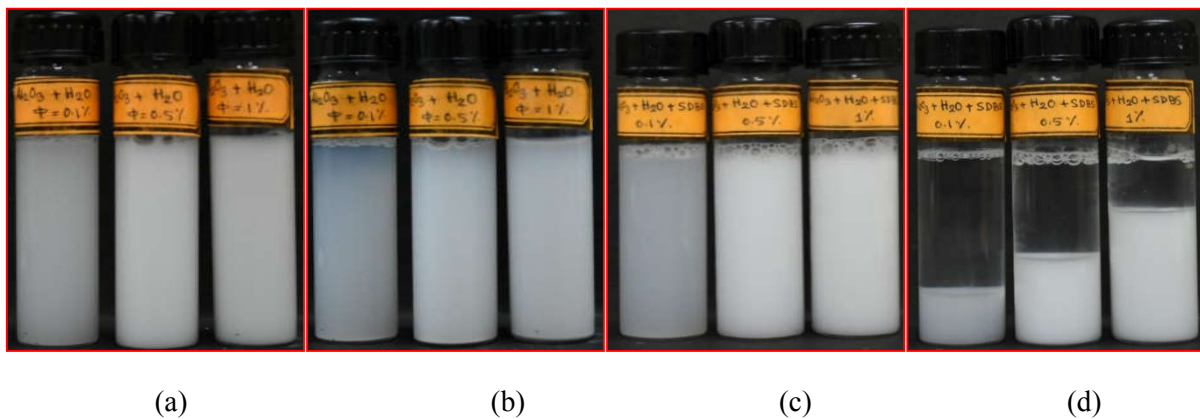
Al<sub>2</sub>O<sub>3</sub> nanoparticles (Sigma Aldrich Chemicals Limited, Germany) were used as the dispersed phase and distilled water was used as base fluid. Different surfactants viz., CTAB, SDBS and SDS were used to help disperse the particles and improve the stability of suspensions. Nanofluid samples were prepared by dispersing the Al<sub>2</sub>O<sub>3</sub> nanoparticles in distilled water by two-step method. Measured

masses of nanoparticles were dispersed into appropriate base liquid of 100 ml to prepare nanofluid samples of varying  $\phi$ , ranging from 0.1–2.0 %. Gravimetric measurements of nanoparticles and dispersant were performed using a high-precision electronic balance. The resulting  $\phi$  of synthesized nanofluid was calculated by using the formula mentioned in Eq. (95). Where  $\rho_p$  denotes the density of the  $\text{Al}_2\text{O}_3$  nanoparticles,  $W_p$  is the weight of the  $\text{Al}_2\text{O}_3$  nanoparticle,  $\rho_{bf}$  denotes the density of the host fluid (100 ml), and  $W_{bf}$  is the weight of host fluid used. For preparing the non-surfacted nanofluid, appropriate volume of  $\text{Al}_2\text{O}_3$  nanoparticles were added in distilled water and the mixture was stirred in a magnetic stirrer for 1 h so that the particles get dispersed homogeneously. The resulting suspension was sonicated for 15 minutes using a probe sonicator (PCI Analytics, PKS-750FM, at a power input rate of 0.167 J/s) to break the agglomerates remaining in the sample even after stirring. The sonicated suspensions, bearing different particle loadings, were observed for several hours to check if the particles settled under gravity. Another set of nanofluid samples was prepared that contained any of the three surfactants, viz., SDBS, SDS, and CTAB. For these samples, the concerned surfactant was first mixed with distilled water and stirred in the magnetic stirrer for 1 h to ensure homogenous mixing of surfactant with the host fluid. Measured quantity of nanoparticles was then added to the surfactant-water mixture and stirred and sonicated following the same protocol described above. For all three types of surfactants, different ranges of particle to surfactant mass ratios were tried; CTAB and SDS did not exhibit satisfactory stabilization with  $\text{Al}_2\text{O}_3$  nanoparticle, whereas SDBS (at a particle to surfactant mass ratio of 2:1) showed better stabilization. The prepared samples using SDBS was found to remain stable for several hours with negligible particle agglomeration and settling; periodic stirring (every 40–60 minutes) further ameliorated the issue of particle sedimentation. The prepared nanofluids were tested for their stability against settling through visual observations. Nanofluid samples were stored in vials for several days without disturbance and were imaged periodically. Figure 7 and Figure 8 show the settling behaviours of the  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  nanofluids as the samples are maintained on a vibration-free platform over several hours. It is observed from Figure 7 that both the AA- and CTAB- stabilized nanofluids maintain very good stability over the span of 15 days. Figure 8 shows that the non-surfacted  $\text{Al}_2\text{O}_3$  Nanofluids show slight

stratification, indication of cluster formation and gravitational settling. The SDBS-stabilized  $\text{Al}_2\text{O}_3$  nanofluid exhibits an apparent phase separation. While the top phase shows a clear host fluid bulk, the heavier phase of a stable colloidal suspension is observed to separate out at the bottom, which quickly re-suspends upon mild stirring (without sonication).



**Figure 7**  $\text{TiO}_2$  AA nanofluids (a) freshly prepared, and (b) after 15 days;  $\text{TiO}_2$  CTAB nanofluids (c) freshly prepared, and (d) after 15 days.



**Figure 8**  $\text{Al}_2\text{O}_3$  nanofluid (without surfactant) (a) freshly prepared, and (b) after 1 day;  $\text{Al}_2\text{O}_3$  -SDBS nanofluid (c) freshly prepared, and (d) after 1 day.

### 2.1.3. Synthesis of TiO<sub>2</sub> anatase nanofluids

Anatase grade TiO<sub>2</sub> nano powders (Sigma Aldrich Chemicals Limited, Germany) were used as dispersant and distilled water used as base fluid, whereas different surfactants, e.g., CTAB, AA, and SDS were purchased from Merck Millipore and used to help disperse the particles to improve stability of the suspensions. Nanofluid samples were prepared by dispersing the TiO<sub>2</sub> (anatase) nanoparticles in distilled water by two-step method. The nanoparticles were dispersed into the base liquid of 100 ml, to prepare nanofluid samples of varying  $\varphi$  (0.1–1.0 %). A high-precision electronic balance was used for measuring the accurate amount of nanoparticles and dispersants with an accuracy of 0.1 mg. The resulting  $\varphi$  of the prepared nanofluid was calculated by using the formula mentioned in Eq. (95). Where  $\rho_p$  denotes the density of the TiO<sub>2</sub> (anatase) nanoparticles,  $W_p$  is the weight of the TiO<sub>2</sub> (anatase) nanoparticle,  $\rho_{bf}$  denotes the density of the base fluid (100 ml), and  $W_{bf}$  is the weight of base fluid used. Different surfactants were used to prepare nanofluids. Initially, the appropriate amount of surfactant was mixed with distilled water and stirred in the magnetic stirrer for 1 h to ensure homogenous mixing of surfactant with the host fluid. Then appropriate amount of TiO<sub>2</sub> (anatase) nanoparticles was added to the surfactant–water mixture and stirred for another 1 h in the magnetic stirrer. For sample preparation, a fixed mass ratio  $r$  of surfactant to particle was used. The corresponding mass ratio  $x_s$  of surfactant to water was evaluated as,

$$[x_s] = \left[ r \times \frac{\rho_p}{\rho_f} \times \left\{ \frac{\varphi}{1-\varphi} \right\} \right], \quad (96)$$

where  $\rho_p$  is the density of the nanoparticle, and  $r = m_s / m_p$ . Finally, the nanofluid mixture was sonicated in the probe sonicator for about 15 minutes. For all types of surfactants, different ranges of particle to surfactant mass ratios were tried. Visual observation shows that AA did not exhibit satisfactory stabilization for the TiO<sub>2</sub> (anatase) nanoparticle, whereas SDS and CTAB (at a surfactant to particle ratio  $r = 1:10$ ) showed better stabilization.

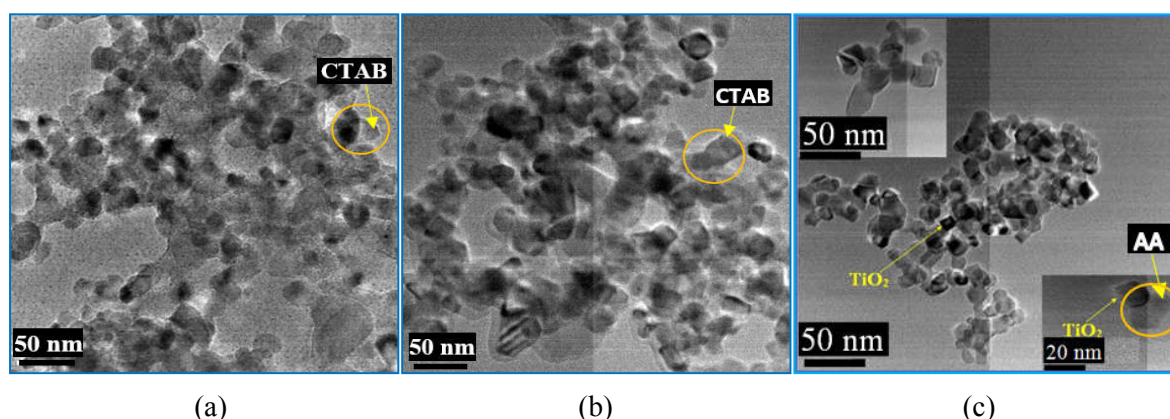
### 2.2. Characterization of nanofluids

Characterization of nanofluids includes transmission electron microscope (TEM), dynamic light scattering (DLS), zeta potential, UV spectroscopy etc.



### 2.2.1. Morphology of nanofluids

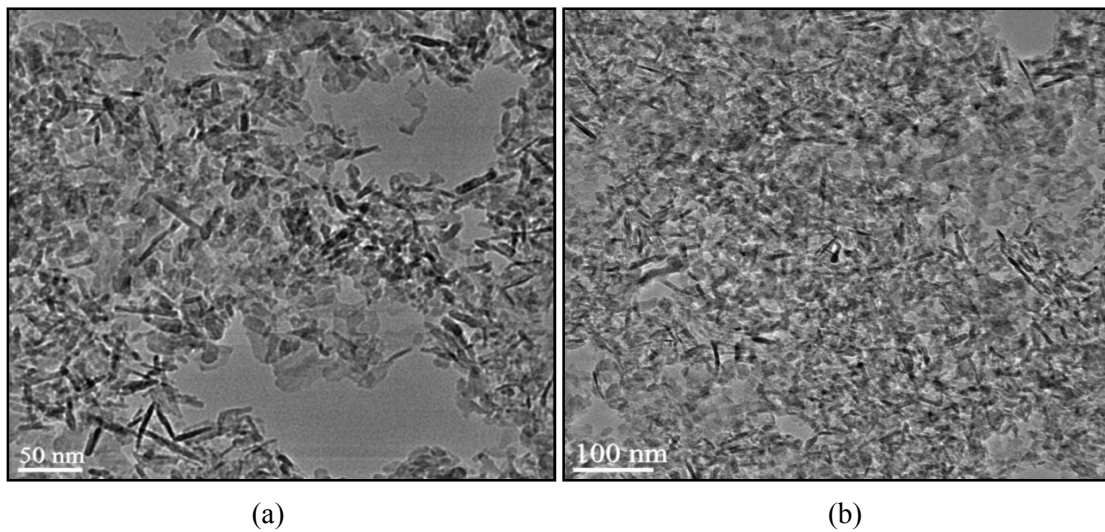
Several techniques were used to characterize the nanopowder samples. In order to visualize the morphology of the nanoparticles, dry samples were analyzed by Transmission Electron Microscopy (TEM; JEOL JEM–2100, operating at an acceleration voltage of 200 kV). The TEM was used here to provide high-resolution images that can reach approximately 0.1 nm in case of lattice images. Droplet ( $\sim 1 \mu\text{L}$ ) of freshly prepared nanofluid were cast on transparent and thin carbon-coated, 300 mesh copper grids for TEM analysis. The samples were first air-dried (for  $\sim 2\text{--}3$  minutes) in a controlled environment and further dried in a desiccator (for  $\sim 24$  h). Figure 9 (a) and (b) shows the TEM images of the prepared  $\text{TiO}_2$ -water nanofluids, with CTAB as the stabilizer, for two different  $\phi$ , viz., 0.1 % and 1.5 %, respectively. It is evident from the micrographs that the average size of the particles for both the cases ranges of 10–40 nm. Figure 9c shows the TEM image of a representative cluster in the  $\text{TiO}_2$ -water nanofluid (with AA as a stabilizer) sample for  $\phi = 2.0$  %. The high resolution-TEM images of a single nanoparticle and a cluster are shown in the insets of Figure 9c. Both of the TEM and HRTEM figures show the  $\text{TiO}_2$  particles in the form of black spots. A well-defined thin surfactant layers covering the particles and their clusters can be observed in Figure 9a-c. HRTEM images show that the particle diameter in the  $\text{TiO}_2$ -AA water nanofluid lies in the range of 10–30 nm.



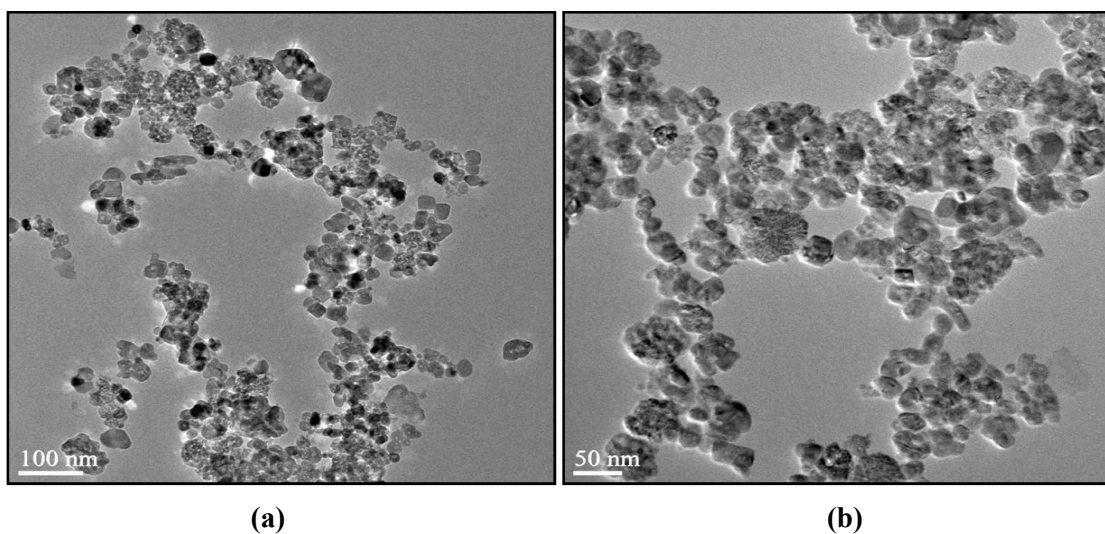
**Figure 9** TEM image of CTAB-stabilized  $\text{TiO}_2$ -water nanofluid for (a)  $\phi = 0.1$  % and (b)  $\phi = 1.5$  %; (c) TEM and HRTEM (insets) images of AA-stabilized  $\text{TiO}_2$ -water nanofluid for  $\phi = 2.0$  %.

Figure 10a and Figure 10b shows the TEM images of  $\text{Al}_2\text{O}_3$ -water and  $\text{Al}_2\text{O}_3$ -SDBS water nanofluids, respectively, for  $\phi = 0.5$  %. Figure 10a shows  $\text{Al}_2\text{O}_3$  nanoparticles appearing as black rod-

like formations, where the particle size is found to vary in the range of 20–60 nm. The TEM image of SDS-stabilized  $\text{Al}_2\text{O}_3$  nanofluids (Figure 10b) shows the black spots of the particle varying in the size range of 30–70 nm. DLS analysis was used to get a quantitative estimate of the particle size distribution. The analysis was conducted at 25 °C, with freshly prepared dilute sample. Figure 11a and Figure 11b shows the TEM images of the anatase-SDS and anatase-CTAB nanofluids, respectively, for  $\varphi = 0.5\%$ . TEM images of SDS-stabilized anatase nanofluid samples (Figure 11a) show nearly-spherical particles (the darker spots) varying in the range of 10–40 nm in size. TEM images of CTAB-stabilized anatase nanofluids (Figure 11b) shows particles in the size range of 20–40 nm.



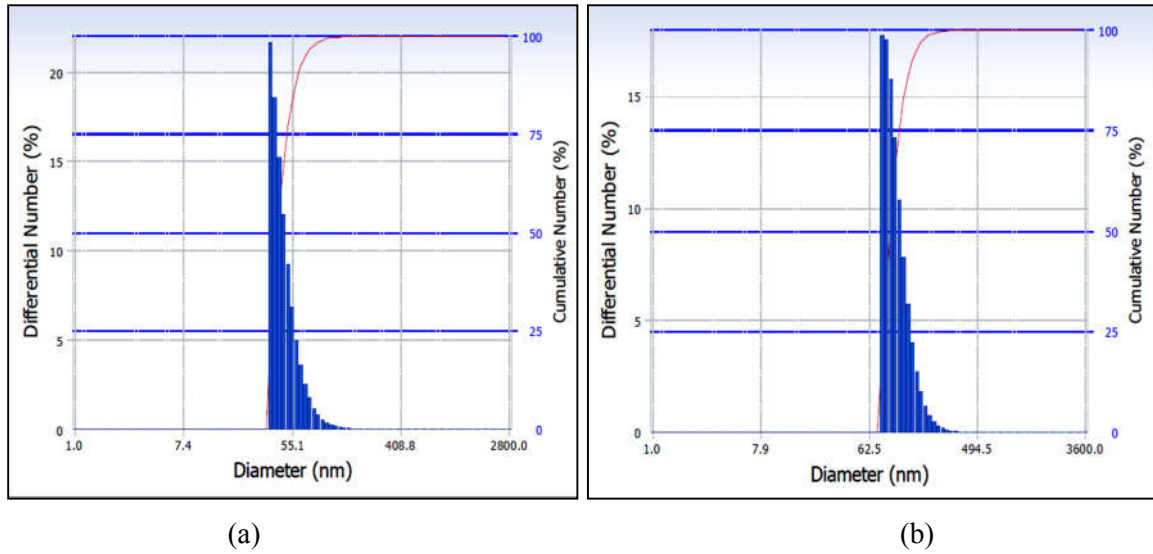
**Figure 10** TEM images showing the microstructure of (a)  $\text{Al}_2\text{O}_3$  and (b)  $\text{Al}_2\text{O}_3$ -SDBS water nanofluid for  $\varphi = 0.5\%$ . The elongated dark spots represent the  $\text{Al}_2\text{O}_3$  particles.



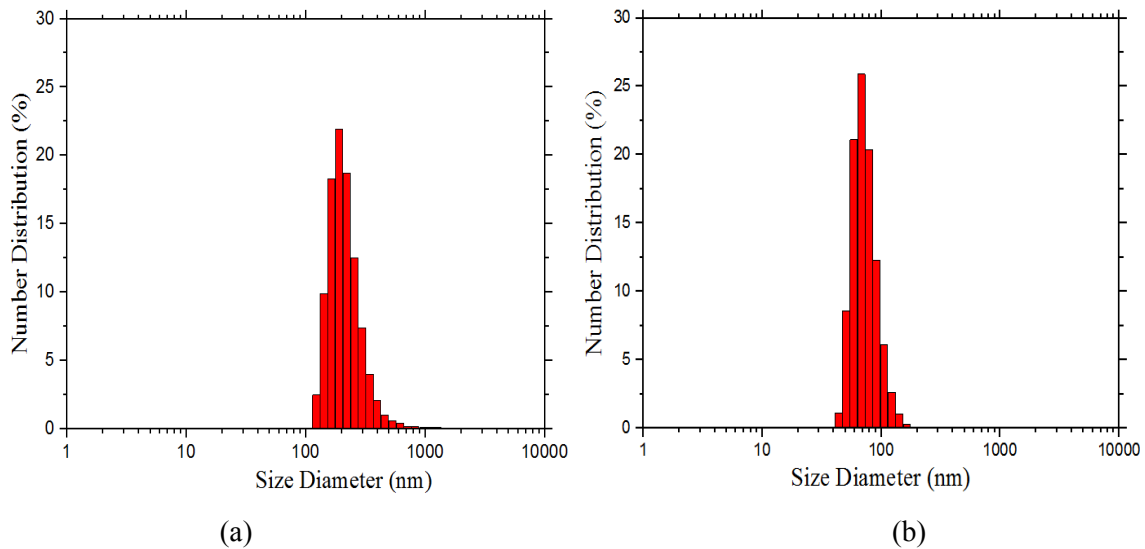
**Figure 11** TEM images of  $\text{TiO}_2$  (anatase) nanofluid samples with (a) SDS and (b) CTAB as surfactants, at  $\varphi = 0.5\%$ .

### 2.2.2. Particle size distribution of nanofluids

Particle size distribution in the nanofluid samples were analyzed using dynamic light scattering (DLS, Zetasizer Nano ZS, Malvern, 0.3 nm–10.0  $\mu\text{m}$ ) instrument. DLS provides a clear insight and precise information about particle size distribution. All measurements were performed at 25 °C. DLS provides a clear insights and precise information about particle size distribution. Figure 12a displays a cumulative result for AA-stabilized  $\text{TiO}_2$ -water nanofluid at  $\varphi = 1.5\%$ ; it shows an average diameter of 147.6 nm. This is much larger than the specified nanoparticle size (21 nm), indicating the possible formation of thermodynamically stable clusters of particles. The polydispersity index, defined as the ratio of the mass-weighted average particle diameter to the number-weighted average particle diameter, is a measure of width of particle size distribution. For the present case, it is found to be 0.271 directly from the DLS measurement (instrument data), implying that the nanofluids exhibits trend of monodispersity [260]. Figure 12b displays cumulative results for CTAB-stabilized  $\text{TiO}_2$ -water nanofluids at  $\varphi = 1.0\%$ ; this shows an average diameter of 207.7 nm and polydispersity index of 0.232, denoting the stability of the nanofluids. Particle size distribution for the  $\text{Al}_2\text{O}_3$ -water nanofluid is shown in Figure 13a; the DLS analysis shows a number-averaged particle size of 222 nm while the polydispersity index is 1.23. The observed particle size is much larger than the specified nanoparticle size (50 nm), indicating the possibility of formation of thermodynamically stable clusters of particles. Figure 13 shows the number distribution of particles in the SDBS-stabilized  $\text{Al}_2\text{O}_3$ -water nanofluid; the plot shows a number-averaged diameter of 75 nm and a polydispersity index is 1.19 – both lower than those of the non-surfacted type. The reduced value of polydispersity index of the surfacted nanofluid is indicative of a better homogeneity and lower clustering; the average particle size appears slightly larger than the specified nanoparticle size possibly because of the surfactant layer.



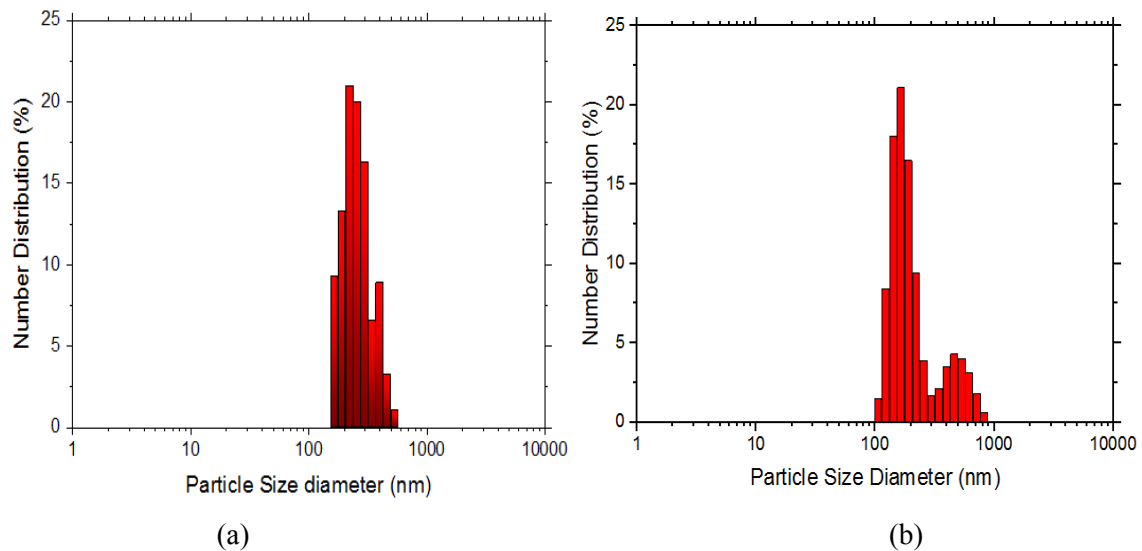
**Figure 12** Particle size distribution of TiO<sub>2</sub>-water nanofluids with (a) AA stabilization at  $\varphi = 1.5\%$  and (b) CTAB stabilization at  $\varphi = 1.0\%$ .



**Figure 13** Particle size distribution through DLS in Al<sub>2</sub>O<sub>3</sub>-water nanofluids (a) without surfactant, and (b) with SDBS surfactant.

Figure 14a and Figure 14b presents the particle size distribution of SDS and CTAB based TiO<sub>2</sub> (anatase) nanofluid at  $\varphi = 0.5\%$ , respectively. DLS analysis shows a number averaged particle size of 265 nm and 226 nm for SDS and CTAB based nanofluids, respectively. Figure 14a and Figure 14b presents the particle size distribution of SDS- and CTAB-based TiO<sub>2</sub> (anatase) nanofluids, respectively, at  $\varphi = 0.5\%$ . The analysis was conducted at 25 °C, with freshly prepared sample. DLS analysis shows a number-averaged particle size of 265 nm and 226 nm for SDS- and CTAB-based nanofluids, respectively. It is important to note here that the apparent particle size in DLS

measurement exceeds that observed through TEM. This is a common observation [261, 262]. Transmission electron microscope (TEM) calculates the primary size of the particles in the sample in dried state. On the contrary, dynamic light scattering (DLS) relies on Rayleigh scattering from the suspended nanoparticles that undergo Brownian motion and it calculates the hydrodynamic diameter of the sample in solvated state; the scattering intensity-based measurement includes the solvation diameter and the thickness of the surfactant layer that engulf the particle [263]. Besides, stable suspensions of particles clusters (where small clusters of multiple particles, instead of a single particle, remain suspended in the sample) may also be responsible for the larger average particle size data found from DLS measurement [264]. The intensity of the scattered light in DLS measurement scales with the sixth power of the particle diameter. Therefore, even with a small presence of agglomerates, the scattered light from the agglomerates may strongly overlay that of smaller particles [265]. Hence, we observed a particle size difference between DLS and TEM.



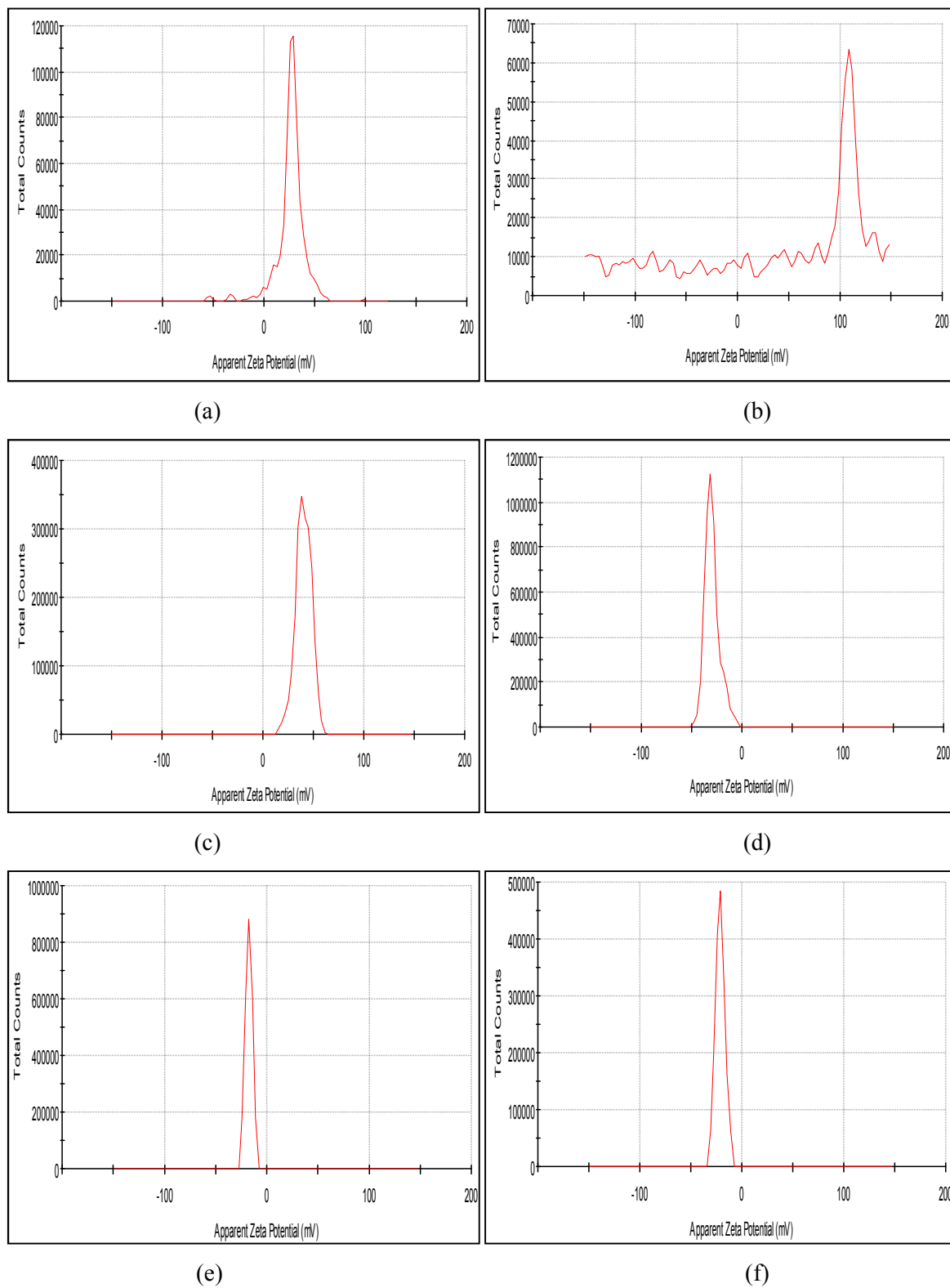
**Figure 14** Particle size distribution for TiO<sub>2</sub> (anatase) nanofluids with (a) SDS and (b) CTAB as surfactants at  $\phi = 0.5\%$ .

### 2.2.3. Stability of nanofluids

Another important parameter to be considered to confirm the stability of the nanofluid is its Zeta potential. Stability of a colloidal system mainly depends on two forces: (i) attractive force and (ii) repulsive force. If the attractive force is bigger than the repulsive force between two particles, the particles will collide and if the repulsive force is greater than the attractive forces, then the particles

will repel each other. Zeta potential, known as electro-kinetic potential, is the potential in the interfacial double layer (at the location of slipping or shear plane) of a colloid particle moving under electric field [266], it shows the potential difference between the dispersion medium and the stationary layer of fluid attached to the dispersed particle. Colloids with high zeta potential ( $\pm$ ) are electrically stabilized; while at low zeta potential, the particles tend to flocculate. The implication of zeta potential value on the nanofluid stability is described in Table 5. Figure 15a and Figure 15b shows the stability measurement of TiO<sub>2</sub>-AA and TiO<sub>2</sub>-CTAB nanofluids, where zeta potentials of 25.5 mV and 14.1 mV, respectively, are observed. Here, the AA based nanofluid has marginally better stability than the CTAB nanofluid. Figure 15c and Figure 15d shows the stability measurement of Al<sub>2</sub>O<sub>3</sub> water and Al<sub>2</sub>O<sub>3</sub>-SDBS nanofluids, where zeta potentials of 40.3 mV and -29.5 mV, respectively, are observed. Here, the Al<sub>2</sub>O<sub>3</sub> based nanofluid has marginally better stability than the SDBS nanofluid. Figure 15e and Figure 15f shows the stability measurement of anatase-SDS and anatase-CTAB nanofluids, where zeta potentials of -17.8 mV and -21.1 mV, respectively, are observed. Therefore, the anatase-CTAB nanofluid has marginally better stability than the anatase-SDS nanofluid. Though all the nanofluids exhibit limited stability with slow settling. Zeta potential values of both the nanofluids imply that they have limited colloidal stability, and hence, particle agglomeration cannot be ignored. This is corroborated by our experimental observation, where both the nanofluids were found to exhibit slow, diffusion-limited [162, 267] settling (settling time  $\sim$  6 h). As per the theory of diffusion-limited cluster aggregation (DLCA) model, particle aggregation at short time scale occurs due to the Brownian motion. At a larger time scale, aggregating suspension settles down under gravity. It has been shown in the literature [267] that for extremely dilute suspensions, long-term settling takes place in the form of cluster deposition. For particle volume fraction exceeding a threshold ( $\phi^*$ ) the aggregates settle down collectively as a gelled suspension. For the present case of a well-sonicated suspension of anatase nanoparticles having particle diameter  $\sim$ 100 nm, the threshold  $\phi^* \sim (4\pi\Delta\rho g a^4 / 3K_B T)$ , (where  $\Delta\rho$  denotes the density difference between the dispersed and the continuous phases,  $g$  the acceleration due to gravity,  $a$  the particle radius,  $K_B$  the Boltzmann constant, and  $T$  is the absolute temperature) is estimated to be around  $\sim$  0.023 (i.e., 2.3 %). Therefore, for the

range of particle volume fraction investigated in the present case ( $0.001 \leq \varphi \leq 0.01$ ), the particles settle down in the mode of individual cluster deposition.



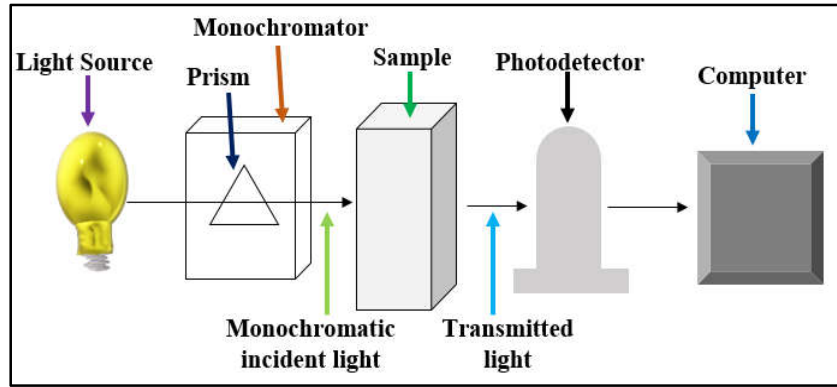
**Figure 15** Zeta potential of (a)  $\text{TiO}_2\text{-AA}$  (b)  $\text{TiO}_2\text{-CTAB}$  (c)  $\text{Al}_2\text{O}_3$  (d)  $\text{Al}_2\text{O}_3\text{-SDBS}$ , (e)  $\text{TiO}_2\text{-anatase-SDS}$  (f)  $\text{TiO}_2\text{-anatase-CTAB}$ , at  $\varphi = 0.1\%$ .

**Table 5: Implication of zeta potential on stability [268]**

Zeta potential ( $\pm$ mV)	Stability behavior of the colloid
0 to 5	No stability, rapid agglomeration occurs
10 to 30	Limited stability; particles settle down slowly
30 to 40	Moderate stability
40 to 60	Good stability; yet some possibility of settling
more than 60	Excellent stability, little settling likely

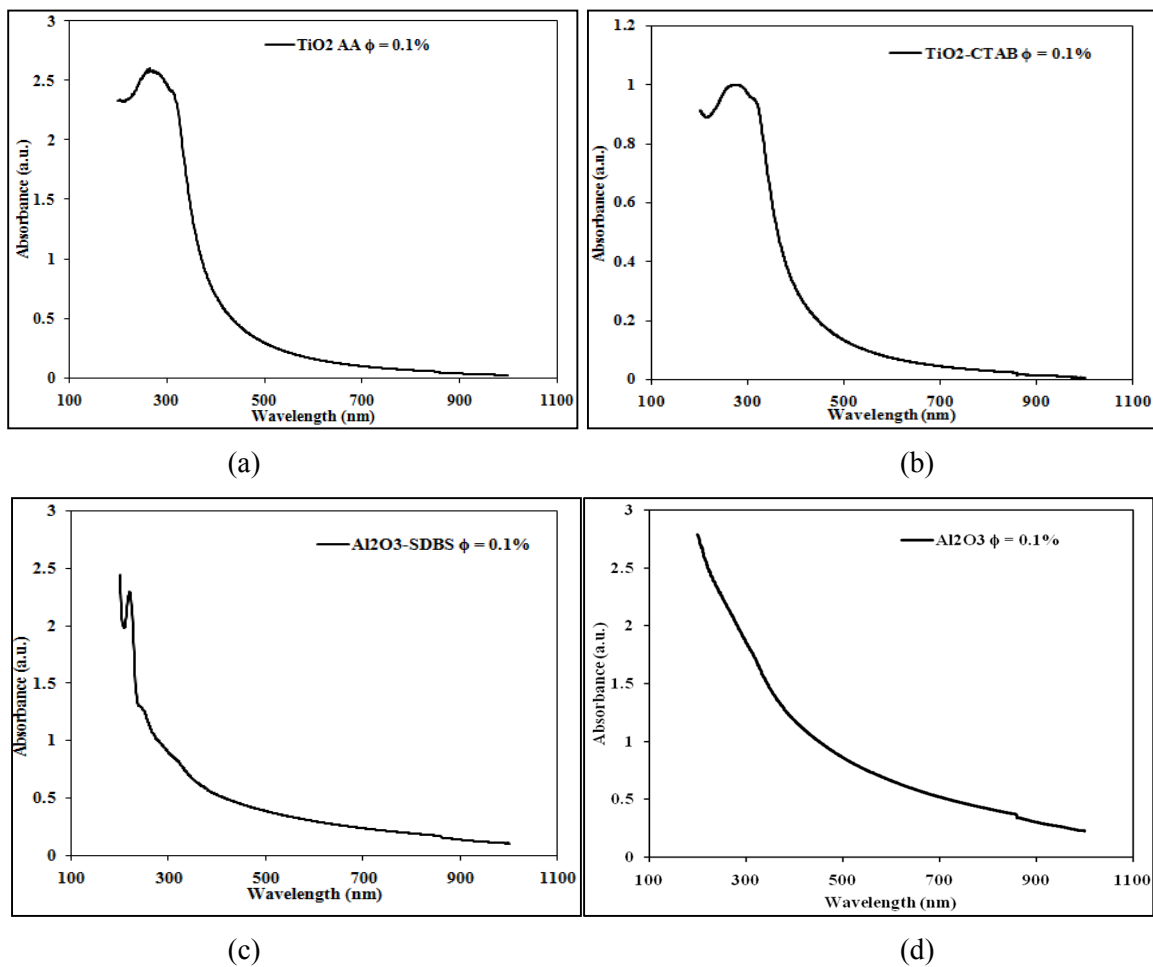
Besides, ultraviolet-visible spectroscopy (UV-Vis) was used to quantitatively determine the stability of the nanofluid. UV-Vis refers to absorption or reflectance spectroscopy in the ultraviolet-visible spectral region. This is the measurement of attenuation of light after it passes through a sample or after reflection from a sample surface. A beam of light from a visible or UV light source is separated into its component wavelengths by a prism or diffraction grating. The measurements were performed by pouring the nanofluid sample in a cuvette cell through which electromagnetic radiation was passed. This cuvette had two highly polished faces to minimize reflection and scatter losses. The other two faces were transparent to allow incident light beam. After preparation, a sample was drawn from prepared nanofluid, and then, was poured into the cuvette for testing. The spectral transmittance of nanofluid dispersions are typically measured by using a spectrophotometer, over a range of wavelengths from 200–1100 nm. The most common spectrophotometers are used in the ultra-violet ( $\lambda < 380$  nm) and visible ( $380 < \lambda < 780$  nm) ranges of the electromagnetic spectrum, and some could also operate in the near-infrared ( $780 < \lambda < 1500$  nm) region. The output of UV-Vis spectroscopy for any material bears a relation between absorbance of light and the incident wavelength. A Shimadzu UVPC-1601 UV-Visible Absorption Spectrophotometer of wavelength range (200 – 1100 nm), has been used for the spectral transmittance measurement. A schematic diagram of the relevant setup is shown in Figure 16.





**Figure 16** A schematic diagram of the UV-Visible spectroscopy.

The sample is placed in a quartz vial when a halogen light is passed through the monochromator. The probing light signal, generated from the monochromator (a combination of prism and grating system) is allowed to pass through the sample, and the transmitted light is picked up by a photodetector, and analysed.



**Figure 17** Figure shows the UV visible spectroscopy for (a)  $\text{TiO}_2$ -AA, (b)  $\text{TiO}_2$ -CTAB, (c)  $\text{Al}_2\text{O}_3$ -SDBS, (d)  $\text{Al}_2\text{O}_3$ -water-based nanofluids at  $\phi = 0.1\%$ .

Figure 17 shows the UV-visible spectroscopy data for (a) TiO<sub>2</sub>-AA, (b) TiO<sub>2</sub>-CTAB, (c) Al<sub>2</sub>O<sub>3</sub>-SDBS, (d) Al<sub>2</sub>O<sub>3</sub> water-based nanofluids at  $\varphi = 0.1$  % respectively. For TiO<sub>2</sub>-AA nanofluids the maximum amount of sample's absorption occurred in the wavelength range of 266 nm and this amount is equal to 2.598, as shown in Figure 17a. For TiO<sub>2</sub>-CTAB nanofluids the maximum amount of absorption from the sample occurred in the wavelength of 278 nm and this amount is equal to 0.999, (Figure 17b). Similarly, for Al<sub>2</sub>O<sub>3</sub>-SDBS nanofluids, the peak absorptions occurred in the wavelength of 200 nm and 218 nm (amounting to 2.44 and 2.28, respectively, shown in Figure 17c); for Al<sub>2</sub>O<sub>3</sub> water-based nanofluids the peak absorption (equal to 2.78) was 200 nm (see Figure 17d). From the figure it is identified that the strongest absorption band wavelength in the studied nanofluids lie in the ranges from 280–350 nm.

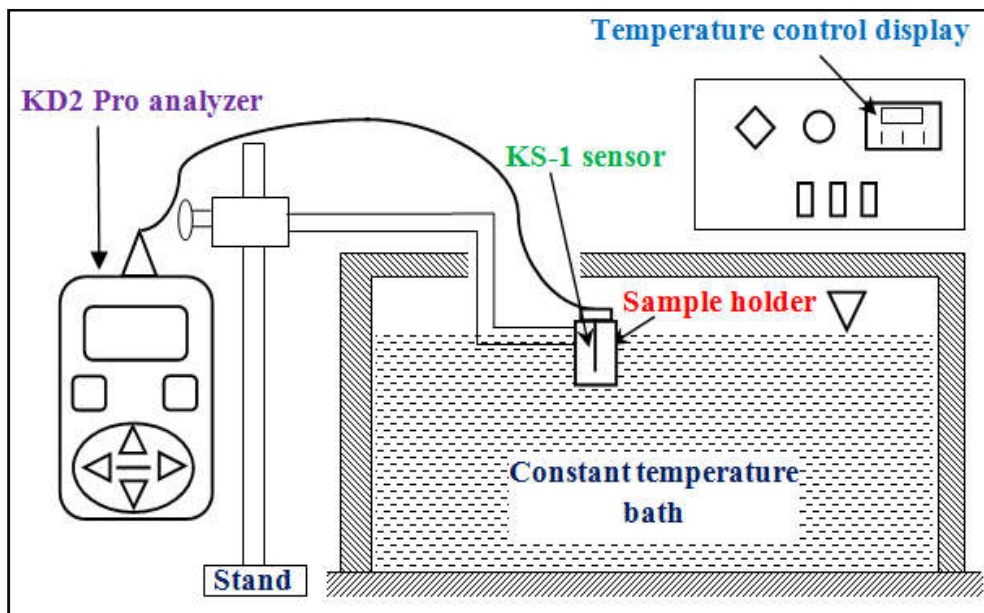
### 2.3. Property measurement techniques

The property measurements techniques included thermal conductivity, viscosity, surface tension, contact angle and pH of nanofluids described below.

#### 2.3.1. Measurement of thermal conductivity of nanofluids

Thermal conductivity (TC) of the TiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> (anatase) nanofluids, for different  $\varphi$  (0.1–2.0 %) and temperature (20–60 °C), was measured using a KD2 Pro thermal analyzer (Decagon Devices, WA, USA). A thermostatic bath (Model no.-CTB 07) was used to maintain the sample temperature (within a range of  $\pm 0.1$  °C of the set value). Figure 18 describes the experimental set-up for conductivity measurement. TC measurement was based on transient hot wire technique using a sensor (KS-1), which has an accuracy of  $\pm 5$  %. The thermal analyzer runs on battery, comes with a handheld microcontroller and sensor needles. During measurement, the 60 mm long and 1.3 mm diameter stainless-steel probe, which served both temperature sensor and line heat source, was fully immersed into the nanofluid sample, which was kept in a cylindrical glass container (25 mm diameter and 80 mm height). In order to obtain accurate results, the vessel and probe were maintained at a constant temperature for 10 minutes to reach equilibration before each measurement. The samples were maintained at different stipulated temperatures by immersing them in the constant temperature bath. Before analyzing the thermal characteristics of the prepared nanofluids, the sensor was

calibrated every time with the base liquid (water) and glycerine at a room temperature of 25 °C. The experimental set up of TC meter was placed on a horizontal plane with adequate vibration isolation (to avoid any vibration induced convection at the probe tip) to obtain accurate result. Thermal conductivity measurement at a given  $\phi$  and temperature lasted for 30–40 minutes (excluding the time taken for thermally equilibrating the thermostatic bath and the nanofluid sample), when 5 to 8 runs were taken (and the average values were reported). With every sample of nanofluid (i.e., at a given  $\phi$ ), measurements were also made at different sample temperatures. Measurements were performed at different temperatures and particle loadings of the nanofluids. For each sample, temperature was varied from 20–60 °C at increments of 5 °C.



**Figure 18** Schematic diagram of experimental setup used to measure the thermal conductivity of nanofluids.

An uncertainty analysis has been carried out by using the standard technique [269]. The contributing factors towards the overall uncertainty ( $W_{k,T}$ ) in the measured thermal conductivity are the individual uncertainties in measurement of particle volume fraction ( $w_\phi$ ), nanofluid temperature ( $w_T$ ), and the device uncertainty of the thermal conductivity meter itself ( $w_k$ ). Therefore,

$$W_{k,T} = \sqrt{\left(\frac{\partial k}{\partial \phi} w_\phi\right)^2 + \left(\frac{\partial k}{\partial T} w_T\right)^2 + (w_k)^2}, \quad (97)$$

where  $\frac{\partial k}{\partial \varphi}$  and  $\frac{\partial k}{\partial T}$  denotes the variation of thermal conductivity with respect to  $\varphi$  and temperature, respectively. The maximum uncertainty in measured thermal conductivity of the TiO<sub>2</sub>-AA, and TiO<sub>2</sub>-CTAB water nanofluid samples were found to be  $\pm 5.0$  %, for Al<sub>2</sub>O<sub>3</sub>-water nanofluid samples, with and without SDBS surfactant, were found to be  $\pm 5.0$  % and for TiO<sub>2</sub>-water nanofluid samples, SDS and CTAB surfactant, were found to be  $\pm 5.25$  % and  $\pm 5.21$  %, respectively.

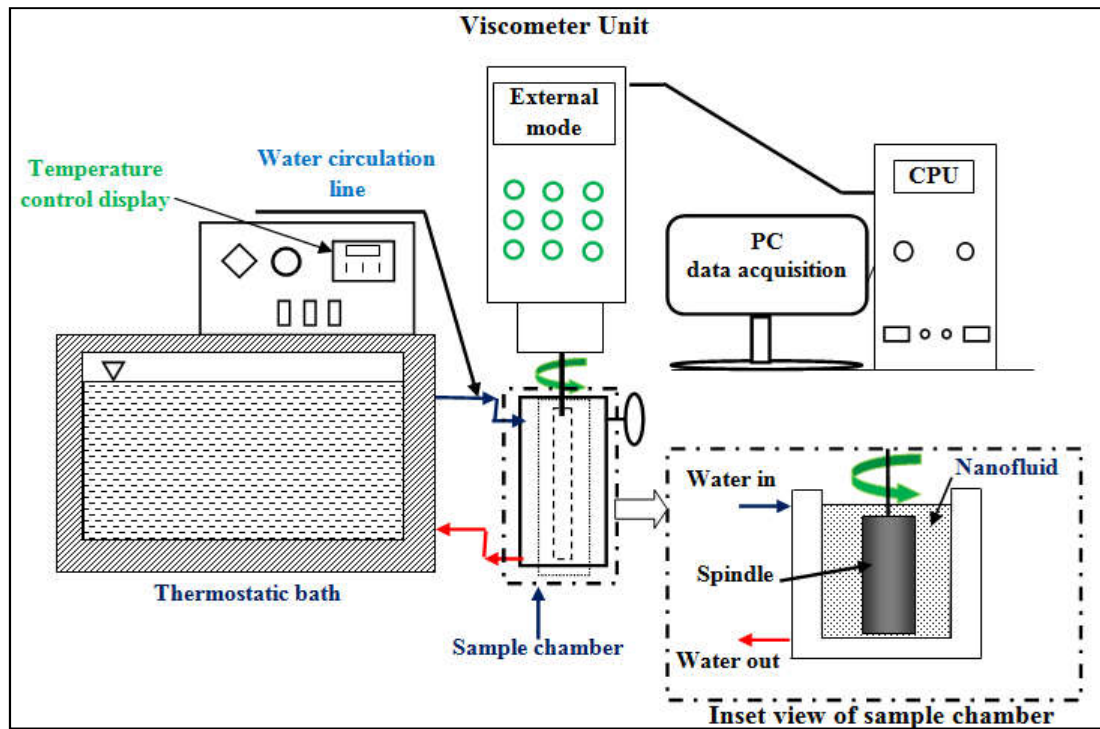
### **2.3.2. Measurement of viscosity of nanofluids**

A standard programmable viscometer (model DV-II + Pro, Brookfield Instruments) was used to measure the viscosity of the nanofluid samples. Figure 19 describes the experimental set-up of the viscometer. A spindle (S18, range of 1–2000 cp), was connected to the viscometer and inserted into the corresponding chamber, which contained a minimum of 16 ml of sample. The machine was connected to a computer through an RS232 cable, and RHEOCALC V3.3 software was used for data collection and storage. Measurements were performed at different temperatures and particle loadings of the nanofluids. For each sample, temperature was varied from 20–60 °C at increments of 5 °C while the measurements were taken at a fixed shear rate of 122.3 s<sup>-1</sup> and the precisions of temperatures were maintained in the range of  $\pm 0.5$  °C. The same thermostatic bath (used in TC measurement) was attached to the viscometer cup to maintain the temperature within  $\pm 0.1$  °C of the set value. For each sample, temperature was varied at increments of 5 °C, the viscosity and shear stress data of all samples were measured within a shear rate range of 12–232 s<sup>-1</sup>, while the spindle rotation was 10–190 rpm. Speed of the viscometer was changed by 10 RPM (12.23 s<sup>-1</sup> shear rate) and it was held for 30 s at each speed. The experiments were conducted at least ten times for generating the datasets for the analysis. Similar to the case of thermal conductivity (see Eq. (97)), an uncertainty analysis in measurement of viscosity data has also been carried out.

### **2.3.3. Measurement of pH of nanofluids**

pH of the nanofluids at different temperatures and  $\varphi$  was measured using a pH meter (Sartorius Mechatronics, Model no. PT-10). A beaker containing the nanofluid was placed on a hot plate with magnetic stirrer and covered with Teflon tape. The magnetic stirrer helps to disperse the nanoparticles uniformly, leading to consistency in reading. The temperature probe of the pH meter

was immersed to a depth of 3 cm in the nanofluid for consistent result. While immersed in the nanofluid, the pH probe measures the pH and the temperature probe measures the temperature of nanofluid. The time for each 5°C rise of the sample temperature was controlled to be within 2 to 3 min. The accuracy of the pH meter specified by the manufacturer is  $\pm 0.002\%$  of the reading.



**Figure 19** Schematic diagram for viscosity measurement set-up.

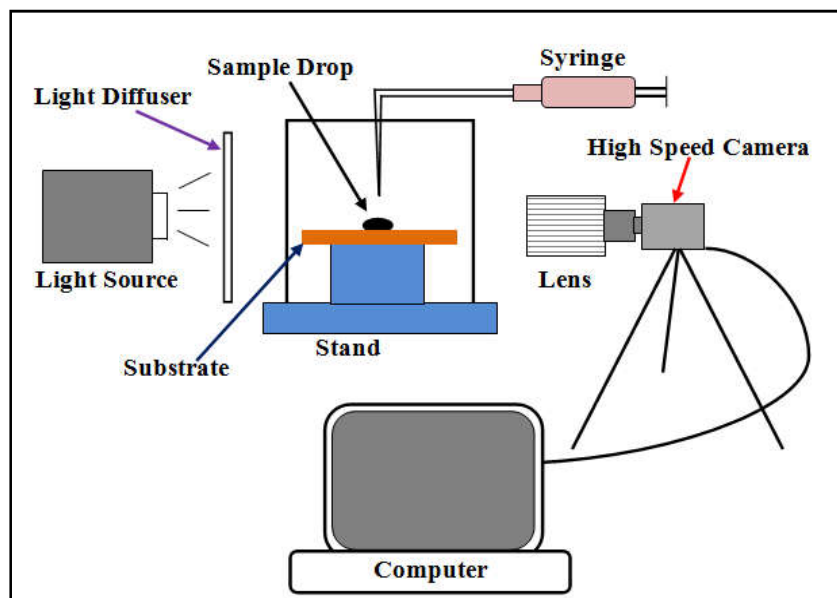
#### 2.3.4. Measurement of surface tension and contact angle of nanofluids

Effects of  $\phi$  and temperature on the surface tension of the nanofluids were measured by using a platinum ring type tensiometer (Jencon India). The tensiometer was calibrated against distilled water. The platinum ring was thoroughly cleaned and dried before each measurement. Surface tensions of the nanofluids were measured to characterize the surface properties nanofluids. Du-nouy ring method is a popular, simple and reliable method of measuring the interfacial tension between two liquids [270, 271]. Surface tension of TiO<sub>2</sub>-AA, TiO<sub>2</sub>-CTAB, Al<sub>2</sub>O<sub>3</sub>-water, and Al<sub>2</sub>O<sub>3</sub>-SDBS based nanofluids were measured by using platinum ring type tensiometer for different  $\phi$  (0.1–2.0 %), at a constant temperature. In the Du-nouy ring method, a surface tensiometer is used, and the tensiometer is placed horizontally on a vibration-less table. A very sensitive thin platinum ring is used for the

tensiometer. The ring is placed near the surface of the liquid sample, and an upward force is exerted on the ring. At equilibrium, the upward force is balanced with the surface tension applied on the ring by the liquid which gives the measurement of surface tension of the liquid. Because of the movement of the ring during the measurement, this method can be quasi-static in nature which may result incorrect measurement in surface tension. Therefore, it is required that the movement of ring is restrained. The ring is made of inert material of almost null mass so that to alleviate the effects of gravity. Before each measurement the tensiometer was calibrated against the base fluid, the platinum ring was thoroughly cleaned and dried. For each sample, 4 to 6 measurements were taken at a constant temperature and the corresponding average value was recorded.

Contact angle was measured from sessile droplets by using the principle of a standard direct optical Goniometer. In this set-up a droplet is gently put on a smooth mirror-finish homogeneous substrate. The images of the corresponding droplets on the surfaces are then analyzed to measure its contact angle with the surface. The surface must be horizontal in order to avoid hysteresis and to ensure this, the experiment was conducted on a horizontal and vibration-less table top. An image of the droplet on the surface is taken using a high resolution high speed camera (CMOS camera, Model: Basler acA2000–340kc), having a full pixel resolution of  $2046 \times 1086$  at a frame rate of 340 fps. In this experiment, we have used a hydrophilic glass substrate to measure the contact angle and consider that the surface is entirely clean. The sessile drop profile was captured by the high-speed camera and then was analyzed using two similar algorithms: Low-Bond Axisymmetric Drop Shape Analysis and Drop-snake. Drop-snake and LBADSA are available as simple plugins of ImageJ (Java coded) to plot the boundary of a sessile droplet with appreciable accuracy. Drop-snake, a method based on B-spline snakes (active contours) to shape the drop is more mathematical, While LBADSA method, which follows the Young Laplace equation is used in this particular series of analysis of sessile drops. Following the Young Laplace equation to trace out the droplet boundary by adjusting the radii of curvature with the image of sessile drop conveniently provides the contact angle. However, it is obvious that in the sessile drop analysis all the combinations of interacting elements namely the solid (the surface), liquid and the vapour (air) affect the contact angle, i.e. the drop shape.

Therefore, Dupre's equation is required to accurately determine the surface tension of the unknown liquid in this analysis. Finally, Young-Drupe's equation was implemented for verification of our experiment. This method is called the direct optical method which has its advantages: it is fairly simple and only small quantities of fluid (a few microlitres) and small surface substrates (a few square mm) are required. Also, it must be mentioned that there is an impact of impurities due to the small size of the liquid and substrate. The direct optical method fundamentally relies on observing the tangent of a curve optically and therefore is prone to errors in the observations. So, a background light along with a diffuser screen was used to assist observation, while a cold light source was selected to avoid undesired heating of the liquid or substrate. A micrometer syringe with a narrow-gauge stainless steel and Teflon needle was used to slowly create a droplet of around 4-5 mm diameter. The needle was very close to the surface of substrate where the drop fell in order to avoid undesired vibrations and also, the needle opening was small enough to prevent the drop from getting distorted forcibly. Also, the imaging device only focuses on the largest meridian section of the sessile drop, which means the profile image reflects only the contact angle at the point in which the meridian plane intersects the three-phase line. Contact angle measurements were made inside an enclosed chamber to avoid airborne contamination, external forces due to flowing air and establish equilibrium vapour pressure of the liquid tested. Figure 20 shows the experimental setup for contact angle measurement.



**Figure 20** Schematic diagram of the contact angle measurement setup.

## **CHAPTER 3**



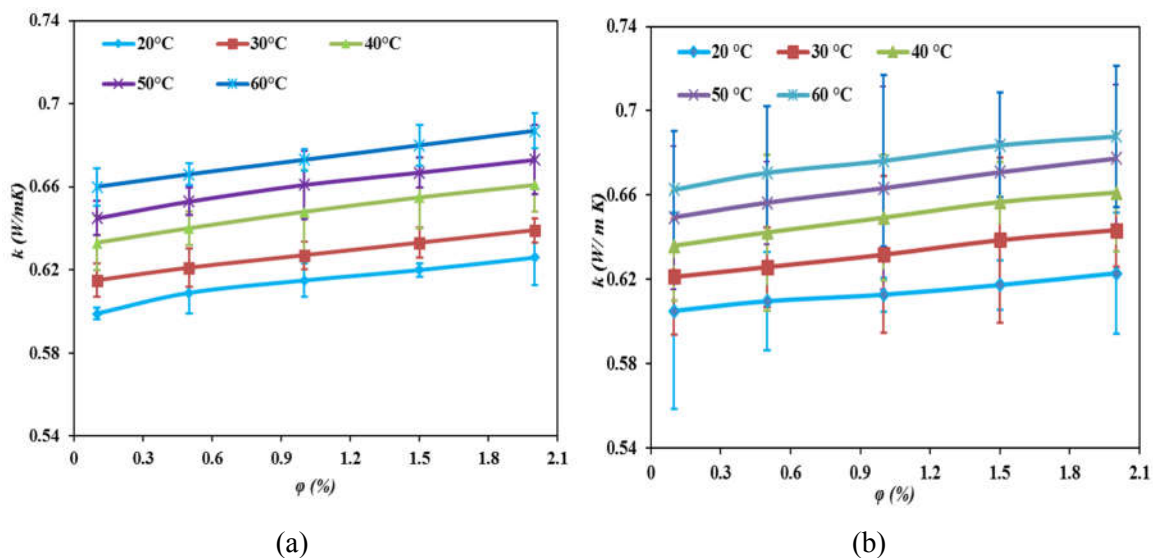
### 3. Thermophysical properties of nanofluids

The salient thermophysical properties of the  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  nanofluids synthesised following the methods described in chapter 2 are reported here. A comprehensive characterization of thermal conductivity, viscosity, surface tension, contact angle and pH of nanofluids have been provided for each type of nanoparticle and surfactant. The properties of nanofluids were measured at different temperature (20–60 °C) and solid volume fraction ( $\varphi = 0.1\text{--}2.0\%$ ).

#### 3.1. Thermal conductivity of nanofluids

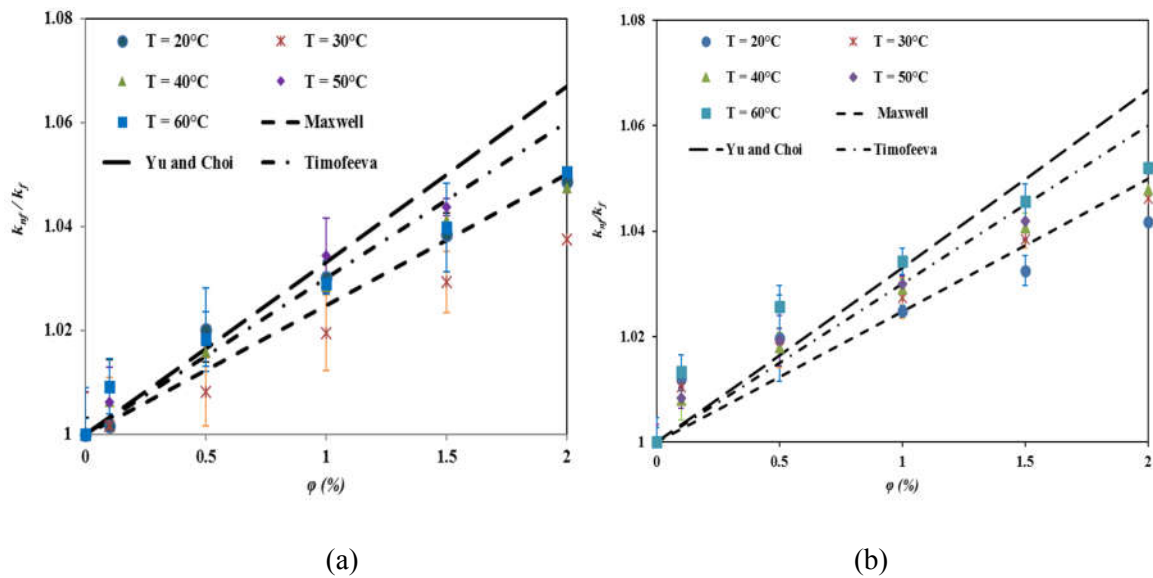
##### 3.1.1. $\text{TiO}_2$ nanofluids

In order to estimate the heat transfer enhancement upon use of the nanofluid, thermal conductivity of  $\text{TiO}_2$ -water nanofluid was measured at different temperatures (20–60 °C) and  $\varphi$  (0.1–2.0 %). Figure 21a and Figure 21b shows the variation of thermal conductivity with  $\varphi$  at different temperature for AA-stabilized nanofluid and CTAB-stabilized nanofluid respectively. Minimum ten numbers of runs have been taken to calculate the standard deviation, for plotting the error bar. The result shows that the thermal conductivity of the nanofluid increases with increase in  $\varphi$  and temperature. The enhanced effect of temperature on thermal conductivity may be attributed to the increased intensity of the particle Brownian motion with the increase of temperature and clustering of nanoparticles [64]. The van der Waals force and electrostatic force also play important role.



**Figure 21** Variation of thermal conductivity with  $\varphi$  for (a) AA-stabilized, and (b) CTAB-stabilized  $\text{TiO}_2$ -water nanofluid at different temperature.

Figure 22a and Figure 22b shows the thermal conductivity ratio (the ratio of effective thermal conductivity of the nanofluid to that of water) of AA-stabilized nanofluids and CTAB-stabilized as function of  $\phi$  at different temperatures (viz., 20, 30, 40, 50 and 60 °C) respectively, and compares the observed thermal conductivity values with those predicted from three well-known thermal conductivity models for nanofluids. The three models, viz., Maxwell [49], Timofeeva et. al. [83] and Yu and Choi [134] describe the thermal conductivity of nanofluids as function of  $\phi$  shown in Eq. (1), Eq. (22), and Eq. (5), respectively. In Eq. (5),  $\beta$  denotes the ratio of the nano-layer thickness to the original particle radius. Normally,  $\beta = 0.1$  is used to calculate the thermal conductivity of nanofluid [134]. It is observed that for the temperature range and  $\phi$  reported in Figure 22a and Figure 22b, the models proposed by Maxwell (Eq. 1), Timofeeva (Eq. 22) confirms to the experimental observation, while the Yu and Choi model (Eq. 5) slightly overpredicts the thermal conductivity values. This may be attributed to the departure of the assumed  $\beta$  from the actual one. This is in contrast to the observation of Yu and Choi [134], who found their model largely underpredicts the thermal conductivity of surfacted (ternary) nanofluid suspension systems. This anomaly may be attributed to the difference in surface chemistry of the nanoparticles in the surfacted host fluid.

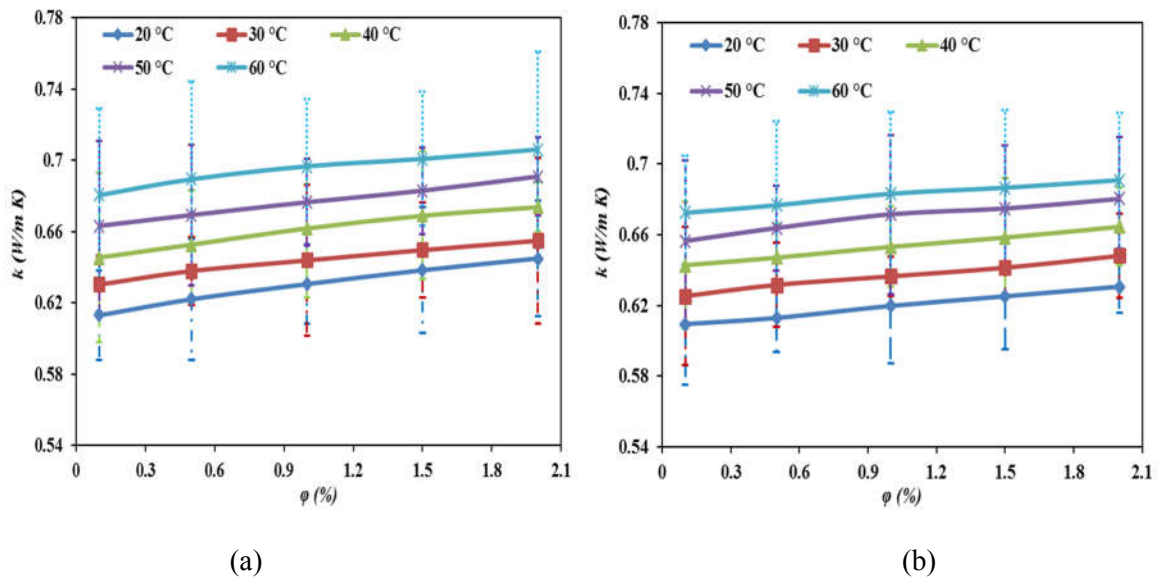


**Figure 22** Thermal conductivity ratio of  $\text{TiO}_2$  nanofluids (a) AA-stabilized and (b) CTAB-stabilized, plotted against  $\phi$  at different temperatures; the trend compares with well existing thermal conductivity models for nanofluids.

### 3.1.2. Al<sub>2</sub>O<sub>3</sub> nanofluids

Thermal conductivity of Al<sub>2</sub>O<sub>3</sub> nanofluid was measured at different temperatures (20–60 °C) and  $\varphi = 0.1\text{--}2.0\%$ . Figure 23a and Figure 23b shows the variation of thermal conductivity with  $\varphi$ , at different temperatures, for Al<sub>2</sub>O<sub>3</sub>-water nanofluid samples without surfactant and with SDBS surfactant stabilization. Thermal conductivity measurements for each  $\varphi$  and at each temperature was repeated 5 to 8 times; the largest standard deviation in thermal conductivity data was found to be  $\pm 0.047\text{ W/m}\cdot\text{K}$ . Result shows that thermal conductivity of nanofluid increases with the temperature as well as  $\varphi$ . The enhancing effect of temperature on thermal conductivity may be attributed to the increased intensity of the Brownian motion of nanoparticles with the increase of temperature. Microscopic motion of suspended nanoparticles is due to Brownian, van der Waals, and electrostatic forces also plays significant role. Nanofluids exhibit higher thermal conductivity than their base fluids even at very low  $\varphi$  of suspended nanoparticles. Thermal conductivity of liquids enhances due to both static and dynamic factors [272]. Brownian motion is caused by the random bombardment of liquid molecules, causing the particles to randomly move through the liquid, thereby enabling stronger transport of heat. This translates into an enhanced effective thermal conductivity. When the size of the nanoparticles in a nanofluid becomes less than the phonon mean-free path, phonons no longer diffuse across the nanoparticle but move ballistically without any scattering [272]. However, the mechanism responsible for ballistic phonon transport to be more effective than a very-fast diffusion phonon transport is still nebulous, falling short of explaining the anomalously high thermal conductivity of nanofluids at low  $\varphi$  [272]. Jang and Choi [129] also explained the role of Brownian motion in enhancing the thermal conductivity of nanofluids and attributed it to the collision between nanoparticles. As can be seen from Figure 23, the average value of thermal conductivity of non-surfacted Al<sub>2</sub>O<sub>3</sub>-water nanofluid at each temperature and  $\varphi$  is higher than that of SDBS-stabilized nanofluid. Although this is in line with prior findings that the thermal conductivity of SDBS-water mixture decreases with the increasing SDBS concentration [273] – the dispersants effectively suppress the thermal conductivity of the base fluid [274]. The result is somewhat inconclusive as the

difference in TC (less than 2 %) is smaller than the uncertainty of TC prediction (5 %). Error bars in Figure 23 show the standard deviation of thermal conductivity of data.



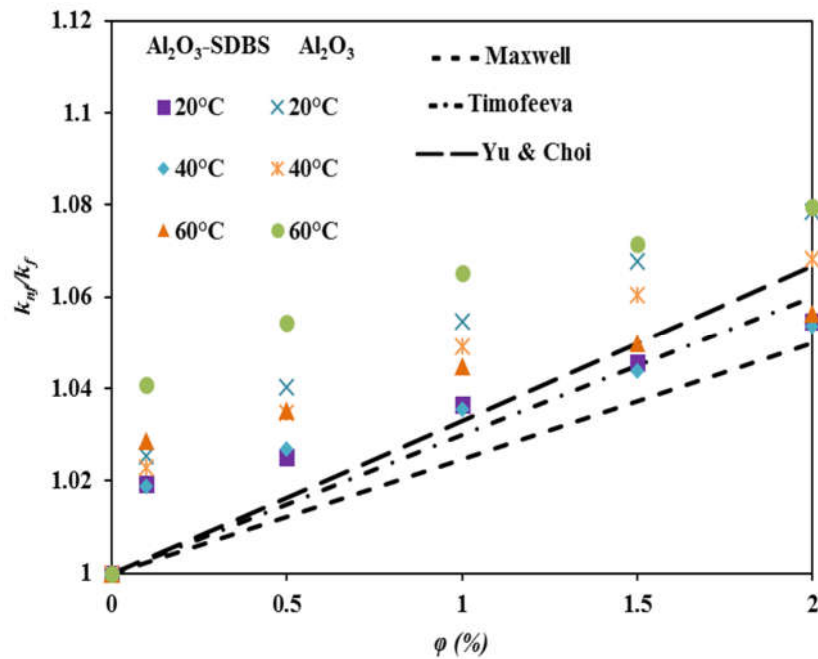
**Figure 23** Variation of thermal conductivity with  $\phi$  at different temperatures for  $\text{Al}_2\text{O}_3$ -water nanofluids (a) without surfactant, and (b) with sodium dodecylbenzenesulfonate (SDBS) surfactant.

Figure 24 shows the thermal conductivity ratio (the ratio of effective thermal conductivity of the nanofluid to that of the base fluid), i.e.,  $(k_{nf}/k_f)$  of the  $\text{Al}_2\text{O}_3$ -water nanofluids, both without and with the surfactant (SDBS), as function of  $\phi$  at various temperatures (viz., 20, 40 and 60 °C). The measured thermal conductivity of nanofluids was used for comparison with those obtained from the existing correlations proposed by Maxwell [49], Timofeeva et. al. [83] and Yu and Choi [134], respectively, which describe that the thermal conductivity of nanofluids as functions of  $\phi$ . It is observed that for the temperature range and  $\phi$  reported in Figure 24, the models proposed by Maxwell (Eq. 1), Timofeeva (Eq. 22), and Yu and Choi (Eq. 5) underpredicts the thermal conductivity values, particularly at low values of  $\phi$ . Several factors may be attributed to this observed enhancement of thermal conductivity of the tested nanofluid samples. For example, the size and clustering of the particles, the favourable influence of the nano-layer between the nanoparticles and base fluids, or even the difference in surface chemistry of the nanoparticles in the surfactant host fluid. These factors have been considered in the model proposed by Patel et al. [148], since it accounts for the Brownian

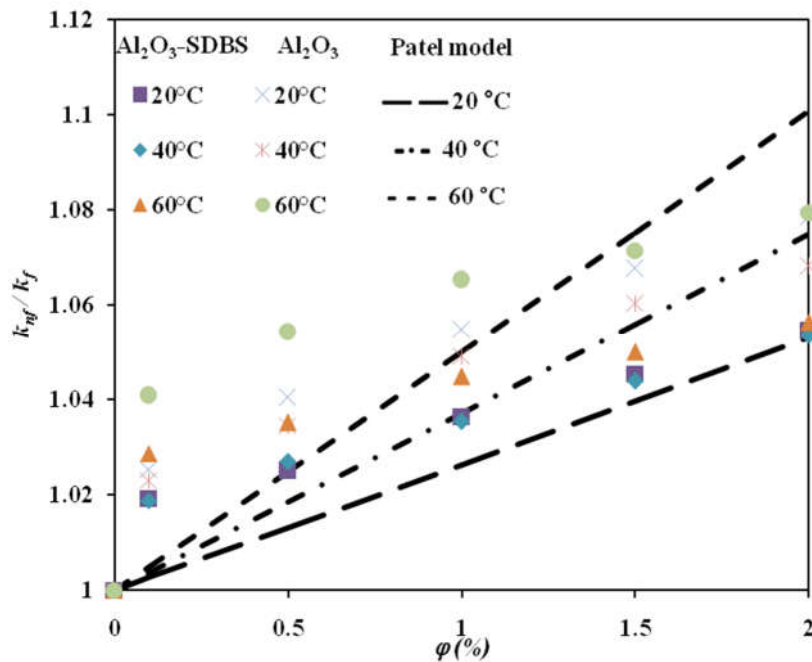
velocity of the nanoparticles, as described in Eq. (24). Thus, unlike the models of Maxwell [49], Timofeeva [83] and Yu & Choi [134], Patel model implicitly accounts for temperature dependence of the nanofluid thermal conductivity. In this Eq. (24) the factor  $C$  was determined from the experimental data. Figure 25 shows the variation of thermal conductivity ratio ( $k_{nf}/k_f$ ) of Al<sub>2</sub>O<sub>3</sub>-water and Al<sub>2</sub>O<sub>3</sub>-SDBS water nanofluids as a function of  $\phi$  at different temperatures (viz., 20, 40 and 60 °C). The experimental values of thermal conductivity ratio for  $\phi > 1.0$  % are well predicted by Patel model [148] for  $C = 25,000$ . However, even this model underpredicts the  $k_{nf}/k_f$  ratio for the lower range of  $\phi$  investigated here. A more comprehensive model that takes into account the influence of surfactant and particle size would require consideration of a larger spectrum of parametric study, involving particles of different size and shape, nature of surfactant, and host fluid, which is beyond the scope of current work.

The predicted models have considered only solid volume fraction in the evaluation of thermal conductivity, and have ignored the influence of other parameters like the effects of particle size and shape, and the molecular-level liquid layering on the bare and/or non-surfacted particles. This may have led to the difference in the observed results from the prevalent models. Several factors may be attributed to this observed enhancement of thermal conductivity of the tested nanofluid samples. For example, the size and clustering of the particles, the favourable influence of the nano-layer between the nanoparticles and base fluids, or even the difference in surface chemistry of the nanoparticles in the surfacted host fluid. As already mentioned before, the thermal conductivity of nanofluid is influenced both by the static (contribution due to higher intrinsic thermal conductivity of the nanoparticles) as well as the dynamic (Brownian transport) factors [275]. Therefore, the enhanced thermal conductivity at low  $\phi$  be attributed to the dynamic factors. Dynamic effect becomes more tangible at very low nanoparticle volume fractions, when the phonon mean-free path in the nanofluid exceeds the nanoparticle size, and the phonons, instead of diffusing across the nanoparticles, move ballistically without scattering [129]. Nevertheless, the exact mechanism of how ballistic phonon transport supersedes diffusion phonon transport is still not well defined, and hence, the above

hypothesis only qualitatively explains the high thermal conductivity of nanofluids at low concentrations [129].



**Figure 24** Variation of thermal conductivity ratio with  $\phi$ , both without (marked as Al<sub>2</sub>O<sub>3</sub>) and with (marked as Al<sub>2</sub>O<sub>3</sub>-SDBS) surfactant, at different temperatures; existing thermal conductivity models underpredicts the  $k_{nf}/k_f$  ratio, particularly at low  $\phi$ .



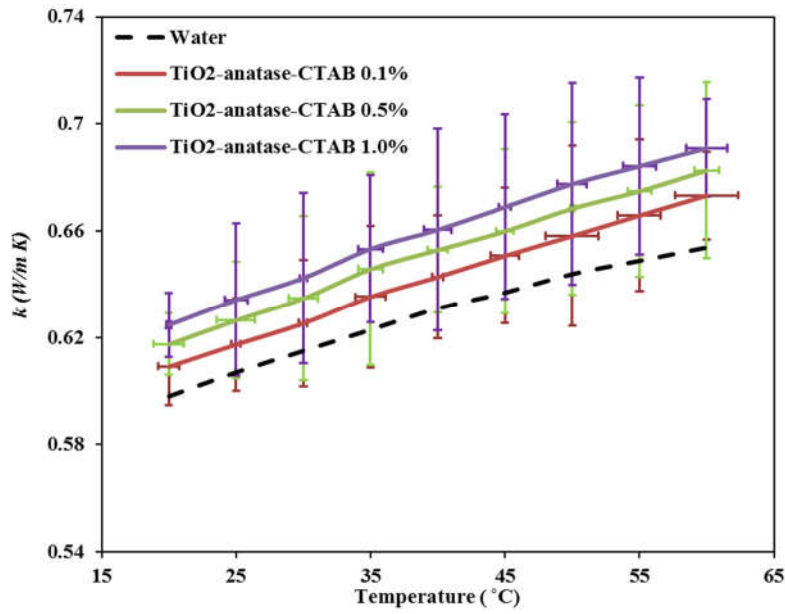
**Figure 25** Variation of thermal conductivity ratio with  $\phi$ , both without (marked as Al<sub>2</sub>O<sub>3</sub>) and with (marked as Al<sub>2</sub>O<sub>3</sub>-SDBS) surfactant, at different temperatures for Patel model [148], ( $C = 25000$ ).

### 3.1.3. TiO<sub>2</sub>-anatase nanofluids

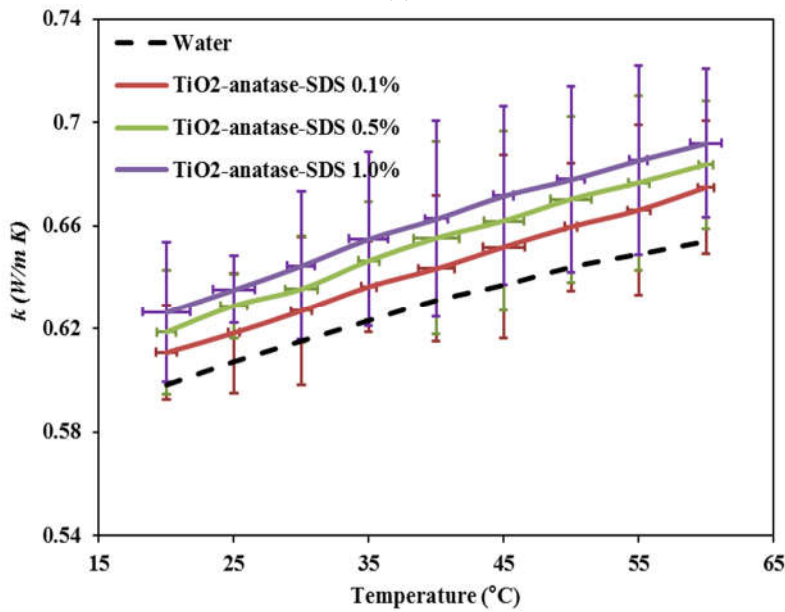
Thermal conductivity of the TiO<sub>2</sub> (anatase) nanofluid was measured at different temperatures (20–60 °C) and  $\varphi$  (0.1–1.0 %). Figure 26a and Figure 26b shows the variation of thermal conductivity with temperature at different  $\varphi$ , for SDS- and CTAB-surfacted nanofluids, respectively; the measured thermal conductivity of nanofluid is also compared with that of the base fluid [276]. It is observed that an increase in either temperature or  $\varphi$  results in an increase of the thermal conductivity of the nanofluid. The enhanced effect of temperature on thermal conductivity attributed to the increased intensity of the Brownian motion of nanoparticles with the increase of temperature, microscopic motion of suspended nanoparticles due to Brownian. Figure 26 clearly shows that the thermal conductivity of the nanofluid exceeds that of the base fluid (water) even at very low  $\varphi$  of suspended nanoparticles. This increase is attributed to the static (contribution due to higher intrinsic thermal conductivity of the nanoparticles) as well as the dynamic (Brownian transport) factors [272]. At least 5–8 runs were taken to plot both the vertical and horizontal error bars, which denote the standard deviation of thermal conductivity of data, in Figure 26. It can be seen from the figure that the value of thermal conductivity of TiO<sub>2</sub>-SDS-water nanofluid is higher than that of CTAB stabilized TiO<sub>2</sub>-water nanofluid for all temperature and  $\varphi$ .

Figure 27 shows the thermal conductivity ratio of anatase-SDS and anatase-CTAB nanofluids as a function of  $\varphi$  at two different temperatures, viz., 30 and 40 °C, and compares the observed thermal conductivity values with the well-known thermal conductivity models for nanofluids. In 1881, Maxwell [49] derived an expression of effective thermal conductivity for two-phase solid-liquid mixtures given by Eq. (1). The model satisfactorily predicts the thermal conductivity  $k_{nf}$  of the nanofluid in terms of the thermal conductivities of the base fluid ( $k_f$ ) and the particles ( $k_p$ ) for spherical particles at low  $\varphi$  and ambient conditions. More recently, Patel et al. [148] developed a model shown in Eq. (24) for thermal conductivities of nanofluids by taking into account the specific surface area and Brownian motion of nanoparticles, in addition to particle concentration. The relative variation of thermal conductivity ratio with  $\varphi$ , i.e.,  $\left[ \frac{\partial}{\partial \varphi} \left( \frac{k_{nf}}{k_f} \right) \right]_T$  match well between the two models

described by Eq. (1) and (24). However, the conductivity ratio at low particle loading shows a sudden jump, and both the models underpredicts the  $\frac{k_{nf}}{k_f}$  ratio for all  $\varphi$  (see the vertical shift in the experimental plots from the model predictions in Figure 27). Clearly, the Maxwell model does not take into consideration the dynamic factor, while the Patel model underpredicts the same (see Figure 27). The difference between the experimentally observed  $k_{nf}/k_f$  values and those predicted from the two models can be attributed to the limitations in accounting for the correct dynamic factor.



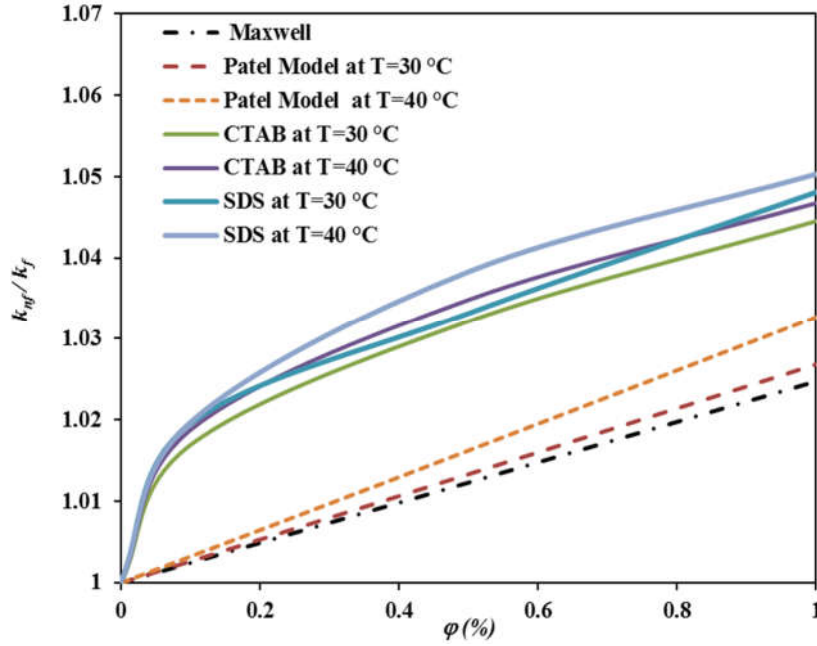
(a)



(b)

**Figure 26** Variation of thermal conductivity with temperature for (a) SDS- and (b) CTAB- stabilized anatase-water nanofluids at different  $\varphi$ .





**Figure 27** Variation of thermal conductivity ratio of CTAB- and SDS-stabilized anatase-water nanofluids with  $\phi$  at different temperatures and comparison with existing thermal conductivity models.

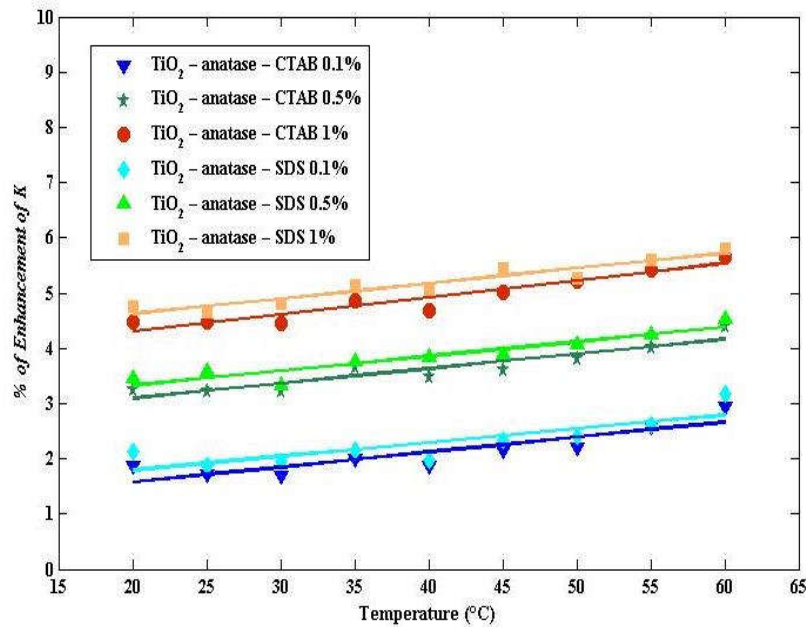
Figure 28 shows the percentage of thermal conductivity enhancement for SDS and CTAB based  $\text{TiO}_2$ -anatase nanofluids at a temperature range of 20–60 °C. The enhancement of thermal conductivity was estimated from the measured thermal conductivity data as follows:

$$\text{Enhancement} = \frac{(k_{nf} - k_f)}{k_f} \times 100, \quad (98)$$

where  $k_{nf}$  and  $k_f$  are the thermal conductivities of nanofluid and water, respectively. From the Figure 28, it was observed that the thermal conductivity enhancement increased with temperature and  $\phi$ . At  $\phi = 1.0\%$  and  $T = 60\text{ °C}$ , a maximum 5.8 % of thermal conductivity enhancement was found for SDS-surfacted nanofluids, which is slightly greater than that exhibited by the CTAB-surfacted nanofluids (enhancement of 5.4 %). For both SDS- and CTAB- surfacted nanofluids, the enhancement shows almost linear relationship with temperature. The possible explanation of an anomalous increase in the thermal conductivity are the effects of interfacial layer, Brownian motion, nanoparticles clustering, particle aggregation and the nature of heat transport in nanoparticles.

In Figure 26, it is seen that the thermal conductivity of water increases linearly in the range 20 °C to 40 °C and then increases at a somewhat reduced rate. However, the thermal conductivity of the

nanofluids with 0.1 % anatase increases almost linearly all through the measured temperature range. This results in a nearly constant enhancement in thermal conductivity, compared to neat base liquid, at low temperatures seen in Figure 27. As the temperature increases, the surface energy of the particles reduces and hence, agglomerated particles are separated which in turn increases Brownian motion of the suspended particles. Their collision with the fast-moving atoms or molecules increases the effective thermal conductivity of nanofluid [50, 277]. This compensates for the slight reduction in  $(\partial k/\partial T)$  for pure water at the higher temperature range (as observed in Figure 26) and results in nearly linear rise of  $k_{nf}$  with temperature.

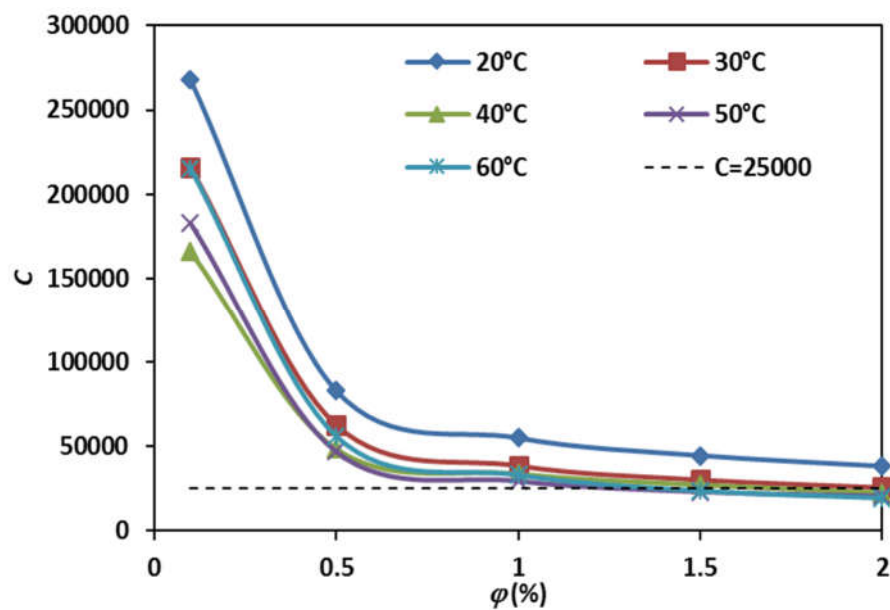


**Figure 28** Variation of % enhancement of  $k_{nf}$  with temperature for different nanofluids.

### 3.1.4. Consolidation with existing correlation

In the previous section, the thermal conductivities of different nanofluids were compared with standard models; while it was observed that the results mapped well with the models at some values of  $\phi$ , the properties differed significantly at other  $\phi$ . For example, for Al<sub>2</sub>O<sub>3</sub>-water and Al<sub>2</sub>O<sub>3</sub>-SDBS-water nanofluids, the experimental values of thermal conductivity ratio for  $\phi > 1.0\%$  were well-predicted by Patel model [148] for  $C = 25,000$ . However, this model underpredicts the  $k_{nf}/k_f$  ratio for the lower range of  $\phi$  investigated here. The plot shown in Figure 25 following Patel model did consider  $C = 25,000$  for  $\phi > 1.0\%$ . However, fitting the experimentally observed values of  $k_{nf}/k_f$

ratios to Patel model for lower range of  $\phi$  would warrant a different value of  $C$ . For example, Figure 29 shows a variation of  $C$  as function of  $\phi$  for different temperatures for the non-surfacted  $\text{Al}_2\text{O}_3$ -water nanofluid. A more comprehensive model that takes in to account the influence of surfactant and particle size would require consideration a larger spectrum of parametric study, involving particles of different size and shape, nature of surfactant, and host fluid, which is beyond the scope of current work.



**Figure 29** Variation of the empirical parameter  $C$  as a function of  $\phi$  for the best fit of the  $k_{nf}/k_f$  ratio data for the non-surfacted  $\text{Al}_2\text{O}_3$  nanofluid. The  $C = 25000$  value assumed in the Patel model matches best at high temperature and  $\phi$  values.

### 3.2. Viscosity of nanofluids

#### 3.2.1. $\text{TiO}_2$ nanofluids

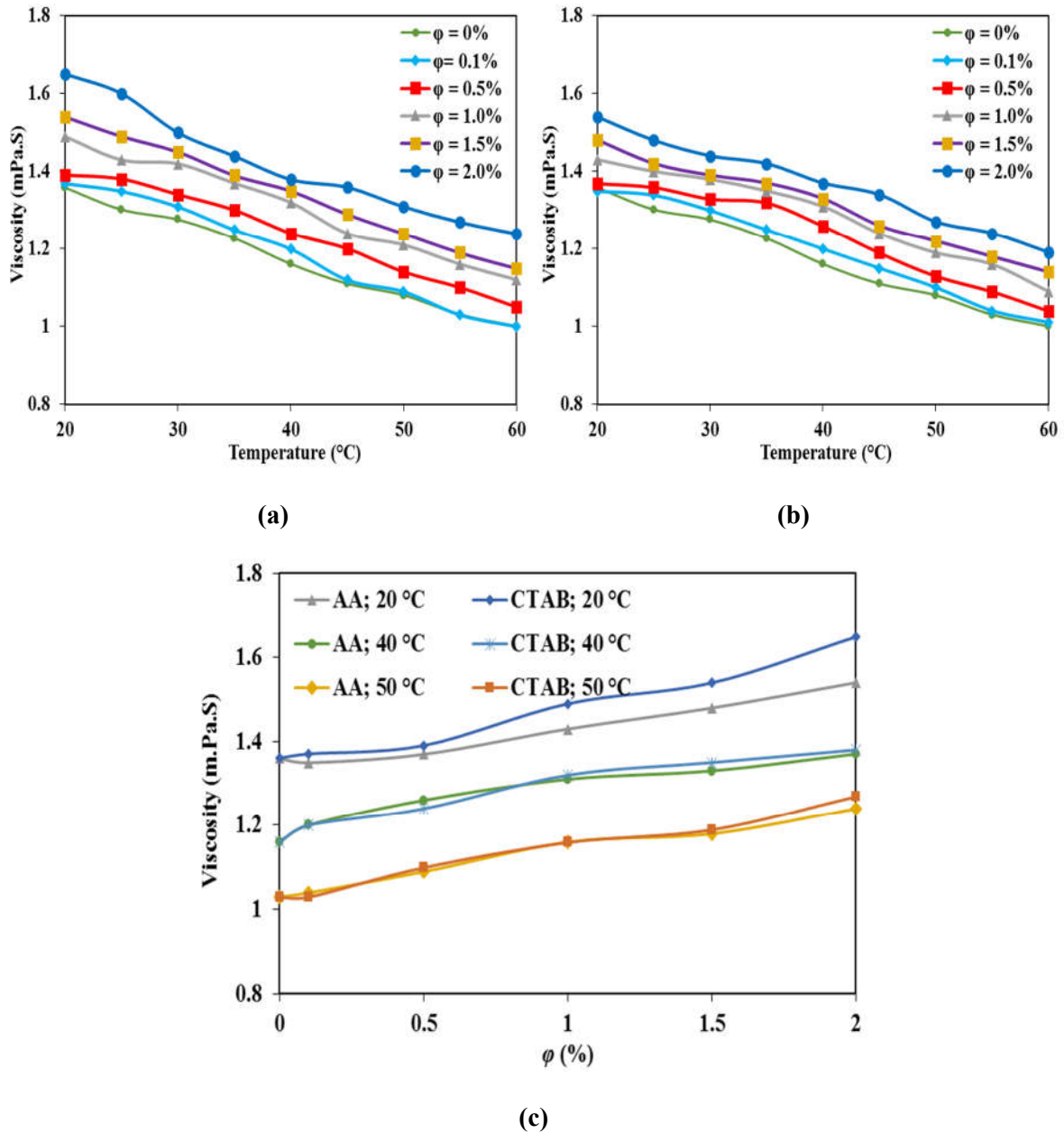
Figure 30 (a) and (b) indicates the variation of viscosity of the CTAB-stabilized and AA-stabilized  $\text{TiO}_2$ -water nanofluids, respectively, as functions of  $\phi$  and temperature. The results show that the viscosity of nanofluid decreases with increase of temperature and, at the same time, increases with the  $\phi$ . The nanofluid viscosities at  $\phi = 2.0\%$  for CTAB-stabilization and AA-stabilization are found to be 1.65 mPa.S and 1.54 mPa.S, respectively. It may be inferred that this difference is due to the effect of surfactants. Figure 30 (c) compares the viscosity (as function of  $\phi$ ) of the CTAB- and AA-stabilized nanofluids at temperatures of 20, 40 and 50 °C. At 20 °C, CTAB-stabilized nanofluids

exhibit higher viscosity than its AA-stabilized counterpart and their difference increases with  $\phi$ . However, at higher temperature this difference is not prominent and the choice of surfactant (between CTAB and AA) has negligible reflection in the pumping power requirement in a practical application. It is important to note that this difference in viscosities at various  $\phi$  decreases with increase in temperature. This suggests that at higher temperature, increasing the particle loading entails less increase in viscosity. Data-point in Figure 30 was obtained from runs that were repeated at least 5 times, when the variance was found to be less than 0.03 %.

### 3.2.2. Al<sub>2</sub>O<sub>3</sub> nanofluids

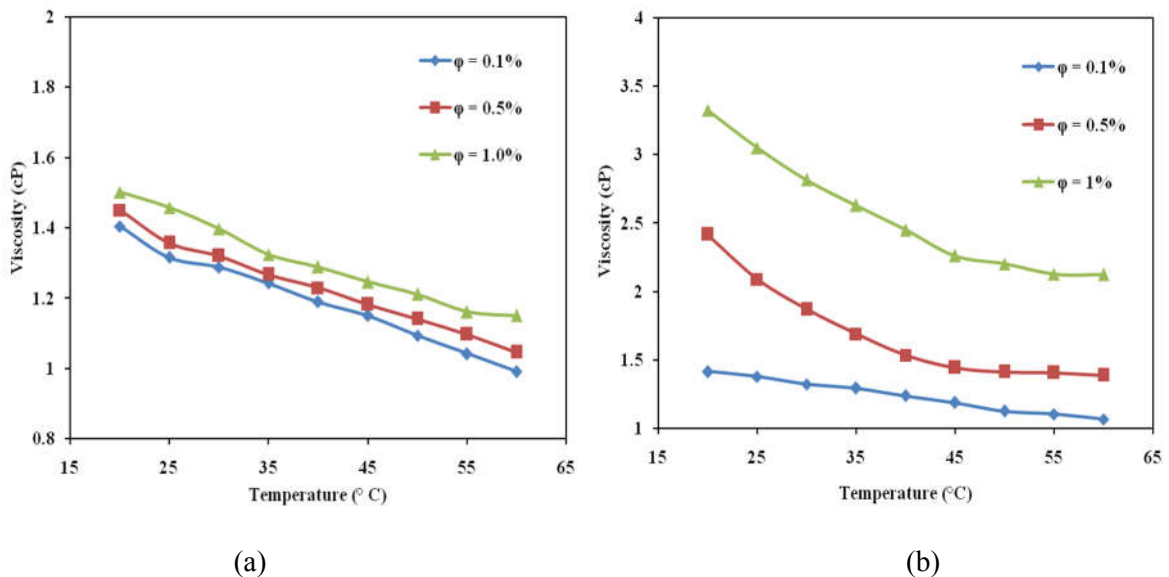
Figure 31a and Figure 31b shows the variation of viscosity of Al<sub>2</sub>O<sub>3</sub>-water nanofluid, without and with the SDBS surfactant, respectively, as functions of temperature (20–60 °C), for different  $\phi$  (0.1–1.0 %) at a constant shear rate (122.3 s<sup>-1</sup>). Data-points in Figure 31a and Figure 31b were obtained from runs that were repeated for at least 10 times, when the standard deviation was found to be less than 0.03 %. Therefore, the error bars in Figure 31 are not discernable. The result shows that the viscosity of nanofluid decreases with increase of temperature while the same increases with the  $\phi$ . The viscosity of nanofluid at  $\phi = 1.0$  %, for Al<sub>2</sub>O<sub>3</sub>-water and Al<sub>2</sub>O<sub>3</sub>-SDBS-water nanofluids are found to be 1.5 mPa. S and 3.23 mPa.S, respectively. Comparing Figure 31a and Figure 31b, it emerges that the viscosity of Al<sub>2</sub>O<sub>3</sub>-SDBS-water nanofluid is greater than the nanofluid without surfactant for all temperature and  $\phi$  values. Figure 31a shows that for the non-surfacted nanofluid, the increase of viscosity with  $\phi$  is marginal at 25 °C – the viscosity increases from 1.32 cP to 1.46 cP as  $\phi$  increases from 0.1–1.0 %. On the contrary, the viscosity of the surfacted nanofluid increases from 1.38 cP to 3.05 cP at the same temperature. The presence of micelles in the surfacted fluid may be implicated to the increased viscosity [278]. Increment of surfactant concentration above the critical micelle concentration (CMC) in nanofluids has been found to form multilayer adsorption of surfactant molecules on the surface of nanoparticles, while the surplus molecules scattered in the water forms surfactant micelles [278]. For long chain surfactants, viscosity increases quickly at low or moderate concentrations. In this situation, the size of micelles grows gradually with the increase of concentration [279]. The CMC of SDBS surfactants is 3 mM at room temperature [280]. In the present

experiment, the surfactant is added in proportion with the nanoparticles. The concentration of SDBS surfactant in our nanofluid samples increased from 0.57 mM at  $\varphi = 0.1$  to 11.62 mM for  $\varphi = 1.0$ . This clearly indicates that at higher  $\varphi$ , the micellar concentration increases. This is why the viscosity of the surfactant nanofluids significantly increases at higher  $\varphi$ .

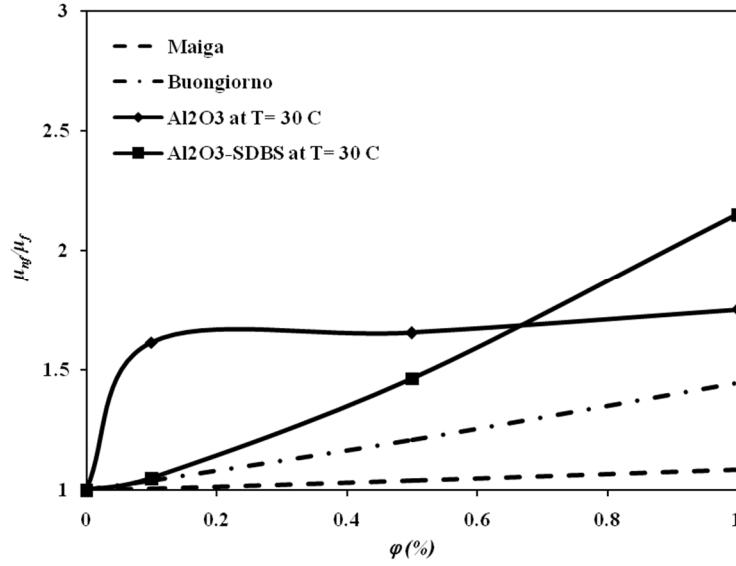


**Figure 30** Variation of viscosity with temperature for (a) CTAB- and (b)AA-stabilized nanofluids at different  $\varphi$  for a constant shear rate of  $122.3 \text{ s}^{-1}$ , (c) Comparative plots of viscosity of CTAB- and AA-stabilized nanofluids as function of  $\varphi$  at temperatures of 20 °C, 40 °C and 50 °C.

Figure 32 shows the variation of viscosity ratio  $\mu_{nf} / \mu_f$  (i.e., the nanofluid viscosity to the viscosity of its corresponding base fluids) as a function of  $\phi$ , for both  $Al_2O_3$ -water and  $Al_2O_3$ -SDBS-water nanofluids, at 30 °C. As already mentioned before, that the presence of SDBS-micelles significantly alters the viscosity of the base fluid. Therefore, for the case surfacted nanofluids, viscosity of water-surfactant mixtures (with the same mass ration of SDBS to water as in the original surfacted nanofluid) was treated as the base fluid viscosity  $\mu_f$ . The experimental values of viscosity ratio are compared with two well-established models proposed by Buongiorno [150], and Maiga [212], which are represented by Eq. (58) and (64), respectively. It is clear from the figure that both the models underpredicts the increase in viscosity with  $\phi$ . The experimental values differ from the models primarily at very low concentrations. The models have considered the influence of only the solid volume fraction to predict the viscosity. But in practice, the viscosity of nanofluid depends not only on solid volume fraction but also on various other parameters such as temperature, particle size, shape, surfactants etc., which influences the viscosity through the dynamic effect explained before (while explaining the sudden jump in thermal conductivity). Here, the models of Maiga and Buongiorno both applied the  $Al_2O_3$  nanoparticles.



**Figure 31** Variation of viscosity with temperature for at different  $\phi$  for the nanofluids (a) without surfactant, and (b) with SDBS surfactant.



**Figure 32** Variation of viscosity ratio of Al<sub>2</sub>O<sub>3</sub> nanofluids (with and without SDBS surfactant) with  $\phi$  at two different temperatures and compared with two models [150, 212].

Figure 33a and Figure 33b shows the variation of Prandtl number of the Al<sub>2</sub>O<sub>3</sub>-water nanofluids, both without and with SDBS surfactant, respectively, with temperature for different  $\phi$ . The Prandtl numbers of both types of nanofluids are found to increase with  $\phi$  and decrease with temperature. Prandtl number is defined as:

$$Pr = \frac{\mu_{nf} C_{pnf}}{K_{nf}} \quad (99)$$

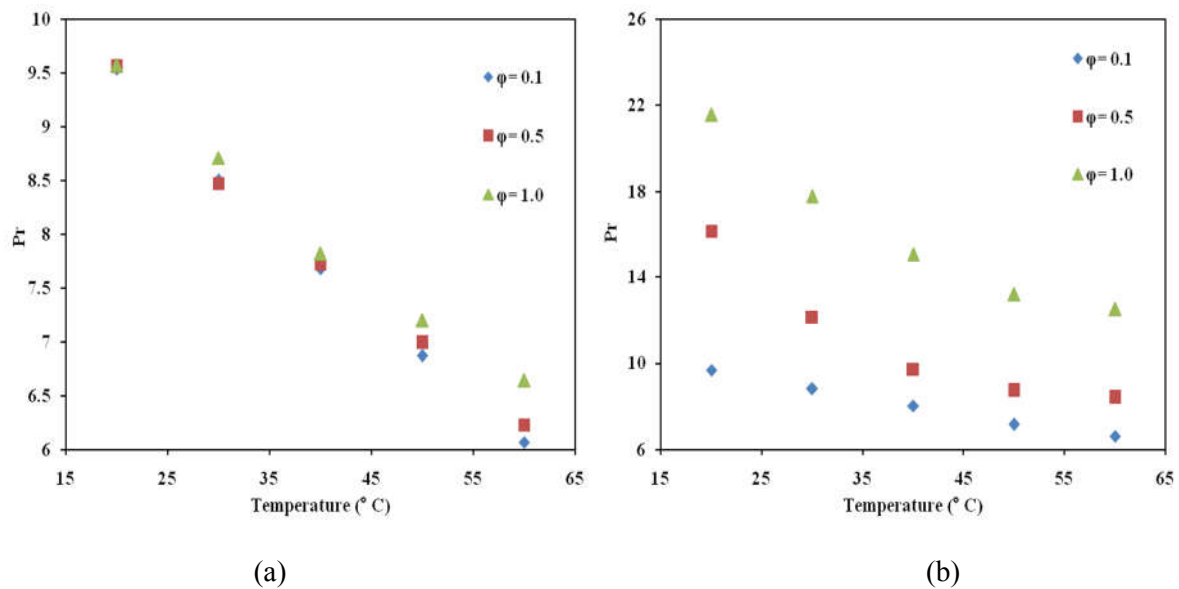
where  $\mu_{nf}$ , and  $C_{pnf}$  denote the viscosity and specific heat of the nanofluid, respectively. The viscosity value is obtained directly from Figure 31, while the specific heat and density ( $\rho_{nf}$ ) are evaluated using the mixing rule, i.e.,

$$C_{pnf} = \frac{[\phi(\rho C_p)_p + (1-\phi)(\rho C_p)_f]}{\rho_{nf}}, \text{ and} \quad (100)$$

$$\rho_{nf} = \phi \rho_p + (1 - \phi) \rho_f. \quad (101)$$

As apparent from Figure 33,  $Pr$  decreases with increase in temperature since the thermal conductivity increases and viscosity goes down with increase in nanofluid temperature. For the non-surfacted nanofluids, particle loading is found to have little effect on the Prandtl number (Figure 33a); for all values of  $\phi$ , the  $Pr$  decreases nearly to the same extent from  $\sim 9.5$  at 20 °C to  $\sim 6.4$  at 60 °C. On

the contrary,  $Pr$  values of the surfacted nanofluid strongly depend on the particle loading (and hence the surfactant concentration). At large surfactant loading (large  $\phi$ ), the high value of viscosity (see Figure 31b), results in the high  $Pr$ . For lower surfactant loading ( $\phi = 0.1$ ), the  $Pr$  versus temperature curve resembles that of the non-surfacted nanofluid. Figure 30b provides important information regarding selection of the appropriate  $\phi$  of the nanofluid for a particular heat transfer application. It is imperative that for applications warranting high  $Pr$  fluids, SDBS-surfacted  $Al_2O_3$ -water nanofluids may be used, although it might call for higher pressure drop to induce forced convection. For applications involving quick circulation cycles, and where intermittent sonication or stirring of nanofluid may be provided (to facilitate particle suspension), non-surfacted nanofluid may be preferred because of their low viscosity.



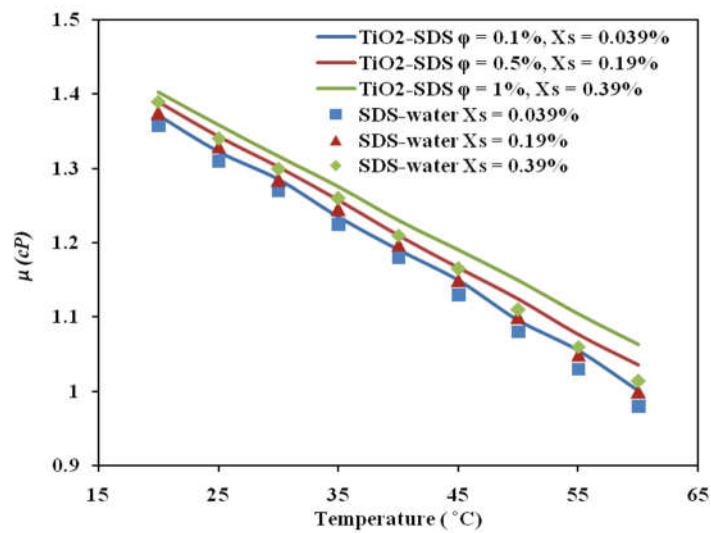
**Figure 33** Variation of Prandtl number with temperature at different  $\phi$  for  $Al_2O_3$ -water nanofluids (a) without surfactant, and (b) with SDBS surfactant.

### 3.2.3. $TiO_2$ anatase nanofluids

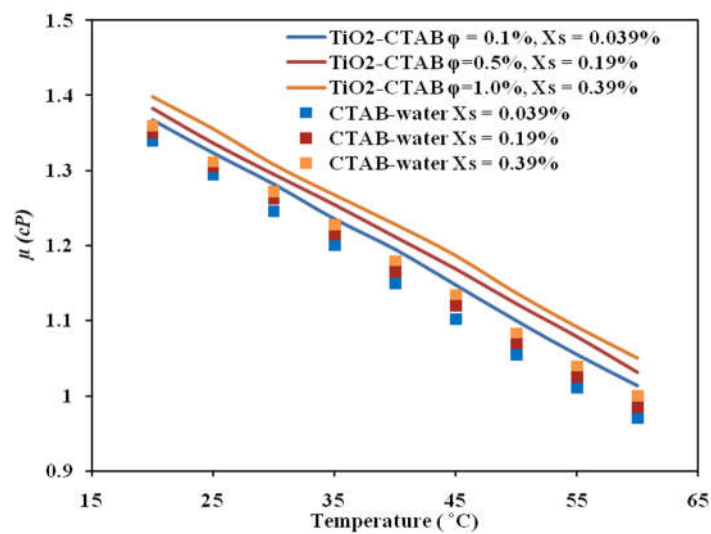
It is already identified that viscosity is one of the most important properties of nanofluids, which indicates the resistance of fluid. Literature study reveals that the viscosity of nanofluid depends on  $\phi$ , particle size, and shear rate. In this study the viscosity of  $TiO_2$ -anatase water (SDS and CTAB) based nanofluids were measured at different temperatures and  $\phi$ . Figure 34a and Figure 34b shows the



variation of viscosity with temperature (20–60 °C) for SDS and CTAB surfacted water and the corresponding nanofluids (at  $0.1\% < \varphi < 1.0\%$ ), respectively, at a constant shear rate of  $122.3\text{ s}^{-1}$ . It can be observed from Figure 34 that the viscosity of nanofluids are slightly higher than their respective surfacted water solutions and it increases with  $\varphi$ . The viscosity also decreases with increase of temperature. Comparing Figure 34a and Figure 34b it is observed that the viscosity values are almost independent of the type of surfacted used in the study. Data-points for Figure 34a and Figure 34b were obtained from several runs (at least 10 times for each condition), where the standard deviation was found to be less than 0.03 %; the error bars in Figure 34 are therefore hardly visible.



(a)



(b)

**Figure 34** Variation of viscosity with temperature for with and without nanoparticle at different  $\varphi$ , with (a) SDS and (b) CTAB used as surfactant.

Various theoretical correlations have been developed to predict the viscosity of nanofluids. In 1926, Einstein [183] first developed a correlation based on the liquid-particle interaction, which calculate the effective viscosity of liquids in low  $\varphi$  having spherical suspended particles (see Eq. 33).

$$\frac{\mu_{nf}}{\mu_{bf}} = [1 + 2.5\varphi], \quad (102)$$

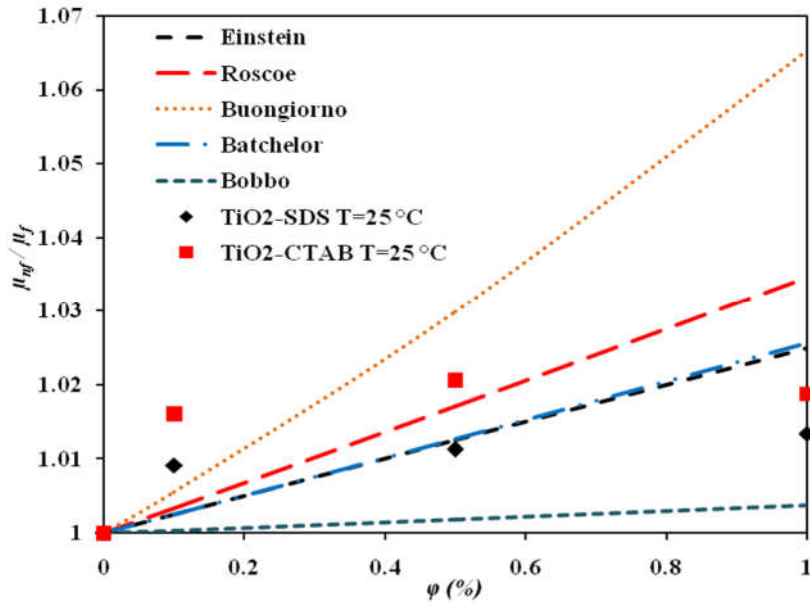
Subsequently, advanced correlations were developed by Roscoe [204] (Eq. 54), Batchelor [197] (Eq. 47) to better predict nanofluid viscosity at high  $\varphi$ . More recently, some correlations have been proposed specifically for TiO<sub>2</sub> nanofluids, such as Buongiorno [150] (Eq. 58), and Bobbo et al. [158] (Eq. 103).

$$\mu_{nf} = \mu_o(1 + A\varphi + B\varphi^2), \quad (A \text{ and } B \text{ are empirical values}) \quad (103)$$

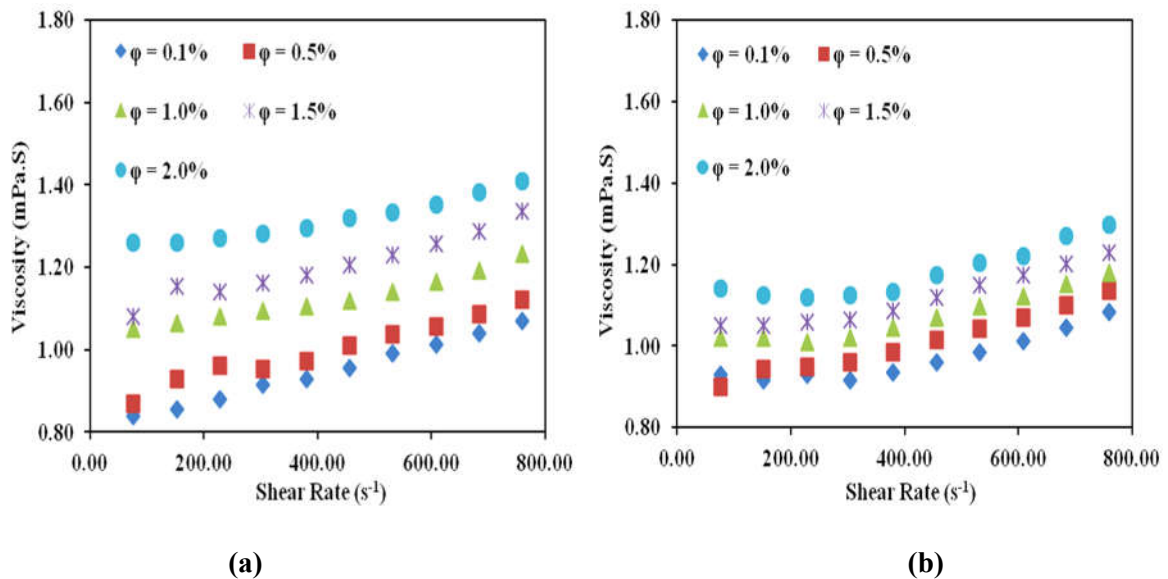
The measured property values from the present experimental study have been compared with these correlations to validate the study. Figure 35 shows the variation of viscosity ratio (i.e., the nanofluid viscosity to the viscosity of its corresponding base fluids) as a function of  $\varphi$  for TiO<sub>2</sub>-SDS and TiO<sub>2</sub>-CTAB based nanofluids, at 25 °C. The experimental values of viscosity ratio are compared with the above established models. It can be seen from the Figure 35 that beyond  $\varphi=0.4\%$ , the models presented by Buongiorno [150] overpredict the viscosity ratio of nanofluid while that of Bobbo et al. [158] underpredicts the value. However, at lower  $\varphi$ , all the models underpredict the viscosity ratio.

### 3.3. Effect of shear-rate on viscosity of nanofluids

Figure 36a and Figure 36b shows the variation of viscosity of CTAB and AA-stabilized nanofluids respectively, as functions of shear rate (ranging from 76–760 s<sup>-1</sup>) at a given temperature (24 °C) for different  $\varphi$ . It is found that below a shear rate 400 s<sup>-1</sup> the value of viscosity shows shear thinning in nature. But beyond this, the viscosity gradually increases with the  $\varphi$  and shear rate of the nanofluids, thus exhibiting a shear thickening behavior. This may be attributed a Taylor-Couette regime of flow transition [124]. It is also observed that the value of viscosity with CTAB-stabilizer is slightly greater than that with AA-stabilizer for high value of  $\varphi$  and shear rate.



**Figure 35** Variation of viscosity ratio with  $\phi$  for two different surfactant-stabilized nanofluids at a shear rate of  $122.3 \text{ s}^{-1}$ ; observed data are compared with established models.

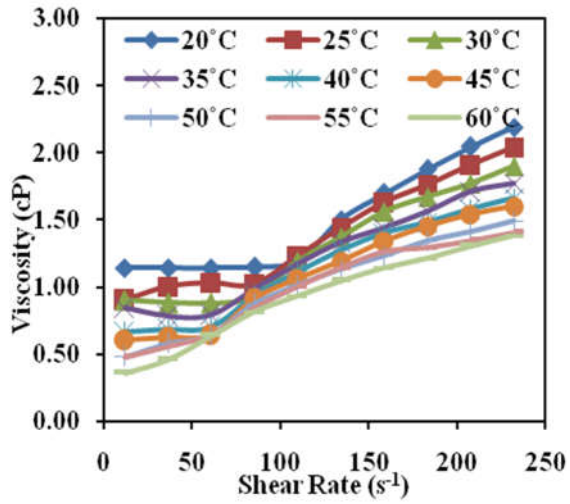


**Figure 36** Viscosity plots as a function of shear rate for  $\text{TiO}_2$ ; (a) CTAB- and (b) AA-stabilized nanofluid for a constant temperature ( $24 \text{ }^\circ\text{C}$ ) at different  $\phi$ .

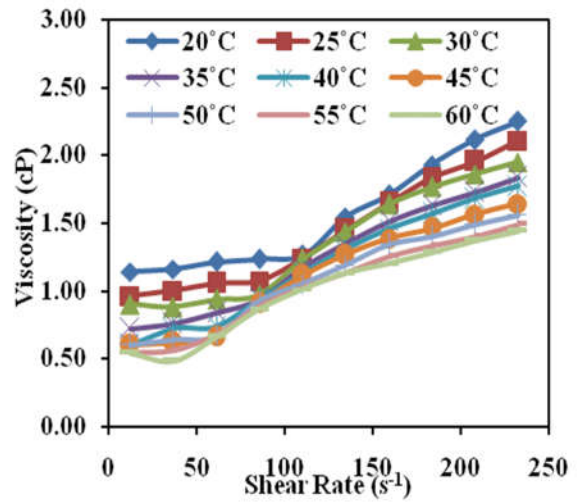
Apart from the above study, the effects of nanoparticle  $\phi$  and temperature on rheological behavior of water-based nanofluids of  $\text{Al}_2\text{O}_3$ ,  $\text{TiO}_2$ -AA,  $\text{TiO}_2$ -CTAB were investigated. Viscosity of a particular nanofluid at a given temperature was measured at different shear rates. Figure 37 (a-e) shows the variation of viscosity of  $\text{Al}_2\text{O}_3$ -water nanofluids with different shear rates in the

temperature range (2060 °C) for  $\phi = 0.12.0$  %. It has been observed, for each case, that upto a certain shear-rate the nanofluid shows Newtonian behaviour above which it shows non-Newtonian shear thickening behaviour. So, a critical shear rate exists above which the fluid become non-Newtonian. The value of the critical shear rate depends on the particle loading ( $\phi$ ) and nanofluid temperature. It is also observed that for a particular  $\phi$  the critical shear rate decreases with increase in temperature. For  $\phi = 0.1$  %, it is  $110 \text{ s}^{-1}$  at  $20 \text{ }^\circ\text{C}$ , while it is  $12 \text{ s}^{-1}$  for  $60^\circ \text{ C}$  (Figure 37a). The same value is  $110 \text{ s}^{-1}$  and  $36 \text{ s}^{-1}$  respectively for  $\phi = 0.5$  % (Figure 37b). For  $\phi = 1.0$  %,  $1.5$  % and  $2.0$  % the value of critical shear rate at  $20 \text{ }^\circ\text{C}$  are  $134 \text{ s}^{-1}$ ,  $183 \text{ s}^{-1}$  and  $183 \text{ s}^{-1}$  respectively, while at  $60 \text{ }^\circ\text{C}$  it is  $61 \text{ s}^{-1}$ ,  $61 \text{ s}^{-1}$  and  $85 \text{ s}^{-1}$  respectively (Figure 37c, Figure 37d, Figure 37e).

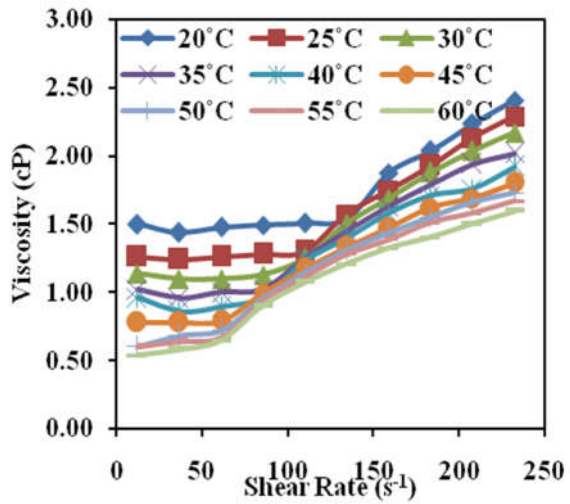
Similar trend was also observed for different  $\phi$  and temperatures. Viscosity of  $\text{TiO}_2$ -CTAB and  $\text{TiO}_2$ -AA-water based nanofluids at different shear rates and temperatures, which are shown in Figure 38 and Figure 39, respectively. Dilatancy is strongly related to the size, shape, and surface of the particles used in dilatant system. It was observed that due to the hydrocluster mechanism, shear thickening occurs [281, 282] for colloidal suspensions. The attractive and repulsive forces act between the particles in the colloidal suspension, thereby forming cluster. The distance between the colloidal particles reduces due to the external shear forces at high shear rates. As particles get in closer, they stick together and form large clusters, so-called hydroclusters. These hydroclusters prevents the flow and causes the shear thickening behavior of the suspensions. Shear thickening can be stamped out by reducing the interactions between particles [283]. Structure and rheological behavior of the suspension changes according to the shear flows. The experimental result of this study did not match the mechanism which was found from the literature, for shear thickening behavior. Figure 37, Figure 38 and Figure 39, also demonstrated that viscosity decreased with increase in temperature and decreased with  $\phi$  for  $\text{Al}_2\text{O}_3$ -water,  $\text{TiO}_2$ -CTAB and  $\text{TiO}_2$ -AA nanofluids. High temperature increases the Brownian motion of nanofluid and weaker the interparticle adhesion forces [107]. The interaction time between neighboring molecules of a fluid decreases due to increased velocities of individual molecules. With the increase of temperature, heat provides extra energy that separates the molecules, resulting in the decrease of attractive forces between particles. Similar types of result for  $\text{Al}_2\text{O}_3$ -water



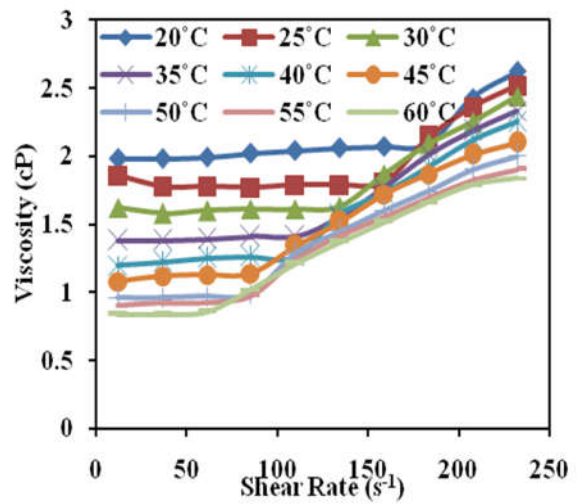
(a)



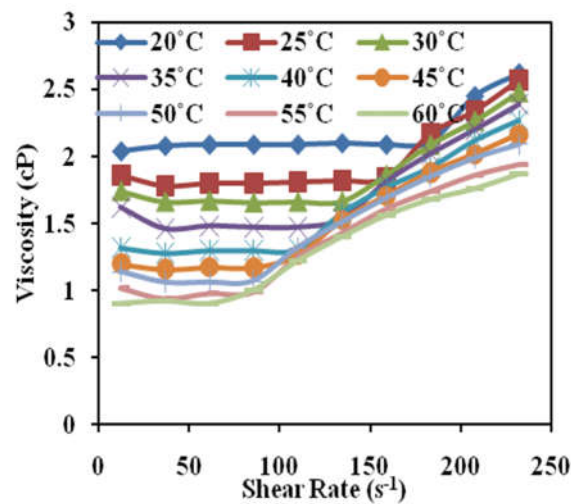
(b)



(c)

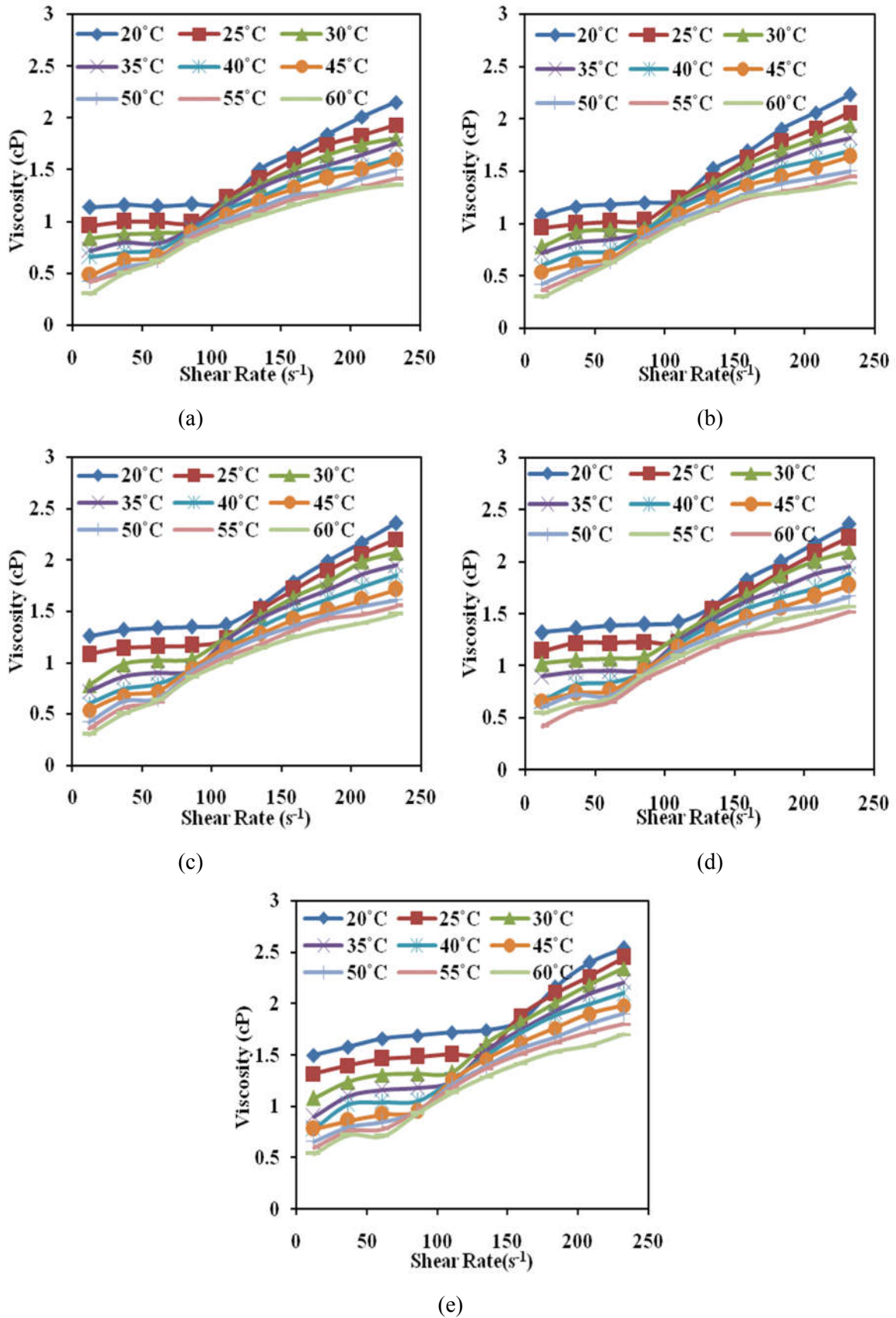


(d)

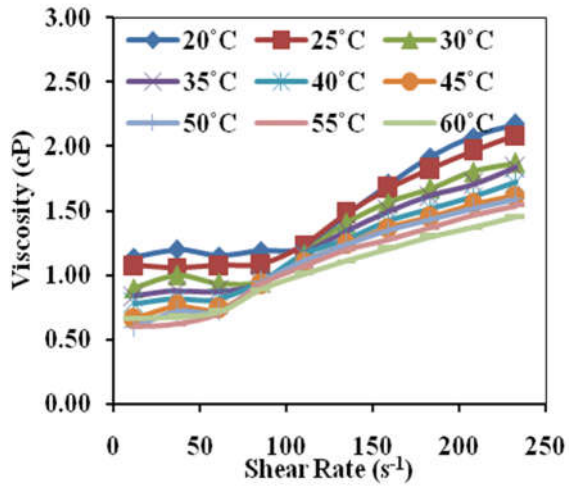


(e)

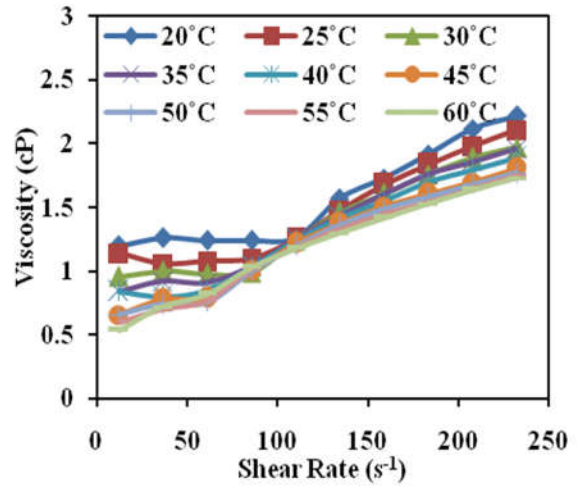
**Figure 37** Viscosity at different shear rate for the  $Al_2O_3$ -water nanofluid at (a)  $\phi = 0.1\%$  (b)  $\phi = 0.5\%$  (c)  $\phi = 1.0\%$  (d)  $\phi = 1.5\%$  (e)  $\phi = 2.0\%$ .



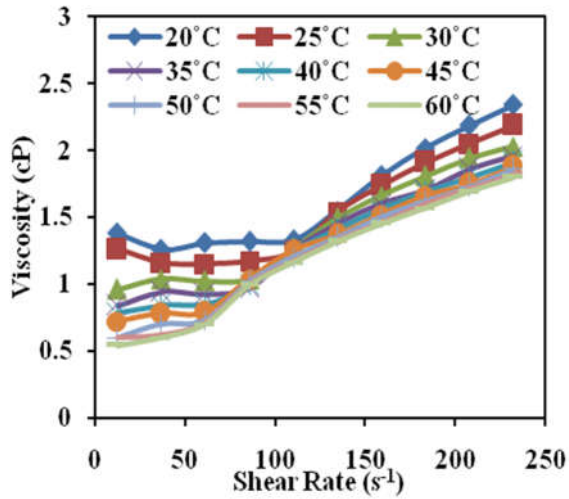
**Figure 38** Viscosity at different shear rate for the TiO<sub>2</sub>-CTAB stabilized water nanofluid at (a)  $\phi = 0.1\%$  (b)  $\phi = 0.5\%$  (c)  $\phi = 1.0\%$  (d)  $\phi = 1.5\%$  (e)  $\phi = 2.0\%$ .



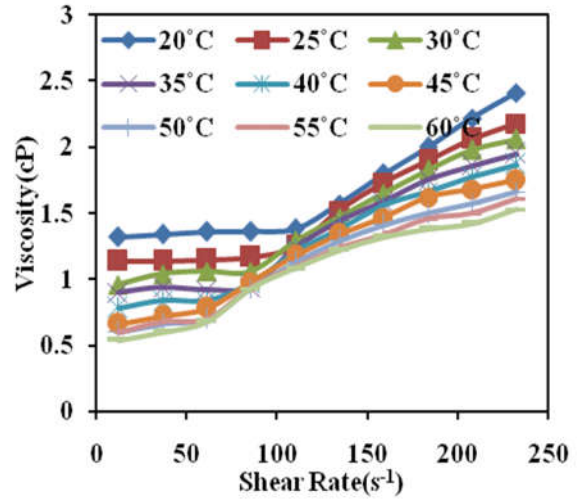
(a)



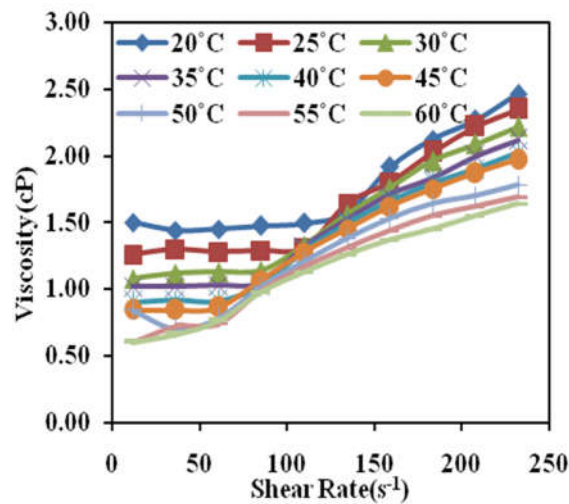
(b)



(c)



(d)



(e)

**Figure 39** Viscosity at different shear rate for the TiO<sub>2</sub>-AA stabilized water nanofluid at (a)  $\phi = 0.1\%$

(b)  $\phi = 0.5\%$  (c)  $\phi = 1.0\%$  (d)  $\phi = 1.5\%$  (e)  $\phi = 2.0\%$ .

based nanofluid have been presented by Mahbubul et. al. [284] at a constant  $\phi$  ( $= 0.5\%$ ), for different temperature from 10–50 °C and different sonication times. They found that viscosity of nanofluid decreased with the increase in temperature. At lower temperature and lower shear rates, nanofluid showed Newtonian behavior, while at higher temperature and higher shear rate, nanofluid showed non-Newtonian behavior.

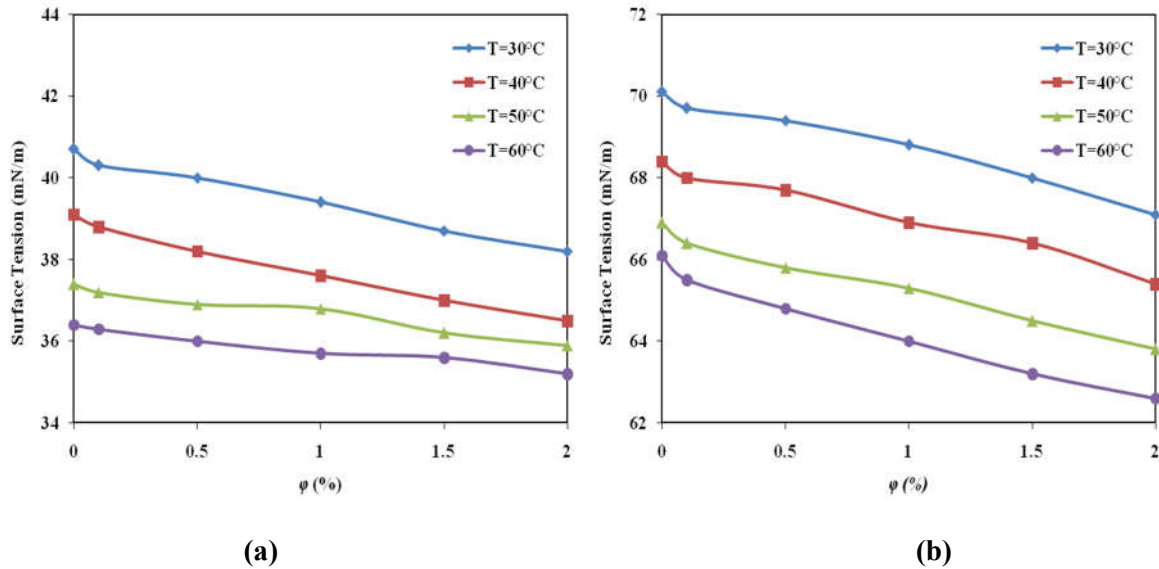
#### **3.4. Surface tension and contact angle of nanofluids**

The interfacial tension of nanofluids have measured to characterize the surface properties of the nanofluids. Surface tension is the elastic tendency of a fluid surface which makes it acquire the least surface area possible. Figure 40a and Figure 40b shows the variation of surface tension as function of  $\phi$ , at different nanofluid temperatures (viz., 30, 40, 50 and 60 °C) with CTAB and AA-stabilizers, respectively, for TiO<sub>2</sub> nanofluids. It is important to note that the presence of surfactants plays a major role in altering the surface tension of the nanofluid from that of water. For example, at 30 °C, 0.1 % (w/w) CTAB in water decreases the surface tension from 71 mN/m to 40.5 mN/m. The same percentage of AA in water at 30 °C yields a surface tension of 69.8 mN/m. It is evident from both the figures that the presence of nanoparticles further lowers the surface tension. For example, in CTAB-stabilized host fluid at 30 °C, presence of nanoparticles at a meager  $\phi = 0.1\%$  lowers the surface tension from 40.8 to 40.5 mN/m. A similar dip (of surface tension due to addition of nanoparticles) is also observed in AA-surfacted water (see Figure 40b), where the surface tension at 30 °C drops from 70.2 to 69.8 mN/m at  $\phi = 1\%$  of nanoparticles).

The presence of particles at the air-water interface has been found to alter the cohesive force between the host liquid molecules and the adhesive force between the host liquid molecule and nanoparticles at the liquid-air interface, causing a reduction in the surface tension of nanofluid [285]. On the other hand, the adsorption of surfactant on the nanoparticles, ensuing from migration of the particles to the nanofluid interface may lead to a reduction of surfactant concentration at the interface and cause an effective increase in surface tension. The decreasing trends of surface tension in Figure 40a and Figure 40b implies that the second effect is negligible as compared to the former [244]. It is apparent from Figure 40a and Figure 40b that TiO<sub>2</sub>-nanofluid shows lyophilic nature in presence of



both the surfactants. For both types of nanofluids, the surface tension is found to decrease with increase of temperature. This is intuitive, since an increase in temperature not only decreases the surface tension of the host fluid, but also increases Brownian transport of particles to the surface.

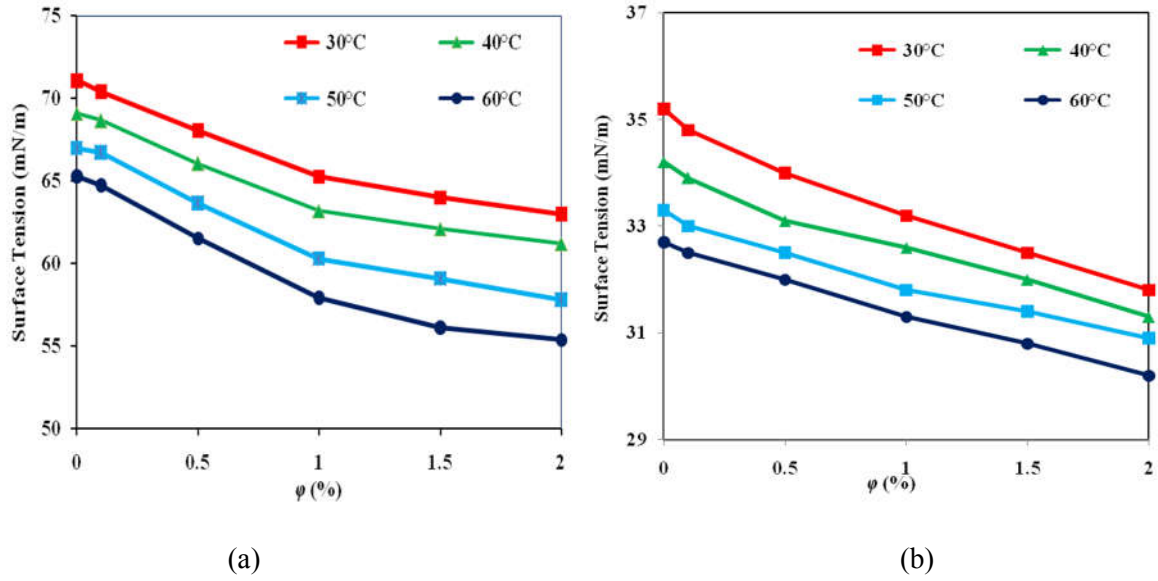


**Figure 40** Variation of surface tension with  $\phi$  at different temperatures for (a) CTAB- and (b) AA-stabilized nanofluids. Variation of pH with temperature for (c) CTAB- and (d) AA-stabilized nanofluids at different  $\phi$ .

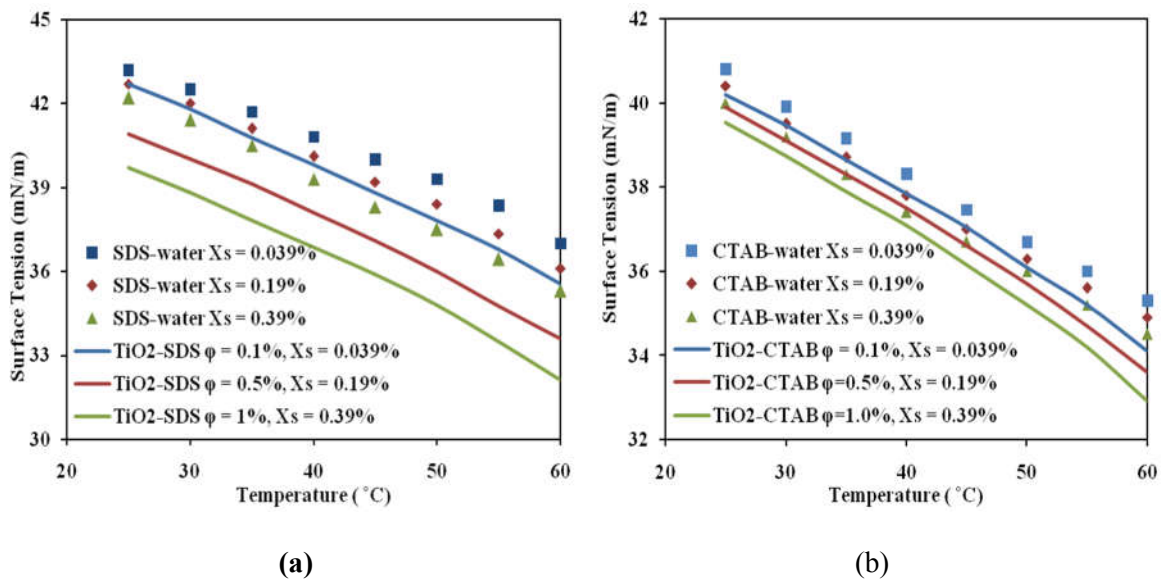
Figure 41a and Figure 41b shows the variation of surface tension as a function of  $\phi$ , at different temperatures (viz., 30, 40, 50 and 60 °C) for  $\text{Al}_2\text{O}_3$  water and  $\text{Al}_2\text{O}_3$ -SDBS water-based nanofluids respectively. Figure 41a represents that at  $T = 30$  °C and  $\phi = 0.1$  %, the value of surface tension is 71.1 mN/m, while at the same temperature as the  $\phi$  increases from 0.1–2.0 %, the value of surface tension decreases from 71.1 mN/m to 63 mN/m. At temperature,  $T = 60$  °C, the value of surface tension decreases from 65.3 to 55.4 mN/m as the  $\phi$  increases from 0.1–2.0 %. Also, the surface tension of the  $\text{Al}_2\text{O}_3$ -water nanofluid decreases as the temperature and  $\phi$  of the nanofluids increases. Figure 41b shows that the value of surface tension at  $T = 30$  °C is 35.2 mN/m at  $\phi = 0.1$  %, reduced to 31.8 mN/m at  $\phi = 2.0$  %. At  $T = 60$  °C, the value of surface tension decreases from 32.7 to 30.2 mN/m as the  $\phi$  increases from 0.1–2.0 %. Here also the same trend was found, as the temperature and  $\phi$  of the nanofluid increases the value of surface tension decreases. Comparing these two; Figure

41a and Figure 41b, it can be noticed that the value of surface tension decreased from 71.1 mN/m to 35.2 mN/m for a constant  $T = 30\text{ }^{\circ}\text{C}$ , and  $\phi = 0.1\%$ , and it happens due to the effect of surfactants. It can be noted that the presence of surfactants plays a major role in altering the surface tension of the nanofluid from that of water.

Figure 42a shows the variation of surface tension as function of temperature at different  $\phi$  (viz., 0.1 %, 0.5 % and 1.0 %) for SDS-water and anatase-SDS water based nanofluids. It can be seen from Figure 42a that an increase in temperature and  $\phi$  of nanoparticles reduces the surface tension of nanofluids. Figure 42b shows the variation of surface tension with temperature for anatase-CTAB water-based nanofluids (at different  $\phi$ ) and CTAB-water solutions (at the same  $x_s$  value corresponding to the nanofluid samples). It is important to note that the presence of surfactant plays a major role in altering the surface tension of the nanofluid from that of water. It can be seen from Figure 42a and Figure 42b that the surface tension value of surfacted fluid is greater than the surfacted nanofluids (despite bearing the same concentration of surfactant). Therefore, it is evident from both the figures that the presence of nanoparticles further lowers the surface tension. The nanoparticle concentration on the liquid-air interface depends on the equilibrium between repulsive and attractive forces among nanoparticles, as well as forces driving the diffusion of nanoparticles near the interface. The electrostatic force serves as repulsive force, while the van der Waals force is attractive in nature. Nanoparticle agglomeration and assembly near the liquid-air interfaces has been attributed to this change in the effective liquid-air surface tension of nanofluids [241]. The presence of nanoparticles at the air-water interface alters the cohesive force between the host liquid molecules. The adhesive force between the host liquid molecules and nanoparticles at the liquid-air interface causes a reduction in the surface tension of nanofluid [285]. For both types of nanofluids, surface tension is found to decrease with increase of temperature. An increase in temperature lowers the surface tension of the host fluid and also increases Brownian transport of particles to the surface; both these factors lead to reduction in the effective surface tension.



**Figure 41** Variation of surface tension with  $\phi$  for (a)  $\text{Al}_2\text{O}_3$ -water and (b)  $\text{Al}_2\text{O}_3$ -SDBS water-based nanofluids for different temperature.



**Figure 42** Variation of surface tension with temperature for with and without nanoparticle (anatase) at different  $\phi$ , where (a) SDS used as surfactant, (b) CTAB used as surfactant at different  $\phi$ .

Besides measurement of the nanofluid surface tension, a detailed study of the interaction of the nanofluids with standard solid substrates have also been carried out. This is important to identify the nanofluid behaviour on open surfaces, or for phase change heat transfer problems associated with

the nanofluids [286]. We investigated the shape of sessile droplets of nanofluids on a smooth glass surface that was cleaned with DI water and then by acetone.

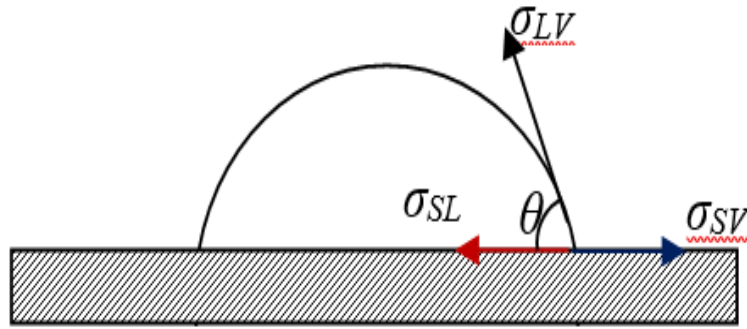
Spreading of liquid droplets on a solid surface is attributed to adhesion, and the adhesion is related to the surface energy. When a liquid droplet is deposited on a solid surface exposed to air, the liquid may spread indefinitely (complete wetting), spread to an extent (partial wetting) or may not spread at all (de-wetting). The behavior is strongly linked to the relative magnitudes of the interfacial energies  $\sigma_{SV}$ ,  $\sigma_{SL}$  and  $\sigma_{LV}$  (denoting vapor, liquid and solid by  $V$ ,  $L$  and  $S$ , respectively) that exist at the interfaces of the solid-vapor, solid-liquid and liquid- vapor, respectively. For the case of partial wetting, the liquid droplet dispensed on the solid surface will spread to some extent until it acquires an equilibrium shape specified by the contact angle,  $\theta$ . The equilibrium contact angle may be obtained by striking a balance of the interfacial tension forces at the triple line (the intersection of the liquid droplet, solid surface and gaseous medium) along the horizontal direction and is given by Young's formula (See Figure 43 (a)) [287].

$$\sigma_{SV} = \sigma_{SL} + \sigma_{LV} \cos \theta \quad (104)$$

This is combined with Dupre's equation [287] expressing the solid-liquid work of adhesion  $W_{SL}$  to obtain:

$$W_{SL} = \sigma_{SV} + \sigma_{LV} - \sigma_{SL} = \sigma_{SL}(1 + \cos \theta) \quad (105)$$

This indicates that the contact angle is directly related to the strength of adhesion between liquid and solid and suggests that contact angle measurements can be used to estimate adhesion energies. Intuitively, the contact angle of a sessile nanofluid droplet will depend on the type of solid substrate used, the nanoparticle-dispersant-host fluid combination, particle size and the particle and surfactant loadings [288]. Figure 43 shows the contact angle images (on clean, smooth glass surface) of  $\text{Al}_2\text{O}_3$ -nanofluids,  $\text{TiO}_2$ -CTAB nanofluids, and  $\text{TiO}_2$ -AA nanofluids at  $\varphi = 0.1\%$  and  $2.0\%$ . It can be confirmed from the table that the contact angle image of the nanofluids for  $\varphi = 2.0\%$  is smaller than  $\varphi = 0.1\%$ . Figure 44 shows that variation of contact angle with solid volume fraction for  $\text{TiO}_2$ -AA,  $\text{TiO}_2$ -CTAB,  $\text{Al}_2\text{O}_3$ -water, AA-water, and CTAB-water, solutions. Nanofluids have shown great difference in surface wettability on a solid surface from that of the base fluid [289, 290]. It can be

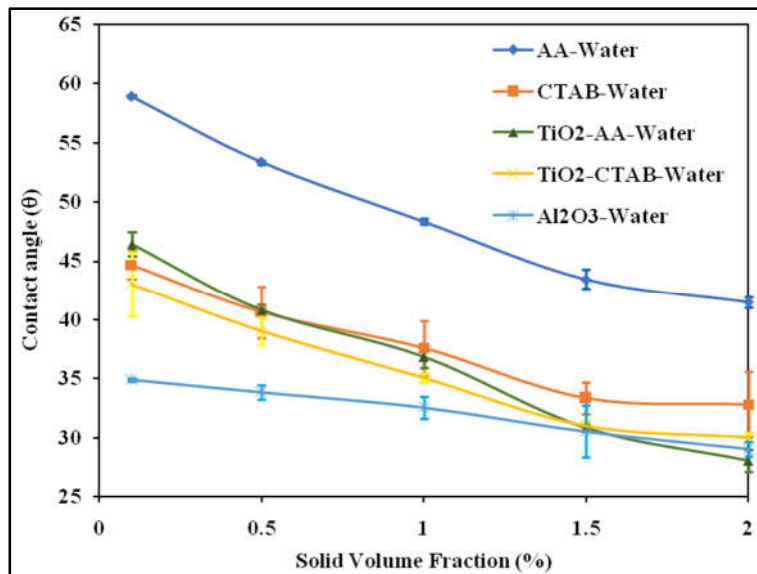


(a)

Contact angle ( $\theta$ )	at $\phi = 0.1\%$	at $\phi = 0.1\%$
Al <sub>2</sub> O <sub>3</sub> -nanofluids	CA = 37.2°	CA = 37.2°
TiO <sub>2</sub> -CTAB nanofluids	CA = 43°	CA = 30°
TiO <sub>2</sub> -AA nanofluids	CA = 46.6°	CA = 27.6°

(b)

**Figure 43** (a) Force balance on the triple line of a sessile droplet, (b) Contact angles of sessile nanofluid droplets on cleaned glass surfaces.



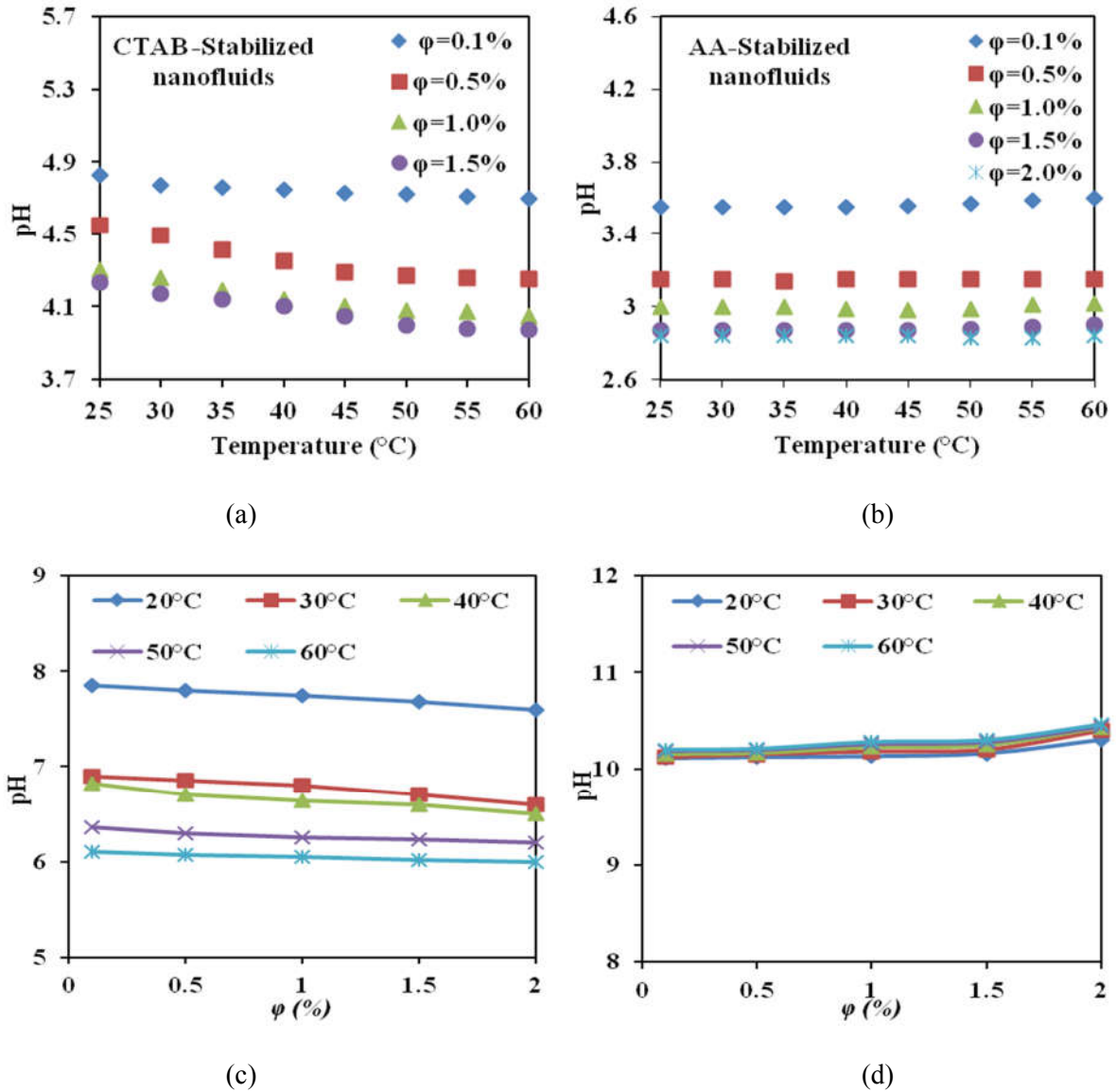
**Figure 44** Variation of sessile droplet contact angle (on cleaned glass surface) with  $\phi$  for different nanofluid and surfactant-water solutions.

seen from Figure 44 that the contact angle of nanofluids decreases with increase in  $\phi$ . This may be attributed to the change in surface tension with the particle loading. The contact angle trend in Figure 44 matches with the plots showing the variation of surface tension with  $\phi$  (see Figure 40, Figure 41, Figure 42). Contact angles of the AA-water and CTAB-water solutions are also found to decrease with increasing surfactant loading, since the observed concentrations were below their respective.

### 3.5. pH of nanofluids

Figure 45a and Figure 45b shows the pH values of TiO<sub>2</sub>-water nanofluid with AA and CTAB surfactants. The pH values are found to fall within range of 2.8–3.7 and 3.9–4.9, respectively, for AA & CTAB-stabilized nanofluids, for the  $\phi$  range of 0.1–2.0 %. This shows that the nanofluid pH is relatively insensitive to temperature; the pH decreases with increase  $\phi$  and temperature. Besides, the pH value remains nearly unaffected at different temperatures.

Figure 45c and Figure 45d shows the variation of pH with  $\phi$  at different temperature for Al<sub>2</sub>O<sub>3</sub>-water and Al<sub>2</sub>O<sub>3</sub>-water-SDBS nanofluids respectively. While the pH value of both non-surfacted and surfacted nanofluids remains nearly insensitive to particle loading (pH decreases marginally with increased  $\phi$  due to selective adsorption of the OH<sup>-</sup> ions at the Stern layer on the Al<sub>2</sub>O<sub>3</sub>-nanoparticles [291]), it has a more pronounced effect of temperature for the non-surfacted nanofluid. The ionic dissociation of water increases with temperature, resulting in higher H<sup>+</sup> loading (leading to lowering of pH) [292]. On the other hand, being an anionic surfactant, aqueous SDBS solution exhibits a strong basic nature, which is observed from the high value of pH (>10) for the surfacted nanofluid (see Figure 45d). Since the particle to SDBS mass ratio was held constant (2:1), the amount of surfactant was increased with particle loading, leading to an increase in the pH with  $\phi$ . The pervasive presence of SDBS also masks out the effect of temperature on the pH, and hence the isothermal pH curves in Figure 45d runs close to each other.



**Figure 45** Variation of pH with temperature for TiO<sub>2</sub> (a) CTAB- and (b) AA-stabilized nanofluids at different  $\phi$ . Variation of pH with  $\phi$  for (c) Al<sub>2</sub>O<sub>3</sub>-water nanofluid (without surfactant) and (d) Al<sub>2</sub>O<sub>3</sub>-SDBS stabilized nanofluid at different temperature.

## **CHAPTER 4**



## 4. Numerical modelling of direct absorption solar collector

### 4.1. Background

This chapter aims at developing a framework of numerical simulation for analysing thermal transport using the nanofluids whose thermophysical properties have been characterised in the foregoing chapter. Design of a DASC would warrant comprehensive numerical analysis in representative realistic configurations employing the thermophysical property correlations from the literature as well as those developed from the current study.

### 4.2. Introduction

Several researchers have investigated the heat transfer behavior of flow of nanofluids through different geometrical configurations. Numerical studies have been conducted for investigation of heat transfer coefficient (HTC) of nanofluids with different nanoparticles. Numerical methods are also extensively used to predict the performance of various heat transfer devices using nanofluids. The device includes microchannel heat sink, direct absorption solar collector (DASC) etc.

Zhao et al. [293] presented a three-dimensional numerical analysis to study the laminar heat transfer and flow characteristics of  $\text{Al}_2\text{O}_3$ -water nanofluids through a flat tube at constant heat flux boundary condition. The single-phase based numerical results showed higher HTC and pressure drop of  $\text{Al}_2\text{O}_3$ -water nanofluids than the base fluid. Both the convective HTC and pressure drop enhanced with increase in nanoparticle concentration ( $\phi$ ) and decrease in nanoparticle size. The heat transfer and pressure drop enhancements of nanofluids were more obvious at smaller Reynolds number ( $Re$ ) and higher temperature. Ghale et al. [294] investigated laminar forced convective heat transfer of  $\text{Al}_2\text{O}_3$ -water nanofluids in a straight microchannel was studied numerically using CFD modeling. Single-phase and two-phase models were used for prediction of temperature profile and fluid flow distribution and calculation of friction factor and Nusselt number. The HTC of nanofluids increased with increase in the  $\phi$  and  $Re$ . Increase in the  $\phi$  from 1 % to 2 %, resulted in 16.1 % increase in the Nusselt number. Manay et al. [295] numerically studied the heat transfer and hydraulic characteristics of  $\text{CuO}$ -water and  $\text{Al}_2\text{O}_3$ -water nanofluids inside a microchannel with square duct using the mixture model. The result showed that the heat transfer rate enhanced with the increase of  $\phi$  and  $Re$ . Kalteh et

al. [296] numerically studied the laminar forced convective heat transfer of Cu-water nanofluid inside an isothermally heated microchannel. Heat transfer increased with increase in  $Re$  and  $\phi$ , while the pressure drop increased slightly for all the cases. Moraveji and Esmaeili [297] numerically investigated the forced convection heat transfer with laminar flow condition for  $Al_2O_3$ -water nanofluids flow inside a circular tube, under constant heat flux from the wall for 100 nm nanoparticles concentration  $\phi = 1\%$  and  $4\%$ . They found that the rate of heat transfer increased with increase in  $\phi$  and  $Re$ . Similar result was obtained by Bajestan et al. [298], Tahir et al. [299], Davarnejad et al. [300], Heris et al. [301]. Tahir and Mital [299], also showed similar result but they observed non-linear parabolic decrease in heat transfer with increase in particle size. Bianco et al. [302] numerically investigated the laminar forced convection flow of  $Al_2O_3$ -water nanofluid in a circular tube, at a constant and uniform heat flux at the wall. Both single-phase and two-phase methods have used for this simulation. Result showed that, at  $\phi = 4.0\%$  two-phase model has about  $11\%$  of enhancement in average HTC compared to pure water. Similar kind of study has numerically analyzed the heat transfer behavior of water based  $Al_2O_3$  and  $TiO_2$  nanofluids in a pipe for Eulerian-Eulerian mixture-phase model. Higher heat transfer rate was found while using the multi-phase model than the single-phase model. They also found that  $TiO_2$ -water nanofluid was the most energy efficient coolant than  $Al_2O_3$ -water nanofluid [303]. Moraveji and Ardehali [304] numerically investigated the laminar forced convection of  $Al_2O_3$ -nanofluid, with particle size equal to 33 nm and  $\phi = 0.5, 1$  and  $6\%$ , through mini-channel heat sink considering both single phase and two-phase model. The two-phase model represented a better approximation of the experimental data comparing to the single-phase model and they observed that, increased in  $Re$  and  $\phi$  led to enhanced heat transfer. Akbarinia and Behzadmehr [305] numerically studied the fully developed laminar mixed convection of  $Al_2O_3$ -water nanofluid in a horizontal curved tube. They found that increased in nanoparticle  $\phi$  have a positive effect on the HTC at different  $Re-Gr$  (Grashof number) combinations.

Namburu et al. [306] numerically studied the HTC of CuO,  $Al_2O_3$  and  $SiO_2$  EG-water nanofluids with different  $\phi$  flowing through a tube under constant heat flux condition for turbulent flow. They measured the nanofluid viscosity and developed a correlation for the nanofluid viscosity as

a function of temperature up to  $\phi = 10\%$ . Their results showed that the HTC increased with increase in  $\phi$  of nanoparticles. Bianco et al. [307] investigated the turbulent forced convection flow of  $\text{Al}_2\text{O}_3$ -water nanofluid in a circular tube, subjected to a constant and uniform temperature at the wall. Two-phase mixture model was employed to simulate the nanofluid convection, and particles were assumed to be spherical with diameter of 38 nm. They found that convective HTC for nanofluids were greater than that of the base liquid, and the heat transfer enhancement increased with the increment of particle  $\phi$  and  $Re$ . Similar type of result was obtained by Hejazian and Moraveji [308], Yarmand et al. [309], Behroyan et al. [310], Colla et al. [311]. Moraveji et al. [312] investigated the effects of nanofluids (cooling performance and pressure drop) in micro channel heat sink. The results showed that HTC became greater with increase in nanoparticle  $\phi$  and  $Re$ . Abdellahoum et al. [313] presented a numerical investigation of turbulent forced convection of nanofluid over a heated cavity in a horizontal duct. They found that the average Nusselt number increased with  $\phi$  for all ranges of  $Re$ . Behesti et al. [314] numerically investigated the turbulent convection of  $\text{Al}_2\text{O}_3$ -water and  $\text{TiO}_2$ -water nanofluids inside an annular channel. Single-phase and two-phase models used for prediction of thermal behavior of the nanofluids. Result showed that Nusselt number increased with nanoparticle  $\phi$  and  $Re$ . They also observed that for all nanoparticle  $\phi$ , two-phase models shown better prediction to experimental data comparing to single-phase model. Hussein et al. [315] studied the forced convection heat transfer under turbulent flow by numerical simulation with uniform heat flux boundary condition. Friction factor and heat transfer enhancement of different types of nanofluids flow through horizontal three shapes of tubes evaluated numerically. The friction factor increased with increase in  $\phi$  of nanofluid and decreased with increase of  $Re$ . In addition, the friction factor of circular tube was higher than others followed by elliptical and flat tube. The HTC increased with increase of  $\phi$  and  $Re$ . They concluded that the flat tube has the highest values of HTC compared by elliptical and circular tube.

Kumar et al. [316] numerically investigated the heat transfer enhancement of  $\text{Al}_2\text{O}_3$ -water nanofluid through pipe, using single phase approach for constant wall temperature condition. Both the experimental and numerical prediction showed that the enhancement in the laminar regime was not as

significant as in the turbulent regime. Delavari and Hashemabadi [317] numerically studied heat transfer in nanofluids ( $\text{Al}_2\text{O}_3$  particles in water and EG-based fluid) passed through a flat tube in 3D using CFD for single and two-phase approaches in turbulent and laminar flow. As presented in their investigations, a small difference in the friction factors of the tube was observed between the two approaches and the two-phase model better predicted the Nusselt number for the experimental data than the single-phase approach. Additionally, whether in laminar or turbulent flow, the volumetric flow for the same heat transfer rate decreased and less pumping power was required for the nanofluids. Celen et al. [318] studied the pressure drop of pure water and  $\text{TiO}_2$ -water nanofluid flowing inside plain and micro-fin pipes by CFD analysis. Result showed that the pressure drop of  $\text{TiO}_2$ -water nanofluids was higher compared to pure water for both plain and microfin pipes. Pressure drop of  $\text{TiO}_2$ -water nanofluids flowing in microfin tube was greater than plain pipes. Ziaei-Rad [319] studied the laminar and turbulent forced convection heat transfer and pressure drop between horizontal parallel plates for  $\text{Al}_2\text{O}_3$ -water nanofluid. Result showed that the effect of nanoparticle  $\phi$  on hydraulic and thermal parameters was significant in laminar flow. The rate of heat transfer for the laminar flow with nanoparticles was greater than that of the base liquid. Rostamani et al. [320] numerically analyzed the turbulent flow of  $\text{CuO}$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{TiO}_2$  nanofluids with different  $\phi$  of nanoparticles flowing through a two-dimensional duct under constant heat flux condition. Result showed that by increase in  $\phi$ , the wall shear stress and heat transfer rate increased. For a constant  $\phi$  and  $Re$ , the effect of  $\text{CuO}$  nanoparticles to enhance the Nusselt number is better than  $\text{Al}_2\text{O}_3$  and  $\text{TiO}_2$  nanoparticles. Demir et al. [321] numerically investigated the forced convection for  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  nanofluids in a horizontal tube with constant wall temperature. Result showed that the use of nanofluids can significantly increase heat transfer capabilities even for relatively small  $\phi$ . Nanofluids with higher  $\phi$  have higher heat transfer enhancement and also have higher pressure drop.

In the present study, flow and heat transfer characteristics in a simplified DASC configurations is investigated. Two different methods are adopted, which calculate the fluid properties using single phase model and mixture phase model. Also, comparison of DASC performance is made between the results obtained using nanofluid property values taken from the literature vis-à-vis those

obtained from the in-house measurements. A set of mathematical model equations were first developed that represent the conservation laws. These equations are then solved using a commercial CFD software to obtain the flow variables throughout the computational domain. ANSYS FLUENT version 13.0 was used for all the simulations presented in this thesis. FLUENT uses a finite-volume discretization of the coupled mass, momentum and energy equations with an unstructured mesh to compute the flow dynamics within a given computational domain. This unstructured mesh allows for much easier mesh creation, especially with complicated geometries. Validation of CFD models is carried out by comparing numerical results with available benchmark experimental data.

### 4.3. Governing equations

In this study both the single-phase models and multiphase (mixture phase) models are used for solving the respective problems.

#### 4.3.1. Single phase model

A single-phase model for the nanofluid treats the medium as a homogeneous fluid with effective properties calculated by standard correlations available in the literature. The single-phase model equations include the equation of continuity, momentum equation and energy. The continuity and momentum equations are used to calculate velocity vector. The energy equation is used to calculate temperature distribution and wall HTC. The conservation equations solved to estimate the dependent flow variables (e.g., the flow velocity and temperature) are:

Continuity equation:

$$\nabla \cdot (\rho \vec{v}) = 0 \quad (106)$$

Momentum equation:

$$\nabla \cdot (\rho \vec{v} \vec{v}) = -\nabla p + \nabla \cdot (\mu_t \nabla \vec{v}) + \rho g, \text{ and} \quad (107)$$

Energy equation:

$$\nabla \cdot (\rho \vec{v} c_p T) = \nabla \cdot (k \nabla T) \quad (108)$$

#### 4.3.2. Mixture phase model

The mixture model is a simplified multiphase model that can be used in different ways. The mixture model allows for selecting granular phases and calculates all properties of the granular

phases. This is applicable for liquid-solid flows. Mixture model solves the continuity, momentum and energy equations for the mixture as well as a volume fraction equation for the secondary phases. Then it uses a correlation to calculate the relative velocity between the phases. The steady state governing equation describing a mixture fluid flow and heat transfer in micro channel are presented as follows [322].

**Continuity equation:**

$$\nabla \cdot (\rho_m v_m) = 0 \quad (109)$$

**Momentum equation:**

$$\nabla \cdot (\rho_m \cdot V_m \cdot V_m) = -\nabla p + \nabla \cdot (\mu_m \cdot \nabla V_m) + \nabla \cdot (\sum_{k=1}^n \varphi_k \rho_k V_{dr,k} V_{dr,k}) - \rho_{m,i} \beta_m g (T - T_i) \quad (110)$$

**Fluid energy equation:**

$$\nabla \cdot \sum_{k=1}^n (\rho_k \cdot C_{pk} \cdot \varphi_k \cdot V_k \cdot T) = \nabla \cdot (K_m \cdot \nabla T) \quad (111)$$

**Energy equation:**

$$\nabla \cdot (\sum_{k=1}^n \varphi_k \cdot \rho_k \cdot H_k \cdot \vec{V}_k) = -\nabla \cdot q_m - \tau_t : \nabla \vec{V}_m \quad (112)$$

Particle  $\varphi$  equation:

$$\nabla \cdot (\varphi_p \rho_p V_m) = -\nabla \cdot (\varphi_p \rho_p V_{r,p}) \quad (113)$$

Here,  $V_m$  denotes the mass average velocity, which can be defined as:

$$V_m = \frac{\sum_{k=1}^n \varphi_k \rho_k V_k}{\rho_m} \quad (114)$$

In Eq. (115),  $V_{dr,k}$  is the drift velocity for the secondary phase  $k$ , which is related to the relative velocity as:

$$V_{dr,k} = V_{pf} - V_m \quad (115)$$

Slip velocity is defined as the velocity of a secondary phase ( $p$ ) relative to the primary velocity ( $f$ ):

$$V_{pf} = V_p - V_f \quad (116)$$

The drift velocity is related to the relative velocity as:

$$V_{dr,p} = V_{pf} - \sum_{k=1}^n \frac{\varphi_k \rho_k}{\rho_m} V_{fk} \quad (117)$$

$$V_{pf} = \frac{\rho_p d_p^2}{18 f_{drag} \mu_f} \frac{(\rho_p - \rho_m)}{\rho_p} a^* \quad (118)$$

$$f_{drag} = \begin{cases} 1 + 0.15.Re_p^{0.687} & Re_p \leq 1000 \\ 0.0183.Re_p & Re_p > 1000 \end{cases} \quad (119)$$

where  $a^* = g - (V_m \cdot \nabla) \cdot V_m$  and  $Re_p = (V_m d_p) / V_{nf}$

From Eq. (118) the relative velocity can be determined, as proposed by Manninen et al. [323], while from Eq. (119) we can calculate the drag function, as proposed by Schiller and Naumann [324].

### 4.3.3. Thermo-physical properties of nanofluids

The fluid properties of nanofluids vary when nanoparticles are suspended in them. In this present simulation the thermo-physical properties of nanofluids are calculated from the following equations:

$$\text{Density} \left[ \frac{\rho_{nf}}{\rho_f} \right] = \varphi \rho_p + (1 - \varphi) \quad (120)$$

$$\text{Specific heat} \left[ \frac{C_{pnf}}{C_{pf}} \right] = \varphi C_{pp} + (1 - \varphi) \quad (121)$$

$$\text{Viscosity} \left[ \frac{\mu_{nf}}{\mu_f} \right] = \exp(4.91\varphi / (0.2092 - \varphi)) \quad (122)$$

$$\text{Thermal Conductivity} \left[ \frac{k_{nf}}{k_f} \right] = 1 + \left( \frac{Kp}{Kf} \right) \left( \frac{Ap}{Af} \right) + cPe \left( \frac{Kp}{Kf} \right) \left( \frac{Ap}{Af} \right) \quad (123)$$

where  $\left( \frac{Ap}{Af} \right) = \frac{d_f}{d_p} \frac{\varphi}{(1-\varphi)}$  and  $p_e = \frac{u_p d_p}{\alpha_f}$ , where  $u_p$  is the velocity of the particles  $u_p = \frac{2k_b T}{\pi \mu_f d_p^2}$ , where  $k_b$

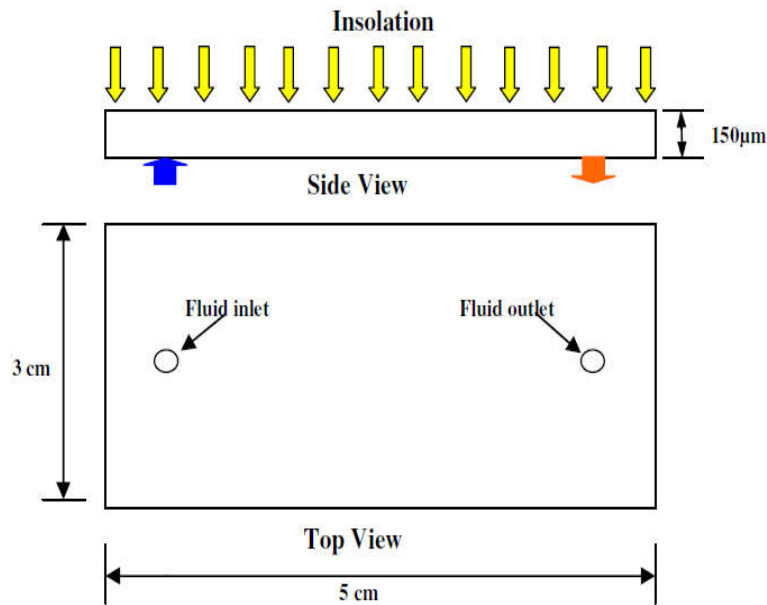
the Boltzmann constant,  $\varphi$  is the volume fraction of the nanoparticles,  $\rho$ ,  $C_p$ ,  $\mu$  and  $K$  are density, specific heat, viscosity and thermal conductivity respectively. Eq. (120) and (121) are based on the correlations from Pak et al. [112] and Maiga et al. [146] respectively. Eq. (122) was obtained for the calculation of viscosity Rea et al. [325], and Eq. (123) is the effective thermal conductivity of fluid has been determined by the model proposed Patel et al. [148]. Also the property values from Chapter 3 have been used and the performance of DASC is evaluated.

## 4.4. Performance analysis of direct absorption solar collector using multiphase model

### 4.4.1. Flow geometry and boundary condition

Figure 46 shows a schematic diagram (top view and side view) of the DASC having dimension of 150  $\mu\text{m}$  (height)  $\times$  3 cm (width)  $\times$  5 cm (length) used for computational domain. The collector channel is fed through an inlet port of 1 mm diameter. The  $\text{TiO}_2$  nanoparticle diameter was taken as 95 nm.

Both single phase and Mixture phase models were considered for this simulation with different physical properties (density, viscosity, specific heat and thermal conductivity). For mixture phase model water and TiO<sub>2</sub> is considered as primary phase and secondary phase, respectively. At the inlet port of the DASC, velocity was specified (calculated from  $Re$  which varies from 100-2000). The inlet temperature is assumed to be 22°C. Zero-slip velocity boundary condition was specified at all the walls. A specified heat flux (1000 W/m<sup>2</sup>) boundary was imposed on the top glass wall, emulating the direct solar radiation; bottom and side walls were considered adiabatic. The physical properties of required material are shown in the Table 6. Properties of water was calculated by interpolation method and the values were taken from [276].



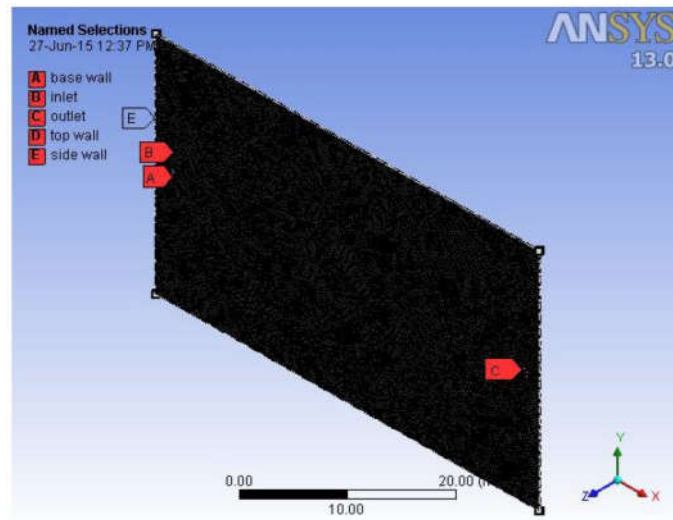
**Figure 46** Schematic of the computational domain in the DASC Collector.

**Table 6. Physical properties of water and nanoparticles.**

Properties/Particles	Water	Al <sub>2</sub> O <sub>3</sub>	TiO <sub>2</sub>
Density (Kg/m <sup>3</sup> )	997.6	3970	4250
Specific heat (J/kg. K)	4181.2	765	686.5
Conductivity (W/m. K)	0.6016	40	8.95
Viscosity (Kg/m s)	0.000963		



Structured meshing method done in the ANSYS Workbench was used for meshing the geometry. Several grid sizes have been used for the grid independence test. The grid size for the present calculation consists of 35352 numbers of nodes and 104140 numbers of elements (in  $x$ ,  $y$  and  $z$  directions) were found to be suitable for calculations by comparing with other two grid sizes (70345 and 150385). In Figure 47 the meshed profiles of the geometry have shown with different boundary name.



**Figure 47** Meshed profile of the DASC geometry.

#### 4.4.2. Performance parameters

As it discussed earlier, FLUENT 13.0 was used to solve the numerical solution. SIMPLE scheme and standard initialization method was taken to solve this geometry. After solving the governing equations, the other useful quantities such as average  $Nu$ , Pressure drop and average HTC can be determined by the following equations.  $Nu$  can be obtained by using eq. (124), HTC calculated as eq. (125),  $Re$  calculated as eq. (126) and pressure drop as eq. (128):

$$Nu = \frac{hD}{K_{nf}} \quad (124)$$

$$h = \frac{q''}{(T_w - T_m)} \quad (125)$$

$$Re = \frac{\rho \cdot v \cdot D}{\mu}, \quad (126)$$

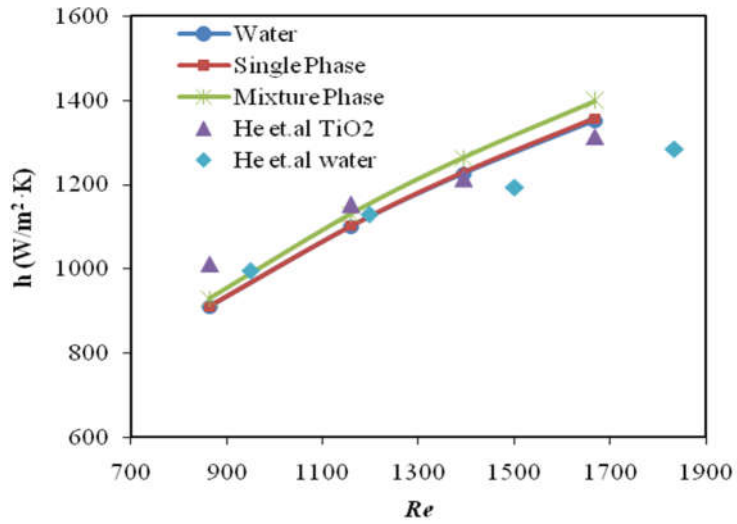
where  $v$  denotes the average flow velocity calculated as

$$v = \frac{2}{R^2} \int_0^R u(r) dr \quad (127)$$

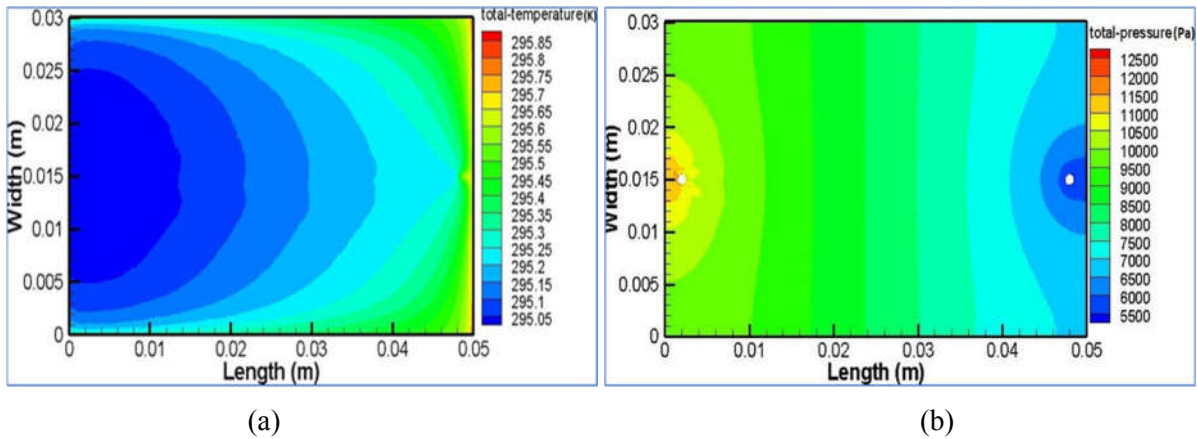
$$\Delta P = P_{inlet} - P_{outlet} \quad (128)$$

#### 4.4.3. Results and Discussion

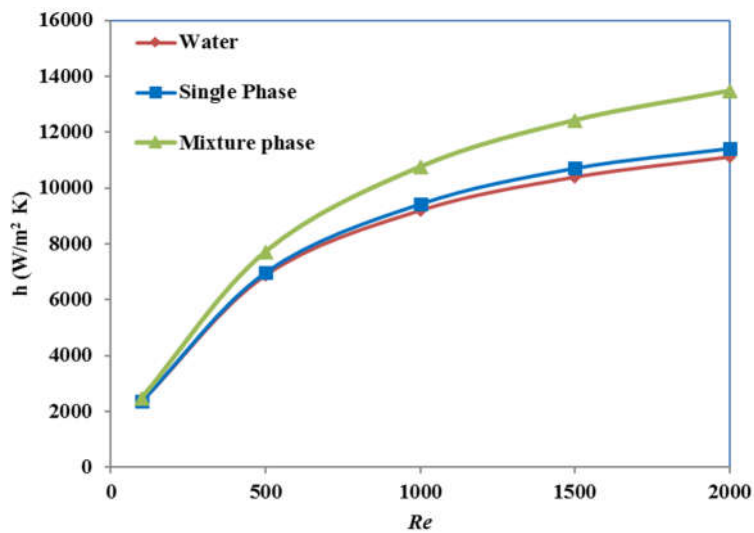
Figure 48 shows, for validation of the present simulation, the comparison of HTC vs.  $Re$  plots for water and  $TiO_2$  nanofluids between the present study (single phase and mixture phase model) and the experimental work of He. et al. [87] where a cylindrical geometry has been chosen. The value of numerical HTC results matches well with the experimental one. Convective HTC has been calculated at a normalized distance of  $x/D = 151$  from the inlet plane of the cylindrical configuration. As can be seen from Figure 48, the convective HTC increases with  $Re$ . Here the mixture phase model shows better enhancement of HTC than the single-phase model. Figure 49 shows the contour plot of temperature and gauge pressure at a mid-plane position ( $z = 75 \mu m$ ) in the DASC flow for  $TiO_2$  nanofluid ( $\phi = 2.0 \%$ ). The nanofluid properties were considered using the mixture phase model and a  $Re = 1500$ . The average HTC was computed based on the computed bulk mean temperature of the nanofluid flowing and the temperature of the top surface where the heat-flux boundary condition was specified. Figure 50 shows the variation of HTC with  $Re$ , which indicates that with the gradual increase of  $Re$  at a given  $\phi$ , the average HTC also increased. The average HTC was found to yield a very small enhancements at low  $Re$ . Mixture phase model shows a better enhancement of average HTC (compared to the single-phase model). Figure 51 shows the comparison between average  $Nu$  and  $Re$  for water, single phase and water, single phase and mixture phase model, and  $\phi$  is considered as 2.0 %. It was observed that  $Nu$  increases as the value of  $Re$  increased. For higher  $Re$  the mixture model gives better enhancement than others. Little enhancement of  $Nu$  was found at low  $Re$  for all models. It is interesting to note that the single-phase model significantly underpredicts the  $Nu$ . At  $Re > 500$ . The HTC at  $500 < Re < 2000$  falls slightly even below that with pure water. This may be attributed to the fact that the increment of  $k$  exceeds the increase in HTC. For  $\phi = 2.0 \%$ , and temperature of  $25 \text{ }^\circ\text{C}$ , estimation of nanofluid thermophysical parameters using single phase model predicts a  $Pr$  of 6.25 for the nanofluid, as opposed to 6.69 for water. For a given  $Re$ , this leads to a 2 % reduction in the estimated  $Nu$ . On the contrary, the mixture phase model estimates the local thermophysical properties based on local particle  $\phi$  and reflects more realistic enhancement in HTC.



**Figure 48** Comparison of HTC (at  $x/D = 151$ ) with  $\text{TiO}_2$ -water nanofluid ( $\phi = 0.6 \%$ ) between the present simulation and He et al. [87].

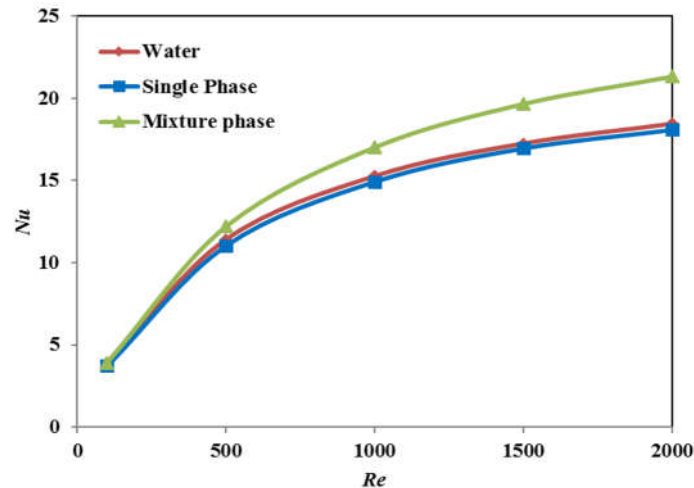


**Figure 49** Flow profile of  $\text{TiO}_2$  nanofluid ( $\phi = 2.0 \%$ ) along the  $z = 75 \mu\text{m}$  plane: (a) temperature contours and (b) gauge pressure contour, at  $Re = 1500$ , for mixture model.

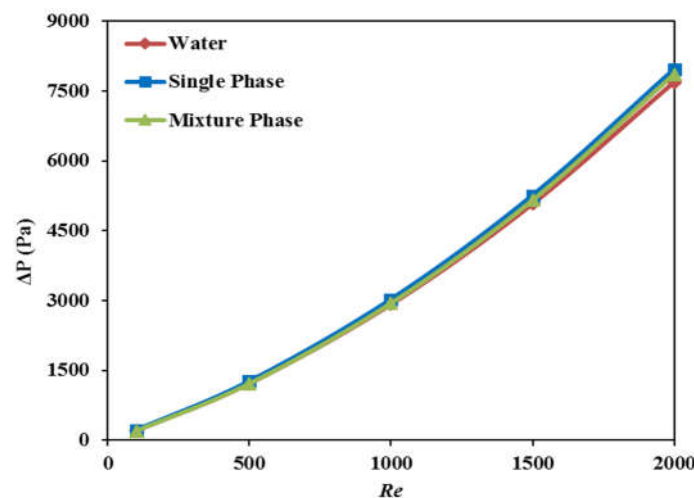


**Figure 50** Average HTC as a function of  $Re$  at  $\phi = 2.0 \%$  for water, single-phase and mixture-phase model.

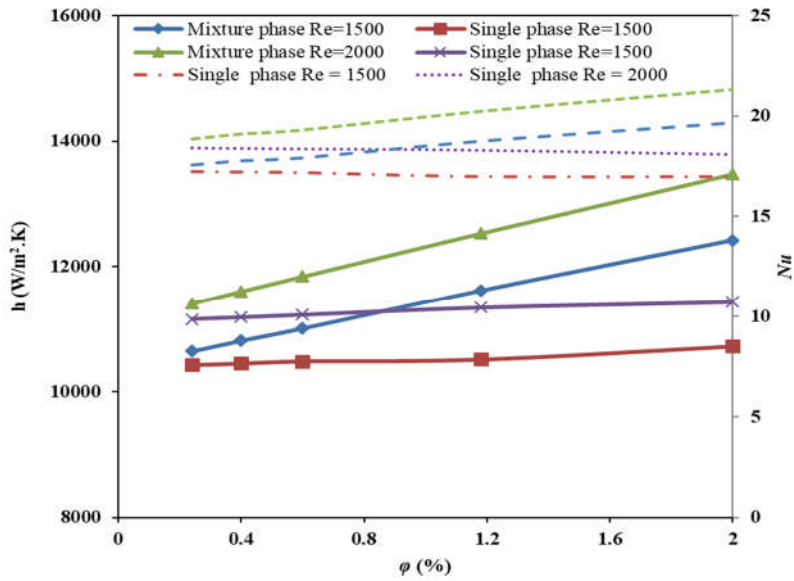
Figure 52 shows the variation of pressure drop for different  $Re$  for water, single and mixture phase model at constant  $\phi = 2.0\%$ . Pressure drop increases as  $Re$  increased for water and for nanofluids with both the models. Very small difference in pressure drop for all models was observed. Figure 53 shows the comparison of average HTC and average Nusselt numbers with different  $\phi$  for constant  $Re$  at 1500 and 2000, applying both single phase and mixture phase models. The average HTC and Nu both increase as the  $\phi$  and  $Re$  increases. Single phase model shows very less enhancement of HTC and Nu than that predicted by the mixture phase model for different  $Re$ . It is observed from Figure 54 that with increase in  $Re$  average HTC and Nu increase for a particular  $\phi$ . Moreover, average HTC and Nu of the collector increases with increase in  $\phi$ . At  $Re = 2000$ , the HTC increases by 18.12% if the  $\phi$  increases from 0.24% to 2.0%, for mixture phase model.



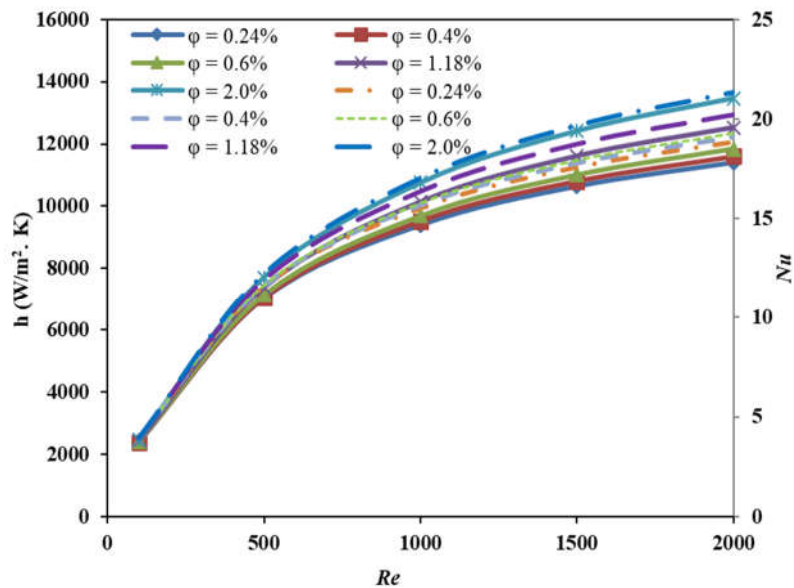
**Figure 51** Average  $Nu$  as a function of  $Re$  at  $\phi = 2.0\%$  for water, single phase and mixture phase model.



**Figure 52** Pressure drop as a function of  $Re$  with  $\phi$  of 2.0% mixture phase model.



**Figure 53** Average HTC and average Nusselt number as a function of  $\phi$  with constant  $Re$  of 1500 and 2000 for single phase and mixture phase model (dotted line Represents  $Nu$  Vs.  $\phi$ ).

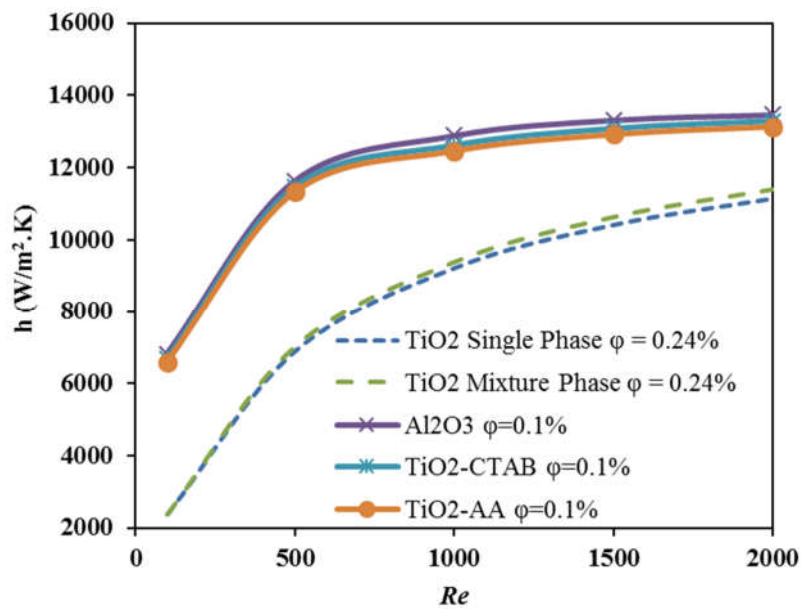


**Figure 54** Average HTC and average Nusselt number as a function of  $Re$  for mixture phase model at different  $\phi$  (dotted line represents  $Nu$  vs.  $Re$ ).

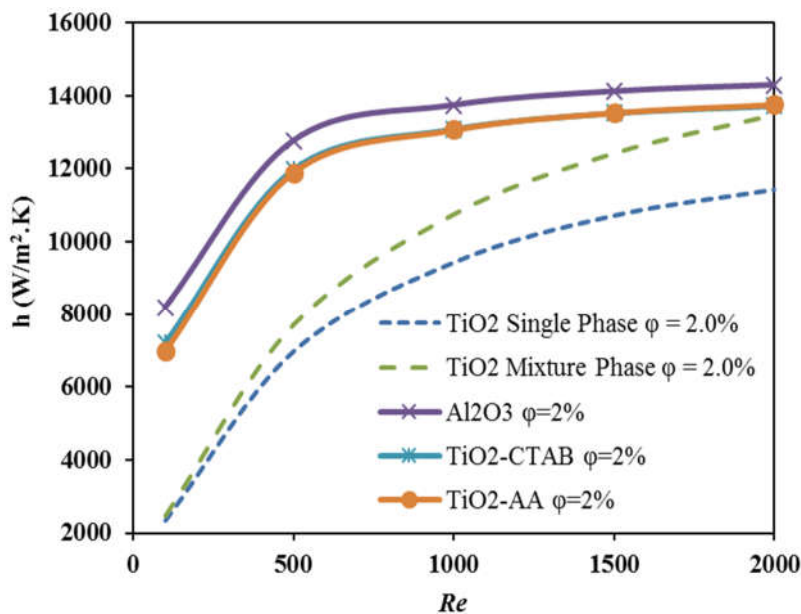
#### 4.4.4. DASC performance using in-house property values

Figure 55 shows the comparison of HTC with  $Re$  for two different nanofluids ( $TiO_2$  and  $Al_2O_3$ ) and  $\phi$  (0.1 % and 0.24 %) for single phase and mixture phase models. HTC values for  $TiO_2$ -CTAB,  $TiO_2$ -AA and  $Al_2O_3$ -water nanofluids are predicted by using the nanofluid property values

taken from the literature (considering the single-phase model with  $\phi = 0.1\%$ ), vis-à-vis those from the experiments performed in house. The obtained result was also compared with the single phase and mixture phase models at  $\phi = 0.24\%$  for TiO<sub>2</sub> nanofluids. The results indicate that the HTC calculated from the experimentally-obtained property values value is more than the that using the theoretical property values. Similarly, Figure 56 shows the comparison of HTC vs  $Re$  plots for the cases with the experimental value-based HTC and theoretical value at  $\phi = 2.0\%$ . As the value of  $Re$  increases, HTC



**Figure 55** HTC vs  $Re$  for different nanofluids at low  $\phi$ .



**Figure 56** HTC vs  $Re$  for different nanofluids at higher  $\phi$ .

increases, and Al<sub>2</sub>O<sub>3</sub>-water nanofluid shows higher HTC than TiO<sub>2</sub> nanofluids. This corroborates to the observation in Chapter 3, where the thermal conductivity of Al<sub>2</sub>O<sub>3</sub>-water nanofluid was found to be higher than the TiO<sub>2</sub> nanofluids. The results of Figure 56 also shows that, the HTC values using the experimentally observed property data exceeds those obtained using the single-phase and mixture-phase models adopted from the literature. However, at higher  $Re$ , the difference between the HTC values decrease.

Results from the numerical simulations indicate that the DASC exhibits higher thermal performance when the thermophysical property data measured in-house are used for the nanofluids. This trend is particularly higher at low  $\phi$  and low  $Re$ , when the effect of dynamic fluctuations of the nanoparticles become more tangible.

## **CHAPTER 5**



## 5. CONCLUSION AND RECOMENDATION FOR FUTURE WORK

Synthesis and characterization of few relevant nanofluids for application in direct absorption solar collectors (DASC) have been performed. Although the literature is rich in studies on thermophysical properties of nanofluids, the results are often inconclusive or contradictory. Therefore, a comprehensive characterization of the properties was warranted for accurate performance prediction of the DASC. The following section highlights the salient findings of the study.

### 5.1. Synthesis and characterization of $\text{TiO}_2$ , $\text{Al}_2\text{O}_3$ , and $\text{TiO}_2$ (anatase) nanoparticles

- $\text{TiO}_2$ ,  $\text{Al}_2\text{O}_3$ , and  $\text{TiO}_2$ -(anatase) nanoparticles were suspended in water for preparing nanofluid by two-step method. Nanofluids with different particle volume fractions ( $\phi = 0.1\%$ ,  $0.5\%$ ,  $1.0\%$ ,  $1.5\%$  and  $2.0\%$ ) were prepared. Different surfactants were tried for stabilizing the nanofluids, e.g., acetic acid (AA), sodium dodecylbenzenesulfonate (SDBS), oleic acid (OA), sodium dodecyl sulfate (SDS), and cetyl trimethyl ammonium bromide (CTAB). Transmission electron microscopy (TEM), Dynamic light scattering (DLS), Zeta potential (ZP) were performed on the synthesized nanofluids to characterize the particle morphology, size distribution, and the nanofluid-stability, respectively.
- For  $\text{TiO}_2$  nanofluid, CTAB and AA showed the best stabilization (stable for exceeding 500 h). TEM data showed a particle size in the range of 10–40 nm, while the DLS measurement indicated the existence of stable clusters having average size of 147 nm and 207 nm.
- For  $\text{Al}_2\text{O}_3$  nanofluid, SDBS surfactant offered the best stabilization for the  $\text{Al}_2\text{O}_3$ -water nanofluids. TEM image of  $\text{Al}_2\text{O}_3$ -water and  $\text{Al}_2\text{O}_3$ -SDBS-water nanofluids indicated the presence of rod-like nanoparticles ranging between 20–70 nm. DLS measurement of the nanofluid samples showed that the number-averaged particle sizes for the non-surfacted and surfacted nanofluids were 222 nm and 75 nm, respectively, while the polydispersity indices for the samples were 1.23 and 1.19, respectively. Presence of surfactant lowered the extent of clustering and ensured a better homogeneity of particle size distribution; albeit, effective particle diameter was found to be slightly higher due to the presence of surfactant layers.

- For TiO<sub>2</sub> (anatase) nanofluids, CTAB and SDS were found to provide stable suspensions. TEM images of the SDS and CTAB-stabilized TiO<sub>2</sub> nanofluids indicated the presence of sphere-like nanoparticles of size ranging between 10–40 nm. DLS measurements showed that the number-averaged particle sizes for the surfacted nanofluids were 265 nm and 226 nm with SDS and CTAB stabilization, respectively.
- The value of zeta potentials of TiO<sub>2</sub>-AA, TiO<sub>2</sub>-CTAB, Al<sub>2</sub>O<sub>3</sub>-water, and Al<sub>2</sub>O<sub>3</sub>-SDBS based nanofluids were 29.5, 14.1, 40.3 and 25.5 mV, respectively. From these zeta potential values, it was confirmed that the nanofluids produced stable suspensions.

## 5.2. Thermophysical property measurements of the prepared nanofluids

### 5.2.1. TiO<sub>2</sub> nanofluids

- Thermal conductivity of the CTAB- and AA-stabilized TiO<sub>2</sub>-nanofluid increased monotonically with  $\phi$  and temperature. At  $\phi = 2.0$  %, and  $T = 60$  °C, the enhancement in effective thermal conductivity was 6 %, for AA-based nanofluids.
- Viscosity of the TiO<sub>2</sub> nanofluids increased with its  $\phi$  and decreased with temperature. The nanofluid viscosity also showed mild shear thickening at a constant temperature for both CTAB and AA surfactant-stabilized nanofluid beyond a critical shear rate. The critical shear rate decreased with increase in temperature and increased with increase in  $\phi$ .
- pH of the nanofluids decreased with increase in temperature and  $\phi$ .

### 5.2.2. Al<sub>2</sub>O<sub>3</sub> nanofluids

- Thermal conductivity of Al<sub>2</sub>O<sub>3</sub>-nanofluids was found to increase monotonically with  $\phi$  and temperature. At low  $\phi$  (below  $\phi = 1.0$  %), the measured  $k_{nf}$  exceeded the predicted values using standard models. Dynamic contribution arising out of the particle Brownian motion have been attributed to this deviation.
- The viscosity of Al<sub>2</sub>O<sub>3</sub>-water and Al<sub>2</sub>O<sub>3</sub>-SDBS-water nanofluid increased with  $\phi$  and decreased with temperature. The increase of viscosity with  $\phi$  was far more pronounced in surfacted nanofluids than the non-surfacted ones. This was attributed to the formations of

multilayer adsorption of the surfactant molecules on the surface of nanoparticles and presence of scattered micelle of the surfactant in the nanofluid at high  $\varphi$ , augmenting the viscosity.

- Prandtl number ( $Pr$ ) of the nanofluids decreased monotonically with temperature, as viscosity decreased and thermal conductivity increased with temperature. The  $Pr$  of the non-surfacted nanofluids exhibited little dependence on  $\varphi$ , while that for the surfacted nanofluid increased considerably with  $\varphi$  (and hence the SDBS concentration). Depending upon the particle loading and SDBS concentration,  $Al_2O_3$  nanofluids offer a wide range of  $Pr$  to suite specific heat transfer applications.

### 5.2.3. $TiO_2$ (anatase) nanofluids

- The measured thermal conductivity of the nanofluids increased monotonically with  $\varphi$  and temperature. The maximum enhancement in thermal conductivity of nanofluids is 5.8 %, at  $\varphi = 1$  % and temperature of 60 °C for SDS based nanofluids with respect to that of the base fluid.
- Viscosity of both the SDS- and CTAB-stabilized nanofluids increased to a very small extent with  $\varphi$  and decreased monotonically with temperature.

Besides describing the important thermophysical characteristics, the study also provided a few important insights for preparing anatase nanofluids with favourable thermophysical properties. For example, it ensued from the study that the effect of variation of particle loading on viscosity is less pronounced with CTAB than that with SDS. Also, the CTAB-surfacted nanofluid exhibited slightly lower viscosity than the SDS-stabilized one over the temperature range of 15–60 °C. Therefore, for better operation of a heat transfer device deploying nanofluid, it is imperative to use CTAB, instead of SDS, for stabilizing the nanofluids at relatively high particle loading. The ratio of thermal conductivity to viscosity is also higher at lower  $\varphi$ . Therefore, for optimizing heat transfer related applications, e.g., in forced flow heat exchangers, it is more prudent to work at the  $\varphi$  in the range of 0.1 %.

### 5.3. Nanofluid surface tension and contact angle on solid substrates

The surface tension and contact angles of water-based nanofluids were measured experimentally.

- Surface tension of nanofluids decreased with increase in  $\phi$  as well as temperature.
- TiO<sub>2</sub>-AA stabilized nanofluid showed significantly higher surface tension compared to that by TiO<sub>2</sub>-CTAB stabilized nanofluid for same  $\phi$  and  $T$ .
- Al<sub>2</sub>O<sub>3</sub> water nanofluid showed higher surface tension than Al<sub>2</sub>O<sub>3</sub>-SDBS stabilized nanofluid.
- SDS-stabilized nanofluids have higher surface tension than CTAB-stabilized nanofluids.
- At a given temperature, surface tension of nanofluids decreased with increase in  $\phi$ . TiO<sub>2</sub>-AA and Al<sub>2</sub>O<sub>3</sub>-water have higher surface tension than TiO<sub>2</sub>-CTAB, and Al<sub>2</sub>O<sub>3</sub>-SDBS based nanofluids.
- Results indicate that contact angle of surfacted-water solutions (without the nanoparticles) were more than the corresponding nanofluids, and the value of contact angle of TiO<sub>2</sub>-AA, TiO<sub>2</sub>-CTAB, Al<sub>2</sub>O<sub>3</sub>-water, nanofluids decreased with increase in  $\phi$ .

### 5.4. Application of nanofluid on direct absorption solar collector (DASC)

Forced convection heat transfer due to flow of different nanofluids was studied numerically under various configurations and boundary conditions that are relevant to solar thermal applications. The governing transport equations (continuity, momentum and energy) were solved numerically using a commercial CFD software, ANSYS FLUENT 13.0. Both the single phase and mixture phase models built-in the software were employed to analyze heat transfer performance of nanofluids. Nanofluid properties were either adopted from the existing literature or taken from the in-house data from the measurements. All the thermo-physical properties of nanofluids were assumed to be temperature-dependent.

- Performance analysis of direct absorption solar collector (DASC) was simulated. Heat transfer in forced-flow arrangement has been investigated on DASC configuration. The effects of nanoparticle concentrations and flow rate were investigated. It was found that

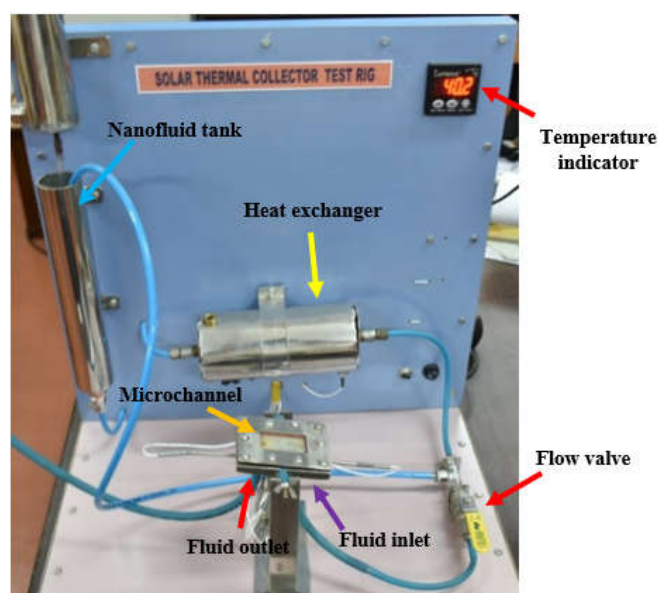
average HTC increased with increase in  $\phi$  and flow rate. Mixture phase model showed better enhancement of  $Nu$  and average HTC compared with single phase model for  $TiO_2$ -nanofluid.

- Results from the numerical simulations indicate that the DASC exhibits higher thermal performance when the thermophysical property data measured in-house are used for the nanofluids. This trend is particularly higher at low  $\phi$  and low  $Re$ , when the effect of dynamic fluctuations of the nanoparticles becomes more tangible.

An experimental setup comprising of a DASC and flow loop has been developed in-house to investigate the performance improvement of DASC using different nanofluids (see the next section).

### 5.5. Recommendations for future research

The present work has provided a comprehensive characterization of the thermophysical properties of a few nanofluids and a numerical study portraying the thermal performance of representative heat transfer device configurations using those nanofluids. Figure 57 shows the image of a nanofluid-based table-top DASC loop experimental setup developed for measurement of heat transfer performance of different nanofluids. Comprising of a DASC module, a circulation pump, a thermostatic bath and temperature controller, the setup is capable of operating under sunlight or artificial halogen light and logging the temperature and the flow data for estimation of overall system thermal performance. The following future works are proposed.



**Figure 57** Experimental setup for performance study of a DASC setup using nanofluid.

- The thermal performance of the direct absorption solar collector (DASC) module using the nanofluids characterized in this thesis needs to be investigated as the immediate future work. As a future extension, more types of nanofluids, e.g., Cu, CuO, carbon nanofiber (CNF) nanofluid, etc may be tested in the DASC setup. Also, the performance of heat transfer and thermosiphonic pumping using magnetic nanofluid is prescribed as a future exercise.
- In the numerical simulation side, the current model does not consider radiation heat transfer due to absorption by the nanoparticles. This may be included in the future investigations through incorporating appropriate radiation model and invoking the user-defined function (UDF) in FLUENT. Also, different multiphase models may be tested. The result obtained can be compared with experimental result.
- Ferrofluid, a nanofluid of superparamagnetic ferrous nanoparticles in a nonmagnetic liquid, can be used as DASC fluid and temperature-dependent magnetic susceptibility gradient of ferrofluid to create an unbalanced Kelvin body force to pump the fluid through the DASC can be harnessed.
- As a long-term roadmap, coupling the nanofluid-based STCs with different engineering applications, e.g. organic Rankine cycle (ORC) for generating power, may be studied. This will show directions of developing energy-efficient systems that will deploy nanofluids for reducing the use of conventional energy and consumption of fossil fuel.

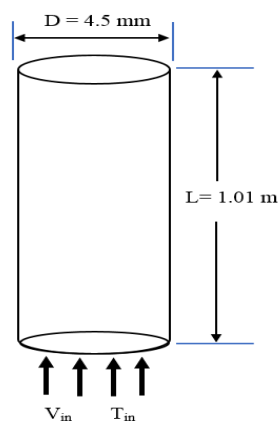
## Appendix

### Numerical simulation in benchmark configurations

#### A. Simulation of laminar forced convection heat transfer of Cu-water nanofluids inside a vertical tube

##### (a) Flow geometry, grid and boundary conditions

Figure 58 shows a schematic representation of the flow configuration. A cylindrical geometry of diameter ( $D$ ) of 4.5 mm and length ( $L$ ) of 1.01 m was chosen for the simulation. Considering the axisymmetric nature of the flow, a two-dimensional CFD model is developed based on single phase fluid system. For the simulation of steady state flows in a vertical tube, the thermal performance of two different nanofluids and pure water, as base fluid passing through it. The nanofluid properties were chosen from the literature [326] to mimic  $\text{Al}_2\text{O}_3$ -water and Cu-water suspensions having  $\sim 100$  nm diameter. The fluid enters with a uniform axial velocity and the inlet temperature was chosen at 295 K. The nanofluid was assumed as a single-phase fluid with specified physical properties (density, viscosity, specific heat and thermal conductivity). The density of  $\text{Al}_2\text{O}_3$  and Cu nanoparticles was 3920 and 8954  $\text{kg/m}^3$  respectively. Specific heat of  $\text{Al}_2\text{O}_3$  and Cu were 880 and 383.1 J/kg. K, respectively. The thermal conductivity of  $\text{Al}_2\text{O}_3$  and Cu were 40 and 401 W/m. K, respectively. The properties of water were taken from standard handbook [276]. On the tube wall, no-slip condition and a constant heat flux were imposed following Saberi et al. (200 W) [326]. The geometry and the grid were generated using Ansys fluent 13.0. A grid with 400 nodes for z-direction and 40 nodes in r-direction was found to produce grid-independent result for this configuration.

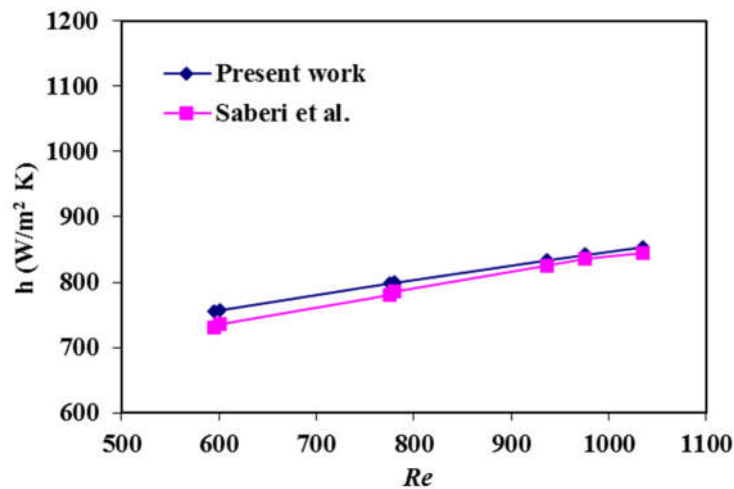


**Figure 58** Schematic representation of the pipe flow configuration.

## (b) Results and Discussion

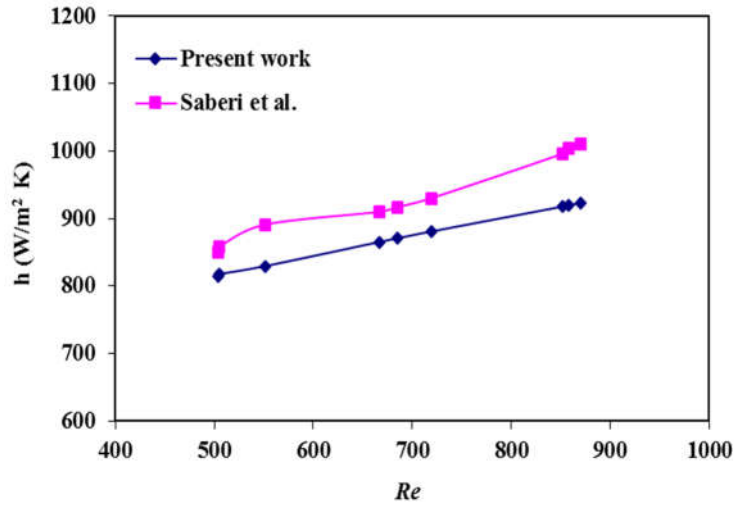
Considering the heat flux values, pipe dimensions and the thermophysical properties assumed herein, the Grashof number for the internal flow inside the vertical tube is estimated to be  $\sim 200$ . This implies that the heat transfer is primarily by force convection. This implicitly means that the vertical orientation does not influence the result. The average Nusselt number ( $Nu$ ) and HTC of nanofluids were calculated using the following Eq. (127) and (128) respectively.  $Re$  for this flow configuration were calculated from Eq. (129).

Figure 59 and Figure 60 shows a comparison of present work with Saberi et al [326]. Figure 59 shows the variation of HTC and  $Re$  for water, while the Figure 60 shows the variation of HTC and  $Re$  for  $Al_2O_3$ -water nanofluid at  $\phi$  of 1.32 %. Figure 61 shows the variation of convective HTC of Cu-water nanofluid (0.64 %, 1.32 % and 2.76 %) with different  $Re$ . The HTC increases according to the increase of  $\phi$  and  $Re$ . Figure 62 shows the comparison between the convective HTC of water,  $Al_2O_3$ -water and Cu-water nanofluid ( $\phi = 1.32$  %) with  $Re$ . Comparing between these two nanofluids and water, Cu-water nanofluid has shown a good increment of HTC. Figure 63 shows the comparison of Nusselt number verses  $Re$  for water,  $Al_2O_3$ -water and Cu-water nanofluid with  $\phi = 2.76$  %. The results show that the relative differences between average Nusselt number of water and nanofluid inside the tube was increased as the  $Re$  increased.  $Al_2O_3$ -water nanofluid ( $\phi = 2.76$  %) shows better Nusselt number compared to Cu-water nanofluid ( $\phi = 2.76$  %) and water.

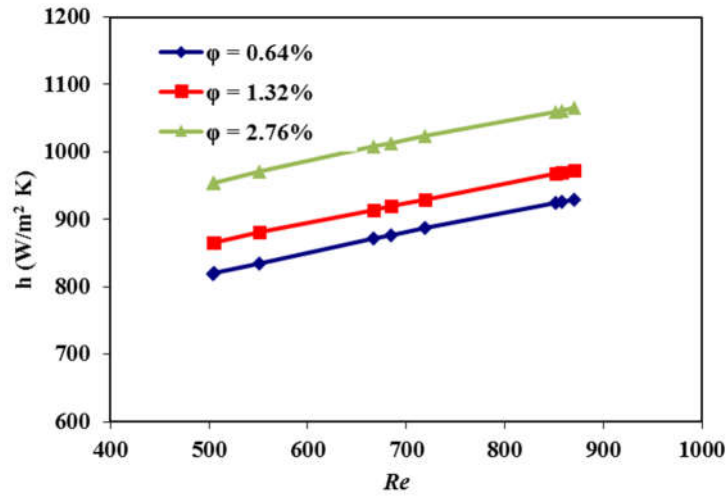


**Figure 59** Comparison of convective HTC of water with different  $Re$ .

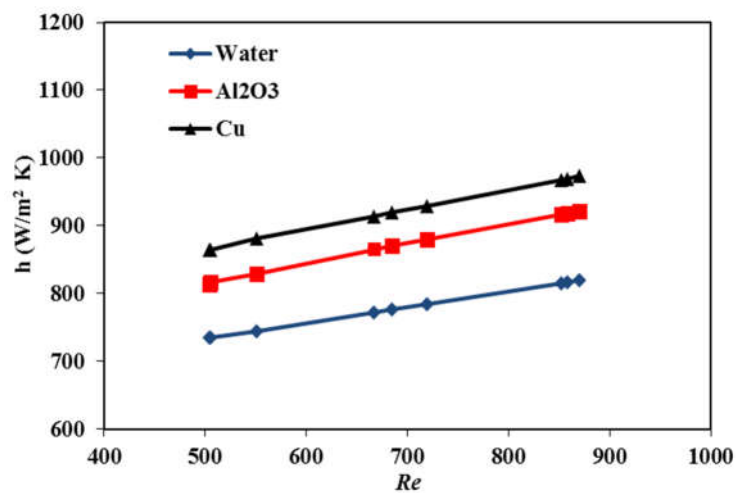




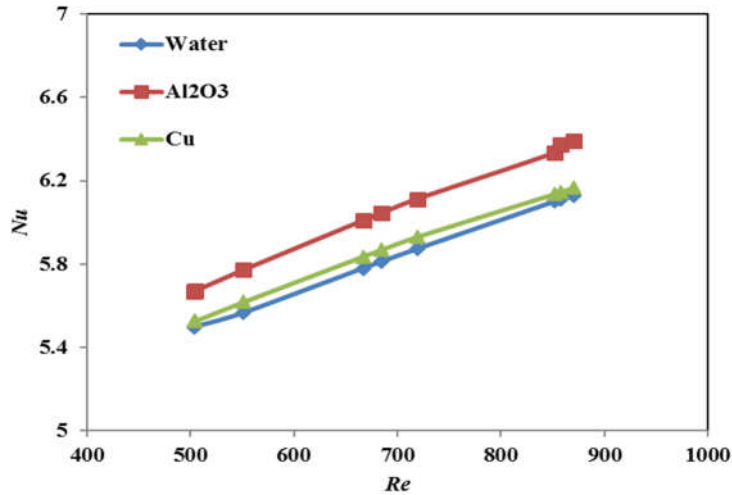
**Figure 60** Comparison of convective HTC of Al<sub>2</sub>O<sub>3</sub>-water ( $\phi = 1.32\%$ ) nanofluid with  $Re$ .



**Figure 61** Variation of convective HTC of Cu-water nanofluids at different  $\phi$ , with  $Re$ .



**Figure 62** Comparison of convective HTC of water, Al<sub>2</sub>O<sub>3</sub>-water and Cu-water nanofluid ( $\phi = 1.32\%$ ) with  $Re$ .



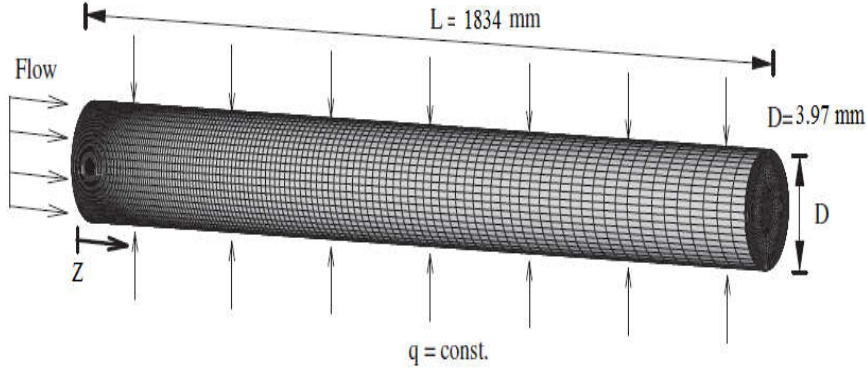
**Figure 63** Comparison of Nusselt numbers of water, Al<sub>2</sub>O<sub>3</sub>-water and Cu-water nanofluid ( $\phi = 2.76$  %) with  $Re$ .

The foregoing study of laminar forced convection heat transfer of Cu-water and Al<sub>2</sub>O<sub>3</sub>-water nanofluids inside the vertical tube, with constant heat flux boundary condition, shows that the HTC and Nusselt number increases with increase in  $Re$  as well as  $\phi$ . Wall shear stress also increases with increase in  $Re$  and  $\phi$ . For the same  $Re$  and  $\phi$ , Cu-water nanofluid shows better heat transfer than that of Al<sub>2</sub>O<sub>3</sub> nanofluid, while the wall shear stress is more for Al<sub>2</sub>O<sub>3</sub> nanofluid at a particular flow condition.

## **B. Numerical investigation of HTC of nanofluids flow through a vertical pipe**

### **(a) Flow geometry and boundary conditions**

A vertical circular pipe was modelled and simulated by CFD method. The diameter ( $D$ ) and length ( $L$ ) of the pipe was 3.97 mm 1834 mm respectively. Thermal performance of two different nanofluids and pure water as base fluid passing through the pipe were studied. Two nanofluids were considered i.e., Al<sub>2</sub>O<sub>3</sub>-water and TiO<sub>2</sub>-water, and particle diameter was taken as 95 nm. The nanofluid for this simulation was considered as single-phase fluid with different physical properties. At inlet of the pipe, average flow velocity was calculated from  $Re$ , which was varied from 100-7000. Several grid sizes have been tried when a mesh comprising 50,000 nodes and 197166 elements were found to produce grid-independent results.



**Figure 64** Flow geometry and numerical grid distribution of the model.

### (b) Turbulence model

The governing equations for laminar flow is already discussed in Chapter 4. For turbulent flow regimes in the present numerical analysis,  $k$ - $\varepsilon$  turbulent model was applied with enhanced wall functions. The  $k$ - $\varepsilon$  turbulent model announces two additional equations; i.e. turbulent kinetic energy and rate of dissipation [327]. The equations for turbulent kinetic energy ( $k$ ) and rate of dissipation ( $\varepsilon$ ) are given by the following equations.

$$\nabla \cdot (\rho \cdot k \cdot \vec{V}) = \nabla \cdot \left[ \left( \mu + \frac{\mu_{tu}}{\sigma_k} \right) \cdot \nabla k \right] + G_k - \rho \cdot \varepsilon \quad (129)$$

$$\nabla \cdot (\rho \cdot \varepsilon \cdot \vec{V}) = \nabla \cdot \left[ \left( \mu + \frac{\mu_{tu}}{\sigma_\varepsilon} \right) \cdot \nabla \varepsilon \right] + C_{1\varepsilon} \cdot \left( \frac{\varepsilon}{k} \right) \cdot G_k + C_{2\varepsilon} \cdot \left( \frac{\varepsilon^2}{k} \right) \cdot \rho \quad (130)$$

where  $G_k$  represents the generation of turbulent kinetic energy due to mean velocity gradients;  $\sigma_k$  and  $\sigma_\varepsilon$  are effective Prandtl numbers for turbulent kinetic energy and rate of dissipation, respectively;  $C_{1\varepsilon}$  and  $C_{2\varepsilon}$  are constants; and  $\mu_{tu}$  is the eddy viscosity.

$$\mu_{tu} = \rho \cdot C_\mu \cdot \frac{k^2}{\varepsilon} \quad (131)$$

Here  $C_\mu$  is a constant and its value is 0.09. Some constants are used in Eq. (120) and (121). Whose values are  $C_{1\varepsilon} = 1.92$ ,  $C_{2\varepsilon} = 1.92$ ,  $\sigma_k = 1.0$ , and  $\sigma_\varepsilon = 1.3$ . Further information is available in Launder and Spalding [328].

### (c) Thermophysical properties

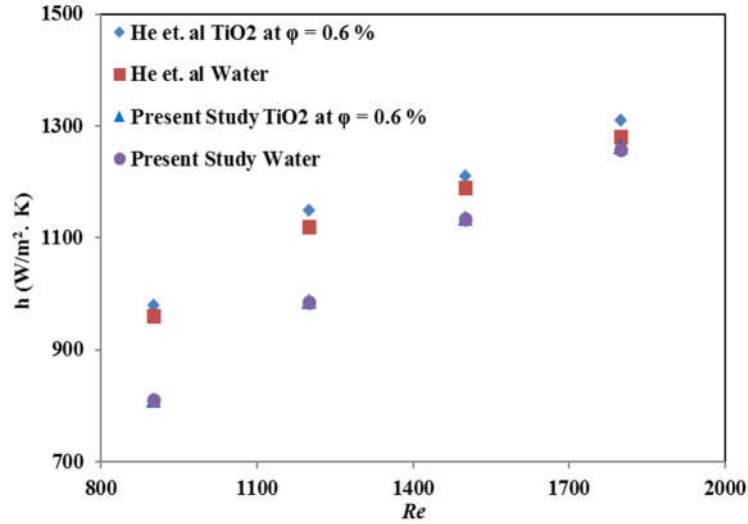
As already mentioned before, a single-phase model was considered for representing the thermophysical properties of the nanofluid. It is important to note that all properties of a given

nanofluid will depend on the  $\phi$  and the corresponding properties of the base fluid and nanoparticles. The density and specific heat of the nanofluid were calculated from the individual properties of the host fluid and the nanoparticles, using the Eq. (123) and Eq. (124), respectively. Since the properties of base fluid are temperature dependent, the corresponding properties of the nanofluids are also temperature dependent.

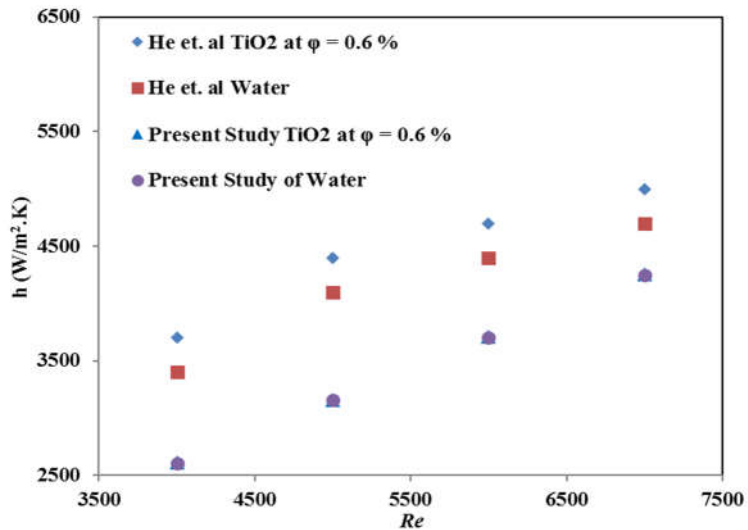
The effective thermal conductivity and viscosity of nanofluids were determined by using Maxwell [49] and Einstein [183] models, mentioned in Eq. (1) and Eq (33), respectively (both are different from the models chosen in the previous simulation). Inlet temperature was taken as 22 °C and heat flux at the wall was taken according to the experimental data [87]. The wall motion and the shear conditions are set to be stationary and no-slip respectively. Standard  $k$ -epsilon model was selected for turbulent flow. At the inlet, the average flow velocity was specified, while the turbulence intensity and hydraulic diameter of 4 % and 0.00397 m were chosen, respectively, as the  $k$  and the epsilon boundary conditions. Pressure outlet was selected as the outflow boundary condition. The properties of water were taken by interpolation method from [276]. Other properties of Al<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> nanoparticles shown in Table 6. Figure 64 shows the mesh generated profile of the model.

#### **(d) Results and Discussions**

Figure 65 (a) compares the HTC vs.  $Re$  plots for water and different nanofluids between the present study and the experimental [87] results for laminar flows. Figure 65 (b) compares the HTC vs.  $Re$  plots for water and different nanofluids between present study and the experimental [87] results for turbulent flows with water and TiO<sub>2</sub>-water based nanofluid at  $\phi = 0.6$  %. Both the results (for laminar and turbulent flows) show that the model underpredicts the heat transfer coefficients for the nanofluids at low  $Re$ . However, for both cases, the HTC increases with  $Re$  and the simulated results match better with the experiment at higher  $Re$ . The difference at low  $Re$  may be attributed mainly to the underprediction of thermal conductivity of the nanofluids, since conduction component becomes more pervasive at low  $Re$ .



(a)

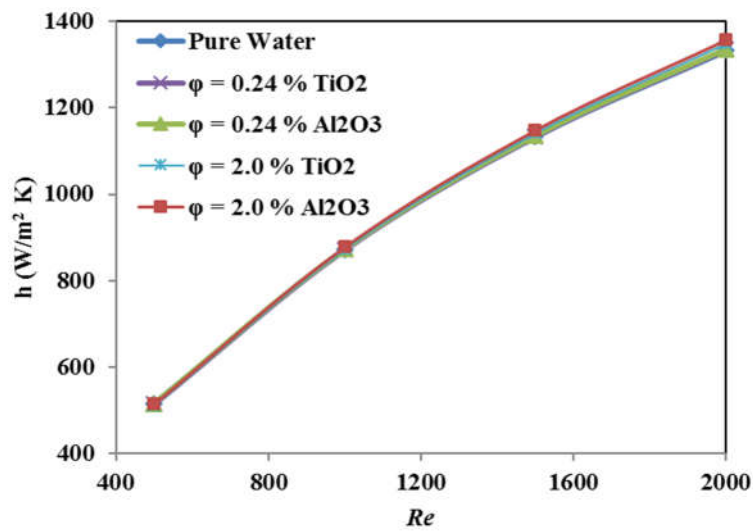


(b)

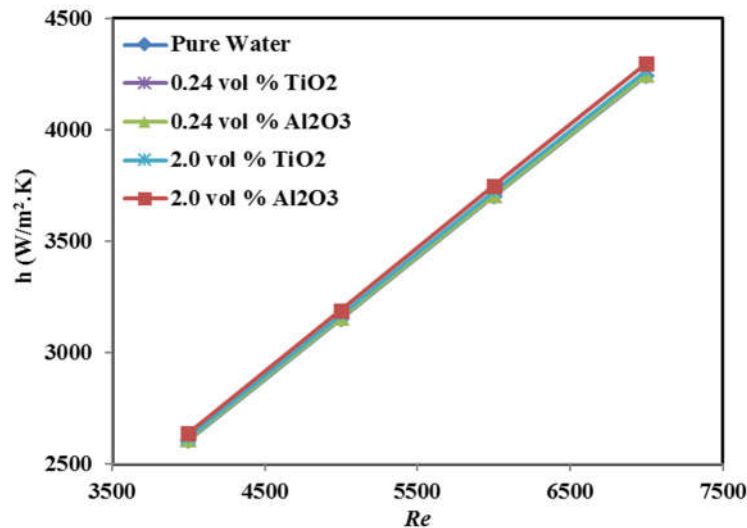
**Figure 65** Comparison of HTC (at  $x/D = 151$ ) for water and TiO<sub>2</sub>-water nanofluid at (a) laminar flow and (b) turbulent flow for present study and experimental data [87].

Figure 66 (a) compares the HTC, plotted as functions of  $Re$ , of water, TiO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub>-water based nanofluid for laminar flows and  $\phi$  were considered as 0.24 % and 2.0 %. It shows that the increase of  $Re$  and  $\phi$ , HTC also increased for all fluids gradually. Al<sub>2</sub>O<sub>3</sub>-water based nanofluid shows better enhancement of HTC compared with water and TiO<sub>2</sub>-water based nanofluid with same  $\phi$ . Figure 66 (b) compares the HTC, plotted as functions of  $Re$ , of water, TiO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub>-water based nanofluid for turbulent flows. It shows that the increase of  $Re$ , HTC also increased for all fluids.

Al<sub>2</sub>O<sub>3</sub>-water based nanofluid shows a better enhancement of HTC compared with other fluids. Figure 67 (a) shows the comparison between HTC and  $\phi$  for water and other two nanofluids at constant  $Re = 2000$ . The  $\phi$  were varied from 0.24 % to 2.0 %. HTC increases as  $\phi$  of the particle increased for all fluids. Here the Al<sub>2</sub>O<sub>3</sub>-water based nanofluid shows better increment of HTC compared with water and TiO<sub>2</sub>-water based nanofluid. Figure 67 (b) shows the comparison between HTC and  $\phi$  for water and other two nanofluids at constant  $Re = 7000$ . The  $\phi$  were varying from 0.24 % to 2.0 %. HTC increases as the  $\phi$  increased for all fluids. Here the Al<sub>2</sub>O<sub>3</sub>-water based nanofluid shows better increment of HTC compared with other fluids.

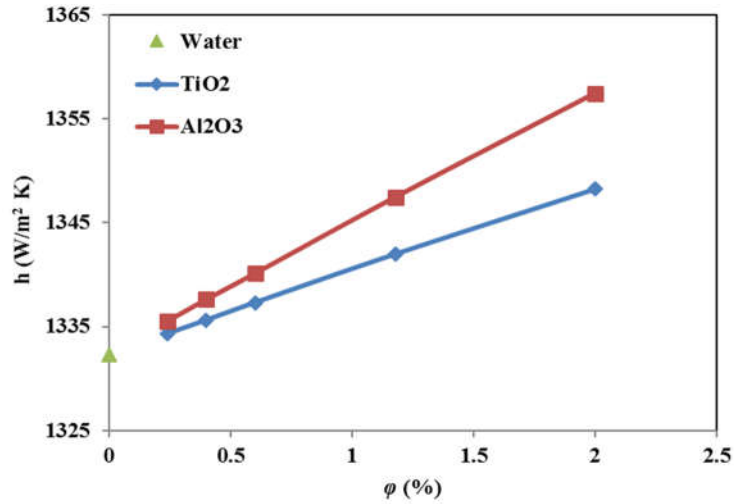


(a)

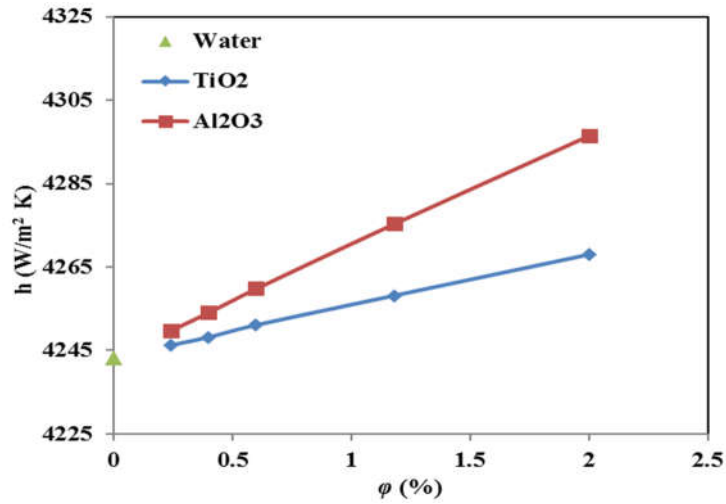


(b)

**Figure 66** HTC as a function of  $Re$  with different particle  $\phi$  (at  $x/D = 151$ ) for (a) laminar flow and (b) turbulent flow.

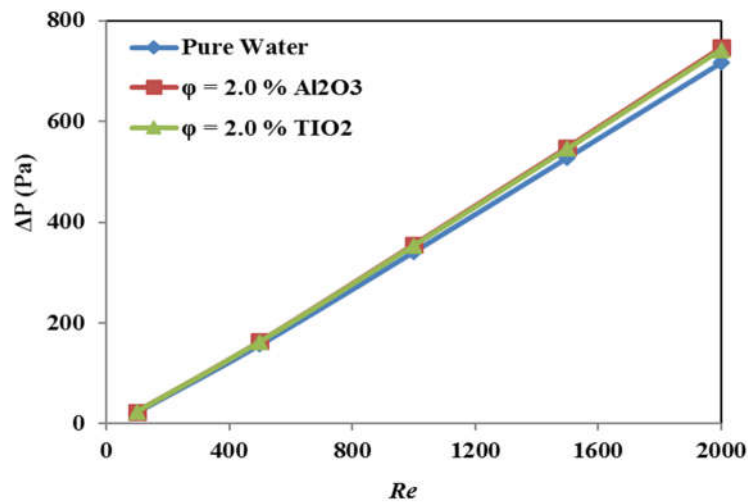


(a)

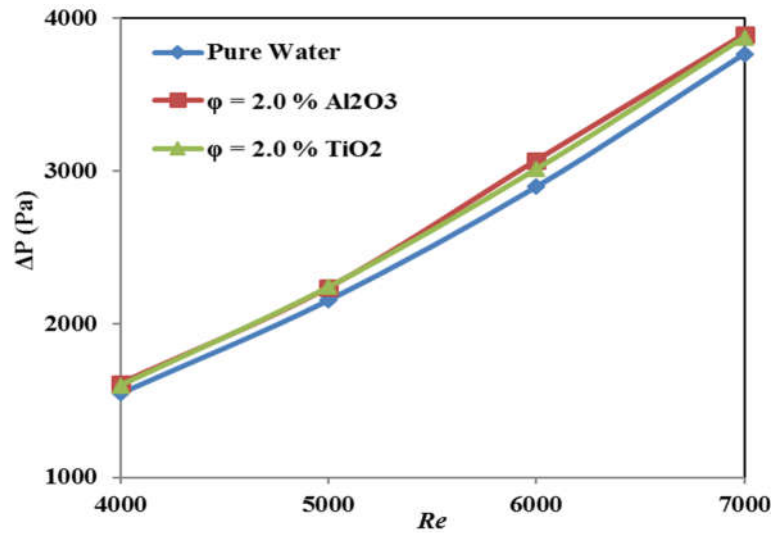


(b)

**Figure 67** Variation of HTC with  $\phi$  for  $\text{Al}_2\text{O}_3$ -water and  $\text{TiO}_2$ -water nanofluids at (a)  $Re$  of 2000, and (b)  $Re$  of 7000.



**Figure 68** Comparison of pressure drop and  $Re$  between water and nanofluids (at  $x/D = 151$ ) for laminar flow.



**Figure 69** Comparison of Pressure drop and  $Re$  between water and nanofluids ( $x/D = 151$ ) for turbulent flow.

Both laminar and turbulent flows of nanofluid through the vertical pipe shows that  $\text{Al}_2\text{O}_3$ -water nanofluid yields higher heat transfer than  $\text{TiO}_2$ -water nanofluid for the same  $Re$  and  $\phi$ . However, the effect of particle  $\phi$  appears more tangible in the turbulent flow regime than the laminar one.



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