

# **Advancing Antimony Selenide Nanostructures for Electrocatalysis, Desalination and Nonlinear Optical Applications**

*Thesis submitted for the degree of  
Doctor of Philosophy (Science)*

*By*  
**Nabanita Sen**

**Department of Physics  
Jadavpur University  
Kolkata -700032  
India  
*February, 2025***

CERTIFICATE FROM THE SUPERVISOR

This is to certify that the thesis entitled “Advancing antimony selenide nanostructures for electrocatalysis, desalination and nonlinear optical applications” Submitted by Smt. Nabanita Sen who got her name registered on 22.11.2021 for the award of Ph. D. (Science) Degree of Jadavpur University, is absolutely based upon her own work under the supervision of Prof. Kalyan Kumar Chattopadhyay and that neither this thesis nor any part of it has been submitted for either any degree / diploma or any other academic award anywhere before.

 5.2.2025

.....  
**Dr. K. K. Chattopadhyay**  
*Professor*  
Department of Physics  
Jadavpur University  
Kolkata-700 032  
.....

(Signature of the Supervisor(s) date with official seal)

*Dedicated to my darling Kali, and to my cherished parents, beloved Kalyan Kumar Chattopadhyay and precious Baby. This is also lovingly dedicated to all my dearest pets—Simon, Kuttus, Mini, Jack, Golla, and Kalia—who, though now residing in another realm, remain ever-present in my heart and thoughts.*

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**Nabanita Sen**

## List of publications related to thesis work

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2. Sen, Nabanita, Nabamita Chakraborty, Biswajit Das, and Kalyan Kumar Chattopadhyay. "Strong non-linear optical response of  $\text{Sb}_2\text{Se}_3$  nanorods in a liquid suspension based on spatial self-phase modulation and their all-optical photonic device applications." *Nanoscale*, **15**, 2023,19671-19680.
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5. Dhariwal, A., D. Banerjee, N. Sen, N. Chakraborty, and K. K. Chattopadhyay. "Synergistic Effect of Adsorption and Photo-catalysis in Removal of Various Textile Dyes: Excellent Efficacy of Molybdenum Disulfide-Zinc Oxide Hybrids." *Catalysis Today*, 2024, 115116.
  
6. Parashar, M., P. Kumar, K. Chauhan, N. Chakraborty, N. Sen, A. Ghoari, A. Adalder, U. K. Ghorai, K. K. Chattopadhyay, and D. Banerjee. "A comparative cyclic voltammetry study of amorphous carbon-transition metal oxide hybrid system: Selection for the best capacitor." *Journal of Alloys and Compounds*, **975**, 2024, 172922.
  
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# Contents

	Page Number
<b>Abstract</b>	<b>1</b>
<b>1. Chapter 1 – Introduction</b>	<b>4</b>
<b>1.1 Preamble</b>	<b>5</b>
<b>1.2 Importance of Sb<sub>2</sub>Se<sub>3</sub></b>	<b>6</b>
1.2.1 Crystal structure of Sb <sub>2</sub> Se <sub>3</sub>	7
1.2.2 Optical properties and band structure of Sb <sub>2</sub> Se <sub>3</sub>	8
1.2.3 Dielectric constant of Sb <sub>2</sub> Se <sub>3</sub>	9
1.2.4 Electronic properties of Sb <sub>2</sub> Se <sub>3</sub>	9
<b>1.3 Water Electrolysis</b>	<b>10</b>
1.3.1 Thermodynamic potential for water electrolysis	11
1.3.2 Onset potential and Overpotential of Hydrogen Evolution Reaction	13
1.3.3 Role of catalyst in hydrogen evolution reaction	13
1.3.4 Sabatier principle	14
1.3.5 Current -Voltage relationship in electrode-electrolyte interface (Butler- Volmer equation)	15
1.3.6 Reaction steps and kinetics during the Hydrogen Evolution Reaction	18
1.3.7 Electrochemically Active Surface Area of a Catalyst in Hydrogen Evolution Reaction	20
1.3.8 Electrochemical double layer capacitance	21
1.3.9 Measurement procedure of EDLC	23
<b>1.4 Nonlinear Optics (Spatial self-phase modulation)</b>	<b>23</b>
1.4.1 Preamble	23
1.4.2 Origin of optical nonlinearity in material	24
1.4.3 Optical Kerr effect	25
1.4.4 Spatial -self phase modulation (SSPM)	27
1.4.5 Wind-chime model (Origin of SSPM diffraction pattern)	29
1.4.6 Nonlinear photonic diode based on SSPM	30
1.4.7 Light-light modulation (optical logic gate)	31
<b>1.5 Desalination</b>	<b>31</b>

1.5.1 Absorption of sunlight and solar light to heat conversion efficiency	32
1.5.2 Heat localization	32
1.5.3 Different types of water transport pathways	33
References	34
<b>2. Chapter 2 – Review of Past Work</b>	<b>36</b>
2.1. Sb <sub>2</sub> Se <sub>3</sub> Semiconductors for Solar Cell	36
2.2. Sb <sub>2</sub> Se <sub>3</sub> Semiconductors for Thermoelectric Applications	39
2.3. Hydrogen evolution reaction (HER)	40
2.3.1. Work done on various types of electrocatalysts	41
2.3.2 2D metal dichalcogenides as electrocatalyst in (HER)	45
2.3.3 Heterostructures of different materials with rGO as electrocatalyst in (HER)	48
2.3.4 Sb <sub>2</sub> Se <sub>3</sub> as electrocatalyst in (HER)	48
2.4. Spatial-Self phase modulation (SSPM)	48
2.5. Review of past work of desalination	50
2.5.1 Work done on carbon-based materials	50
2.5.2 Work done utilizing semiconductor materials	51
2.5.3 Work done utilising other type/ composite materials	51
2.6. Objective of the Thesis	52
2.7 Organisation of the Thesis	53
References	56
<b>3. Chapter 3 – Experimental Details</b>	<b>66</b>
3.1 Preamble	67
3.2 The landscape of synthesis route for nanomaterials	67
3.2.1 Top-down approach	67

3.2.2 Bottom-up approach	67
3.2.3 Solvothermal and Hydrothermal methods	68
3.3 Synthesis technique and instruments of 2D materials specially (reduced graphene oxide)	68
3.3.1 Centrifugation technique	70
3.3.2 What is supernatant?	70
3.3.3 Role of centrifugation in 2D nanomaterial synthesis	70
3.4 X-Ray Diffractometer	71
3.5 Electron Microscope	73
3.5.1 Scanning Electron Microscope (SEM)	74
3.5.2 Transmission Electron Microscope (TEM)	77
3.6 X-ray Photoelectron Spectroscopy (XPS)	80
3.7 Optical Characterization Techniques	82
3.7.1 UV-Vis Absorption Spectroscopy	82
3.8 Electrochemical Characterization Techniques	84
References	85
<b>4. Chapter 4 - Tailoring the Sb<sub>2</sub>Se<sub>3</sub>/rGO heterointerfaces for modulation of electrocatalytic hydrogen evolution performances in acidic media</b>	<b>86</b>
4.1 Introduction	87
4.2. Experimental Sections	90
4.2.1 Materials	90
4.2.2 Synthesis of Sb <sub>2</sub> Se <sub>3</sub> nanorods	90
4.2.3 Synthesis of GO	91
4.2.4 Synthesis of Sb <sub>2</sub> Se <sub>3</sub> /rGO	92
4.3. Electrode Preparation	93

4.4. Electrochemical Measurements	93
4.5 Characterizations	94
4.6 Theoretical Methods	94
4.7 Results & Discussion	96
4.8. Conclusions	116
References	117
<b>5. Chapter 5 - Strong non-linear optical response of Sb<sub>2</sub>Se<sub>3</sub> nanorods suspension based on spatial self-phase modulation and their all-optical photonic device applications</b>	<b>124</b>
5.1. Introduction	125
5.2 Experimental Sections	128
5.2.1 Synthesis of Sb <sub>2</sub> Se <sub>3</sub> nanorods	128
5.2.2. Synthesis of SnS <sub>2</sub> nanosheets	129
5.2.3 Material Characterizations	129
5.3. Results & Discussion	130
5.3.1 SSPM effect dominated by nonlinear Kerr effect: nonlinear refractive index	132
5.3.2. Calculation of N <sub>eff</sub>	135
5.3.3. Wind Chime Model	141
5.3.4. Dynamics of collapse phenomena of the SSPM diffracted laser beam	146
5.3.4.1 Formation of SSPM pattern	147
5.3.4.2 Distortion of SSPM pattern	148
5.3.5. Demonstration of all-optical diode (Sb <sub>2</sub> Se <sub>3</sub> ↔SnS <sub>2</sub> )	151
5.3.6. Sb <sub>2</sub> Se <sub>3</sub> optical modulator /switcher- based on SSPM	154
5.4. Conclusions	155

References	156
<b>6. Chapter 6 - Efficient light to heat conversion in Sb<sub>2</sub>Se<sub>3</sub> nanorods and the role of macro-channel imprinted Sb<sub>2</sub>Se<sub>3</sub> loaded hybrid membrane for superior desalination performance</b>	<b>162</b>
6.1. Introduction	163
6.2. Materials and methods	166
6.2.1. Synthesis of Sb <sub>2</sub> Se <sub>3</sub>	166
6.2.2. Fabrication of M/Sb <sub>2</sub> Se <sub>3</sub> hybrid membrane	167
6.2.3. Characterizations	167
6.2.4. Theoretical methods	168
6.3. Results and discussion	170
6.3.1. Laser heating experiment	175
6.3.1.1 Cooling stage of laser beam	177
6.3.2. Carrier thermalization process	179
6.3.3. Feasibility of photothermal behavior on evaporation system	181
6.3.4. Validation of macro-channel influence in desalination	186
6.3.5. Variations in evaporation rate influenced by changes in salinity concentration	193
6.4. Conclusions	196
References	197
<b>7. Chapter 7 - Conclusion</b>	<b>204</b>

**Abstract:**

This work explores the untapped potential of antimony selenide ( $\text{Sb}_2\text{Se}_3$ ) in emerging fields such as the hydrogen evolution reaction (HER), desalination, and nonlinear optics. This investigation is significant, as  $\text{Sb}_2\text{Se}_3$  has primarily been recognized for its applications in solar cells due to its advantageous properties, including an optimal band gap (direct: 1.17 eV, indirect: 1.03 eV) for efficient solar light absorption, a high absorption coefficient of  $10^5 \text{ cm}^{-1}$  at shorter wavelengths, and a favourable crystal structure (space group  $\text{Pbnm}(62)$ ). Its ribbon-like structure is held together by weak van der Waals forces, while strong covalent bonds exist within the ribbons. The absence of dangling bonds along the covalent bonds, along with concentrated electron density in these regions, contributes to excellent electrical conductivity along the [001] direction. Additionally, crystalline  $\text{Sb}_2\text{Se}_3$  exhibits a high dielectric constant ( $\sim 19$ ), which reduces exciton binding energy and facilitates efficient separation of photogenerated carriers. Beyond its role in photovoltaics,  $\text{Sb}_2\text{Se}_3$  is also valued for its thermoelectric properties, owing to its high Seebeck coefficient, as well as its potential applications in photoelectrochemical processes.

The motivation behind this thesis is to harness the full potential of  $\text{Sb}_2\text{Se}_3$  by improving its weaker properties while also utilizing its inherent beneficial characteristics. A brief overview of the thesis is provided below.

The global shift toward clean hydrogen energy necessitates earth-abundant, noble-metal-free hydrogen evolution electrocatalysts. In this work, Chapter 4 presents a straightforward solvothermal strategy for designing  $\text{Sb}_2\text{Se}_3/\text{rGO}$  heterointerfaces to enhance electrocatalytic hydrogen evolution performance. The resulting hybrid demonstrates an improved onset potential of -0.32 V and a two-fold reduction in the Tafel slope compared to pure  $\text{Sb}_2\text{Se}_3$ . Experimental findings confirm that heterointerface engineering significantly enhances interfacial electron transport, leading to better HER performance. Density functional theory (DFT) calculations reveal that the heterointerfacial interaction lowers hydrogen adsorption energy on the (001) and (230) planes. Essentially, rGO facilitates charge redistribution at the

Sb<sub>2</sub>Se<sub>3</sub>/rGO interface, increasing H<sup>+</sup> adsorption at selective sites and thereby optimizing electrocatalytic HER activity.

The field of nonlinear optics continues to evolve, driven by the discovery of new materials with unique optical properties. In this work, chapter 5 presents, spatial self-phase modulation (SSPM) experiments using the anisotropic, layered Sb<sub>2</sub>Se<sub>3</sub> material in a liquid suspension for all-optical diode and switching applications. Through a 671 nm laser beam, we determined the third-order broadband nonlinear optical susceptibility ( $\chi(3)$  single layer  $\sim 10^{-9}$  e.s.u) and nonlinear refractive index ( $n_2 \sim 10^{-6}$  cm<sup>2</sup>/W) of Sb<sub>2</sub>Se<sub>3</sub>. These findings can be attributed to the material's anisotropic hole mobility, which plays a key role in the formation of diffraction patterns through nonlocal hole coherence, as evidenced by the linear relationship between  $\chi(3)$  and carrier mobility. The time evolution of the diffraction rings aligns with the "Wind-Chime" model.

Furthermore, we demonstrate a novel photonic diode based on Sb<sub>2</sub>Se<sub>3</sub>/SnS<sub>2</sub>, leveraging the nonreciprocal propagation of light. Using the self-phase modulation (SPM) technique with varying laser wavelengths and intensities, we successfully implement all-optical logic gates, particularly the OR logic gate. This exploration of Sb<sub>2</sub>Se<sub>3</sub>'s nonlinear optical properties open new opportunities for optical information processing and communication.

Chapter 6 presents a theoretical and experimental validation of Sb<sub>2</sub>Se<sub>3</sub> nanorods (NRs) as a promising candidate for solar thermal heat generation. Through customized water droplet experiments, the light-to-heat conversion efficiencies of Sb<sub>2</sub>Se<sub>3</sub> were determined to be approximately 57.8% and 58% for red (671 nm) and green (532 nm) lasers, respectively. Building on this, we developed PVDF(M)/Sb<sub>2</sub>Se<sub>3</sub> NRs hybrid membranes for solar desalination, which achieved a temperature of  $\sim 59^\circ\text{C}$  within 15 minutes of illumination. The primary mechanism driving heat generation is electron/hole-acoustic phonon scattering.

Despite the superior visible-NIR absorption and heat localization of Sb<sub>2</sub>Se<sub>3</sub> NRs, the hybrid membranes exhibited a limited evaporation rate of 1.72 kg m<sup>-2</sup> h<sup>-1</sup>, even with increased mass loading. This limitation arises from the hydrophobic nature of the

$\text{Sb}_2\text{Se}_3$  NRs layer, which restricts uniform water diffusion to hot zones, thereby reducing solar evaporation efficiency. To overcome this challenge, we introduced a novel mechanical imprinting strategy to create macro-channels in the hybrid membranes. These macro-channels significantly enhance water transport to hot zones, leading to an improved mass loss rate of  $\sim 2.37 \text{ kg m}^{-2} \text{ h}^{-1}$  and a solar evaporation efficiency of 148% under a mercury vapor lamp with an intensity of  $1000 \text{ W m}^{-2}$ .

Furthermore, outdoor sunlight experiments demonstrated a commendable solar evaporation efficiency of  $\sim 108\%$ . The steam generated through this solar thermal process effectively removes heavy metal ions, ensuring compliance with the World Health Organization (WHO) standards for potable water. This study highlights macro-channel imprinting as a viable strategy for improving desalination efficiency in hydrophobic materials, with potential applicability to other similar systems.

This thesis highlights the potential benefits of using  $\text{Sb}_2\text{Se}_3$  in research areas such as HER, desalination and nonlinear optics. It is believed that further opportunities remain in these fields with continued exploration of  $\text{Sb}_2\text{Se}_3$ .

*Nabavita Sen*  
Signature of the candidate

Date: 05.02.2025

*K. K. Chattopadhyay*  
Signature of the supervisor

Date: 5.2.2025

**Dr. K. K. Chattopadhyay**  
Professor  
Department of Physics  
Jadavpur University  
Kolkata-700 032

# Chapter 1

## Introduction

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This chapter is motivated by the need to harness  $\text{Sb}_2\text{Se}_3$  nanorods in the relatively untapped areas of hydrogen evolution reaction, nonlinear optics and desalination. It also provides a discussion on the fundamental scientific concepts related to these applications and reviews previous research in these fields.

## 1.1 Preamble

A significant amount of research has been conducted globally on  $\text{Sb}_2\text{Se}_3$  as an absorber material for solar cells [1] and thermoelectric applications [2].  $\text{Sb}_2\text{Se}_3$  nanostructures are becoming increasingly popular in various fields, including solar cells,[1] photoelectrochemical applications,[3] thermoelectric devices,[2] photodetectors,[4] and energy storage systems.[5] This material is an excellent example of a solar cell material due to its optimum band gap (1.0 eV-1.2 eV) and its high absorption coefficient of  $10^5 \text{ cm}^{-1}$  at shorter wavelengths. [1] The Shockley-Queisser limit represents the maximum theoretical efficiency that a solar cell with a single p-n junction can achieve, with the peak efficiency being 30% at a band gap of 1.1 eV. This material also exhibits a high Seebeck coefficient, making it well-suited for thermoelectric applications. To date, substantial effort has been dedicated to advancing the fields of solar cells, thermoelectric applications, photodiodes and other technologies using  $\text{Sb}_2\text{Se}_3$  nanomaterials. However, there are still potential application areas where the use of  $\text{Sb}_2\text{Se}_3$  nanomaterials remains unexplored.

Today, the hydrogen economy is an emerging field, with green hydrogen playing a crucial role in sustainable energy storage, chemical production and transportation. [6] By reducing reliance on fossil fuels, the use of green hydrogen helps mitigate the greenhouse effect, acid rain and high ozone levels. The production of sustainable hydrogen through low-energy electrochemical reactions requires advanced catalysts. India has committed ₹17,400 crore to its Green Hydrogen Mission and set a goal to produce 5 million tons of hydrogen annually by 2030.[7] Furthermore, the global population is experiencing a severe water crisis driven by increasing demand for freshwater, pollution, depletion of water resources and rising needs in industrial and agricultural sectors. Desalination offers an effective solution to address this challenge. Solar desalination specifically harnesses solar energy to heat absorber materials, which is then used to transform seawater into freshwater.[8] Furthermore, modern computing demands swift signal processing and fast communication, which rely on high-speed logic within the processor. Achieving this level of performance necessitates integrating additional electronic components into the processor to

support these advanced logic operations. In sharp contrast, optical signal processing presents several advantages, such as ultra-high bit rates, extensive bandwidth and extremely low crosstalk. To implement an all-optical system in modern computer processors, optical diodes and all-optical logic gates are required. These components process optical signals by manipulating their intensity, phase and polarization through light-matter interactions. An emerging technique in optical nonlinearity is Spatial Self-Phase Modulation (SSPM), where the phase of light is spatially modulated through nonlinear light-matter interactions.[9]

This dissertation demonstrates that  $\text{Sb}_2\text{Se}_3$  plays a significant role in the three areas discussed: electrochemical hydrogen evolution reaction, desalination and spatial self-phase modulation.

## 1.2 Importance of $\text{Sb}_2\text{Se}_3$

The beneficial and profitable applications of a material largely depend on its fundamental and unique properties. While this perspective may seem oversimplified, it provides a general sense of the material's potential. However, it overlooks aspects such as synergistic interactions or the effects of doping. This section classifies  $\text{Sb}_2\text{Se}_3$  into two groups, as depicted in figure 1.1: properties that are intrinsically advantageous and those that require enhancement.

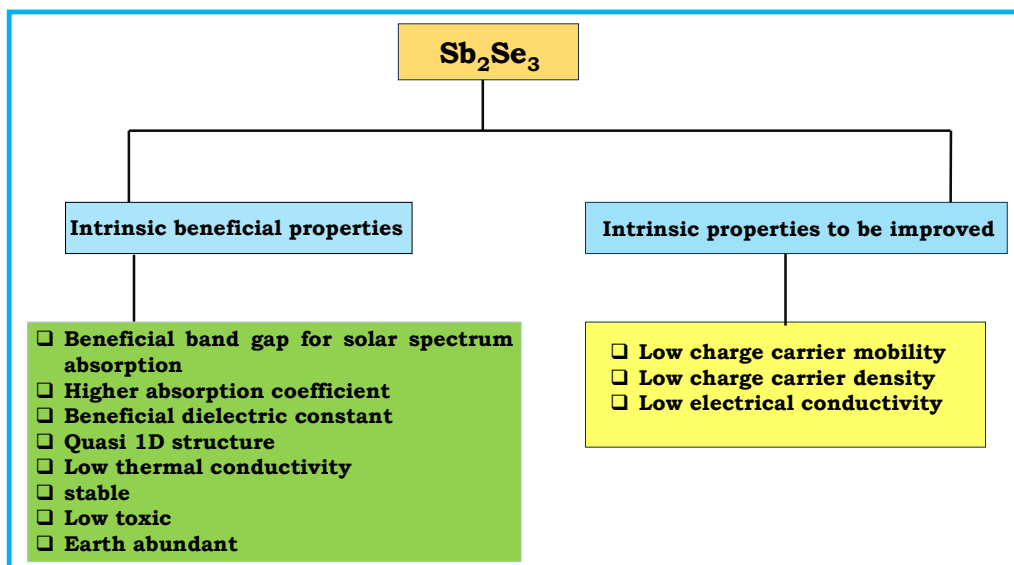
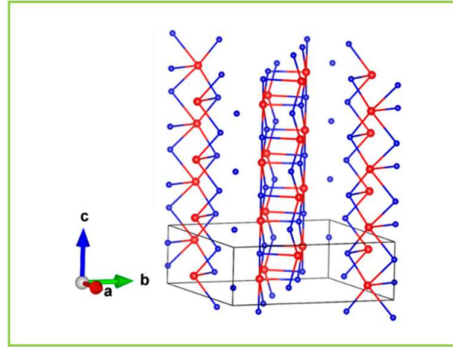


Figure 1.1: Properties of  $\text{Sb}_2\text{Se}_3$

### 1.2.1 Crystal structure of $\text{Sb}_2\text{Se}_3$



**Figure 1.2:** *Pbnm* space group of  $\text{Sb}_2\text{Se}_3$

$\text{Sb}_2\text{Se}_3$  is a binary chalcogenide material belonging to the V-VI group. However, discrepancies in the reported space groups (*Pbnm* and *Pnma*) for  $\text{Sb}_2\text{Se}_3$  have caused some confusion in the literature. For the *Pbnm* space group represents in figure 1.2, the lattice parameters are  $a = 11.62 \text{ \AA}$ ,  $b = 11.77 \text{ \AA}$ ,  $c = 3.962 \text{ \AA}$ , While for the *Pnma* space group, they are  $a = 11.7938 \text{ \AA}$ ,  $b = 3.9858 \text{ \AA}$ ,  $c = 11.6478 \text{ \AA}$ . [10] Despite these differences, both space groups describe the same orthorhombic crystal structure, differing only in the permutation of the axes. In this context, all spatial directions of  $\text{Sb}_2\text{Se}_3$  are analyzed based on the *Pbnm* space group.  $\text{Sb}_2\text{Se}_3$  consists of  $(\text{Sb}_4\text{Se}_6)_n$  ribbons aligned along the *c*-axis, giving it a quasi-1D structure. The ribbons are held together by weak van der Waals forces (Se-Se interactions) oriented along the (010) planes, with a relatively large interatomic distance of  $2.98 \text{ \AA}$  between them. Within each ribbon, the atoms are connected by strong covalent bonds, resulting in significant anisotropy across different orientations of  $\text{Sb}_2\text{Se}_3$ . [10]

#### **Disadvantage of this crystal structure**

The weak van der Waals bonds between the ribbons and the grain boundaries aligned with these bonds restrict the carrier mobility between the ribbons.

### **Advantage of this crystal structure**

Electron density is concentrated along the covalent bonds within the ribbon, with grain boundaries running parallel to the ribbons. As a result, there are no dangling bonds along the covalent bond orientation. Consequently,  $\text{Sb}_2\text{Se}_3$  demonstrates excellent electrical properties in the [001] direction. It demonstrates high electron and hole mobility along this direction, making it advantageous for applications in photovoltaics (PV), photodetectors and photoelectrochemical (PEC) systems, as highlighted in the review of past work. Therefore, the orientation of the crystal plane plays a vital role in modulating its electrical properties and expanding the potential applications of  $\text{Sb}_2\text{Se}_3$ .

### **1.2.2 Optical properties and band structure of $\text{Sb}_2\text{Se}_3$**

For solar cells, the absorber material should possess the following characteristics:

- A high absorption coefficient in the relevant spectral range
- An appropriate band gap
- Efficient charge carrier transport properties to capture photogenerated carriers before recombination
- A uniform electric field across the p-n junction, as dangling bonds and crystal defects can disrupt field uniformity
- High charge carrier mobility
- Long charge carrier lifetime
- A large dielectric constant and low excitation energy

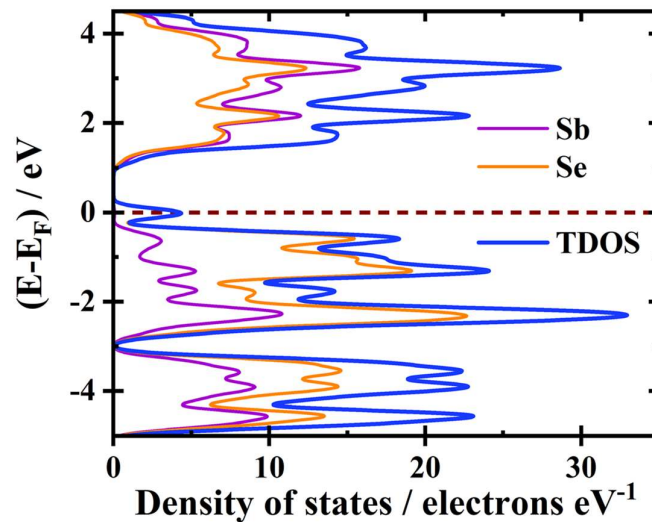
$\text{Sb}_2\text{Se}_3$  has a suitable band gap for solar cell applications, featuring both a direct band gap of 1.17 eV and an indirect band gap of 1.03 eV. It exhibits a high absorption coefficient of  $10^5 \text{ cm}^{-1}$  in the shorter wavelength region.[10] As a result, an  $\text{Sb}_2\text{Se}_3$  layer only 800 nm thick is sufficient to absorb photons within the 400–1000 nm wavelength range. In contrast, silicon solar cells have a lower absorption coefficient that varies with wavelength. To achieve effective optical absorption, silicon solar

cells require a thickness exceeding 100  $\mu\text{m}$ . That's why  $\text{Sb}_2\text{Se}_3$  holds significant importance in photovoltaics, with over many research studies available on  $\text{Sb}_2\text{Se}_3$  solar cells. A select few of these are discussed in the review of previous work.

### 1.2.3 Dielectric constant of $\text{Sb}_2\text{Se}_3$

In crystalline  $\text{Sb}_2\text{Se}_3$ , the dielectric constant is relatively high ( $\approx 19$ ) along the ribbon direction, surpassing that of amorphous  $\text{Sb}_2\text{Se}_3$  ( $\approx 16$ ). [10] This high dielectric constant reduces the exciton binding energy, facilitating the efficient separation of photogenerated carriers, which is advantageous for photovoltaic (PV) applications. Additionally, the dielectric tensor in crystalline  $\text{Sb}_2\text{Se}_3$  exhibits anisotropy, with the highest value,  $\epsilon_{zz} = 15.46$ , occurring in the ribbon direction. [10] Therefore, selecting an appropriate crystal plane orientation is crucial to achieve a higher dielectric constant.

### 1.2.4 Electronic properties of $\text{Sb}_2\text{Se}_3$



**Figure 1.3:** *partial and total electron density of states of  $\text{Sb}_2\text{Se}_3$*

The figure 1.3 above illustrates the partial and total electron density of states (DOS) of  $\text{Sb}_2\text{Se}_3$ . In the DOS, the highest occupied valence band (VB), ranging from -5.5 eV to 0 eV, is primarily dominated by Se 4p states and Sb 5p states. In the valence band region, the Sb 5p density is lower compared to the Se 4p density. However, in the conduction band (CB), the Sb 5p states contribute more significantly than the Se 4p

states. Overall, the formation of the  $\text{Sb}_2\text{Se}_3$  band structure is primarily influenced by the 5s and 5p orbitals of Sb atoms, rather than the 4s and 4p orbitals of Se atoms. [10] Typically,  $\text{Sb}_2\text{Se}_3$  exhibits p-type conductivity, but it shows n-type conductivity when doped with elements like Te or Bi. Moreover, an increased Sb content also results in n-type conductivity. [10]

#### **Disadvantage of this electronic structure**

The free charge carrier density in  $\text{Sb}_2\text{Se}_3$  is lower ( $10^{13} \text{ cm}^{-3}$ ) than the optimal value of  $10^{16} \text{ cm}^{-3}$  required for solar cells. This is due to the presence of deep traps in  $\text{Sb}_2\text{Se}_3$ , which contribute to a higher defect density. To improve the performance of  $\text{Sb}_2\text{Se}_3$ , it is essential to reduce these deep traps.[10]

#### **Advantage of this electronic structure**

$\text{Sb}_2\text{Se}_3$  is typically used as an absorber material in solar cells for its p-type conductivity. When oriented in the optimal crystal direction, it demonstrates high hole mobility ( $22 \text{ cm}^2 \cdot \text{V}^{-1} \cdot \text{s}^{-1}$ ). [10]

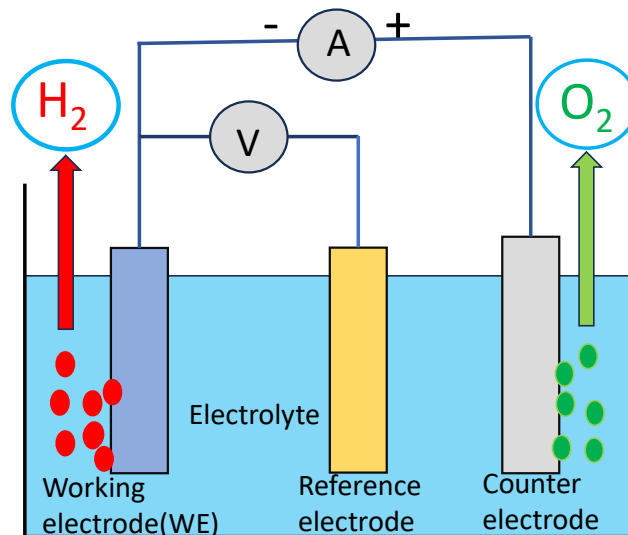
Given the properties mentioned above,  $\text{Sb}_2\text{Se}_3$  is utilized in applications such as photovoltaics (PV), photoelectrochemical (PEC) cells and photodetectors. However, its potential in areas like the hydrogen evolution reaction (HER), desalination and nonlinear optics remains underexplored. The key aspects of these applications are presented below.

### **1.3 Water Electrolysis**

Water electrolysis is a method in which electricity is used to separate water ( $\text{H}_2\text{O}$ ) into its basic components—hydrogen ( $\text{H}_2$ ) and oxygen ( $\text{O}_2$ ).[11] This is done by running an electric current through water, often with an electrolyte added to improve conductivity.

Water electrolysis involves two half-reactions at two electrodes represents in figure 1.4:

1. **Cathode (Reduction Reaction):** At the cathode, water molecules gain electrons (reduction), producing hydrogen gas ( $\text{H}_2$ ) and hydroxide ions ( $\text{OH}^-$ ).
  - Reaction:  $2\text{H}_2\text{O} + 2\text{e}^- \rightarrow \text{H}_2 + 2\text{OH}^-$
2. **Anode (Oxidation Reaction):** At the anode, water molecules lose electrons (oxidation), producing oxygen gas ( $\text{O}_2$ ) and hydrogen ions ( $\text{H}^+$ ).
  - Reaction:  $2\text{H}_2\text{O} \rightarrow \text{O}_2 + 4\text{H}^+ + 4\text{e}^-$



**Figure 1.4:** Schematic representation of the conventional three electrodes water electrolysis cell under working condition

The hydrogen produced can be used as a clean fuel, making water electrolysis a key technology in renewable energy and hydrogen production. This process is especially valuable in green hydrogen production when powered by renewable energy sources like solar or wind.

### 1.3.1 Thermodynamic potential for water electrolysis

At standard temperature and pressure, water electrolysis is not thermodynamically favourable. This reaction requires external energy to proceed.[11] Therefore, the

thermodynamic potential for the reactions at the cathode and anode under these conditions is provided below.

At 25 °C and pH 0 ( $[H^+] = 1.0 \text{ M}$ ) condition

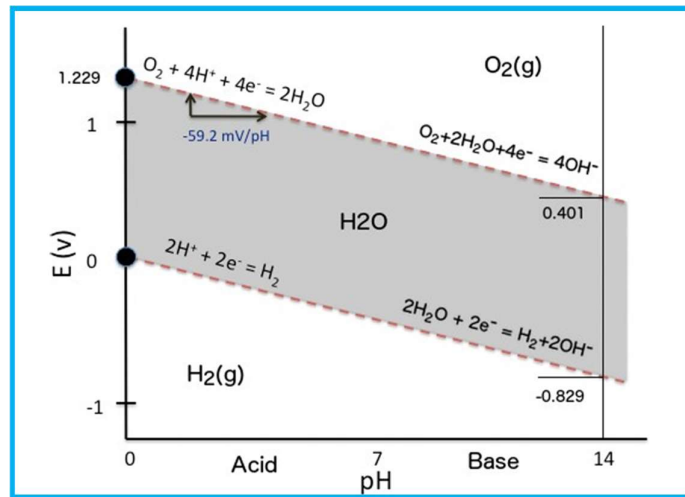
Cathode (reduction):  $2H^+ + 2e^- \rightarrow 2H_2$ ;  $E_{red}^0 = 0.000 \text{ V vs. NHE}$

Anode (oxidation):  $2H_2O \rightarrow 4H^+ + 4e^- + O_2$ ;  $E_{red}^0 = 1.229 \text{ V vs. NHE}$

So the standard potential for the water electrolysis cell

$$E_{cell}^0 = E_{cathode}^0 - E_{anode}^0 = (0 - 1.229) = -1.229 \text{ V}$$

The overall cell potential remains unchanged under different pH conditions, as demonstrated by the Pourbaix diagram of water represents in figure 1.5.



**Figure 1.5:** Pourbaix or potential-pH diagram for water at standard temperature and pressure (STP)

The Gibbs free energy change for this reaction is calculated using the following equation:

$$\Delta G^0 = -nF \cdot \Delta E^0$$

Here,  $\Delta G^0$  is the Gibbs free energy change and  $\Delta E^0$  is the cell potential of the reaction,  $F$  is the Faraday constant  $96,485 \text{ C/mol}$ ,  $n = 2$  for one water molecule electrolysed. Since this is an endothermic process, it requires an input of energy

equivalent to +237 kJ/mol (under standard temperature and pressure) for water to be split.

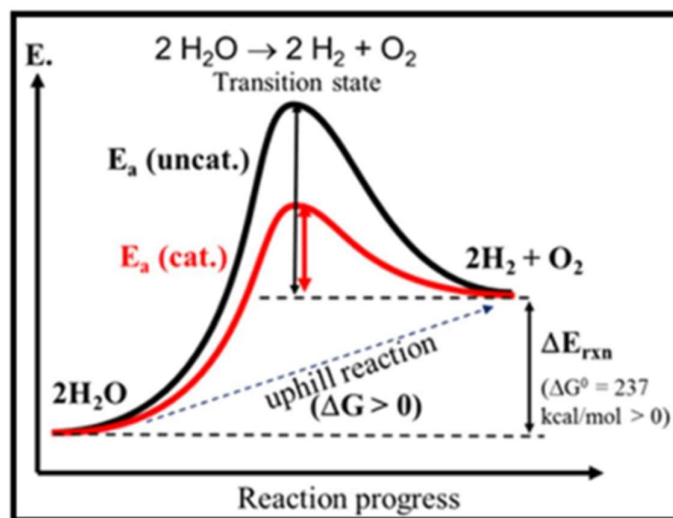
### 1.3.2 Onset potential and Overpotential of Hydrogen Evolution Reaction

In theory, all reactions in water electrolysis occur at a specific thermodynamic potential. However, in real-world systems, additional potential beyond the thermodynamic value is needed to complete the water electrolysis process. This is because the process is controlled by kinetics and factors such as activation energy barriers, ion mobility and concentration, wire resistance and bubble formation on the electrode surface create challenges that require a higher potential to overcome. This extra potential is known as overpotential and is represented by the symbol  $\eta$

$$\eta_{\text{HER}} = \text{Experimentally obtained potential (V)} - 0(\text{V})$$

In electrochemical reactions, the onset potential is the potential at which the current begins to increase.

### 1.3.3 Role of catalyst in hydrogen evolution reaction

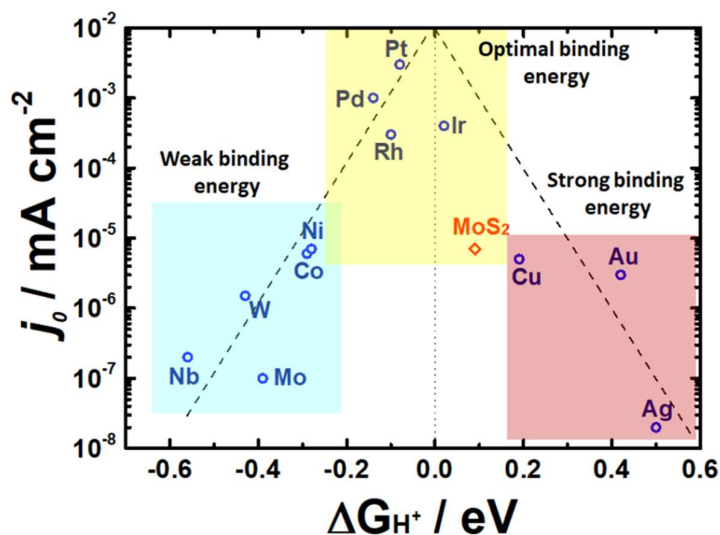


**Figure 1.6:** Reaction progresses vs. activation energy for water electrolysis. [12]

In a typical chemical reaction, a catalyst is used to accelerate the reaction rate while remaining unchanged at the end of the process. In the case of hydrogen evolution reactions, catalysts are essential for reducing the activation energy barriers represents in figure 1.6, which are much higher than the theoretical limit of 1.23 V. By lowering these energy barriers, catalysts enhance the speed at which the reaction proceeds.[12]

In hydrogen evolution reactions, a catalyst should possess certain key properties strong proton adsorption, efficient electron transport with high conductivity, easy desorption of hydrogen molecules and long-term durability under industrial-scale current densities ( $>500 \text{ mA/cm}^2$ ).

### 1.3.4 Sabatier principle



**Figure 1.7:** Volcano plot of different materials in hydrogen evolution reaction

The previous section's discussion on the interaction between the catalyst and reactants may seem a bit unclear. The catalyst needs to strongly adsorb protons, yet at the same time, it must also facilitate the easy desorption of  $\text{H}_2$  molecules. The Sabatier principle provides guidance in balancing these competing demands, ensuring optimal interaction between the catalyst and the reactants.

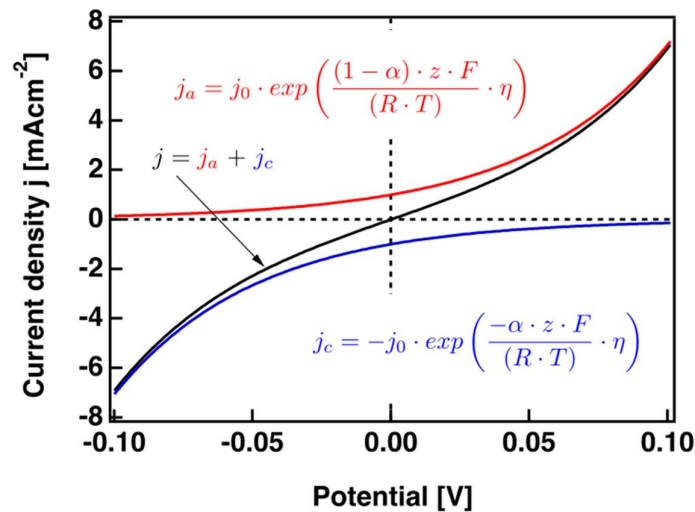
The Sabatier principle suggests that the interaction between the catalyst and reactants should be balanced—not too strong or too weak.[13] If the adsorption is

too strong, fewer H<sub>2</sub> molecules are released, leading to sluggish kinetics during the hydrogen evolution reaction (HER). Conversely, if the adsorption is too weak, the reactants may not activate properly, and the reaction will not occur.

The Sabatier principle is commonly applied in heterogeneous catalysis and is often illustrated using volcano plots. In figure 1.7 plots, the x-axis represents the binding strength between the catalyst and reactants, while the y-axis indicates catalytic activity. The optimal activity is found at the peak of the volcano, where the Gibbs free energy ( $\Delta G_H$ ) is zero. The left side of the plot corresponds to strong binding ( $\Delta G_H$  is negative), while the right side represents weak binding ( $\Delta G_H$  is positive).

Figure 1.7 show volcano representation of the Sabatier principle and illustrates its application in the hydrogen evolution reaction (HER). Platinum (Pt) is positioned at the peak of the volcano plot, making it one of the most effective catalysts for HER.

### 1.3.5 Current -Voltage relationship in electrode-electrolyte interface (Butler- Volmer equation)



**Figure 1.8:** Current density as function of overpotential (Butler-Volmer equation) [14]

For an electrochemical reaction, the forward (anodic) and backward (cathodic) reactions can be expressed as:  $O_x + e^- \leftrightarrow R_x$  where  $O_x$  is the oxidized species and  $R_x$  is the reduced species.

The net current density  $j$  is the difference between the anodic current density  $j_a$  and the cathodic current density  $j_c$

$$j = j_a - j_c$$

The anodic and cathodic current densities are proportional to the rates of the forward and backward reactions:

$$j_a = nFAk_a[O_x]$$

$$j_c = nFAk_c[R_x]$$

where  $n$  is the number of electrons transferred,  $F$  is Faraday's constant,  $A$  is the electrode area, and  $k_a$  and  $k_c$  are the rate constants for the anodic and cathodic reactions, respectively.

The rate constants  $k_a$  and  $k_c$  are dependent on the overpotential  $\eta$ . The rate constants can be expressed as:

$$k_c = k_0 \exp(-\alpha n F \eta / RT)$$

$$k_a = k_0 \exp((1 - \alpha) n F \eta / RT)$$

where  $k_0$  is the standard rate constant,  $\alpha$  is the transfer coefficient,  $R$  is the gas constant, and  $T$  is the temperature.

Substituting the expressions for  $j_a$  and  $j_c$ , the total current density is:

$$j = nFAk_0[O_x] \exp((1 - \alpha) n F \eta / RT) - nFAk_0[R_x] \exp(-\alpha n F \eta / RT)$$

At equilibrium,  $\eta=0$  and the equilibrium current densities are equal:

$$j_0 = nFAk_0[O_x]_{eq} = nFAk_0[R_x]_{eq}$$

Therefore, we can express the equilibrium concentrations in terms of the exchange current density  $j_0$ ,

Figure 1.8 shows current - voltage relationship of electrode -electrolyte interface and final form of Butler-Volmer equation,[15]

$$j = -j_0 \{ \exp(-\alpha n F \eta / RT) - \exp((1 - \alpha) n F \eta / RT) \}$$

$j_0$ : Exchange current density, which is the current density at equilibrium (no overpotential).

$\eta$ : Overpotential, the difference between the applied potential and the equilibrium potential.

$\alpha$ : Transfer coefficient, typically between 0 and 1, which indicates the symmetry of the energy barrier.

### Two limiting cases

- ✓ **If**  $\eta$  is small  $\exp(x)$  can be approximated by a Taylor Maclaurin series expansion as  $1+x \dots$

$$j = j_0 n F \eta$$

For small  $\eta$  means  $\eta < 30$  mV

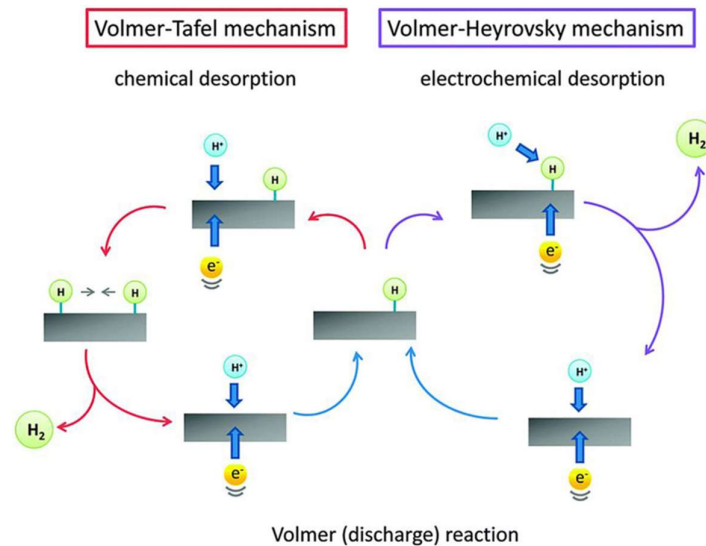
- ✓ **If**  $\eta$  is large then Butler-Volmer equation turns into Tafel equation which is

$$\eta = \pm b \log_{10} \frac{j}{j_0} + C$$

$b$  is Tafel slope,  $C$  is constant

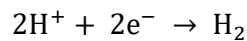
### 1.3.6 Reaction steps and kinetics during the Hydrogen Evolution Reaction

In an acidic environment

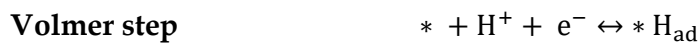


**Figure 1.9:** Multiple steps of HER in acidic medium [16]

The overall hydrogen evolution reaction in an acidic environment is represented as follows.



However, this process consists of multiple steps represents in figure 1.9 leading to the final stage.

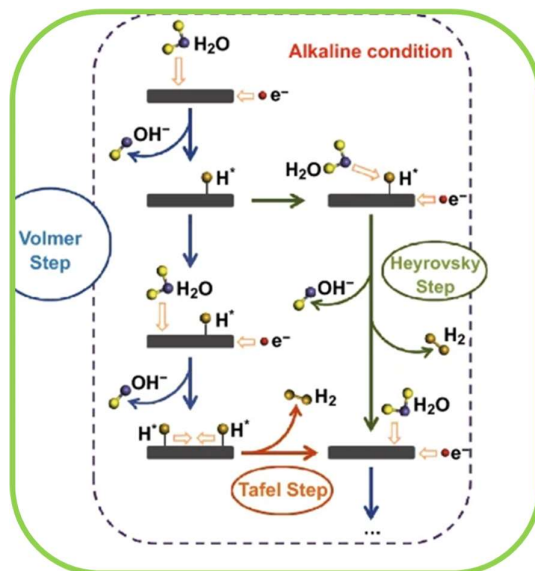


Where \* denotes the surface-active side of the electrode.

The Volmer step is the crucial initial stage where a hydrogen atom adsorbs onto the electrode surface. This stage is an indispensable part of the hydrogen evolution reaction and cannot be omitted.

The Hydrogen Evolution Reaction (HER) can proceed via either the Volmer-Heyrovsky or Volmer-Tafel mechanisms. The dominant mechanism throughout the reaction is determined by the Tafel slopes, which are 120, 40 and 30  $\text{mV dec}^{-1}$  for the Volmer, Heyrovsky and Tafel steps, respectively.[16] If the catalytic sites are close together, the Tafel step is more likely to occur, whereas if the catalytic sites are spaced farther apart, the Heyrovsky step becomes more favourable.

### In alkaline medium



**Figure 1.10:** Multiple steps of HER in alkaline medium [17]

In an alkaline environment, the number of available protons ( $\text{H}^+$ ) is almost zero. Therefore, an additional step is required to produce protons by dissociating water, which slows down the hydrogen evolution reaction (HER). As a result, the kinetics of hydrogen production in alkaline conditions are sluggish.

In sharp contrast to acidic conditions, in an alkaline medium, the Volmer step relies on an additional water dissociation step. Therefore, the hydrogen evolution reaction

(HER) in alkaline environments involves multiple steps, represents in figure 1.10 [17] which are as follows:

Volmer step- water dissociation:  $H_2O + e^- \rightarrow H^* + OH^-$

Tafel step :  $H^* + H^* \rightarrow H_2$

Heyrovsky step- water dissociation:  $H^* + H_2O + e^- \rightarrow H_2 + OH^-$

In this case, an additional water dissociation step occurs, which is why a Tafel slope of 120 mV per decade is commonly observed.

### 1.3.7 Electrochemically Active Surface Area of a Catalyst in Hydrogen Evolution Reaction

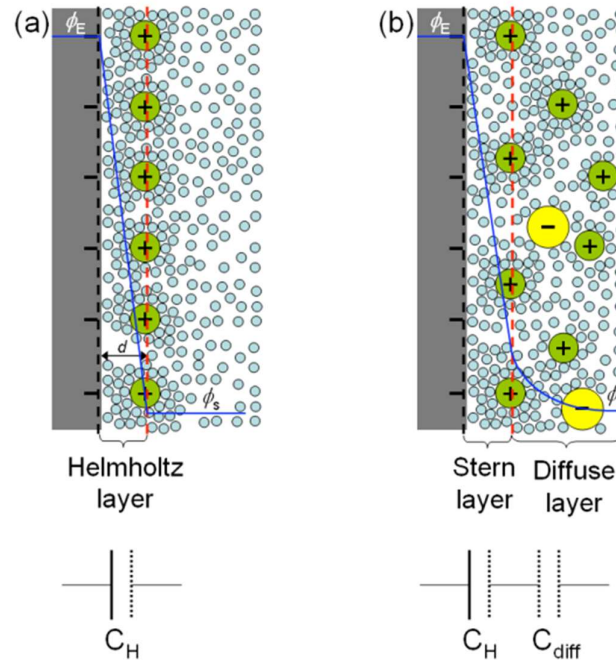
The Electrochemical Active Surface Area (ECSA) is a key factor in assessing the catalytic performance of a catalyst in hydrogen evolution reactions. A larger ECSA provides more active electrochemical sites, leading to enhanced catalytic activity and improved hydrogen evolution efficiency.[18]

The Electrochemical Active Surface Area (ECSA) is determined based on the electrochemical double-layer capacitance and can be represented by the following equation.

$$ECSA = \frac{C_{dl}}{C_s}$$

Here,  $C_{dl}$  represents the electrochemical double-layer capacitance, while  $C_s$  refers to the standard capacitance, with its value depending on the specific electrolyte used.

### 1.3.8 Electrochemical double layer capacitance



**Figure 1.11:** Electrochemical double layer models (a)Helmholtz model (b)Gouy -Chapman-Stern model [19]

Various electrochemical double layer models represent in figure 1.11. In 1879, Helmholtz discovered that when an electrode is immersed in an electrolyte and a potential is applied, counter charges accumulate on the electrolyte side. This setup functions similarly to a parallel plate capacitor. The compact layer of charges that forms on the electrolyte side is known as the Helmholtz layer,[19] and the system's capacitance is

$$C_H = \frac{A\epsilon_r\epsilon_0}{d}$$

$\epsilon_r$  is the dielectric constant of the electric double layer,  $\epsilon_0$  is the vacuum permittivity,  $A$  is the surface area of the electrode and  $d$  is the separation between the surface charge layer of the electrode and the counter-ion layer. (i.e. the compact layer thickness)

There is uncertainty in the values of two key parameters in the Helmholtz model: the dielectric constant and the distance ( $d$ ). The distance is influenced by how the

counter-ions are adsorbed onto the electrode. If the bare ions are adsorbed, the distance is determined by the size of the bare ion. However, if the ions are surrounded by water molecule, the distance is based on the size of the solvated ion. Additionally, the dielectric constant of water in the compact layer differs from that in bulk water. Near a charged surface, the dielectric constant is around 6, which is significantly lower than the bulk water value of 78. To address these variations, a revised Helmholtz model was introduced, dividing the compact charge layer on the electrolyte side into two parts: the inner Helmholtz layer and the outer Helmholtz layer.

The Helmholtz model is modified on electrode surfaces that are not fully screened by counter-ion charges in the compact layer. Due to thermal motion, the counter-ions can disperse into a diffuse layer that lies next to the compact layer. Therefore, the electrochemical double layer capacitance is composed of two parts connected in series: a compact layer, often referred to as the Stern layer or inner Helmholtz layer, and a diffuse layer known as the outer Helmholtz layer. This configuration of the electrochemical double layer is described by the Gouy-Chapman-Stern model.

In the Gouy-Chapman-Stern (GCS) model[19] for monovalent electrolytes, the distribution of the electric potential within the diffuse layer is determined by the Poisson-Boltzmann equation.

$$\Delta(\Delta\Phi\epsilon_r\epsilon_0) = 2eC_0 \sinh\left(\frac{e\Phi}{k_B T}\right)$$

Where  $\Phi$  is the electric potential,  $C_0$  is the bulk electrolyte concentration,  $e$  is the electron charge,  $k_B$  is the Boltzmann constant,  $T$  is the absolute temperature. By solving the Poisson-Boltzmann equation,[19] the capacitance of the diffuse layer is obtained as

$$C_{diff} = \frac{\epsilon_r\epsilon_0 A}{\lambda_D} \cosh\left(\frac{e\Phi_{diff}}{2k_B T}\right)$$

Where  $\Phi_{diff}$  is the potential drop across the diffuse layer and  $\lambda_D$  is the characteristic Debye length.

So, electrochemical double layer capacitance highly depends on Debye length and concentration of the electrolyte.

### 1.3.9 Measurement procedure of EDLC

To measure the electrochemical surface area (ECSA), the double layer capacitance ( $C_{dl}$ ) is first determined using cyclic voltammetry (CV) analysis. CV scans of the electrocatalyst are performed at various scan rates within a non-Faradaic potential region. The difference between the anodic and cathodic currents is measured in this non-Faradaic potential region and then plotted against the different scan rates. The slope of the resulting linear plot, divided by two, represents the double layer capacitance ( $C_{dl}$ ).

## 1.4 Nonlinear Optics (Spatial self-phase modulation)

### 1.4.1 Preamble:

Since ancient times, light has fascinated humanity with its captivating properties and invaluable utility. The study of light has drawn human interest through the exploration of its fascinating properties. The discovery of more intriguing properties of light could unlock its full potential. This progress may take humanity beyond the classical study of optics, where wave aspects of light explain phenomena such as interference and diffraction. Moving beyond classical optics leads to the realm of quantum phenomena, where light is understood as discrete packets of energy known as photons. The interaction between photons and electrons has given rise to an exciting new field called photonics. Day by day, new and intriguing aspects of light-matter interactions are being discovered, deepening our understanding of light. These interactions have also driven a technological revolution in communication systems, enabling the transmission of information over long distances using light.

Optical communication remained a distant dream until Maiman's invention of the laser in 1960. The development of a coherent, high-intensity beam of light opened up new fields such as nonlinear optics,[20] fiber optics,[21] and advanced forms of spectroscopy.

We currently live in the age of electronics, where the world thrives on advanced electronic technologies. However, these technologies are now approaching their physical limits. According to Moore's law,[22] the number of transistors on computer chips doubles roughly every two years. To achieve higher-speed logic, an increasing number of transistors is required.

To address these limitations, optoelectronics[23] and electro-optics[24] have emerged as promising solutions and an evolving field. In electronic circuits, electrons can regulate or influence the behaviour of other electrons. For instance, in a transistor, the base current controls the emitter-collector current, leading to the development of electronic amplifiers, oscillators and more.

In contrast to electrons, a propagating beam of light cannot directly control or influence another beam of light traveling through the same medium simultaneously. However, optical nonlinearity enables such interactions, allowing energy exchange between light beams propagating together in suitable media, provided the light intensity is sufficiently high.

Based on nonlinear light-matter interactions, devices such as all-optical diodes and all-optical logic gates have been developed. These devices enable logic functions by manipulating the intensity, phase, polarization, or wavelength of optical signals through interactions with light.

### 1.4.2 Origin of optical nonlinearity in material

When an atom is exposed to an electromagnetic field, the field interacts with both the positive and negative charge centers, causing the electron cloud to deform. This deformation can be quantified. The distance between the positive and negative charge centers is known as the induced electric dipole moment  $\vec{p}$ , which results from the influence of the electric field on the atom.

$$\vec{p} = q_i \vec{r}_i$$

Where  $q_i$  is the electric charge located at the point denoted by the position vector  $\vec{r}_i$ .

The induced dipole moment  $\vec{p}$ , is related to electric field strength according to

$$\vec{p} = \alpha \vec{E}$$

Where  $\alpha$  is the electronic polarizability of the atom.

Due to induced dipole moment the overall induced macroscopic polarization

$(\vec{P})$  over volume  $V$  of the atom is defined as

$$\vec{P} = \frac{\sum \vec{p}_i}{V}$$

In linear optics the relationship between polarization and the strength of the electric field  $\vec{E}$  is

$$\vec{P} = \epsilon_0 \chi^{(1)} \vec{E}$$

However, when electric field is large enough in case of laser, when intensity  $I$  is large and

$$I \propto E^2$$

Then the optical response of a material may be expressed in a series expansion of the polarization in powers of the electric field [25]

$$\vec{P} = \epsilon_0 [\chi^{(1)} \vec{E} + \chi^{(2)} \vec{E}\vec{E} + \chi^{(3)} \vec{E}\vec{E}\vec{E} + \dots]$$

The various electric susceptibilities  $\chi^{(n)}$ ,  $n=2, 3, \dots$  correspond to nonlinear optical polarization of the material. In nonlinear optics, a significant electric field is required, and it equally depends on the material's susceptibility. Now we can look at nonlinear optical susceptibilities in the next section to determine their physical manifestations.

### 1.4.3 Optical Kerr effect

For centrosymmetric system

$$\chi^{(2)} = 0$$

$$P_{NL}^{(3)} = \epsilon_0 \chi^{(3)} \vec{E}\vec{E}\vec{E}$$

$$\vec{E} = \frac{1}{2} [E_0 e^{i(kz - \omega t)} + c.c.]$$

$$P_{NL}^{(3)} = P_{NL}^{(3\omega)} + P_{NL}^{(\omega)}$$

$$P_{NL}^{(3\omega)} = \frac{\epsilon_0 \chi^3}{8} [E_0^3 e^{3i(kz - \omega t)} + c.c.] \quad \text{3rd harmonic generation (3HG)}$$

$$P_{NL}^{(\omega)} = \frac{\epsilon_0 \chi^3}{8} [3E_0 |E_0|^2 e^{i(kz - \omega t)} + c.c.] - \omega \text{ generation}$$

Now,

$$\vec{P}_{Total} = \vec{P}_L + \vec{P}_{NL}$$

$$\vec{P}_{Total} = \epsilon_0 \left( \chi^{(1)} + \frac{3}{4} \chi^{(3)} |E_0|^2 \right) \vec{E}$$

$$\vec{P} = \epsilon_0 \chi_{eff}^{(3)} \vec{E}$$

$$\chi_{eff}^{(3)} = \left( \chi^{(1)} + \frac{3}{4} \chi^{(3)} |E_0|^2 \right)$$

$$n^2 = \left( 1 + \chi_{eff}^{(3)} \right) = 1 + \chi^1 + \frac{3}{4} \chi^{(3)} |E_0|^2$$

n is the total refractive index of the material.

$$n^2 = n_0^2 + \frac{3}{4} \chi^{(3)} |E_0|^2$$

Where,

$$n_0^2 = 1 + \chi^1$$

$$n^2 = n_0^2 \left( 1 + \frac{3}{4n_0^2} \chi^{(3)} |E_0|^2 \right)$$

$$n = n_0 \sqrt{\left( 1 + \frac{3}{4n_0^2} \chi^{(3)} |E_0|^2 \right)}$$

$$n \approx n_0 \left( 1 + \frac{3}{8n_0^2} \chi^{(3)} |E_0|^2 \right)$$

$$n = n_0 + n_2 I \text{ where } I = \frac{1}{2} \epsilon_0 n_0 c |E_0|^2$$

$n_0$  is the linear refractive index and  $n_2$  is the nonlinear refractive index

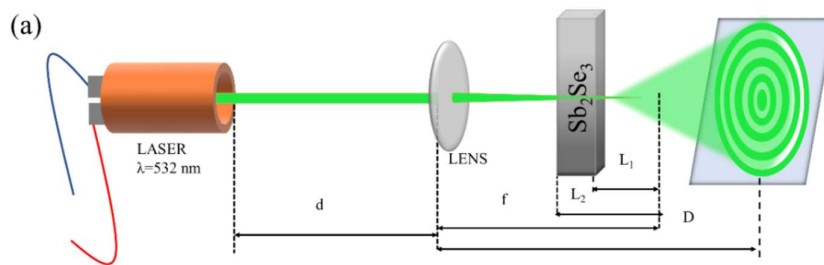
$$\text{Where, } n_2 = \frac{3\chi^{(3)}}{4\epsilon_0 n^2 c}$$

When the refractive index of a material changes under the influence of a strong electric field or high-intensity laser light, the phenomenon is known as the optical Kerr effect.[25] This change in refractive index leads to various nonlinear optical responses,

including self-focusing, spatial self-phase modulation and modulational stability, among others.

### 1.4.4 Spatial -self phase modulation (SSPM)

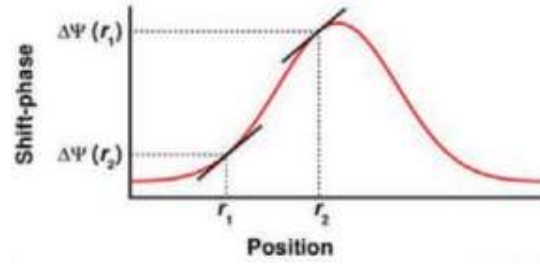
Spatial self-phase modulation (SSPM) [26] is a nonlinear optical phenomenon that occurs when a high-intensity laser beam propagates through a nonlinear medium, causing spatial variations in the refractive index due to the optical Kerr effect. These variations arise because the refractive index of the medium changes proportionally to the intensity of the laser light. As a result, the phase of the light wave becomes spatially modulated, leading to changes in the beam profile.



**Figure 1.12** Schematic of instrumental set up of SSPM technique

According to the optical Kerr effect, the refractive index ( $n$ ) of the materials has a strong dependence on the intensity ( $I$ ) of the incident laser light and the relation between them has been presented as  $n = n_0 + n_2I$ ; where  $n_0$  and  $n_2$  are the linear and nonlinear refractive index of the material, respectively.

Schematic representation of instrumental set up of SSPM represents in figure 1.12. When the phenomenon of SSPM is excited in the nonlinear medium, a phase shift occurs. This happens because the Gaussian light beam experiences varying phase shifts in the radial direction due to differences in the intensity distribution ( $I$ ). As a result, light waves at two distinct positions with the same wave vector can interfere with each other. The phase shift spectrum reveals two points,  $r_1$  and  $r_2$ , with identical slopes (Figure 1.13), satisfying the described relationship.



**Figure1.13:** Phase shift of gaussian beam with radial coordinate due to the interaction with nonlinear medium

The phase shift ( $\Delta\psi(r)$ ) of the incident gaussian laser beam ( $\psi(r)$ ) has strong dependence upon the  $n_2$  of the material in the SSPM experiment, and it can be expressed by,

$$\Delta\psi(r) = \frac{2\pi n_0}{\lambda} \int_0^{L_{eff}} n_2 I(r, z) dz$$

Where,  $r \in [0, \infty)$  is the radial co-ordinate,  $\lambda$  is the wavelength of the incident laser beam and  $L_{eff}$  is the effective propagation path passing through the nonlinear medium dispersion. For a Gaussian light beam, the central light intensity ( $I(0, z)$ ) is twice the value of average light intensity ( $I$ ). This phase modulation of the output beam is proportional to the incident intensity ( $I(r, z)$ ) of the laser beam. The diffraction ring number ( $N$ ) can be expressed as.

$$\Delta\psi(r_1) - \Delta\psi(r_2) = M\pi$$

$$\Delta\psi(0) - \Delta\psi(\infty) = 2\pi N$$

Where  $M$  is the integer number, and odd or even value of  $M$  corresponds to the dark or bright diffraction pattern, respectively. From the following equation  $L_{eff}$  of the laser beam can be determined,

$$L_{eff} = \int_{L_1}^{L_2} \left(1 + \frac{z^2}{z_0^2}\right)^{-1} dz = z_0 \tan^{-1} \left[ \frac{z}{z_0} \right]_{L_1}^{L_2}$$

where,  $z_0 = \pi\omega_0^2 / \lambda$ ;  $L_1, L_2$  are the distance from the focus ( $f$ ) to the side of the quartz cuvette;  $z_0$  is the diffraction length,  $\omega_0 = 1/e^2$  beam radius. Therefore, the nonlinear refractive index of material can be determined from the formula,

$$n_2 = \frac{\lambda}{2n_0 L_{eff}} \frac{dN}{dl}$$

subsequently, the third order nonlinear susceptibility  $\chi_{total}^{(3)}$  of nonlinear materials can be determined as,

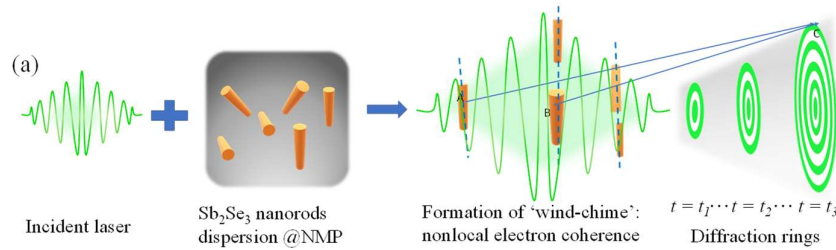
$$\chi_{total}^{(3)} = \frac{cn_0^2}{12\pi^2} 10^{-7} n_2 \text{ (esu)}$$

Where  $c$  is the velocity of light in free space. In layered material, nonlinear susceptibility of a single layer has a significant role and can be determined by

$$\chi_{total}^{(3)} = \chi_{singlelayer}^{(3)} \times N_{eff}^2$$

Here  $N_{eff}$  is the effective number of charge carrier layers present in the material.

### 1.4.5 Wind-chime model (Origin of SSPM diffraction pattern)



**Figure 1.14:** Experimental verification of 'Wind-chime' model: (a). Schematic illustration of 'Wind-Chime model' showing the mechanism of light matter interaction and related diffraction pattern

When an optical electromagnetic wave with a frequency of  $10^{14}$  Hz (visible range) interacts with a matter local polarization is created. The resulting dipoles oscillate with the same frequency as that of the incident radiation thereby emitting scattered radiation. The intensity of re-radiation is determined by how readily the charged particles oscillate in response to the incoming electromagnetic wave. The primary constraint on these oscillations arises from the material's tendency to restore its electronic distribution to its preferred equilibrium state. However, a balance exists between this restoring force and the extent to which the charged particles can follow the electromagnetic wave. This behaviour is quantitatively characterized by the mobility of the charged particles. During SSPM, the coherence of electrons or holes causes nanorods, nanosheets, or nanoflakes in the nonlinear medium to become

polarized and align with the direction of the external optical field. The schematic of wind-chime model is represented in figure 1.14. The maximum number of rings observed on the screen takes some time to form, as it depends on the completion of the nanoparticles' alignment with the field direction. The time required for aligned most of the nanodomains inside the viscous medium has been presented as

$$T = \frac{\epsilon\pi\eta\xi RC}{1.72(\epsilon-1)Ih}$$

where  $\epsilon$  is the relative dielectric constant of material,  $\eta$  is the co-efficient of viscosity of the solvent,  $\xi$  is the portion of the fluid globe that rotates with the domain,  $R$  is the nanoparticles domain radius,  $h$  is the thickness of nanoparticles and  $I$  is the laser intensity.

The evolution time of ring formation follows the exponential model as below

$$N = A \left( 1 - e^{-\frac{t}{t_c}} \right)$$

Where  $N$  is the number of rings,  $t_c$  is the rising time for the ring formation and  $A$  is a constant.

#### 1.4.6 Nonlinear photonic diode based on SSPM

When a Kerr nonlinear material is illuminated with light of an appropriate wavelength, a diffraction pattern is generated. This behaviour changes when the Kerr material is coupled with a wide-bandgap reverse saturable absorber (RSA) material. In a forward configuration, where the Kerr material is placed before the RSA material, illumination results in a diffraction pattern observed in the far field. However, in the reverse configuration, where the RSA material precedes the Kerr material, no diffraction pattern is observed.

This occurs because, in the reverse configuration, the wide bandgap of the RSA material prevents the incident light from exciting the diffraction pattern. At higher intensities, the RSA material exhibits strong linear absorption, reducing the intensity of light as it exits the material. This reduced intensity falls below the threshold

required to excite the Kerr nonlinear material, thus preventing the formation of a diffraction pattern.

### 1.4.7 Light-light modulation (optical logic gate)

When two beams of light with different wavelengths meet in free space, they do not interact or exchange energy. However, when these beams intersect in a Kerr material, they interact and generate separate diffraction patterns. Specifically:

- When a high-intensity pump beam (A) interacts with a high-intensity probe beam (B), distinct diffraction patterns appear in the far field
- Similarly, when the pump beam (A) has high intensity and the probe beam (B) has an intensity below a certain threshold, diffraction patterns are still observed in the far field
- However, if both beams have intensities below the threshold, no diffraction pattern is produced in the far field

This interaction effectively demonstrates an OR logic gate behaviour, where the presence of diffraction patterns corresponds to the logic output.

## 1.5 Desalination

The demand for freshwater is one of the most pressing global challenges. Seawater desalination is widely regarded as a promising solution to address freshwater scarcity. Currently, reverse osmosis and multistage desalination are the most commonly used commercial methods. However, these techniques require expensive infrastructure and consume significant amounts of electricity. In contrast, seawater desalination through interfacial solar evaporation offers a more accessible alternative. This method stands out for its simplicity, as it relies on a straightforward evaporation module and uses sunlight as its sole energy source. The concept of interfacial solar evaporation is crucial. Natural evaporation is typically slow because it occurs only at the water-air interface, where water molecules are in a higher energy state. However, this process is hindered by volumetric heat loss, as heat dissipates throughout the entire volume of water in the reservoir. This results in

a low thermal efficiency, typically around 40%.[8] In interfacial solar evaporation, only the water molecules at the air-liquid interface are heated, resulting in a thermal efficiency of up to 90%.[8] Achieving optimal performance in this process requires the development of advanced photothermal materials and innovations in structural engineering. Heat localization and thermal concentration play pivotal roles in enhancing efficiency. Additionally, water pathway designs—whether 3D, 2D, or 1D—determine the amount of water delivered to the heated zone. [27,28,29] These factors significantly impact the overall performance of interfacial solar evaporation. The performance of interfacial solar evaporation is greatly influenced by the following factors.

### **1.5.1 Absorption of sunlight and solar light to heat conversion efficiency**

High solar absorption across the entire solar spectrum is essential for effective interfacial solar evaporation. To enhance solar evaporation, several state-of-the-art strategies are available which are listed in following.

- Modifying the microstructure of the solar absorber enhances the multiple scattering of incident light, thereby minimizing heat loss from transmission and reflection
- Tuning the band structure of semiconductor absorber materials is another effective approach to capturing sunlight over a broader wavelength range. Narrow bandgap semiconductors produce more heat than wide bandgap semiconductors due to the carrier thermalization process
- The varying sizes and shapes of plasmonic nanoparticles enable broad-spectrum solar absorption, resulting in higher solar light-to-heat conversion efficiency

### **1.5.2 Heat localization**

Heat localization is a critical factor in interfacial solar evaporation,[30] and various methods are available to achieve it.

- To minimize heat loss through the substrate, materials with low thermal conductivity are essential
- To ensure heat localization, the evaporator module includes a thermal insulator that prevents heat loss to the bulk water and helps retain heat in the upper layer of the absorber material

Achieving high solar absorption and heat localization alone is not enough to ensure high solar evaporation efficiency. When high solar absorption and heat localization are combined with efficient water transport pathways, the result is a significant increase in solar-to-water evaporation efficiency.

### **1.5.3 Different types of water transport pathways**

Traditionally, 3D porous interconnected water pathways are used in solar evaporation modules, where capillary forces drive the water supply to the hot zone. However, during water transport, the 3D pores become filled with water, leading to heat loss through conduction to the bulk water, which diminishes the heat localization effect.[27] In contrast, 2D water pathways provide efficient water evaporation while reducing volumetric heat loss to the bulk water.[28] In the evaporation module, the 2D and 1D water pathways are kept separate from the thermal insulator. However, the requirements for these pathways and the thermal insulator are in conflict. The thermal insulator needs a closed porous structure to minimize heat loss to the surrounding water, while the water transport pathways require open pores to ensure efficient and continuous water supply.

In the case of solar desalination, the efficiency of light-to-heat conversion, heat localization and water pathways are all equally crucial.[8]

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## Chapter 2

## Review of past work

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### 2.1. $\text{Sb}_2\text{Se}_3$ Semiconductors for Solar Cell

Every renewable energy sector requires materials that are environmentally friendly, cost-effective, non-toxic and abundantly available on Earth, with the inherent potential to excel in their specific applications. Materials that offer high efficiency for a specific application but are toxic or costly are quickly replaced. This pursuit of better materials in photovoltaics led to the discovery of  $\text{Sb}_2\text{Se}_3$ . Its unique properties, detailed in the previous chapter, have made it a remarkable choice for extensive use in photovoltaics. Thin-film-based solar cells generally come in two distinct configurations: the substrate configuration and the superstrate configuration. In the

substrate configuration, the deposition process begins with the formation of the back contact and concludes with the top transparent electrode layer. Conversely, in the superstrate configuration, the deposition starts with the transparent electrode layer and finishes with the metallic back contact.

The development of  $\text{Sb}_2\text{Se}_3$  solar cells has a chronological background. The first industrial-scale solar cell, based on silicon, was reported with an impressive efficiency of 26.7%. [1] Although Si offers high efficiency and several benefits, its potential is hindered by significant drawbacks. These include a high fabrication temperature of  $1400^\circ\text{C}$  and a low absorption coefficient, which requires a thickness of several hundred micrometres to adequately absorb sunlight. As a result, researchers are increasingly investigating alternative absorber materials for solar cells. GaAs (efficiency  $\approx 29.1\%$ ) and  $\text{Cu}(\text{In}, \text{Ga})(\text{S}, \text{Se})_2$  (efficiency  $\approx 23.4\%$ ) were introduced, but their primary drawbacks are scarcity and high costs. [1] Following these, CdTe was developed, offering a simple phase and suitable bandgap, but concerns arose due to its toxicity. Subsequently, the non-toxic and low-cost  $\text{Cu}_2\text{ZnSn}(\text{S}, \text{Se})_4$  emerged, demonstrating an efficiency of 9.6% [1]. Later, hybrid perovskites gained attention as promising photovoltaic (PV) materials, though issues with instability and toxicity remained significant challenges. This historical progression sets the stage for 2013, which marked the emergence of  $\text{Sb}_2\text{Se}_3$  as a potential game-changer. The performance of a solar cell is influenced not only by the absorber layer but also by the hole transport layer, electron transport layer, back contact and buffer layer. The chronological development of  $\text{Sb}_2\text{Se}_3$  solar cells is illustrated in table 2.1.

**Table 2.1: The chronological development of Sb<sub>2</sub>Se<sub>3</sub> solar cells**

Sb <sub>2</sub> Se <sub>3</sub> solar cells description	Deposition method	Efficiency	Year	References
First sensitized type Sb <sub>2</sub> Se <sub>3</sub> solar cell	Spin coating	3.21 %	2013 Dec	[2]
First planar type Sb <sub>2</sub> Se <sub>3</sub> solar cell	Hydrazine solution process	2.26 %	2014 Feb	[3]
planar type Sb <sub>2</sub> Se <sub>3</sub> solar cell	Thermal evaporation process	1.9 %	2014 Apr	[4]
Benign grain boundary oriented polycrystalline Sb <sub>2</sub> Se <sub>3</sub> solar cell	Rapid Thermal evaporation process	5.6 %	2015 Jun	[5]
Orientation control of Sb <sub>2</sub> Se <sub>3</sub> induced by ZnO buffer layer	Rapid thermal evaporation process	5.93 %	2017 Mar	[6]
Sb <sub>2</sub> Se <sub>3</sub> film	Closed spaced sublimation	4.3 %	2017 Jun	[7]
Sb <sub>2</sub> Se <sub>3</sub> film	Vapor transport	7.6 %	2018 Jun	[8]
Nanorods array Sb <sub>2</sub> Se <sub>3</sub>	Device structure	9.2 %	2019 Jan	[9]
Improved selenization of Sb <sub>2</sub> Se <sub>3</sub>	Selenization method	6.84 %	2019 Apr	[10]
Sb <sub>2</sub> Se <sub>3</sub> mini module	Flexible solar cells	6.13 %	2021 May	[11]

## 2.2. $\text{Sb}_2\text{Se}_3$ Semiconductors for Thermoelectric Applications

Thermoelectric materials convert temperature gradients into electricity, and  $\text{Sb}_2\text{Se}_3$  shows promise in this area too:

➤ High Seebeck Coefficient:

$\text{Sb}_2\text{Se}_3$  demonstrates a significant voltage response to temperature differences, crucial for efficient thermoelectric performance

➤ Low Thermal Conductivity:

Its low thermal conductivity ensures heat retention at the junctions, enhancing thermoelectric efficiency

➤ Bandgap Tunability:

Slight adjustments in its composition can optimize its thermoelectric properties

Ting et al. [12] reported that the thermal conductivity of a single  $\text{Sb}_2\text{Se}_3$  nanowire with a diameter of 680 nm is  $0.037 \pm 0.002$  W/m·K. Their findings also revealed that the thermal conductivity of the nanowire is over an order of magnitude lower than that of bulk  $\text{Sb}_2\text{Se}_3$ . Additionally, the nanowire exhibited a Seebeck coefficient of 750  $\mu\text{V}/\text{K}$  [12]. Moreover, the electrical conductivity of  $\text{Sb}_2\text{Se}_3$  improved significantly when combined with  $\beta\text{-Cu}_2\text{Se}$  and PANI (polyaniline), with the  $\beta\text{-Cu}_2\text{Se}/\text{PANI}$  composite achieving the highest power factor of 181.61  $\mu\text{W}/\text{m}\cdot\text{K}^2$  at 473 K [13]. A remarkable figure of merit (ZT) value of 0.38 at room temperature has been achieved through the atomic layer deposition of  $\text{Sb}_2\text{Te}_3$ -  $\text{Sb}_2\text{Se}_3$  nanostructures [14]. This research offers important insights into the design and development of high-

performance chalcogenide materials. Numerous studies have explored the use of  $\text{Sb}_2\text{Se}_3$  in photoelectrochemical applications, photodetectors and integrated photonic devices. However, certain areas, such as the hydrogen evolution reaction (HER), desalination and nonlinear optics, remain underexplored for  $\text{Sb}_2\text{Se}_3$ . Despite this, extensive research exists in these fields and some of the key studies are highlighted below.

### 2.3. Hydrogen evolution reaction (HER)

Hydrogen is a highly efficient, clean and economical energy source due to its impressive gravimetric energy density and a lower heating value of 120 kJ/g [15].

For the reasons mentioned above, three primary technologies are available for producing hydrogen safely and on a large scale:

- (a) Coal gasification
- (b) Water electrolysis
- (c) Methane reforming

Among these, only water electrolysis is entirely green and free of  $\text{CO}_2$  emissions.

The hydrogen evolution reaction in electrocatalysis is inherently slow, requiring the use of efficient catalysts to enhance the reaction's kinetics and improve the efficiency of water electrolysis. For efficient hydrogen production that is both economically viable and suitable for large-scale applications, the catalyst must meet the following criteria:

1. Cost-effectiveness
  - (a) Use low-cost materials with abundant availability on Earth.
  - (b) Ensure low fabrication costs for the photocathode.
2. High efficiency and minimal overpotential
  - (a) Exhibit high electrical conductivity.
  - (b) Minimize the charge diffusion path length.
  - (c) Provide a large active surface area.
  - (d) Deliver high current density at low overpotential.
  - (e) Have active sites with Gibbs free energy values close to zero for optimal performance.
3. Durability
  - (a) Maintain high chemical stability in harsh acidic and alkaline environments.

Platinum is the most efficient noble-metal-based electrocatalyst, known for achieving high current density at low overpotential. However, its limited availability and high cost restrict its widespread use as a catalyst for the hydrogen evolution reaction (HER).

### **2.3.1. Work done on various types of electrocatalysts**

Although electrolysis of water for the production of hydrogen is known and used over centuries, finding an appropriate catalyst with desirable attributes is a very active field of research over the last three decades. The catalysts can be broadly

categorized into two sections: (i) Non-metal based electrocatalysts and (ii) Metal based electrocatalysts.

### **2.3.1.1 Non-metal based electrocatalysts:**

Metal-free electrocatalysts are widely utilized due to their tunable structures, diverse compositions, low cost, eco-friendliness and abundance on Earth. Among these, carbon-based materials are particularly prominent and widely recognized for their effectiveness as electrocatalysts. Zero-dimensional (0D) fullerenes (C<sub>60</sub>) exhibit notable electrochemical electron-accepting ability and efficient electron transport properties. [16] In 0D materials, carbon quantum dots (CQD) enhance performance by offering a large surface area, improved conductivity and excellent dispersibility. [17,18] N-doped carbon quantum dots (N-CQDs) have demonstrated an overpotential value of 341 mV in reported studies. [19] One-dimensional (1D) carbon nanotubes have been shown to exhibit excellent electrochemical activity (0.29 V) and remarkable stability in electrocatalysis, even after 10,000 cycles. [20,21] Two-dimensional (2D) carbonaceous materials like graphene meet all the essential criteria for an effective catalyst, including excellent conductivity, a large surface area, high stability and strong corrosion resistance. However, surprisingly, graphene's electrocatalytic activity is relatively poor. This limitation arises because graphene layers are chemically inert due to the uniform charge distribution of sp<sup>2</sup> carbon atoms in the basal plane and the absence of efficient charge transfer sites. Graphene can display electrochemical activity when its layers are bent, causing a shift in charge distribution. Techniques such as nitrogen (N) doping, [22,23] introducing double-defect structures [24] and heteroatom doping [25] can effectively modify

graphene's electronic structure, thereby enhancing its electrocatalytic performance for the hydrogen evolution reaction (HER). Boron carbon nitride is another notable metal-free catalyst used in electrocatalysis, making a significant impact on hydrogen evolution reaction (HER). While non-metal-based catalysts are eco-friendly and cost-effective, metal-based catalysts continue to dominate for large-scale hydrogen production.

### 2.3.1.2 Metal based electrocatalysts:

According to the Sabatier principle, an ideal catalyst for the hydrogen evolution reaction (HER) should have a  $\Delta G_{\text{H}}$  value of zero. In 1957, Parsons demonstrated that catalyst surfaces with  $\Delta G_{\text{H}}$  close to zero exhibit high activity for HER. Metals such as Pt, Rh, Re and Ir, which are positioned near the peak of the HER volcano plot, provide optimal hydrogen atom binding energies, making them highly effective for this reaction. Platinum is renowned for superior catalyst for  $\text{H}_2$  production. The primary challenge in hydrogen production is its high cost. Ruthenium (Ru) emerges as a practical alternative to platinum (Pt) because it is more affordable while maintaining a comparable metal-hydrogen bond strength of 65 kcal/mol, similar to that of Pt[26]. The Ru@C<sub>2</sub>N catalyst in 0.5 M H<sub>2</sub>SO<sub>4</sub> demonstrates an impressively low overpotential of 22 mV at a current density of 10 mA/cm<sup>2</sup>, outperforming other catalysts such as Co@C<sub>2</sub>N (290 mV), Ni@C<sub>2</sub>N (410 mV), Pd@C<sub>2</sub>N (330 mV) and even Pt@C<sub>2</sub>N (60 mV)[26]. Surprisingly, Ru@C<sub>2</sub>N exhibits higher activity even in an alkaline solution, with a low overpotential of 17 mV at a current density of 10 mA/cm<sup>2</sup>. Theoretically, Ni @Ni<sub>2</sub>P-Ru achieves an optimal Gibbs free energy ( $\Delta G_{\text{H}}$ ) of 0.01 eV. Experimentally, it demonstrates overpotentials of 51 mV and 41 mV

(10mA/cm<sup>2</sup>) in acidic and alkaline electrolytes, respectively.[27] In comparison to the Pt (100) facet, the Ir (111) facet presents another viable option with a relatively balanced hydrogen adsorption/desorption capacity. Additionally, it offers better stability than platinum.[28] Metals have traditionally been regarded as effective electrocatalysts. However, they face several challenges, including high costs, instability, a tendency for metal-based catalysts to agglomerate easily and limited resource availability. To address these various drawbacks of using metals as catalysts, metal nanoclusters (MNCs) have been developed. These clusters, composed of several to hundreds of metal atoms, are characterized by sizes comparable to the Fermi wavelength of electrons, typically less than 2.0 nm. Metal nanoclusters (MNCs) provide several advantages, including higher surface energy, which helps overcome the potential energy barrier, thereby requiring a lower overpotential. Additionally, they enable efficient atom utilization, minimizing the need for large quantities of noble metals. The quantum size effect of metal nanoclusters imparts distinctive electronic properties, significantly enhancing their functionality. Numerous studies have reported the application of metal nanoclusters in hydrogen evolution reactions, including Pd-Ru MNC [29], Ni-Fe MNC [30], clusters of Cu, Co, Ni, Pd and Pt[31], Pt-Cu clusters[32], Pt clusters on F-doped SnO<sub>2</sub> [33], Pt clusters on MoO<sub>2</sub>[34] and Pt clusters on Ti<sub>3</sub>C<sub>2</sub>T<sub>x</sub>[35], among others. Significant progress has been achieved in the research on MNCs; however, challenges remain in their application to hydrogen evolution reactions (HER). In practice, MNCs tend to agglomerate during experiments. Furthermore, advanced characterization techniques, such as in-situ Raman spectroscopy and X-ray Absorption Fine Structure

(XAFS), are needed to identify active centres. However, the limited accessibility of these tools poses technological constraints. Traditionally the transition metals are good electrocatalysts. However, they have the following limitations:

With the advent of Nanotechnology significant improvement of catalysts performance have been found. Transition metal-based chalcogenides and their nanostructures are found to exhibit improved catalysis performance.

With the advent of 2D materials significantly improved performance of electrocatalysts for HER applications have been found. These include  $\text{MoS}_2$ ,  $\text{MoSe}_2$ , etc. Also alloys of 2D transition metal chalcogenides and their composites are important members of electrocatalysts family for HER applications.

### **2.3.2 2D metal dichalcogenides as electrocatalyst in (HER)**

In recent years, numerous alternatives to platinum-based electrocatalysts have been explored, with  $\text{MoS}_2$  gaining significant attention. Its abundance, high catalytic activity and potential have led to the development of various  $\text{MoS}_2$ -based electrocatalysts. Additionally, due to its anisotropic nature,  $\text{MoS}_2$  tends to form a two-dimensional structure, providing a large surface area that is advantageous for the hydrogen evolution reaction (HER).

Xie et al. used ultrathin  $\text{MoS}_2$  nanosheets for enhanced HER by introducing controlled disorder and oxygen incorporation [36]. The disordered structure created abundant active sulfur sites, while oxygen incorporation improved electronic structure and conductivity. The modified catalyst achieved low overpotential (120

mV), high current density and excellent stability.[36] Reducing the layer number of MoS<sub>2</sub> significantly enhances its catalytic activity for the hydrogen evolution reaction (HER), as shown by Yifei et al. They found that adding a single layer decreases catalytic activity by a factor of 4.47, attributed to improved vertical electron hopping. This study challenges the conventional belief that only edge sites are catalytically active in MoS<sub>2</sub>, demonstrating that basal plane atoms can also serve as active sites. The reported Tafel slope for this activity is 140 mV dec<sup>-1</sup>. [37] Li et al. have also optimized and activated the basal plane of the monolayer 2H-MoS<sub>2</sub> for hydrogen evolution reaction (HER) by introducing sulfur vacancies. Here onset potential is 170 mV and Tafel slope is 60 mV dec<sup>-1</sup>. [38] Voiry et al. [39] enhanced the electrocatalytic activity of the 2H monolayer MoS<sub>2</sub> by improving the coupling between the substrate and the catalyst. Zhang et al. synthesized a uniform, centimetre-scale dendritic monolayer of MoS<sub>2</sub> on the insulator SrTiO<sub>3</sub>. This monolayer was successfully transferred onto a gold foil electrode, where it served as an excellent electrocatalyst for the hydrogen evolution reaction, demonstrating a low Tafel slope of 73 mV dec<sup>-1</sup>. [40] Additional studies on 2D metal dichalcogenides as catalysts for hydrogen evolution reaction (HER) are available, and their performance is summarized in the table 2.2 below.

**Table 2.2: 2D metal dichalcogenides as catalysts for hydrogen evolution reaction**

Materials	Electrolyte	Current density (mA/cm <sup>2</sup> )	Overpotential (mV)	Tafel slope(mV/dec)	Reference
1. Co <sub>0.85</sub> Se/graphene	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	250	41.8	[41]
2. Co <sub>9</sub> S <sub>8</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	149	70	[42]
3. CoS <sub>2</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	197	29.9	[43]
4. CoS <sub>2</sub> / CoSe @C	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	164	42	[44]
5. CoSe <sub>2</sub> /MoSe <sub>2</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	218	76	[45]
6. VS <sub>2</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	-	159	[46]
7. WS <sub>2</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	130	45	[47]
8. V doped WS <sub>2</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	148	71	[48]
9. TaS <sub>2</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	200	135	[49]
10. TaS <sub>2</sub> , TaSe <sub>2</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	120	-	[50]
11. MoS <sub>2</sub> - WS <sub>2</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	129	72	[51]
12. Ni <sub>0.85</sub> Se/ MoSe <sub>2</sub>	1M KOH	10	108	77	[52]
13. NiS@ MoS <sub>2</sub>	1M KOH	10	208	62.4	[53]
14. Ni <sub>3</sub> S <sub>2</sub> - NiS	1M KOH	10	141	75	[54]
15. MoS <sub>2</sub> / Ni <sub>3</sub> S <sub>2</sub>	1M KOH	10	110	83.1	[55]
16. MoS <sub>2</sub> / MoO <sub>2</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	300	35.6	[56]
17. Fe <sub>1-x</sub> Co <sub>x</sub> Se <sub>2</sub> /rGO	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	166	36	[57]
18. MoSe <sub>2</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	250	59.8	[58]
19. MoSe <sub>2</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	150	80	[59]
20. MoSe <sub>2</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub>	10	102	53	[60]

### 2.3.3 Heterostructures of different materials with rGO as electrocatalyst in (HER)

Dong et al. [61] demonstrated that defective MoS<sub>2</sub>/rGO heterostructures exhibited excellent HER activity, with a low overpotential of 154.77 mV and a small Tafel slope of 56.17 mV/dec.[61] On the other hand, the van der Waals heterostructure of rGO and hBN also displayed overpotential of 220 mV.[62] The electrocatalytic hydrogen evolution reaction was further enhanced by MoS<sub>2</sub>/rGO heterostructures combined with Cu<sub>2</sub>ZnSnS<sub>4</sub>, achieving a low overpotential of 50 mV at 10 mA/cm<sup>2</sup> and a Tafel slope of 68 mV/dec.[63] An exceptionally low overpotential of 76.3 mV has also been achieved using CoS/rGO@carbon cloth as a catalyst for HER.[64]

### 2.3.4 Sb<sub>2</sub>Se<sub>3</sub> as electrocatalyst in (HER)

Reports on Sb<sub>2</sub>Se<sub>3</sub> as an electrocatalyst for HER are scarce. One example, Sb<sub>2</sub>Se<sub>3</sub>/polyacrylonitrile, demonstrated an overpotential of 152 mV and a Tafel slope of 78.4 mV/dec. [65] N, Ru, Co doped Sb<sub>2</sub>Se<sub>3</sub> also shows excellent HER activity (72 mV at 10 mA/cm<sup>2</sup>).[66]

## 2.4. Spatial-Self phase modulation (SSPM)

In self-induced spatial phase modulation (SSPM), materials play a critical role. Achieving a diffraction pattern in the far field requires both a high-intensity laser and materials with significant third-order nonlinear susceptibility. The following table 2.3 presents some reported materials along with their corresponding third-order nonlinear susceptibility values.

**Table 2.3: Third order optical susceptibilities of reported materials.**

Materials	Dimensions	Solvent	Concentrations	Laser parameters	$\chi^{(3)}_{\text{monolayer}}$	References
MoSe <sub>2</sub>	2D	NMP	7.1*10 <sup>-4</sup> mol/L	532 nm CW Laser	10 <sup>-9</sup> esu	[67]
MoS <sub>2</sub>	2D	Acetone	0.14 g/L	532 nm CW Laser, 400 nm and 800 nm ultrafast lasers	10 <sup>-9</sup> esu	[68]
MoTe <sub>2</sub>	2D	NMP	0.1 g/L	473 nm 532 nm, 750 nm and 801 nm CW Lasers	10 <sup>-9</sup> esu	[69]
Black phosphorus	2D	NMP	4.03*10 <sup>-3</sup> mol/L	350- 1160 nm femtosecond Lasers	10 <sup>-8</sup> esu at multiple wavelengths	[70]
Graphite	3D	NMP	0.075 mg/L	532 nm CW Laser	2.2*10 <sup>-9</sup> esu	[71]
Graphene	2D	NMP	-	532 nm CW Laser	10 <sup>-7</sup> esu	[72]
Ti <sub>3</sub> C <sub>2</sub> T <sub>x</sub>	2D	-	-	800 nm femtosecond Laser and 1064 nm picosecond Laser	10 <sup>-15</sup> esu at 800 nm and 10 <sup>-7</sup> esu at 1064 nm	[73]
TaAS	3D	NMP	0.2 g/L	532 nm laser	9.9*10 <sup>-9</sup> esu	[74]
Te NTs	1D	-	0.25 mg/mL	532 nm laser	-	[75]
Te@BiQDNTs	1D@0D	-	0.25 mg/mL	532 nm laser	-	[75]

## 2.5. Review of past work on desalination

Solar evaporation is one of the oldest methods of harnessing solar energy to heat water. Traditionally, the entire volume of water is heated, but since evaporation occurs only at the surface, this approach results in a low thermal efficiency of around 40%. In contrast, interfacial solar evaporation focuses on heating just the surface layer of water, where evaporation takes place. This targeted approach significantly improves thermal efficiency, reaching levels as high as 90%. Solar evaporation is gaining prominence in various emerging fields such as contaminated water purification, seawater desalination and electricity generation. For these applications, materials with high light-to-heat conversion efficiency are essential.

Interfacial solar evaporation is a potential technique in which solar energy can be utilized for evaporation of brine water which has utilization in desalination purposes.[76] Various types of photothermal materials are available, including plasmonic materials, semiconductors and carbon-based materials. The following graph highlights some of these materials along with their efficiencies and key characteristics.

### 2.5.1 Work done on carbon-based materials:

Carbon-based materials are widely utilized as photothermal materials due to their high light absorption over a broad wavelength range, affordability and ability to convert absorbed light into heat energy. This process occurs when electrons are excited from the  $\pi$  orbital to the  $\pi^*$  orbital under external stimulation. As the excited electrons relax back to the ground state, heat is generated. In various interfacial solar

evaporation module graphene [77-84] graphene oxide/ reduced graphene oxide [85,86,87,88], Carbon nanotubes (CNTs)[89-91], graphite[92-94], carbon black[95], are used as photothermal materials.

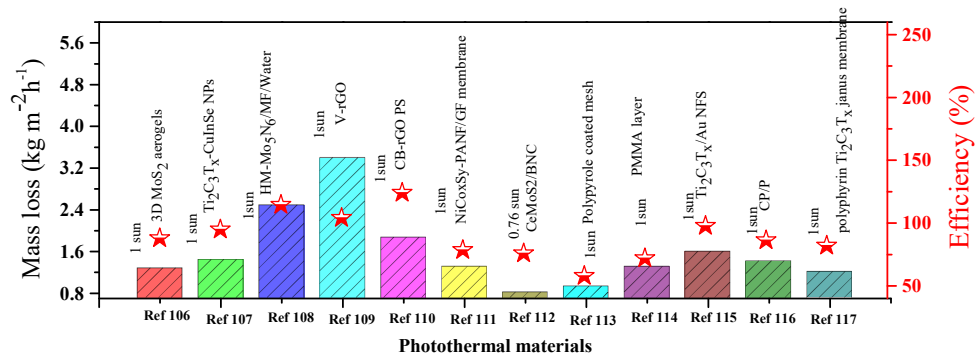
### **2.5.2 Work done utilising semiconductor materials**

In semiconductors, electron-hole pairs are generated when energy above the bandgap is absorbed. These pairs subsequently relax to the band edges, releasing energy as heat through thermalization processes. Some semiconductors like black titania [96],  $\text{Ti}_2\text{O}_3$  nanoparticles [97],  $\text{Fe}_3\text{O}_4$ ,  $\text{MnFe}_2\text{O}_4$ [98] serves as a potential candidate for light to heat conversion.

### **2.5.3 Work done utilising other type/ composite materials**

Some metal nanoparticles demonstrate strong light absorption and efficient light-to-heat conversion through plasmonic resonance. Gold (Au) plasmonic nanoparticles are particularly effective at converting light into heat energy [99-105]

The efficiency of an interfacial solar evaporation system is primarily influenced by factors such as the choice of solar absorber materials, supporting substrates, structural design techniques, water transport pathways, surface wettability and effective heat localization. Selecting appropriate materials for solar light absorption and heat localization is particularly crucial. Generally, there are three main mechanisms for photothermal heat generation: molecular thermal vibrations, electron-hole pair generation and relaxation and localized plasmonic heating.



**Figure 2.1.** Mass loss and efficiency of several composite photothermal materials

## 2.6 Objective of the Thesis

To achieve a sustainable and high-speed communication future, it is vital to harness renewable and green energy resources, along with the development of all-optical diodes and logic gates. It is now time to transition from the fossil fuel era to the renewable energy era and from the electronic age to the photonic age. In this shift, hydrogen evolution reactions (HER), desalination and nonlinear optics have become critical areas of focus. However, the primary challenge lies in overcoming the materials bottleneck. In this context, Sb<sub>2</sub>Se<sub>3</sub> nanostructures have emerged as leading candidates due to their ability to harness significant solar energy, their economic feasibility and their low toxicity. Despite these advantages, Sb<sub>2</sub>Se<sub>3</sub> nanostructures remain underexplored for applications in HER, desalination and nonlinear optics. Motivated by these gaps, the key objectives of this thesis are:

1. To synthesize the specific nanomaterials by easy, scalable and economically viable routes. This will primarily include
  - Synthesis of  $\text{Sb}_2\text{Se}_3$  NRs by easy solvothermal technique and also to develop controlled synthesis of 2D materials like reduced graphene oxide (rGO) and  $\text{SnS}_2$  which will be required for modifying the  $\text{Sb}_2\text{Se}_3$  nanostructures
2. To characterize the synthesized nanostructures: this will include
  - Morphological characterization
  - Structural characterizations
  - Compositional characterizations and optical characterizations
3. To investigate the efficacy of  $\text{Sb}_2\text{Se}_3$  NRs for as electrocatalyst for HER applications and also finding ways to improve its performance
4. Investigation on the light to heat conversion efficiency using  $\text{Sb}_2\text{Se}_3$  NRs
5. Investigation on the desalination performance of  $\text{Sb}_2\text{Se}_3$  NRs
6. One of the major aims of the thesis is to investigate the nonlinear optical properties of  $\text{Sb}_2\text{Se}_3$  NRs through spatial self-phase modulation

## 2.7 Organization of the Thesis

The thesis is divided into seven chapters, and a concise overview of each chapter is provided below.

### Chapter 1: Introduction

This chapter explores various advantageous properties of antimony selenide, including its optical and electronic characteristics. It emphasizes the importance of

renewable energy infrastructure, with a special focus on electrocatalytic hydrogen evolution reactions (HER) as a key solution to future energy challenges. The chapter also provides a concise explanation of concepts closely related to HER, such as the thermodynamic potential for water electrolysis, the role of electrocatalysts in HER, onset potential and overpotential, the Sabatier principle, the current-voltage relationship, reaction kinetics and electrochemical double-layer capacitance. Furthermore, it discusses the critical role of desalination in ensuring potable water, heat localization and various water diffusion pathways. Additionally, the chapter offers insights into light-matter interactions, the origins of optical nonlinearity in materials, the optical Kerr effect, an introduction to spatial self-phase modulation (SSPM), nonlinear photonic diodes and optical logic gates.

### **Chapter 2: Review of past work**

This chapter offers a succinct review of the crucial role of antimony selenide in solar cells, thermoelectric systems and photoelectrochemical applications. It also discusses previous research on HER, desalination and SSPM across various materials.

### **Chapter 3: Experimental Details**

This chapter explores the various methods used to fabricate different semiconductor nanostructures and the range of characterization techniques applied to analyse their diverse properties.

### **Chapter 4: Tailoring the $\text{Sb}_2\text{Se}_3/\text{rGO}$ heterointerfaces for modulation of electrocatalytic hydrogen evolution performances in acidic media**

The chapter discusses the development of  $\text{Sb}_2\text{Se}_3/\text{rGO}$  electrocatalyst for improved hydrogen evolution reaction.

### **Chapter 5: Strong non-linear optical response of $\text{Sb}_2\text{Se}_3$ nanorods suspension based on spatial self-phase modulation and their all-optical photonic device applications**

This chapter investigates the nonlinear optical phenomena in  $\text{Sb}_2\text{Se}_3$  using the spatial self-phase modulation (SSPM) technique and determines the third-order nonlinear susceptibility of  $\text{Sb}_2\text{Se}_3$ .

### **Chapter 6: Efficient light to heat conversion in $\text{Sb}_2\text{Se}_3$ nanorods and the role of macro-channel imprinted $\text{Sb}_2\text{Se}_3$ loaded hybrid membrane for superior desalination performance**

This study showcases the efficient light-to-heat conversion capabilities of  $\text{Sb}_2\text{Se}_3$  for red (671 nm) and green (532 nm) lasers, as demonstrated through customized water droplet experiments. Additionally, hybrid PVDF(M)/ $\text{Sb}_2\text{Se}_3$  NRs membranes were designed for solar desalination applications.

### **Chapter 7: Conclusion of the thesis**

This chapter presents the overall conclusions of the research work.

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## Chapter 3

## Experimental Details

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This chapter provides an in-depth discussion of the experimental methods utilized for fabricating various nanostructures, including nanorods, nanosheets, and heterointerfaces. It also covers the diverse characterization techniques employed to analyse these nanostructures.

### **3.1 Preamble:**

This chapter explores various synthesis methods for nanostructures, particularly focusing on nanorods and nanosheets. It delves into the utilization of diverse characterization techniques to verify the nanoscale dimension, phase purity, lattice structure, morphology as well as electrical and optical properties of the samples. Additionally, it addresses the methodologies and instruments employed for electrocatalysis, spectroscopy and desalination characterization.

### **3.2 The landscape of synthesis route for nanomaterials:**

There are two fundamental synthesis approaches for nanomaterials: one is the top-down approach, and the other is the bottom-up approach. The ultimate goal of these two approaches is to synthesize nanomaterials. However, there is a stark contrast between the top-down and bottom-up approaches.

#### **3.2.1 Top-down approach**

This approach initiates with large-scale or bulk materials and culminates in the creation of nano-sized structures. Various methods such as mechanical milling, lithography and laser ablation are employed within the framework of the top-down approach.

#### **3.2.2 Bottom-up approach**

The bottom-up approach commences with the aggregation of atoms or molecules, gradually forming clusters through nucleation, ultimately leading to the synthesis of nanomaterials. Various techniques, including chemical vapor deposition, solvothermal and hydrothermal methods, as well as the sol-gel method, adhere to the bottom-up approach.

Both the top-down and bottom-up approaches play crucial roles in nanomaterial synthesis. However, a notable challenge with the top-down method lies in its tendency to introduce imperfections in surface structure, leading to the presence of unwanted impurities and structural defects in the synthesized materials. Conversely, the bottom-up approach offers the advantage of achieving desired sizes, shapes and

orientations of nanomaterials during synthesis. This method is characterized by its efficiency, cost-effectiveness and widespread acceptance in the field of nanomaterial synthesis.

The optical and electrical properties of nanomaterials are significantly influenced by factors such as uniformity in size, shape and growth orientation. In the upcoming section, we will explore several advantageous synthesis procedures employed to fabricate nanorods and 2D nanosheets, crucial for achieving desired material properties.

### **3.2.3 Solvothermal and Hydrothermal methods:**

Hydrothermal or solvothermal synthesis emerges as a straightforward and highly efficient approach for crafting various nanostructured materials. This method, also known as closed-vessel reaction, involves sealing the precursor within an aqueous medium inside an autoclave vessel, typically encased in a steel jacket to prevent any chemical reactions. To further mitigate potential interactions with the steel, an inner Teflon container is employed. The system is then subjected to high pressure and high temperature conditions for a specific duration. Solvothermal variants substitute water with different solvents. The versatility of hydrothermal or solvothermal techniques facilitates the easy attainment of diverse nanostructures. Researchers are drawn to its high product purity, gentle preparation conditions and the simplicity of equipment required.

## **3.3 Synthesis technique and instruments of 2D materials specially (reduced graphene oxide)**

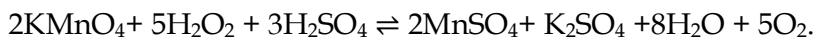
Graphene, composed of a single layer of carbon atoms in a hexagonal honeycomb pattern through  $sp^2$  hybridization, has fascinated researchers since its inception due to its exceptional thermal, optical and electrical attributes. Graphene can be produced through various techniques, including chemical vapor deposition, mechanical exfoliation and annealing of single crystal SiC under ultrahigh vacuum conditions. However, these methods are not suitable for widespread research due to

their high costs and low yields. Among these methods, chemical approaches are preferred, although they often result in the formation of reduced graphene oxide (rGO), which is a mixture of  $sp^3$  and  $sp^2$  hybridized carbon atoms contained a significant amount of several functional groups.

The chemical synthesis of reduced graphene oxide comprises three distinct steps. In the subsequent section, we will provide a concise overview of each step along with the necessary instrumentation employed in the process.

### **1<sup>st</sup> step (oxidation of graphite)**

Graphite oxide is prepared by modified Hummer's method. Typically, 2 gm graphite powder was mixed with 30 gm NaCl and grinded well. NaCl particles are brittle and harder than graphite which act as milling agents, chopping graphite particles. Then the fine powder was dispersed in 200 ml of distilled water under continuous stirring for 30 minutes. After that, the dispersion was filtered and washed with distilled water thoroughly to remove the residual NaCl. In the second step, the collected graphite powder was mixed into 46 ml concentrated  $H_2SO_4$  in a glass beaker and stirred continuously for at least 72 hours. Here,  $H_2SO_4$  was used as an intercalating agent. In third step, glass beaker was placed on an ice bath. Then 6 gm of  $KMnO_4$  was added slowly into the solution under continuous stirring (400 rpm) for 4 hours. The beaker was then transferred on the hot plate at temperature  $60\text{ }^\circ\text{C}$ . After 1 hour, the beaker was kept on another magnetic stirrer and 20 ml of distilled water was added into it, under continuous stirring for 15 minutes. Then 8 ml  $H_2O_2$  (30%) was added to reduce residual  $KMnO_4$  to soluble manganese sulfate ( $MnSO_4$ ) in an acidic medium.



The obtained solution was centrifuged at 6000 rpm at least 4 times with a mixture of 46 ml concentrated HCl and 456 ml of distilled water for removing the metal sulfate ions. After that, the solution was neutralized by multiple centrifugation process (8000 rpm) with distilled water.

### 3.3.1 Centrifugation technique

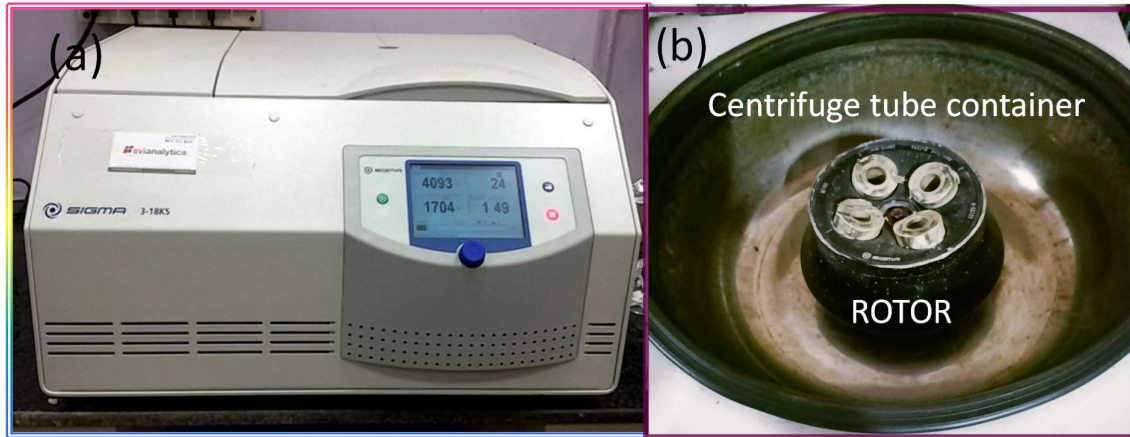
In a dispersion of liquid, solid particles precipitate at the bottom of the container under the action of gravitational force. In that way, solid particles are separated from the liquid. However, this process is extremely slow and depends on density of the particles. Particles of different sizes have different mass exhibits different density go through different gravitational force. That's why massive particles precipitates so quickly. But for lighter particles precipitation rate is too low. Centrifugation is a technique that accelerates the sedimentation rate of solid particles in a liquid, overcoming the slower precipitation rate. Centrifugal force acts on the liquid containing solid particles, causing them to separate from the liquid and accumulate towards the bottom or wall of the container, based on their shape, size, density, viscosity of the liquid medium and rotor speed. Figure 3.1 represents the digital image of (a) centrifuge machine (b) centrifuge rotor with centrifuge tube holder.

### 3.3.2 What is supernatant?

In centrifugation technique, the liquid lying above the precipitate is called the supernatant. It plays a crucial role in the synthesis of few-layer 2D nanomaterials.

### 3.3.3 Role of centrifugation in 2D nanomaterial synthesis

Centrifugation is utilized rigorously for washing purposes, effectively adjusting the pH of a solution from acidic or alkaline to a neutral state. After achieving neutral pH the 2D nanomaterials are subjected to exfoliation through ultrasonication. The resultant stock solution is assorted of different size and thickness of 2D nanosheets. Typically, the stock solution is centrifuged at a rate of 8000-12000 rpm, and the resulting supernatant contains exfoliated 2D nanomaterials. Centrifugation plays a crucial role in liquid exfoliation of 2D nanosheets, as the centrifugation force prevailed the van der Waals force.



**Figure 3.1:** Digital image of (a) centrifuge machine (b) Inside view of chamber with rotor and centrifuge tube holder

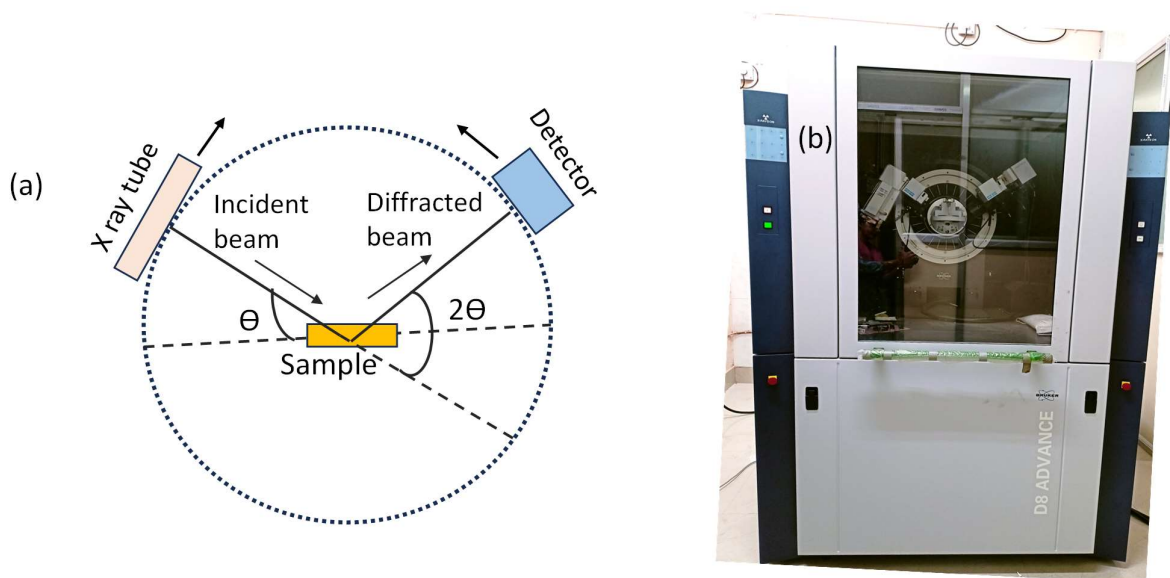
### 3.4 X-Ray Diffractometer

X-ray diffraction is a non-destructive technique used to study the crystalline nature of materials. It works by having X-rays coherently diffract off different atomic planes of a material in specific directions, which are determined by the orientation of these atomic planes. This scattering factor depends on both the electronic density of atoms and the geometrical atomic arrangement in the unit cell of that material. There is a relation between diffraction intensity and total scattering factor

$$I = |F|^2$$

Where, I is the diffraction intensity, F is the total scattering factor.

Hence, the diffraction intensity and angle of diffraction provide a three-dimensional understanding of the electron cloud density and the atomic arrangement of the crystals being studied.



**Figure 3.2:** (a) Schematic diagram of X-ray diffractometer in  $\theta$ - $2\theta$  mode, (b) Digital image of X-ray diffractometer

An X-ray diffractometer consists of three main components: an X-ray tube, a sample holder and a detector. In figure 3.2(a) and 3.2(b) represents schematic diagram and digital image of X-ray diffractometer respectively. In this setup, X-rays (specifically  $\text{Cu K}\alpha$ ,  $\lambda = 1.54 \text{ \AA}$ ) are generated by the X-ray tube. These X-rays are directed onto the sample holder, where they undergo elastic scattering from the atomic planes of the sample. The scattered waves emerge as outgoing spherical waves. Constructive interference of these waves occurs only when following Bragg's law is satisfied for a specific set of planes.

$$2d \sin\theta = n\lambda$$

where,  $d$  is the interplanar spacing,  $\theta$  is the diffraction angle and  $n$  is an integer. In practice, scanning the sample across a range of  $2\theta$  angles, all potential diffraction directions of the lattice are captured due to scattering by the various planes within the material. Each crystal has a unique set of  $d$ -spacings, so converting the diffraction peaks to  $d$ -spacings using Bragg's law enables the identification of the elements or compounds present. Typically, this identification is done by comparing the calculated  $d$ -spacings with standard reference patterns from the JCPDS database.

There are two basic configuration of powder Xray diffractometers:

- $\theta$ - $\theta$  configuration: In this configuration source of X-ray and detector move simultaneously
- $\theta$ - $2\theta$  configuration: Here, sample moves at half the speed of the detector

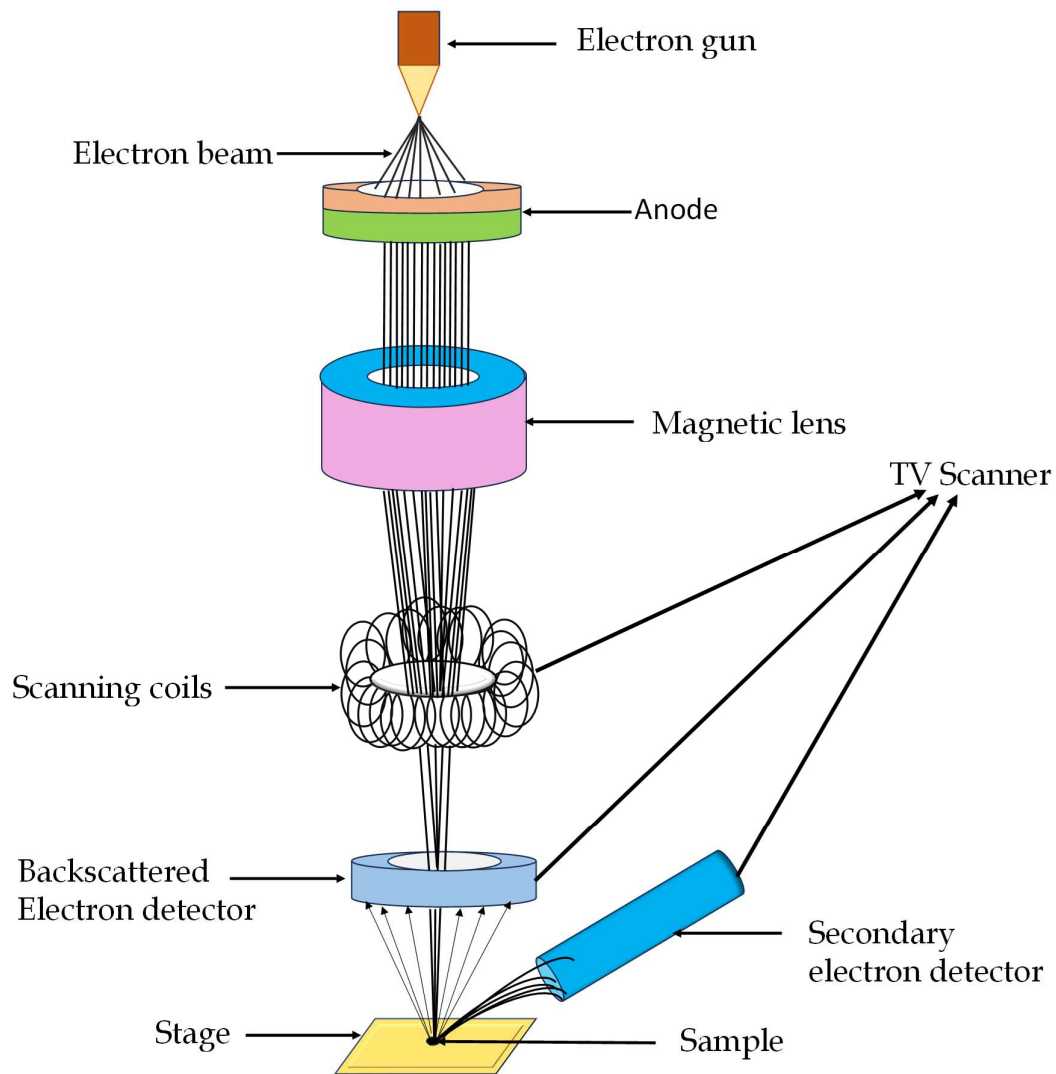
In data curation time, the detector, integrated with electronics and specialized software, electronically processes and records the angles and intensities of the diffracted beams.

### 3.5 Electron Microscope

An electron microscope is a type of microscope that uses a highly energetic beam of electrons as the illumination source, instead of visible light as in a conventional microscope.[1] An electron microscope examines the surface topography of materials and measures the shape and size of particles ranging from the sub-micrometre to nanometre scale that compose the material. An electron microscope also reveals the elemental composition and their relative quantities. Due to electrons having wavelengths 100,000 times smaller than visible light, electron microscopes have 100,000 times greater magnification power than optical microscopes. The first electron microscope, the transmission electron microscope, was developed in 1931 and is used to 'see through' specimens. The others are scanning electron microscope (SEM),[2] transmission electron microscope (TEM) [3,4] etc.

The basic working principle of an electron microscope is that, in a vacuum chamber, a highly energetic beam of electrons is generated by an electron gun and accelerated towards a positive electrode potential. The electron beam is focused onto the sample by a magnetic lens. After interacting with the sample, the electron beam is recorded by a detector and then converted into an image.

### 3.5.1 Scanning Electron Microscope (SEM)



**Figure 3.3:** Schematic diagram of Scanning electron microscope

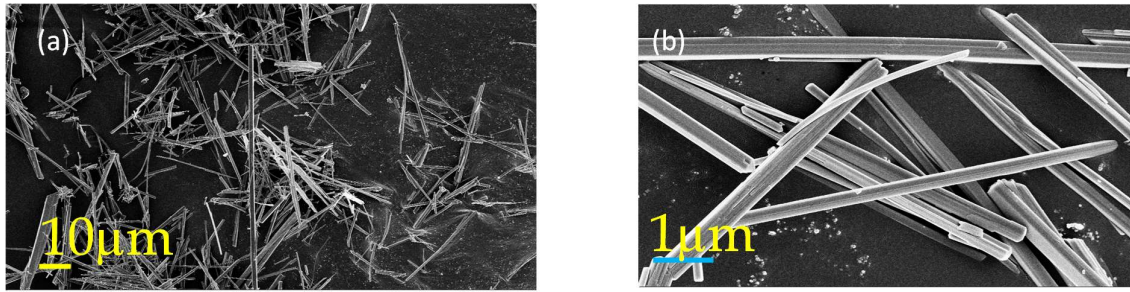
Schematic diagram and digital image of Scanning electron microscope is displayed in the above figure 3.3. and figure 3.4 respectively. In (SEM), an electron beam is produced thermionically from a tungsten filament cathode within the electron gun. This beam is focused into a fine probe and rastered over the specimen's surface. lanthanum hexaboride ( $\text{LaB}_6$ ) single crystal, field emission gun (FEG), Schottky emission electron gun are used as electron emitters. The SEM operates at a working voltage ranging from 2 to 50 keV. The diameter of the electron beam can vary from 5

nanometres to several micro meters, depending on the type of electron gun, the accelerating voltage of the electrons and the types of lenses used.



**Figure 3.4** *Digital image of Scanning electron microscope*

Scanning coils placed above the objective lens direct the electron beam onto the sample and scan the surface of the sample in the X-Y plane. The scanning coils are connected to an external computer, which, with the help of specialized software, records the position of the electron beam on the sample surface in the X-Y plane. When the electron beam interacts with the sample, it penetrates a few microns into the material, generating various types of signals such as Auger electrons, secondary electrons, backscattered electrons, characteristic X-rays and continuum X-rays.



**Figure 3.5** (a) low magnification and (b) high magnification field emission scanning electron microscope image of  $Sb_2Se_3$  nanorods

The image of SEM displayed in figure 3.5 (a)-(b) result from the emission of secondary electrons caused by the inelastic scattering of incident electrons. Since the probing electron beam is very narrow, SEM micrographs have a large depth of field, providing a distinctive three-dimensional appearance that is useful for examining the surface structure of a sample. Energy dispersive analysis of X-ray (EDAX) is done by collecting Characteristic X-rays which are generated when an ionized atom returns to its ground state by filling an inner shell electron vacancy with an electron from a higher orbital. The energy difference between the two electron shells corresponds to the characteristic X-ray energy, which can be used to identify the specific element from which it originated. EDAX determines the elemental composition of materials, it also generates continuum background along with characteristic X-ray.

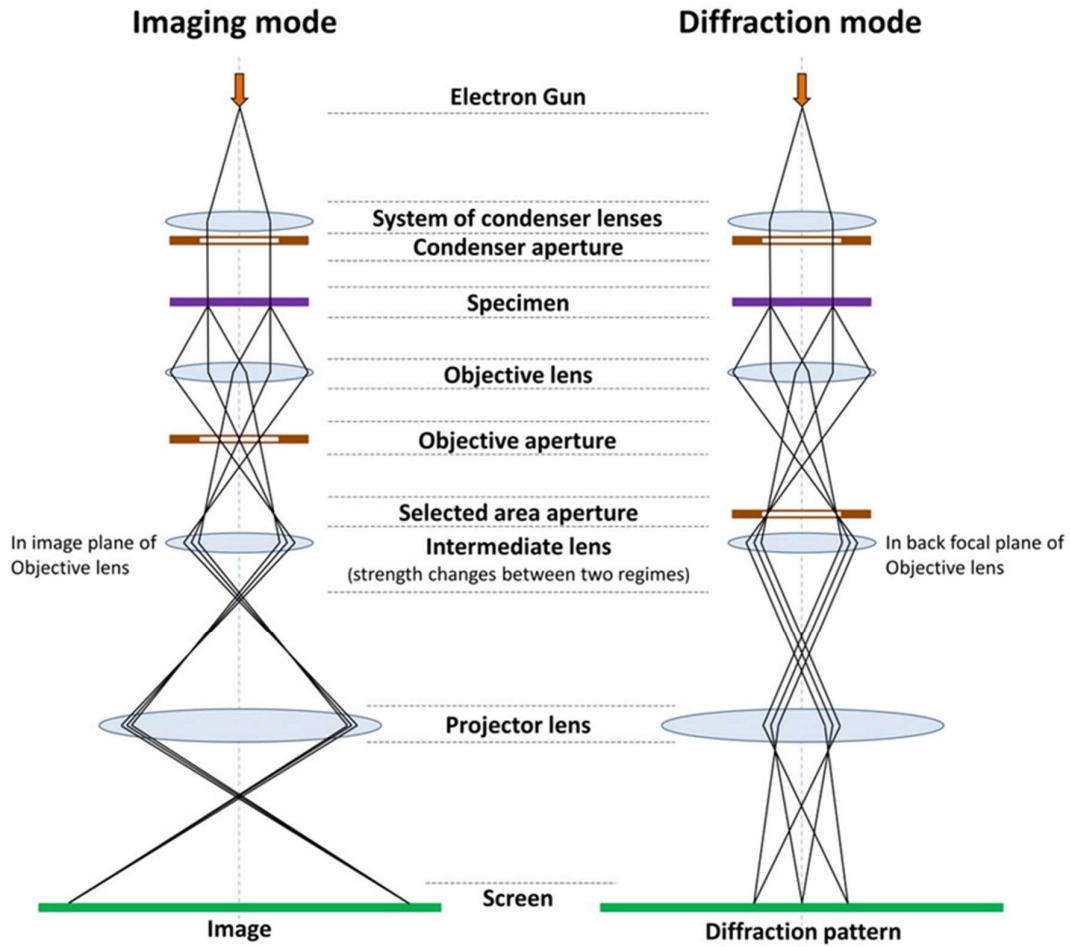
The resolution of SEM depends on different factors such as electron beam spot size, the volume of the sample with which the electron beam interacts.

### 3.5.2 Transmission Electron Microscope (TEM)



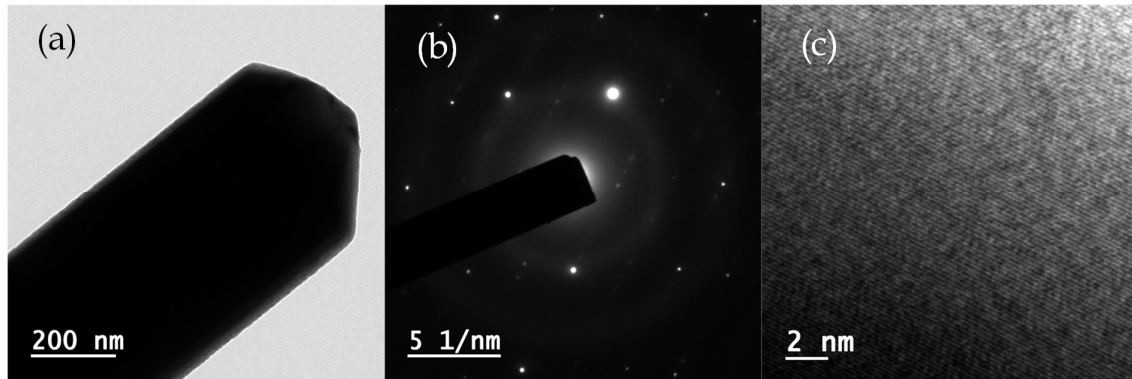
**Figure 3.6** *Digital image of transmission electron microscope (TEM)*

Transmission electron microscopy is an analytical technique that provides both morphological and crystallographic information (lattice spacing) simultaneously. It also reveals the orientation of atomic planes, atomic arrangement and coordination chemistry of atoms in the specimen. Digital image and schematic diagram of transmission electron microscope is represented in figure 3.6 and figure 3.7 respectively.



**Figure 3.7** Schematic diagram of TEM

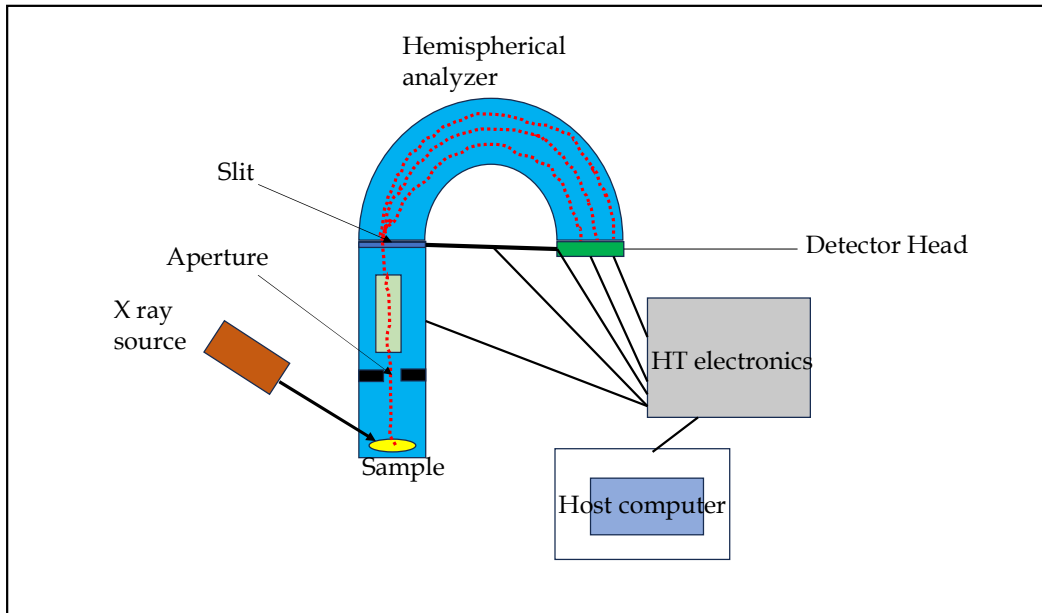
To produce an image, the electron beam is accelerated with a high voltage (80-300 kV) to pass through ultrathin samples, making them transparent to the electrons.



**Figure 3.8** (a) TEM image of  $Sb_2Se_3$  nanorods (b) selected area electron diffraction pattern (SAED) of  $Sb_2Se_3$  nanorods (c) High resolution transmission electron microscopy (HRTEM) of  $Sb_2Se_3$  nanorods

The number of un-scattered electrons that pass through a sample is inversely related to the sample's thickness. Thicker areas of the sample will transmit fewer un-scattered electrons and therefore appear darker, while thinner areas will transmit more electrons and appear lighter. This variation in electron transmission creates a contrast between dark and light areas in the sample. This method of TEM operation is known as bright field imaging, as illustrated in figure 3.8 with the resulting micrograph shown in figure 3.8 (a). Additionally, when an electron beam is directed at a crystalline material and Bragg's condition is met, the resulting scattered electrons are collected by magnetic lenses, forming a pattern of spots specific to the material. This pattern represented in figure 3.8(b) corresponds to the lattice spacing  $d$ . In high-resolution TEM (HRTEM) mode, displayed in figure 3.8(c) a resolution of up to 0.2 nm can be achieved, making it highly effective for observing the lattice fringes of the specimen being examined. Energy-filtered transmission electron microscopy (EFTEM) is a technique that utilizes electrons of specific energies to create images or diffraction patterns. This method offers insights into the elemental composition of the material being examined.

### 3.6 X-ray Photoelectron Spectroscopy (XPS)

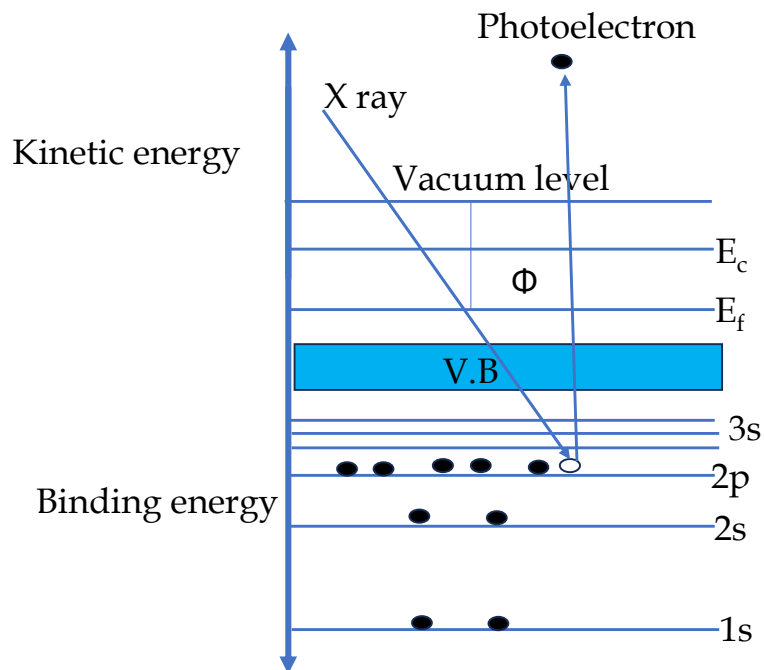


**Figure 3.9** Schematic diagram of an XPS spectrometer

X-ray photoelectron spectroscopy (XPS) is a highly powerful and sensitive technique used to determine the surface composition of a material, typically within a depth of about 10 nm. It can identify the elemental composition, chemical states of elements and the bond lengths between them. Additionally, XPS provides information on electronic configurations, electron density and the atomic percentages of the elements present. Figure 3.9 and figure 3.10 represents the schematic diagram and digital image of X ray photoelectron spectrometer respectively.



**Figure 3.10** Digital image of X-ray photoelectron spectrometer



**Figure 3.11** Band diagram of a semiconductor under XPS measurement condition

Typically, X-rays (such as Al K $\alpha$  X-rays) with an energy of 1486.7 eV irradiate the material's surface. The instrument measures the kinetic energy of the resulting photoelectrons emitted from the material. Since the kinetic energy is known, the binding energy of each electron can be determined using the following photoelectric equation.

$$E_{\text{bind}} = E_{\text{photon}} - E_{\text{k.E}} - \Phi$$

Where  $E_{\text{bind}}$  is the binding energy of the emitted electrons,  $E_{\text{photon}}$  is the incident energy of X ray,  $E_{\text{k.E}}$  kinetic energy of the emitted electron and  $\Phi$  is the work function for the specific surface of the material. Figure 3.11 represents the band diagram of a semiconductor under XPS measurement condition. The XPS plot shows the binding energy of emitted electrons along the X-axis versus the number of emitted electrons counts on the Y-axis. XPS peaks correspond to different characteristic peaks such as 1s, 2s, 2p, 3s, etc., for specific materials. The population of electrons corresponding to each peak is directly proportional to the amount of the element present in the material. In this way, atomic percentages are easily determined from XPS data. XPS is typically conducted under ultrahigh vacuum conditions to ensure the accuracy of the data.

## 3.7 Optical Characterization Techniques

### 3.7.1 UV-Vis Absorption Spectroscopy

UV-Vis spectrometer is an analytical tool that measures the absorbance and transmittance of a sample under the irradiation of UV-Vis light. Atoms in the material absorb energy when they undergo electronic transitions from the ground state to the excited state through the interaction with UV-Vis light. A UV-Vis spectrometer measures the absorbance of a sample dispersed in a solvent and placed in a cuvette, comparing it to a reference sample or a blank cuvette. The digital photograph of UV-Vis instrument represented in the following figure 3.12



**Figure 3.12** Digital photograph of UV-Vis spectrometer

The Beer-Lambert law states that absorbance (A) is proportional to the path length of the light (l), the concentration of the absorbing material (c) and the molar absorptivity ( $\epsilon$ ).

$$A = \epsilon cl = -\log_{10} \left( \frac{I}{I_0} \right)$$

Where  $I_0$  is the incident light intensity and I is the transmitted light intensity.

This procedure can also determine absorption coefficient ( $\alpha$ )

$$\alpha \text{ (cm}^{-1}\text{)} = \frac{\ln(10) \times A}{l \text{ (cm)}}$$

Optical bandgap of a material can be determined from the plot of  $(\alpha h\nu)^{1/2}$  Vs.  $h\nu$ , which is called Tauc plot. From Diffuse Reflectance Spectra (DRS) measurement optical band gap of a material can also be deduce from the plot of  $[F(R) h\nu]^{1/2}$  Vs.  $h\nu$

Where F(R) is Kubelka-Munk function

$$F(R) = \frac{(1-R)^2}{2R} = \frac{\alpha}{s}$$

Where R is the measured reflectance of a sample, ( $\alpha$ ) is the absorption coefficient, S is the scattering coefficient. Tauc plot is suitable for narrow absorption edge, Kubelka-Munk plot is suitable for broader absorption edge.



**Figure 3.13** *Digital photograph of AutoLab Metrohm 204 electrochemical workstation*

### 3.8 Electrochemical Characterization Techniques

The electrocatalytic properties of the samples were analysed using a software-controlled three-electrode system (AutoLab Metrohm 204) in a 0.5 M H<sub>2</sub>SO<sub>4</sub> aqueous solution. Figure 3.13 represents the digital photograph of AutoLab Metrohm 204. Techniques such as linear sweep voltammetry (LSV), *i*-*t* amperometry, electrochemical impedance spectroscopy (EIS), measurements were employed. Additionally, the electrochemical double layer capacitance of the samples was evaluated using cyclic voltammetry (CV) and EIS tests. These measurements were conducted at room temperature in a conventional three-electrode electrochemical cell (potentiostat AutoLab Metrohm-204), comprising the prepared samples as the working electrode, a saturated Ag/AgCl reference electrode, a graphite rod as counter electrode and 0.5 M H<sub>2</sub>SO<sub>4</sub> solution as the electrolyte.

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## Chapter 4

# Tailoring the $\text{Sb}_2\text{Se}_3/\text{rGO}$ heterointerfaces for modulation of electrocatalytic hydrogen evolution performances in acidic media

The chapter discusses the development of  $\text{Sb}_2\text{Se}_3/\text{rGO}$  heterointerfaces for hydrogen evolution electrocatalysis as part of the global shift towards clean hydrogen energy. Using a solvothermal approach, these heterostructures demonstrate improved electrocatalytic performance, with a reduced onset potential of -0.32 V and a two-fold decrease in the Tafel slope compared to  $\text{Sb}_2\text{Se}_3$  alone. The enhanced performance is attributed to improved interfacial electron transport enabled by heterointerface engineering. Density functional theory (DFT) calculations reveal that the interaction between  $\text{Sb}_2\text{Se}_3$  and rGO lowers the hydrogen adsorption energy at active Se sites, facilitating better hydrogen ion adsorption and charge transfer from acidic media, ultimately boosting the hydrogen evolution reaction (HER) efficiency.

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## 4.1. Introduction

Clean energy generation in environmentally compatible and cost-effective route is technologically important and reliable strategy to shift the global energy concern toward renewable energy resources.[1-4] Molecular hydrogen ( $H_2$ ), having high gravimetric energy density has tremendous potential for utilization as a green fuel for the future energy infrastructure, owing to its capacity to be stored and release on demand via feeding into fuel cells without any environmental impact.[5] Additionally,  $H_2$  has been largely employed as a crucial chemical feedstock in polymer production, ammonia and methanol generation.[1,6-7] Globally,  $H_2$  has been mostly produced via natural gas steam reforming process which releases anthropogenic  $CO_2$  to the environment.[6,8] Water electrolysis is one of the important environmentally sustainable process to shape future energy infrastructure based on  $H_2$  fuel.[6,9-11] However, to deploy water electrolysis as the leading part in hydrogen economy, earth-abundant, highly efficient and stable hydrogen evolution (HER) electrocatalysts are compulsory.[12-14] Although, noble metal Pt is highly efficient HER electrocatalyst but scarcity of Pt and high costs limit its integrability in hydrogen economy.[14] Therefore, enormous research has been employed to develop highly efficient, stable noble metal free HER catalysts. [10,12-14]

Recently, chalcogenides materials, e.g.;  $MoS_2$ ,  $MoSe_2$ ,  $WS_2$ ,  $WSe_2$ ,  $NiSe$ ,  $ReSe_2$ ,  $RhSe_2$  etc. are drawing attention as promising HER catalysts owing to cost effectiveness and superior electrocatalytic properties.[13,15-18] Notably, antimony selenide  $Sb_2Se_3$  a  $V_2-VI_3$  binary chalcogenide material have been appealing owing to

their potential applications in diverse area e.g.; photoelectrochemical hydrogen evolution,[9] electrochemical energy storage devices,[19] thermoelectric[20] and photovoltaics[21] etc. owing to superior photocatalytic properties, Na, Li storage, good thermal conductivity, low-band-gap (1.2–1.0 eV) and many other advantageous properties. Predominantly,  $\text{Sb}_2\text{Se}_3$  is formed by stacking  $[\text{Sb}_4\text{Se}_6]_n$  nanoribbons via van der Waals interaction along the (100) and (010) axes and strong covalent bond along (001) axis.[22] The inherent tendency to grow along Z axis make  $\text{Sb}_2\text{Se}_3$  as 1D structure which not only offers enormous reaction platform but also offers facile electron/ion transport.[22] Nevertheless,  $\text{Sb}_2\text{Se}_3$  is not explored for electrocatalytic hydrogen evolution to the best of our knowledge, although constituents are earth abundant, highly stable in harsh acidic or alkaline medium and less toxic.

Heterostructure design is one of the most promising approaches to tune the catalytic activity of the chalcogenides materials by modulating the electron transport properties and enhancing the mass transport properties.[23] Especially, rGO nanosheets are crucial for its superior electronic conductivity as well as superior chemical coupling owing to rich surface functionality which are tremendously effective for a large variety of extremely active heterostructure HER catalysts design.[16,24–26] For example,  $\text{MoSe}_2$  nanosheets grown on rGO nanosheets, anchoring FeP nanoparticles on graphene sheets,[27]  $\text{MoS}_2$  on rGO nanosheets,[26] 2D  $\text{WS}_2$  sheets on rGO,[16]  $\text{W}_x\text{Mo}_{1-x}\text{S}_2$  and Graphene based heterostructures,[24] etc. were extensively investigated for their superior catalytic properties. Notably, Li et al. demonstrated  $\text{MoS}_2$  nanoparticles on rGO nanosheets which acts as a conductive

support.[28] Strong chemical and electronic coupling between rGO and MoS<sub>2</sub> lead to substantially increased active edge sites and faster electron transport which necessarily accelerates the catalytic activity of MoS<sub>2</sub>. [28] Similarly, in-situ growth of MoS<sub>2</sub> nanoparticles on mesoporous graphene foams creates interconnected conductive skeleton, forming MoS<sub>2</sub>/mesoporous graphene foams heterostructure which endorses a high cathodic current density of 100 mA cm<sup>-2</sup> with substantially less overpotential.[29] Moreover, anchoring heterostructures on conductive substrates could boost the exposure of active sites, facilitating mass transfer.[29] Cai et al. constructed heterointerface of Ni<sub>2</sub>P nanosheets and graphene sheets on top of Nickel foam, forming 3D assembly which showed 10 mA cm<sup>-2</sup> current density at low overpotential.[30] Moreover Ding et al. proposed that the 3D NiFe layered double hydroxide/graphite Felt (LDH/GF) electrocatalyst draw attention due to their low overpotential 214 mV at 50 mA cm<sup>-2</sup> current density.[31] This superior catalytic activity is accomplished owing to largely enhanced active sites and easy mass transfer. Interestingly, constructing heterostructures often enhances the stability of the catalysts which therefore promotes the viability in large-scale hydrogen economy.

In this regard, we have investigated the electrocatalytic properties of Sb<sub>2</sub>Se<sub>3</sub> nanorods, grown via simple solvothermal method. Additionally, observed poor catalytic activity of Sb<sub>2</sub>Se<sub>3</sub> is modulated by synthesising conductive rGO nanosheets supported Sb<sub>2</sub>Se<sub>3</sub> hybrid which produces Sb<sub>2</sub>Se<sub>3</sub>/rGO heterointerfaces, locally via solvothermal growth approach. Importantly, we employed density functional theory (DFT) to estimate the catalytic active sites for hydrogen evolution reaction and the

corresponding Gibbs free energy of hydrogen adsorption. Our investigation suggests that owing to coupling with rGO, the Gibbs free energy of hydrogen adsorption at the active sites of  $\text{Sb}_2\text{Se}_3$  reduces significantly. Moreover, Bader charge analysis was performed which also suggests superior HER activity of the  $\text{Sb}_2\text{Se}_3$  after making heterostructure with rGO.

## 4.2. Experimental Sections

### 4.2.1 Materials

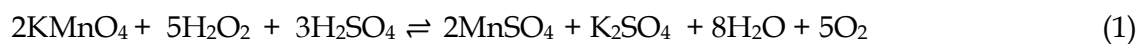
All chemicals, including  $\text{SbCl}_3$ , DEG, ammonia, sodium sulfite, selenium powder, graphite powder,  $\text{NaCl}$ ,  $\text{H}_2\text{SO}_4$  and  $\text{KMnO}_4$ , were of analytical grade (Merck) and used without any further purification.

### 4.2.2 Synthesis of $\text{Sb}_2\text{Se}_3$ nanorods

A typical solvothermal procedure was adopted for preparing  $\text{Sb}_2\text{Se}_3$ . [32] Here antimony source,  $\text{SbCl}_3$  (0.086 gm) was dissolved in 75.8 ml DEG and then ammonia was added under magnetic stirring. Subsequently, 0.095 gm sodium sulphite and 0.048 gm Se powder were added into the solution. After 15 min stirring, the as prepared mixture was transferred into a 100 ml teflon-lined stainless-steel autoclave followed by heating in a conventional oven at 180 °C for 22 hours. After that, the autoclave was cooled down to room temperature naturally. Finally, the products were filtered by vacuum filtration and washed with deionized water followed by absolute ethanol. After vacuum filtration and washing step, the product was collected and dried in vacuum oven at 80 °C overnight for further use.

### 4.2.3 Synthesis of GO

GO was synthesized from graphite powder in a three steps approach.[33] In first step, 2 g graphite powder was mixed with 30 g NaCl and grinded well. NaCl particles are brittle and harder than graphite, acting as milling agents which chop graphite particles. Then the fine powder was dispersed in 200 mL of distilled water under continuous stirring for 30 minutes. After that, the dispersion was filtered and washed with distilled water thoroughly to remove the residual NaCl. In the second step, the collected graphite powder was mixed into 46 mL concentrated H<sub>2</sub>SO<sub>4</sub> in a glass beaker and stirred continuously for at least 72 hours. Here, H<sub>2</sub>SO<sub>4</sub> was used as an intercalating agent. In third step, glass beaker was placed on an ice bath. Then 6 g of KMnO<sub>4</sub> was added slowly into the solution under continuous stirring (400 rpm) for 4 hours. The beaker was then transferred on a hot plate at temperature 60 °C. After 1 hour, the beaker was kept on another magnetic stirrer and 20 mL of distilled water was added into it, under continuous stirring for 15 minutes. Then 8 mL of H<sub>2</sub>O<sub>2</sub> (30 %) was added to reduce residual KMnO<sub>4</sub> to soluble manganese sulfate (MnSO<sub>4</sub>) in an acidic medium.



The obtained solution was centrifuged at 6000 rpm at least 4 times with a mixture of 46 mL concentrated HCl and 456 mL of distilled water for removing the metal sulfate ions. After that, the solution was neutralized by multiple centrifugation process (8000 rpm) with distilled water. To obtain graphene oxide, exfoliation of the as obtained graphite oxide was performed by prob sonication for at least 2 hours.

Finally, graphene oxide was collected by drying the supernatant solution as obtained via centrifugation process.

#### 4.2.4 Synthesis of $\text{Sb}_2\text{Se}_3/\text{rGO}$

We successfully synthesized  $\text{Sb}_2\text{Se}_3/\text{rGO}$  via solvothermal method (figure 4.1). First, as prepared GO was mixed in DMF for 5 hours by probe sonication to obtain a homogeneous GO dispersion. Subsequently, GO dispersion was mixed in the  $\text{Sb}_2\text{Se}_3$  precursor and was transferred into Teflon lined autoclave. Temperature of the autoclave was maintained at 180 °C for 22 hours. After cooling down to room temperature, the as prepared products were filtered by vacuum filtration. After that filtrate was washed with deionized water and ethanol. Finally, the products were dried in vacuum oven at 80 °C for 10 hours.



**Figure 4.1.** Schematic of the synthesis procedure of  $\text{Sb}_2\text{Se}_3/\text{rGO}$  hybrid

### 4.3. Electrode Preparation

To prepare catalyst ink, first 1.25 mg catalyst was dispersed in 250  $\mu\text{L}$  N, N dimethylformamide (5 mg/mL). Then 6.25  $\mu\text{L}$  5 wt. % nafion was added into the mixture and ultrasonicated for 30 min. Subsequently, 3  $\mu\text{L}$  of homogeneous catalyst ink was drop casted onto the glassy carbon electrode (catalyst loading of 0.207 mg/cm<sup>2</sup>) and dried in vacuum at 60 °C. Same mass loading was maintained throughout the whole experiment.

### 4.4. Electrochemical Measurements

All electrochemical measurements were carried out in a three-electrode system (Autolab Metrohm M-204 electrochemical work station) with Ag/AgCl (saturated in 3 M KCl) as reference electrode, graphite rod as counter electrode and catalyst ink modified glassy carbon as the working electrode (3 mm diameter). Electrocatalytic activity was measured in 0.5 M H<sub>2</sub>SO<sub>4</sub> aqueous electrolyte solution at 25 °C. Before starting the measurement high -purity N<sub>2</sub> gas was purged for 30 min to inhibit the incorporation of oxygen and other impurities in water.

HER activity of the bare Sb<sub>2</sub>Se<sub>3</sub>, rGO and Sb<sub>2</sub>Se<sub>3</sub>/rGO heterostructure was measured and analyzed by performing cyclic voltammetry (CV) and linear sweep voltammetry (LSV) at a scan rate of 10 mV/s, chronoamperometry and electrochemical impedance spectroscopy (EIS). Nernst equation is employed to calibrate the potential from Ag/AgCl to RHE (Reversible Hydrogen Electrode)

$$E_{\text{RHE}} = E_{\text{appl}} + 0.059 \cdot \text{pH} + E^{\circ}_{\text{Ag/AgCl}} (0.197 \text{ V}) \quad (2)$$

## 4.5 Characterizations

The phase of the as synthesized samples was confirmed by X-ray diffraction (XRD). XRD study was performed using Cu K $\alpha$  radiation, having a wavelength  $\lambda = 1.54 \text{ \AA}$  (Rigaku ultima III X-ray diffractometer). Raman spectroscopy (Witech) was executed to study the purity and attachment of rGO on Sb<sub>2</sub>Se<sub>3</sub>. Field emission scanning electron microscopy (FESEM, Hitachi, S-4800) and high-resolution transmission electron microscopy (HRTEM, JEOL-JEM 2100) were employed to study the morphology of the samples. Chemical compositions and valance states were determined by X-ray photoelectron spectroscopy (XPS, SPECS, HAS 3500), with Al K $\alpha$  X-ray source having an energy of 1486.6 eV. In addition, the XPS spectra were analysed by Origin Pro 8.0 software with Shirley background correction. The high-resolution XPS spectra were fitted by Gaussian functions.

## 4.6 Theoretical Methods

The first-principles calculations were carried out using Vienna ab initio simulation packages (VASP)[34–37] and Projector-augmented-wave (PAW)[38] approach. To study the Exchange-Correlation contribution we have used PBE functional within GGA approximation.  $\Gamma$  centered (1 $\times$ 1 $\times$ 1) k-points grid was implemented during the geometry optimizations of the sufficiently large supercell as 2 $\times$ 9 and 3 $\times$ 10 of rGO to agree with the lattice parameters of (230) and (001) plane of Sb<sub>2</sub>Se<sub>3</sub> respectively. a-axis rGO is rotated along (210) direction to make the hetero-structures with these planes of Sb<sub>2</sub>Se<sub>3</sub>. Lattice parameters of rGO after this rotation become 4.31  $\text{\AA}$  and 2.49  $\text{\AA}$  along a-axis and b-axis respectively. The strains induced

along a axis and b axis while making heterostructure with (230) and (001) plane of  $\text{Sb}_2\text{Se}_3$  are represented in the Table 4.1

**Table 4.1: Strain calculation**

System	Strain along a axis	Strain along b axis
(230)- $\text{Sb}_2\text{Se}_3/\text{rGO}$	0.6 %	2.93 %
(001)- $\text{Sb}_2\text{Se}_3/\text{rGO}$	5.5 %	0.57 %

PBE+D2 forcefield (Grimme's)[39] method was followed to include van der Walls interaction. Energy cut off for plane wave basis was taken as 500 eV. All the calculations were carried out in spin unrestricted manner. Monolayer of completely reduced graphene oxide (rGO), (230) and (001) surfaces of  $\text{Sb}_2\text{Se}_3$  and their heterostructure were optimized separately under same conditions. HER activity of reduced graphene oxide (rGO), (230) and (001) surfaces of  $\text{Sb}_2\text{Se}_3$  along with their heterostructure were studied using the following equation

$$\Delta G = \Delta E + \Delta ZPE - T\Delta S \quad (3)$$

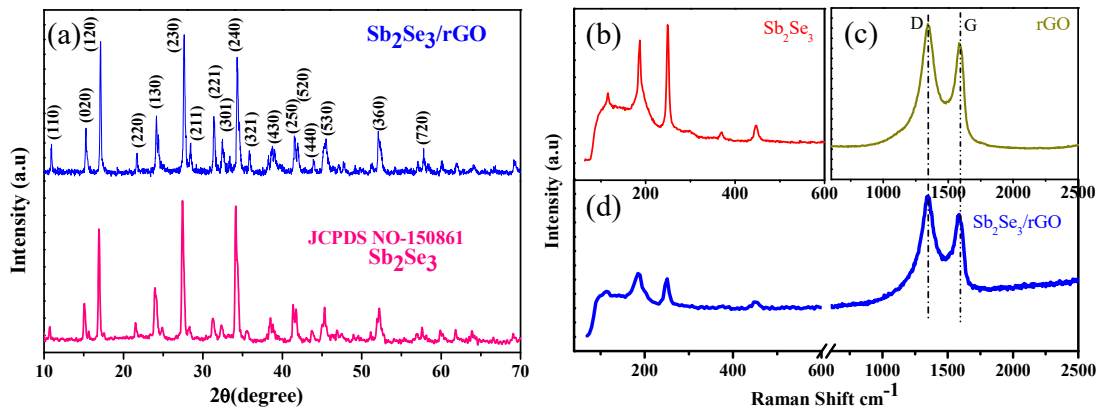
Where  $\Delta E$  is the change in enthalpy obtained from DFT calculations.  $\Delta S$  is the change in entropy during the reaction and  $\Delta ZPE$  is the zero-point energy. The values of entropy-temperature term are taken from the standard table for gas-phase molecules.[40] We obtained free energy differences for each reaction step considering final reaction step as a reference. Bader charge analysis was performed to get further insight into HER activity. Charge density difference plot was

calculated using the following equation to understand the electron flow among the fragments in the particular system

$$\Delta\rho = \rho_{AB} - \rho_A - \rho_B \quad (4)$$

where  $\Delta\rho_{AB}$  is the charge density of the composite AB,  $\rho_A$  and  $\rho_B$  are the charge density of A and B respectively.

## 4.7 Results & Discussion

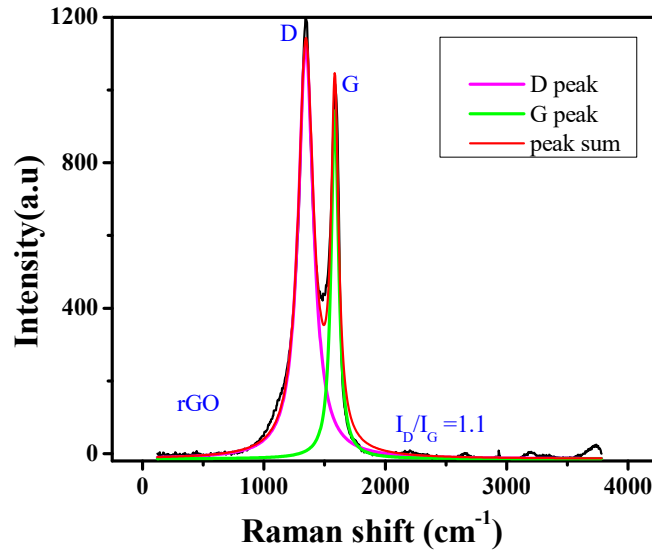


**Figure 4.2** XRD patterns of (a)  $Sb_2Se_3$  and  $Sb_2Se_3/rGO$ , Raman spectra of (b)  $Sb_2Se_3$ , (c) rGO and (d)  $Sb_2Se_3/rGO$

The phase purity of the as synthesized  $Sb_2Se_3$  and  $Sb_2Se_3/rGO$  hybrid are confirmed by XRD study. Figure 4.2(a) display XRD patterns of both  $Sb_2Se_3$  and its hybrid with rGO. Strong diffraction peaks at  $2\theta = 10.7^\circ$  (110),  $15.0^\circ$  (020),  $16.9^\circ$  (120),  $21.5^\circ$  (220),  $23.9^\circ$  (130),  $27.4^\circ$  (230),  $28.3^\circ$  (211),  $31.2^\circ$  (221),  $32.3^\circ$  (301),  $34.1^\circ$  (240),  $35.6^\circ$  (321),  $38.5^\circ$  (430),  $41.3^\circ$  (250),  $43.7^\circ$  (440),  $45.3^\circ$  (530),  $52.2^\circ$  (360) and  $57.6^\circ$  (720) illustrate the polycrystalline nature of as synthesized  $Sb_2Se_3$  nanorods, corresponding to orthorhombic phase with space group  $Pbnm$  (62) (JCPDS card No.15-0861). However, no detectable XRD intensity of rGO is found which might be

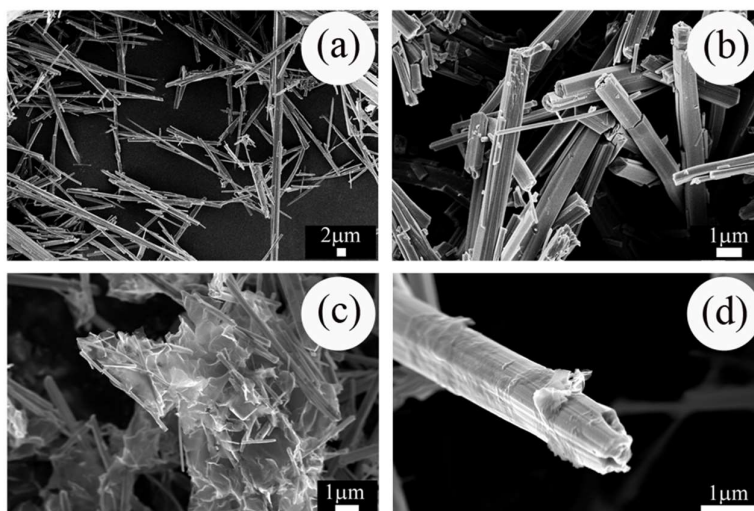
due to the low content of rGO as well as the loss of stacking order of rGO nanosheets. Principally, during growth of the hybrid  $\text{Sb}_2\text{Se}_3/\text{rGO}$  samples, the  $\text{Sb}_2\text{Se}_3$  nanorods are intercalated in between the rGO layers which destroys the stacking order of the rGO layers. [41-43]

Raman spectra of pristine  $\text{Sb}_2\text{Se}_3$ , rGO and  $\text{Sb}_2\text{Se}_3/\text{rGO}$  are shown in the Figure 4.2(b), 4.2(c) and 4.2(d) respectively. In the Raman spectrum (Figure 4.2b) of  $\text{Sb}_2\text{Se}_3$  prominent peaks at 115, 187, 251, 369 and 446  $\text{cm}^{-1}$  are clearly observed which indicate the formation of phase pure  $\text{Sb}_2\text{Se}_3$  in the solvothermal synthesis route.[44-48] The peak at 115  $\text{cm}^{-1}$  is associated with the vibrational mode of Se-Se bond. The Raman peak at 187  $\text{cm}^{-1}$  is related to the Sb-Se heteropolar stretching vibrational mode in the  $\text{Sb}_2\text{Se}_3/2$  pyramids. Whereas peak at 251  $\text{cm}^{-1}$  correspond to the Sb-Sb bond in  $(\text{Se}_2\text{Sb-SbSe}_2)$  structural unit. The Raman shifts at 369 and 446  $\text{cm}^{-1}$  are attributed to the Sb-Se stretching vibrations. Nevertheless, the Raman spectrum of bare of rGO (Figure 4.2c) exhibits two broad peaks centered at 1347 and 1593  $\text{cm}^{-1}$  which are identified to be D and G band respectively confirming the formation of rGO.<sup>49</sup> D and G bands are associated with disordered induced and high frequency  $E_{2g}$  first order mode.



**Figure 4.3** Deconvoluted D and G band of rGO

The  $I_D/I_G$  intensity ratio of rGO is calculated to be 1.1, which is the signature of the good reduction of GO (Figure 4.3). [50-51] Raman spectrum of  $Sb_2Se_3/rGO$  (Figure 4.2d) displays the above-mentioned peaks of  $Sb_2Se_3$  along with well-known D ( $1347\text{cm}^{-1}$ ) and G ( $1593\text{ cm}^{-1}$ ) bands of rGO confirming the successful formation of hybrids.

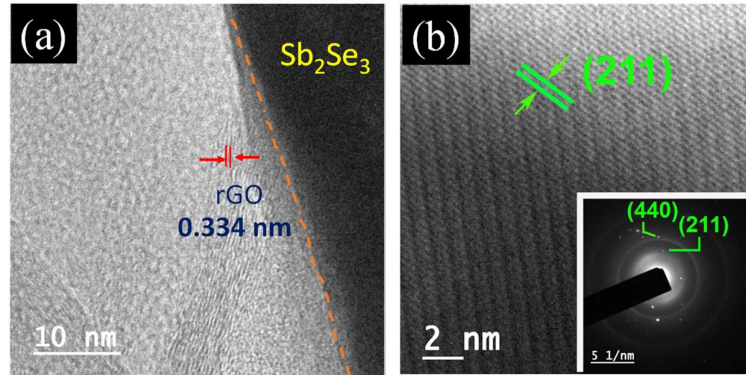


**Figure 4.4.** High and low magnification FESEM images of (a, b) bare  $Sb_2Se_3$  nanorods and (c, d)  $Sb_2Se_3/rGO$

Figure 4.4(a-b) display the FESEM micrographs in low and high magnification, demonstrating 1D rod like structure of as prepared  $Sb_2Se_3$ . The  $Sb_2Se_3$  nanorods are well separated and not agglomerated to each other. The length and diameter of the rods are  $\sim 25 \mu m$ ,  $700 \text{ nm}$  respectively. The conductive nanosheets of rGO in  $Sb_2Se_3/rGO$  hybrid are prominent in FESEM image in figure 4.4(c). It is evident that rGO makes interconnected conductive network, creating heterointerfaces with  $Sb_2Se_3$  nanorods. The magnified view of FESEM micrograph of  $Sb_2Se_3/rGO$  (Figure 4.4(d)) evinces attachment of rGO with  $Sb_2Se_3$  nanorods, demonstrating heterointerface formation between the pristine rGO layers and  $Sb_2Se_3$  nanorods.

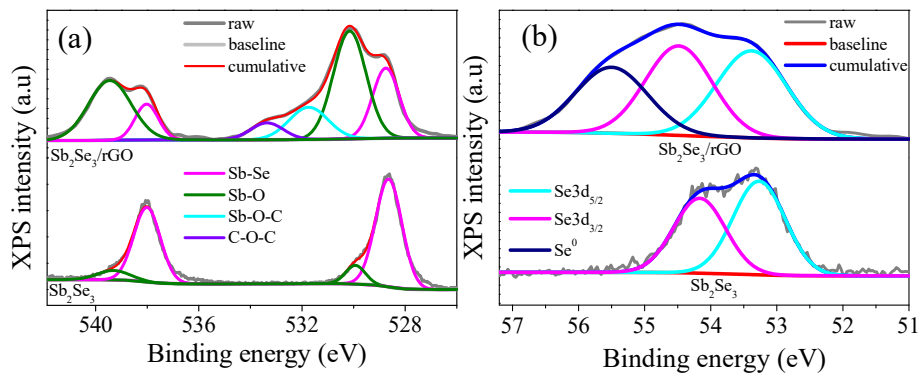
In addition to the FESEM study, TEM images also display the formation of hybrid structure of  $Sb_2Se_3/rGO$  (Figure 4.5). A closer observation of figure 4.5(a) shows the intimate attachment between layered nanosheet and  $Sb_2Se_3$  nanorod. The separation between the consecutive layers of the nanosheet is found to be  $\sim 0.334 \text{ nm}$ ,

confirming the formation of rGO layers. HRTEM image (Figure 4.5(b)) shows the lattice spacing  $\sim 3.025$  nm corresponding to the (211) plane of orthorhombic phase of  $\text{Sb}_2\text{Se}_3$ . Inset of the figure 4.5(b) represents the selected area electron diffraction (SAED) pattern of  $\text{Sb}_2\text{Se}_3$  nanorod where (440) and (211) planes are observed which corroborates with the polycrystalline  $\text{Sb}_2\text{Se}_3$  in XRD pattern.



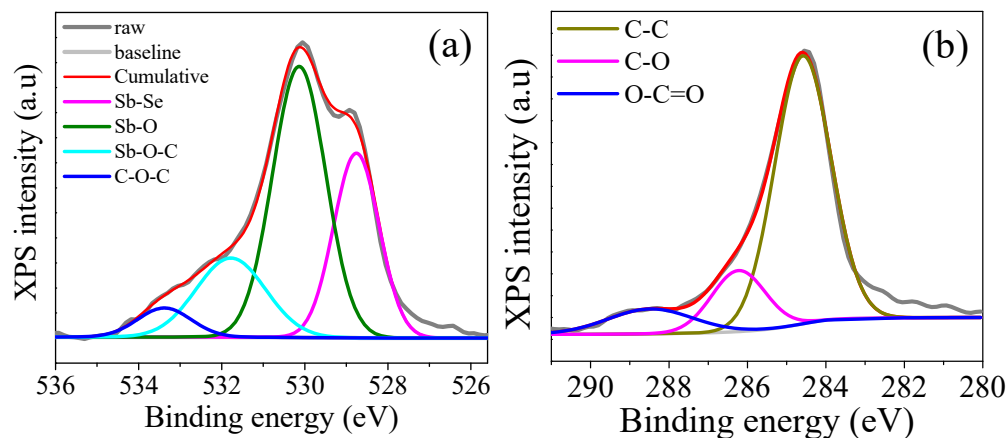
**Figure 4.5.** (a) TEM image of  $\text{Sb}_2\text{Se}_3/\text{rGO}$  hybrid and (b) HRTEM image of  $\text{Sb}_2\text{Se}_3/\text{rGO}$

[inset: SAED pattern]



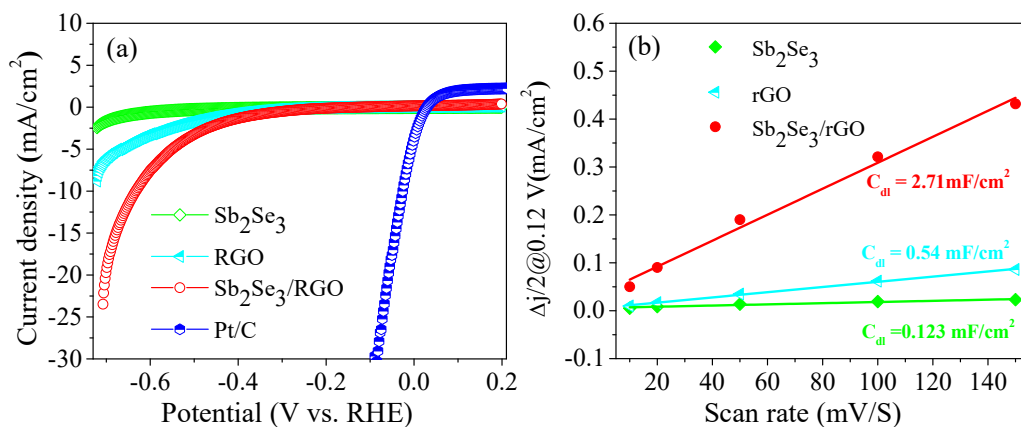
**Figure 4.6.** XPS core level spectra of (a) Sb 3d and (b) Se 3d of  $\text{Sb}_2\text{Se}_3$  and  $\text{Sb}_2\text{Se}_3/\text{rGO}$  hybrid respectively

XPS study was employed to determine chemical constituents of the samples and their chemical oxidation state. The deconvoluted core level spectrum of Sb in figure 4.6(a) display Sb-3d<sub>3/2</sub> and Sb-3d<sub>5/2</sub> peaks at 537.9 and 528.6 eV, respectively which indicates +3 oxidation state of Sb element in Sb<sub>2</sub>Se<sub>3</sub> nanorods.<sup>52-55</sup> Moreover, oxide peaks appear at 539.3 and 529.7 eV owing to the surface oxidation of hybrid sample, which are also accompanied with core level spectrum of O 1s (Figure 4.7(a)).<sup>[52-55]</sup> However, in XRD pattern and Raman spectrum analysis, no oxide peak is detected which indicates small quantity of surface oxides. Moreover, we have deconvoluted the Se 3d core level spectrum ( Figure 4.6(b)) of Sb<sub>2</sub>Se<sub>3</sub> which shows Se 3d<sub>5/2</sub> (53.3 eV) and Se 3d<sub>3/2</sub> (54.2 eV) doublets, corresponding to -2 oxidation states of Se. Additionally, small quantity of residual Se is detected at 55.5 eV in the Sb<sub>2</sub>Se<sub>3</sub>/rGO hybrid which might be correlated to surface oxidation of Sb species.<sup>[54]</sup> However, there is no oxidation peak of Se (Se-O peak) which generally appears beyond 58 eV.<sup>[55]</sup> Importantly, in Sb<sub>2</sub>Se<sub>3</sub>/rGO hybrid sample, the core level spectra of Sb 3d and Se 3d are shifted towards higher binding energy, indicating the increased oxidation state of Sb and Se, owing to the hybridization with oxygen-functional group of rGO. Moreover, in case of core level spectra of Sb 3d, mainly in O 1s region of the Sb<sub>2</sub>Se<sub>3</sub>/rGO hybrid sample we observed two additional peaks in 531.7 and 533.4 eV respectively which is ascribed to the Sb-O-C and C-O-C or C-OH bond respectively.<sup>[56]</sup>



**Figure 4.7.** XPS core level spectra of (a) O1s and (b) C1s

Therefore, electronic interaction between rGO and  $\text{Sb}_2\text{Se}_3$  in the hybrid samples predominantly attributes to the Sb-O-C bond. The core level XPS spectrum of C 1s (Figure 4.7(b)) also demonstrates the C-C, C-O and O-C-O respectively, which includes the possibility of hybridization via making Sb-O-C bond.[49]

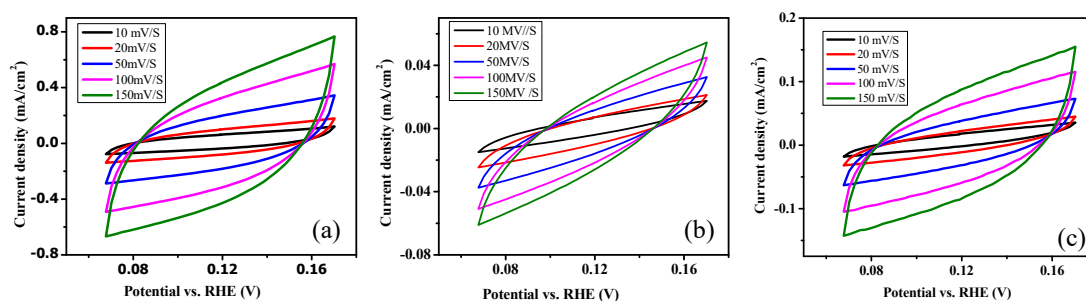


**Figure 4.8.** (a) Linear sweep voltammetry curve of as prepared  $\text{Sb}_2\text{Se}_3$ , rGO and  $\text{Sb}_2\text{Se}_3/\text{rGO}$  hybrid, (b) Linear fitting of capacitive current as function of scan rate

LSV measurements of the as synthesized rGO, Sb<sub>2</sub>Se<sub>3</sub>, Sb<sub>2</sub>Se<sub>3</sub>/rGO and commercially available standard Pt/C are carried out in 0.5 M H<sub>2</sub>SO<sub>4</sub> to compare the electrocatalytic HER performances. Cathodic polarization curves of corresponding samples are represented in figure 4.8(a) which depicts the variation of current density with the applied potential (after iR correction) in the reference scale of reversible hydrogen electrode (RHE). Lower catalytic performances of bare rGO and Sb<sub>2</sub>Se<sub>3</sub> nanorods have been observed during LSV measurements compared to their hybrid sample. The electrocatalytic onset potentials for rGO, Sb<sub>2</sub>Se<sub>3</sub> are found to be -0.46, -0.64 V respectively. Whereas in case of Sb<sub>2</sub>Se<sub>3</sub>/rGO hybrids significant reduction of onset potential (-0.32 V) is observed. Here, onset potential is determined by the potential required to detect the first observable current. In addition, Sb<sub>2</sub>Se<sub>3</sub>/rGO displays a benchmarking current density of -10 mA/cm<sup>2</sup> which is achieved with a 0.63 V overpotential. Although, the bare counterpart of the heterostructure rGO and Sb<sub>2</sub>Se<sub>3</sub> could not attain the benchmarking current density in the measured potential window. It is observed from the comparative study of LSV polarization curves that the HER activity becomes superior after attachment of rGO with the Sb<sub>2</sub>Se<sub>3</sub> nanorod. Additionally, the HER performances of the Sb<sub>2</sub>Se<sub>3</sub>/rGO hybrid sample are compared with the literatures based on chalcogenide materials (Table 4.2).

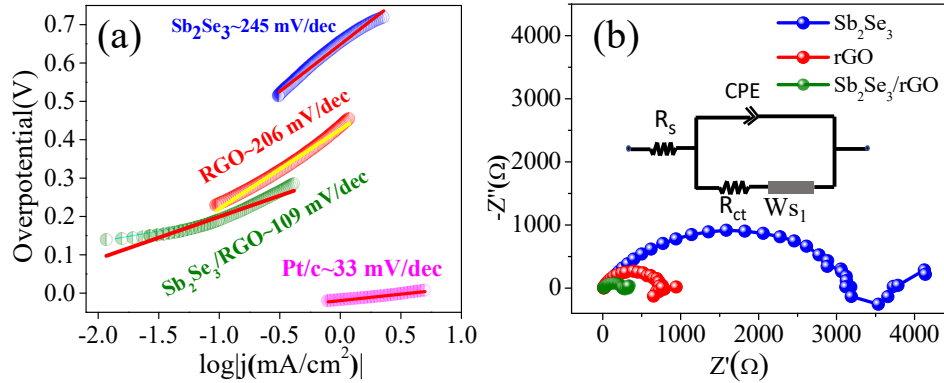
Table 4.2: Comparison table

No.	Catalyst	Mass loaded	Electrolyte	Onset potential	Over potential	Current density	Tafel slope	References
1.	MoS <sub>2</sub>	10 $\mu$ L ink	0.5 M H <sub>2</sub> SO <sub>4</sub>	-0.23 V vs. RHE	357 mV	-10 mA/cm <sup>2</sup>	--	[61]
2.	rGO/MoS <sub>2</sub>	20 $\mu$ g	0.5 M H <sub>2</sub> SO <sub>4</sub>	-0.13 V vs. RHE	240 mV	-10 mA/cm <sup>2</sup>	75 mV/dec	[62]
3.	Carbon nano dot (CD)/MoS <sub>2</sub>	--	0.5 M H <sub>2</sub> SO <sub>4</sub>	-0.50 V vs. RHE	700 mV	-0.5 mA/cm <sup>2</sup>	22 mV/dec	[63]
4.	MoS <sub>2</sub> /C	252.80 $\mu$ g/cm <sup>2</sup>	0.5 M H <sub>2</sub> SO <sub>4</sub>	-0.44 V vs. SCE	750 mV	-1.45 mA/cm <sup>2</sup>	43 mV/dec	[64]
5.	NiCO <sub>2</sub> S <sub>4</sub> /MoS <sub>2</sub>	3 mg/cm <sup>2</sup> (on nickel foam)	0.1 M KCl	-0.40 V vs. RHE	--	--	--	[65]
6.	MoS <sub>2</sub> /G	5 $\mu$ L ink	0.5 M H <sub>2</sub> SO <sub>4</sub>	-0.11 V vs. RHE	200 mV	-35.50 mA/cm <sup>2</sup>	47 mV/dec	[66]
7.	NiCO <sub>2</sub> S <sub>4</sub> /C	4 mg/cm <sup>2</sup>	0.1 M KOH	-0.23 V vs. RHE	263 mV	-50 mA/cm <sup>2</sup>	141 mV/dec	[67]
8.	WSe <sub>2</sub> -CNF (carbon nanofibers)	--	0.5M H <sub>2</sub> SO <sub>4</sub>	-0.20 V vs. RHE	158 mV	-10 mA/cm <sup>2</sup>	98 mV/dec	[68]
9.	Sb <sub>2</sub> Se <sub>3</sub> /rGO	3 $\mu$ L ink	0.5 M H <sub>2</sub> SO <sub>4</sub>	-0.32 V vs. RHE	630 mV	-10 mA/cm <sup>2</sup>	109 mV/dec	This work



**Figure 4.9.** Cyclic voltammetry profile of (a)  $Sb_2Se_3/rGO$  (b)  $Sb_2Se_3$  (c)  $rGO$

Electrochemical active surface area (ECSA) is estimated to get an insight about the improvement of the HER activity of  $Sb_2Se_3/rGO$  hybrid. The ECSA is proportional to electrochemical double layer capacitance ( $C_{dl}$ ) [ $ECSA = 2 \cdot C_{dl}$ ] which is estimated from the linear slope of  $\Delta j/2 = (j_a - j_c)/2$  vs. scan rate in figure 4.8(b). Here,  $j_a$  and  $j_c$  represent anodic and cathodic current density, obtained from the cyclic voltammetry (CV) curve. CV measurements are carried out in the non-Faradic region (voltage window 0.05-0.18 V vs. RHE) at different scan rates 10, 20, 50, 100, 150 mV/s for all the three samples figure 4.9(a), 4.9(b), 4.9(c). The double layer capacitance of  $rGO$ ,  $Sb_2Se_3$  and  $Sb_2Se_3/rGO$  are estimated to be 0.54, 0.123, 2.17 mF/cm<sup>2</sup> respectively. Subsequently, ECSA of  $Sb_2Se_3$  enhances by more than 17 times after synergistic coupling with conductive  $rGO$  nanosheets. The heterointerfaces between  $rGO$  and  $Sb_2Se_3$  in  $Sb_2Se_3/rGO$  offer higher electrochemical active surface area than the intrinsic materials.



**Figure 4.10.** (a) Tafel plot, (b) Electrochemical Impedance Spectroscopy (inset: equivalent circuit model) of as prepared  $Sb_2Se_3$ , rGO and  $Sb_2Se_3/rGO$

To understand the HER reaction kinetics, Tafel plots are derived from the polarisation curves for all the samples (Figure 4.10(a)). The reaction pathways can easily be determined from the corresponding Tafel slopes. The Tafel plots in figure 4.10(a) is governed by the Tafel equation [57] which is given as

$$\eta = a + b \log|j| \quad (5)$$

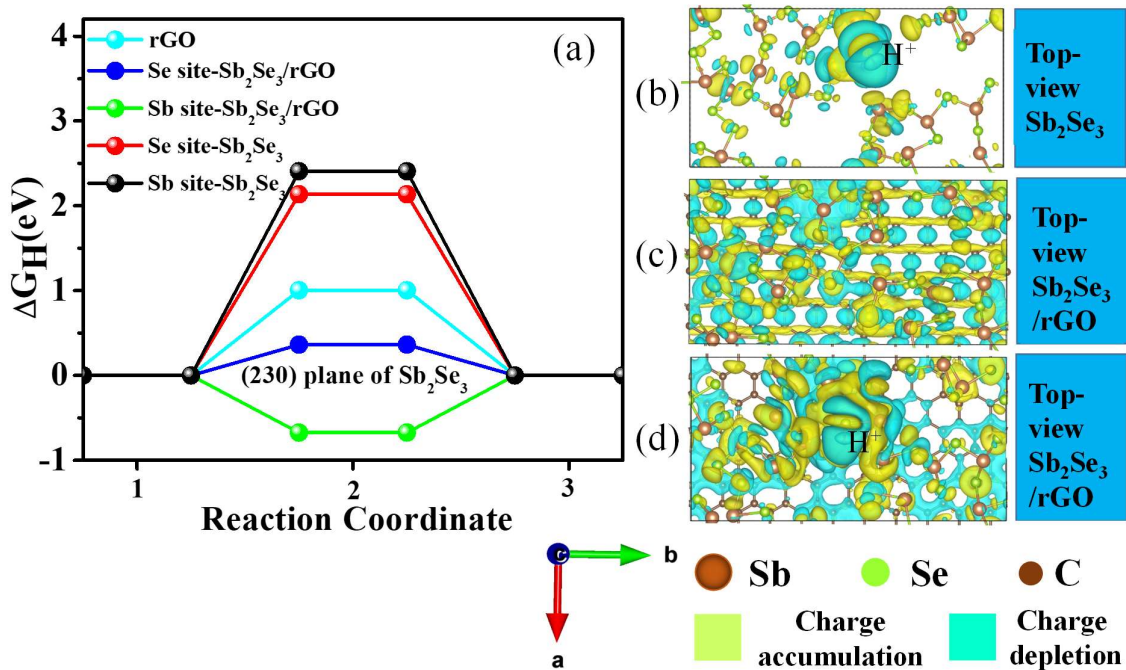
The parameters  $\eta$ ,  $a$ ,  $b$  &  $j$  denote overpotential, intercept, Tafel slope and current density respectively. Tafel slopes are influenced by the reaction kinetics at the electrode-electrolyte interfaces. The values of the Tafel slopes dictate the dominating reaction pathway of HER. The values of the Tafel slopes for Volmer, Heyrovsky and Tafel steps correspond to the Tafel slopes  $\sim 120, 40, 30$  mV/decade.[23] According to our investigation,  $Sb_2Se_3/rGO$  demonstrates significant reduction of Tafel slope (109 mV/dec) following Volmer pathway, which is almost two-fold lower than the  $Sb_2Se_3$  nanorods (245 mV/dec) and rGO (206 mV/dec). The values of the Tafel slopes indicate the Volmer is the rate determining step during the HER. Moreover, lower

value of Tafel slope indicates higher catalytic activity and superior type of catalytic active sites, thereby enhancing the HER activity of  $\text{Sb}_2\text{Se}_3/\text{rGO}$ . [58]

**Table 4.3. EIS parameters of  $\text{Sb}_2\text{Se}_3/\text{rGO}$ , rGO,  $\text{Sb}_2\text{Se}_3$**

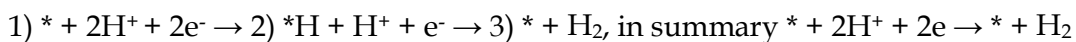
Name of Sample	$R_s$ ( $\Omega$ )	$R_{ct}$ ( $\Omega$ )	P1	n1	$Wsr1$ ( $\mu\Omega^{-1}\cdot\text{cm}^{-2}\cdot\text{s}^{1/2}$ )	$Wsc1$ ( $\mu\Omega^{-1}\cdot\text{cm}^{-2}\cdot\text{s}^{1/2}$ )
$\text{Sb}_2\text{Se}_3/\text{rGO}$	11.58	285.94	0.00044	0.60	$2.4 \times 10^{-13}$	5.7
rGO	12	752.74	$7.81 \times 10^{-5}$	0.76	$9.94 \times 10^{-6}$	$9.67 \times 10^{-14}$
$\text{Sb}_2\text{Se}_3$	14.21	3290.9	$1.4 \times 10^{-5}$	0.64	$9.6 \times 10^{-14}$	9.95

Moreover, EIS provides further insight regarding the charge transfer at the electrode and electrolyte interfaces. The EIS spectra in figure 4.10(b) are fitted into physically relevant circuit (inset of Figure 4.10(b)) and fitted parameters are provided in Table 4.3. The obtained equivalent series resistances ( $R_s$ ) are found to be almost equal (12  $\Omega$ ). Whereas, charge transfer resistances ( $R_{ct}$ ) of rGO,  $\text{Sb}_2\text{Se}_3$  and  $\text{Sb}_2\text{Se}_3/\text{rGO}$  are 752.74, 3290.9 and 285.94  $\Omega$  respectively. Therefore, drastic reduction in  $R_{ct}$  of  $\text{Sb}_2\text{Se}_3/\text{rGO}$  hybrids promotes facile charge transfer at the interface.



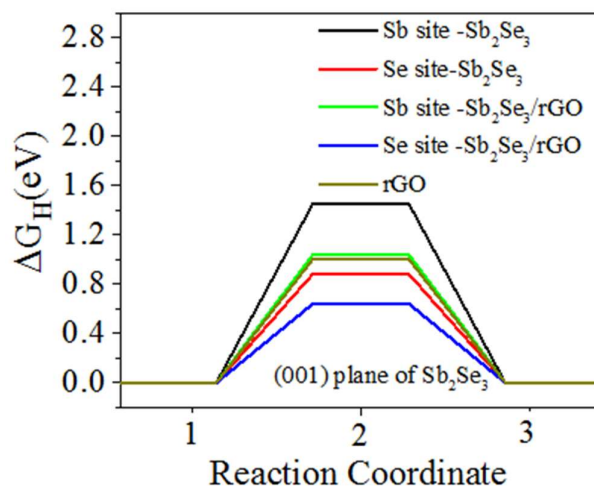
**Figure 4.11.** (a) Gibbs free energy diagrams for hydrogen adsorption of rGO, Sb<sub>2</sub>Se<sub>3</sub> and Sb<sub>2</sub>Se<sub>3</sub>/rGO for (230) plane of Sb<sub>2</sub>Se<sub>3</sub>, Top view of (b) Sb<sub>2</sub>Se<sub>3</sub> before H<sup>+</sup> adsorption in Se site, (c) Sb<sub>2</sub>Se<sub>3</sub>/rGO after H<sup>+</sup> adsorption in Se site, (d) Sb<sub>2</sub>Se<sub>3</sub>/rGO after H<sup>+</sup> adsorption in Se site

Fundamental insights of superior HER activity are found from first-principles density functional theory (DFT) calculations. Here, we made heterointerfaces of rGO with (230) and (001) surfaces of Sb<sub>2</sub>Se<sub>3</sub>, as the (230) peak reflection in XRD pattern is maximum, while anisotropic Sb<sub>2</sub>Se<sub>3</sub> offers easier charge carrier migration along (001) direction. [22] Reaction steps of HER activity are as follows-



Here “\*” represents active site of the system for H<sup>+</sup> adsorption. One H<sup>+</sup> ion gets adsorbed to the active site on the electrocatalyst’s surface to form a \*H configuration, surface adsorbed species and consequently another H<sup>+</sup> interacts with the \*H, releasing the H<sub>2</sub> molecule. Gibbs free energy change of hydrogen adsorption ( $\Delta G_H$ ) is

the appropriate descriptor of HER activity and its ideal value in acidic medium is zero. Therefore, the absolute value of Gibbs free energy change of hydrogen adsorption at the specific site of a particular system close to ideal value (i.e.,  $\Delta G_H = 0$ ) exhibits efficient electrocatalytic activity for HER. Calculated Gibbs free energy of hydrogen adsorption ( $\Delta G_H$ ) in figure 4.11(a) determines the HER activity of a system. We put forward (230) plane of  $Sb_2Se_3$  and its heterostructure with rGO as (230)  $Sb_2Se_3$  and (230)- $Sb_2Se_3$ /rGO respectively in further detail discussion of HER activity. Our investigation shows that  $\Delta G_H$  value for Sb sites of (230)- $Sb_2Se_3$  and (230)- $Sb_2Se_3$ /rGO are 2.40, -0.67 eV respectively, whereas for the Se sites the  $\Delta G_H$  values are 2.13 eV and 0.36 eV respectively. It is evident that owing to heterointerface formation, we observed significant decrease of the  $\Delta G_H$  value towards ideal value (i.e.,  $\Delta G_H = 0$ ) at individual sites, attributing to improved HER activity of  $Sb_2Se_3$ /rGO than the bare  $Sb_2Se_3$ . According to the  $\Delta G_H$  value for hydrogen adsorption in the (230) surface of  $Sb_2Se_3$ /rGO, Sb site ( $\Delta G_H = -0.67$  eV) is more thermodynamically favourable than Se site ( $\Delta G_H = 0.36$  eV) for H-adsorption, owing to the strong binding of H at Sb site. Therefore, hydrogen evolution reaction is mostly driven by Sb sites and there might be limited interaction at Se sites. It is to be noted that the calculation of the Gibbs free energy in different hydrogen coverage ratio would be helpful in understanding the actual competency between the two sites for HER. [59-60]

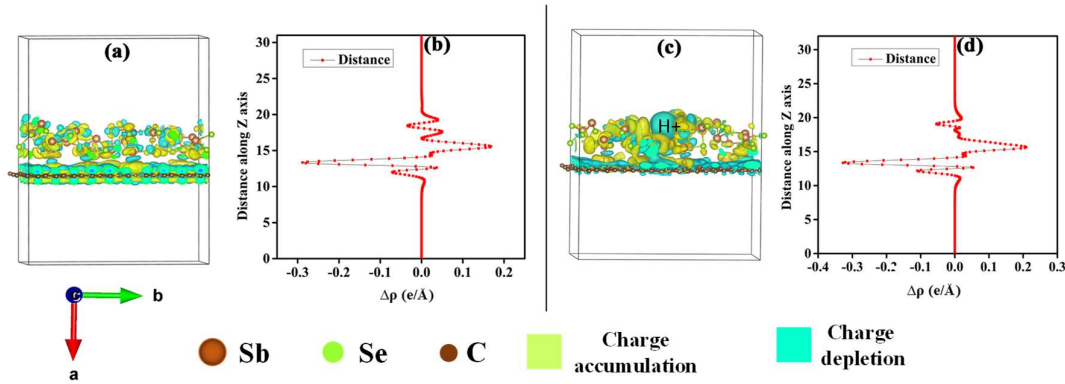


**Figure 4.12.** Gibbs free energy diagrams for hydrogen adsorption of rGO,  $Sb_2Se_3$  and  $Sb_2Se_3/rGO$  for (001) plane of  $Sb_2Se_3$

Again, Se site in (001)  $Sb_2Se_3/rGO$  ( $\Delta G_H = 0.64$  eV) is more active than other sites in (001) plane (Figure 4.12) for hydrogen evolution reaction. Importantly, irrespective of the surfaces, Gibbs free energy of hydrogen adsorption for Se sites are closest to thermoneutral value ( $|\Delta G_H|$  for Se Site  $<$   $|\Delta G_H|$  for Sb Site). Therefore, one can potentially engineer Se rich surfaces or preferentially expose Se sites for better HER.

The potential of heterointerface design between  $Sb_2Se_3$  and rGO for HER is further demonstrated by Bader charge analysis on selective Se sites. After H atom adsorption on the Se site of (230)- $Sb_2Se_3$ , Se atom and H atom got the Bader charges -0.22524e and -0.05988e respectively that causes columbic repulsive force between the two atoms. In contrast, Se site and H site of (230)- $Sb_2Se_3/rGO$  acquired Bader charges -0.20356e and -0.018238e respectively after H adsorption. This decrease in the values of Bader charges on Se atom and H atom of (230)- $Sb_2Se_3/rGO$  reduces the repulsive force acting between these two atomic sites, which makes  $\Delta G_H$  value for Se site of (230)- $Sb_2Se_3/rGO$  lower than (230)- $Sb_2Se_3$  surface. Charge density difference

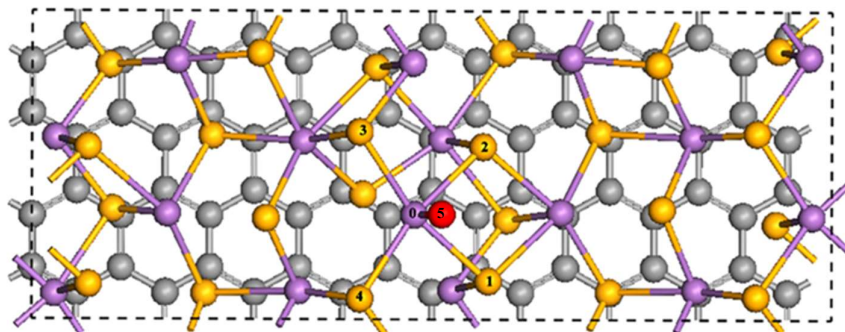
was studied for (230)- $\text{Sb}_2\text{Se}_3/\text{rGO}$  before and after  $\text{H}^+$  adsorption to get charge transfer among its fragments along Z axis of the plane (Figure 4.13 (a-d)).



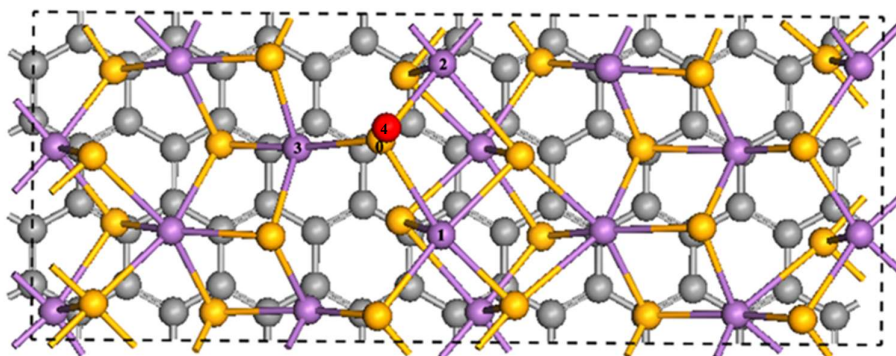
**Figure 13.** (a) Charge accumulation and depletion in  $\text{Sb}_2\text{Se}_3/\text{rGO}$  before  $\text{H}^+$  adsorption (b) Charge density Vs. Distance plot before  $\text{H}^+$  adsorption in  $\text{Sb}_2\text{Se}_3/\text{rGO}$  (c) Charge accumulation and depletion in  $\text{Sb}_2\text{Se}_3/\text{rGO}$  after  $\text{H}^+$  adsorption (d) Charge density Vs. Distance plot after  $\text{H}^+$  adsorption in  $\text{Sb}_2\text{Se}_3/\text{rGO}$

We observed that rGO redistributes the charge in the (230)- $\text{Sb}_2\text{Se}_3/\text{rGO}$  system which boosts  $\text{H}^+$  adsorption on Se site by reducing charges on these sites and columbic repulsive force. Beside this we also compare charge transfer (top-view in Figure 4.11(b)) difference plots of  $\text{H}^+$  adsorbed (230) surface of  $\text{Sb}_2\text{Se}_3$  and  $\text{Sb}_2\text{Se}_3/\text{rGO}$  without and with  $\text{H}^+$  adsorption (Figure 4.11(c, d)), which illustrates the charge accumulation and depletion regions. In comparison to (230) plane of  $\text{Sb}_2\text{Se}_3$ , significant amount of electronic charge was depleted at H adsorbed Se site and its neighbouring atoms of the heterostructure indicating the charge transfer to  $\text{H}^+$  ion for better adsorption on its surface at Se site. Bader charge analysis was also calculated for the (001)- $\text{Sb}_2\text{Se}_3/\text{rGO}$  to observe the amount of charge transferred to or from  $\text{H}^+$  ion adsorbed Sb site and Se site and its nearby atoms before and after  $\text{H}^+$

adsorption is listed in Table 4.4 & Table 4.5 (Figure 4.14, 4.15). It is observed that rGO basically reallocates the charges of (001)- $\text{Sb}_2\text{Se}_3$  surface and induces HER activity.



**Figure 4.14.**  $\text{H}^+$  ion adsorbed on Sb site of heterostructure of Graphene and  $\text{Sb}_2\text{Se}_3$  where ● denotes Se atom, ● denotes Sb atom, ● denotes C atom and ● denotes H atom. Bader charge of selected atoms (0, 1, 2, 3, 4 & 5) are shown in the Table 4.4



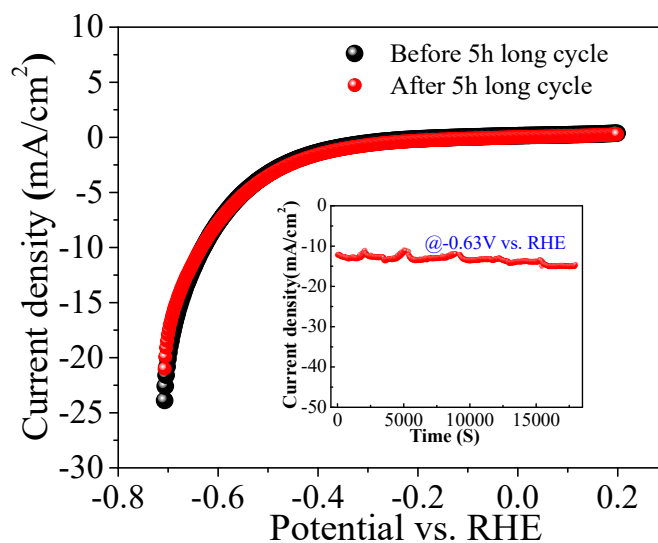
**Figure 4.15.**  $\text{H}^+$  ion adsorbed on Se site of heterostructure graphene and  $\text{Sb}_2\text{Se}_3$  where ● denotes Se atom, ● denotes Sb atom, ● denotes C atom and ● denotes H atom. Bader charge of selected atoms (0, 1, 2, 3 & 4) are shown in the Table 4.5

**Table 4.4.** Bader charge analysis on Sb site before and after H<sup>+</sup> ion adsorption in (001) plane of Sb<sub>2</sub>Se<sub>3</sub>

<b>Atoms no. denoted in Fig. 4.14</b>	<b>Bader charges (e) before H<sup>+</sup> ion adsorption on Sb site of heterostructure</b>	<b>Bader charges (e) after H<sup>+</sup> ion adsorption on Sb site of heterostructure</b>
0	0.92	0.95
1	-0.64	-0.62
2	-0.63	-0.62
3	-0.58	-0.58
4	-0.60	-0.59
5	0	-0.27

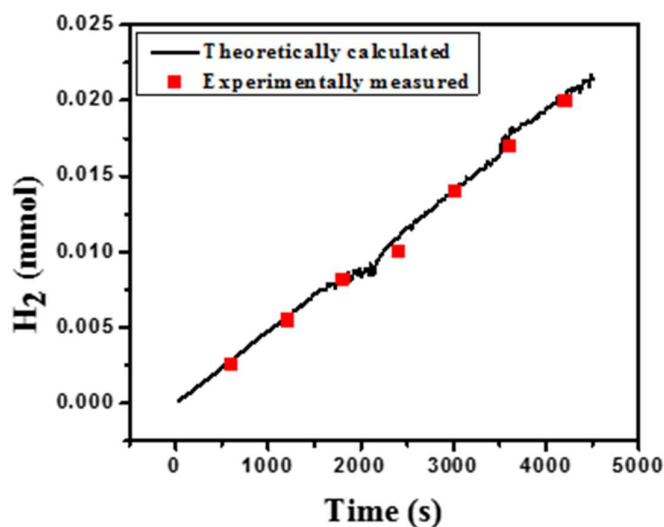
**Table 4.5.** Bader charge analysis on Se site before and after H<sup>+</sup> ion adsorption in (001) plane of Sb<sub>2</sub>Se<sub>3</sub>

Atoms no denoted in Fig. 4.15	Bader charges (e) before H <sup>+</sup> ion adsorption on Se site of heterostructure	Bader charges (e) after H <sup>+</sup> ion adsorption on Se site of heterostructure
0	-0.60	-0.30
1	0.87	0.89
2	0.93	0.80
3	0.92	0.90
4	0	-0.04



**Figure 4.16.** LSV profile of Sb<sub>2</sub>Se<sub>3</sub>/rGO before and after 5 hours of operation; inset shows the stability of Sb<sub>2</sub>Se<sub>3</sub>/rGO hybrid by using RDE

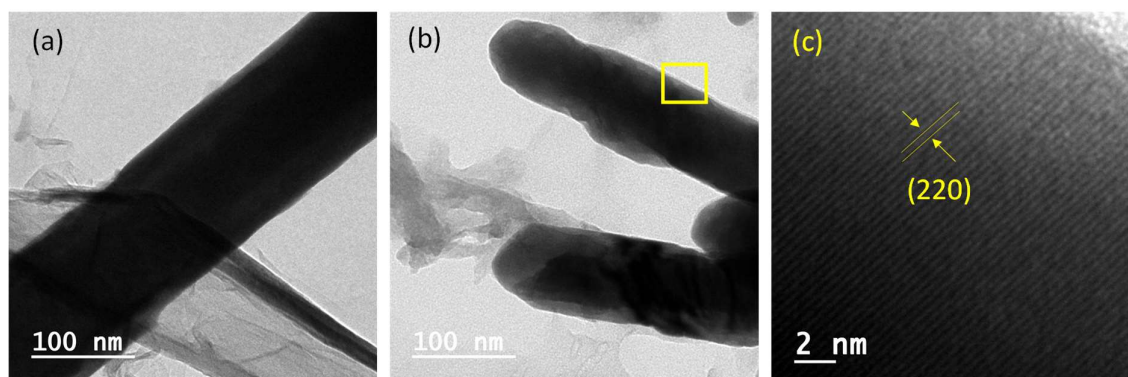
Electrocatalytic activity regarding real life industrial application is mainly investigated by measuring current density variation with time i.e., chronoamperometry or voltage variation with time i.e., chronopotentiometry. Here we performed chronoamperometry in acidic media (0.5 M  $\text{H}_2\text{SO}_4$ ) for 5 hours by using rotating disc electrode (RDE) at 1600 rpm. figure 4.16 depicts the faradic current polarization curve of  $\text{Sb}_2\text{Se}_3/\text{rGO}$  before and after performing the long cycle. From inset of figure 4.16 it has been clearly observed that no substantial change in faradic current density occurs around the -0.63V versus RHE during long cycle measurement. Chronoamperometry measurement indicates that there is no significant degradation of sample during long cycle.



**Figure 4.17:** *Theoretically calculated and experimentally measured value of  $\text{H}_2$  evolution amount*

Importantly,  $\text{Sb}_2\text{Se}_3/\text{rGO}$  hybrid offers 96 % Faradaic efficiency (figure 4.17). Moreover, we have performed TEM after 1000 cycle CV cycle which shows that  $\text{Sb}_2\text{Se}_3$  retains their rod like structure even after 1000 cycle of CV measurement. Also,

HRTEM image shows the (220) plain of  $\text{Sb}_2\text{Se}_3$ , in the  $\text{Sb}_2\text{Se}_3/\text{rGO}$  hybrid even after 1000 cycle of CV measurement which corroborates well with the XRD pattern. (Figure 4.18)



**Figure 4.18:** TEM images of (a)  $\text{Sb}_2\text{Se}_3/\text{rGO}$  hybrid before 1000 cycle CV measurement, (b)  $\text{Sb}_2\text{Se}_3/\text{rGO}$  hybrid after 1000 cycle CV measurement and (c) HRTEM image of  $\text{Sb}_2\text{Se}_3/\text{rGO}$  hybrid after 1000 cycle CV measurement

## 4.8. Conclusions

In conclusion, we have successfully synthesized  $\text{Sb}_2\text{Se}_3/\text{rGO}$  hybrid via one-step solvothermal approach and employed the hybrid as hydrogen evolution electrocatalyst. In this approach,  $\text{Sb}_2\text{Se}_3$  nanorods grows during the reduction of GO leading to the formation of interconnected conductive  $\text{rGO}$  network, generating enormous heterointerfaces between the two. It is observed that the hybrid shows significant reduction of the onset potential as low as - 0.32 V with lowering the Tafel slope 109 mV/dec than the pristine samples in the acidic medium. Importantly, heterointerface formation into the hybrid leads to the increase of catalytically exposed active sites and also reduces charge transfer resistance. According to theoretical investigations, the Gibbs free energy of the

H<sup>+</sup> adsorption in the active sites of (001) plane and (230) plane reduces significantly, owing to the reduced coulombic repulsion. Principally, rGO redistributes the charges in the Sb<sub>2</sub>Se<sub>3</sub>/rGO heterointerfaces which increases H<sup>+</sup> adsorption on Se site by reducing charges on these sites. Hence, the combination of theory and experimental results suggest that heterointerface formation significantly boosts the electrocatalytic HER performances of Sb<sub>2</sub>Se<sub>3</sub> nanorods. Therefore, this work promotes future scope of investigating Sb<sub>2</sub>Se<sub>3</sub> and similar chalcogenide materials based heterointerfaces to obtain site selective advanced HER catalysts.

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## Chapter 5

# Strong non-linear optical response of $\text{Sb}_2\text{Se}_3$ nanorods suspension based on spatial self-phase modulation and their all-optical photonic device applications

In this work, spatial self-phase modulation (SSPM) experiments have been conducted using the emerging anisotropic layered material  $\text{Sb}_2\text{Se}_3$  in a liquid suspension, aiming for applications in all-optical diodes and all-optical switching. The third-order broadband nonlinear optical susceptibility ( $\chi^{(3)} \sim 10^{-9}$  esu) and nonlinear refractive index ( $n_2 \sim 10^{-6}$  cm<sup>2</sup>/W) of  $\text{Sb}_2\text{Se}_3$  were measured using a 671 nm laser beam. The exploration of nonlinear optical phenomena in  $\text{Sb}_2\text{Se}_3$  is the key focus of this chapter.

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## 5.1. Introduction

The future of optical communication depends on the use of all-optical modulation technology. [1-3,4] Optical modulation refers to the alteration of an optical signal's phase, amplitude and other parameters through physical means. This can be done either directly from the light source or through an external electric or optical field. [1,5-7] The strength of optical modulation is influenced by both the materials' nonlinear properties and the propagation path. [1,5-7] It is necessary to investigate the nonlinear properties of new quantum materials, specifically the nonlinear refractive index ( $n_2$ ) and third-order nonlinear susceptibility  $\chi^{(3)}$ , to create photonic devices with the best possible functions.[1,5-7] Nonlinear optical responses are commonly investigated by different methods like the Z-scan method [8-9] four-wave mixing [10-11] and spatial self-phase modulation (SSPM) [12-13] etc. The SSPM, also known as the optical Kerr effect, is a nonlinear optical phenomenon where nonlocal and intraband carriers of the material oscillate at an optical frequency of  $10^{14}$  Hz, forming diffraction ring patterns through self-focusing.[4] SSPM is a simple and straightforward technique compared to other methods requiring complex experimental setup or data fitting. [12-13] This can create the diffraction ring pattern in the far field. [4, 12-13] SSPM diffraction ring pattern was first obtained in liquid crystals by Durbin et al. [14-15] and third-order nonlinear susceptibility was obtained by Wu et al. [16] by employing the SSPM method in exfoliated graphene. Following this, there was a significant increase in research attention towards investigating the nonlinear properties of 2D layered materials using the SSPM technique due to its ability to facilitate strong light-matter interaction, effective

bandgap modulation and superior optoelectronic properties.[1,17-19] It is essential to note that the SSPM diffraction ring pattern originates from the external electric field associated with the strong laser beam, which is explained by electron coherence theory.[4,20-22] Origin of SSPM diffraction ring pattern in 3D TaAs attributed to laser induced hole coherence.[23] We have made daily progress in observing SSPM experiments in various quantum materials, including different nanostructures such as nanowires, nanoparticles, colloidal nanocrystals and 2D flakes. Scientists have also obtained nonlinear parameters during these observations. [24-26] Wu et al. have demonstrated the first all-optical switching based on SSPM with two-colour intraband coherence in gapped quantum materials.[4] SSPM method has been successfully applied by various groups in diverse applications, such as energy conversion and photonic diodes. [27-31] There is a significant opportunity to discover SSPM effects in new quantum materials and utilize them in developing photonic devices for practical use.

Recently, low dimensional chalcogenides semiconducting materials, e.g., MoS<sub>2</sub>, MoSe<sub>2</sub>, InSe, TaSe, Bi<sub>2</sub>Te<sub>3</sub>, etc., are mostly investigated through the SSPM method due to their ultrathin nature and advantageous electronic and optoelectronic properties. [1, 27-31] The spatial self-phase modulation due to the intense laser beam, multiple diffraction ring patterns are formed at the far field for the liquid suspension of these semiconducting materials. The nonlinear refractive index and third-order nonlinear susceptibilities of these materials are determined by their strong electron coherence interaction with the laser intensities. Sb<sub>2</sub>Se<sub>3</sub> is a promising semiconductor for optoelectronics or photonics due to its high absorption coefficient

(>10<sup>5</sup> cm<sup>-1</sup>) in the visible region and decent carrier mobilities ( $\mu_e \sim 15$  and  $\mu_h \sim 42$  cm<sup>2</sup>V<sup>-1</sup>s<sup>-1</sup>). Also, it has a narrow band gap ranging from 1-1.2 eV. [32] Importantly, Sb<sub>2</sub>Se<sub>3</sub> possesses a simple binary composition with a single and stable orthorhombic phase in which [Sb<sub>4</sub>Se<sub>6</sub>]<sub>n</sub> nanoribbons are linked through van der Waals interaction along the x (100) and y (010) axes. In addition, the strong covalent bond along the z (001) axis causes Sb<sub>2</sub>Se<sub>3</sub> nanostructures to grow intrinsically in a one-dimensional manner. However, owing to the dissimilar binding strength along the x, y and z axis ( $z \gg x > y$ ), Sb<sub>2</sub>Se<sub>3</sub> possesses strong physical anisotropy and structure could be manipulated from bulk to either 1D or 2D by altering physical condition. [32-34] Concurrently, it possesses strong optical anisotropy and polarization sensitivity. Owing to the multifunctional properties, Sb<sub>2</sub>Se<sub>3</sub> nanostructures offer enormous applications in a diverse area, e.g., photovoltaics, [35] photodetectors [36], photoelectrochemical (PEC) water splitting [37], thermoelectric [38] etc. The potential use of Sb<sub>2</sub>Se<sub>3</sub>'s nonlinear optical properties in all-optical modulation technology has not been fully investigated.

Here, Sb<sub>2</sub>Se<sub>3</sub> is identified as a promising candidate for investigating the nonlinear optical properties through the strong light-matter interaction, followed by a successful demonstration in all-optical device applications. SSPM experiments have performed with 1D Sb<sub>2</sub>Se<sub>3</sub> nanorods to study their nonlinear optical properties and simultaneously implement them in all-optical device applications. After the interaction of the 671, 532 and 407 nm continuous laser beam through the Sb<sub>2</sub>Se<sub>3</sub> nanorods suspension, concentric diffraction ring patterns are observed at the far field on the screen. With the help of the 'Wind-Chime' model, we explain the

formation and collapse process of the diffraction rings formed during this SSPM experiment. Additionally, SnS<sub>2</sub> nanosheets are utilized for their reverse saturable absorption properties in showcasing an optical diode alongside Sb<sub>2</sub>Se<sub>3</sub>. The laser beam undergoes propagational symmetry-breaking when combined with Sb<sub>2</sub>Se<sub>3</sub> and SnS<sub>2</sub> (Sb<sub>2</sub>Se<sub>3</sub>/SnS<sub>2</sub> → forward and SnS<sub>2</sub>/Sb<sub>2</sub>Se<sub>3</sub> → reverse direction), resulting in non-reciprocal propagation of light and formation of an all-optical diode. An all-optical logic function, specifically an OR logic gate, has been successfully demonstrated using Sb<sub>2</sub>Se<sub>3</sub> by manipulating the self-phase modulation (SPM) of two lasers with wavelengths of 671 nm and 532 nm.

## 5.2 Experimental Sections

### 5.2.1 Synthesis of Sb<sub>2</sub>Se<sub>3</sub> nanorods

Sb<sub>2</sub>Se<sub>3</sub> was synthesized by a typical solvothermal procedure. [33] First, 0.086 gm of SbCl<sub>3</sub> was dissolved in 75.8 ml DEG and then ammonia was added under magnetic stirring. Afterward, sodium sulphite (0.095 gm) and Se powder (0.048 gm) were mixed into the solution under continuous stirring. Then the as prepared mixture was transferred into a 100 ml teflon-lined stainless-steel autoclave and heated 22 hours at 180 °C. After completing the process, it was cooled down normally and the products were filtered by vacuum filtration method. Then, the sample was consecutively washed with deionized water and absolute ethanol. Finally, the sample was dried in vacuum oven at 80 °C overnight for further use.

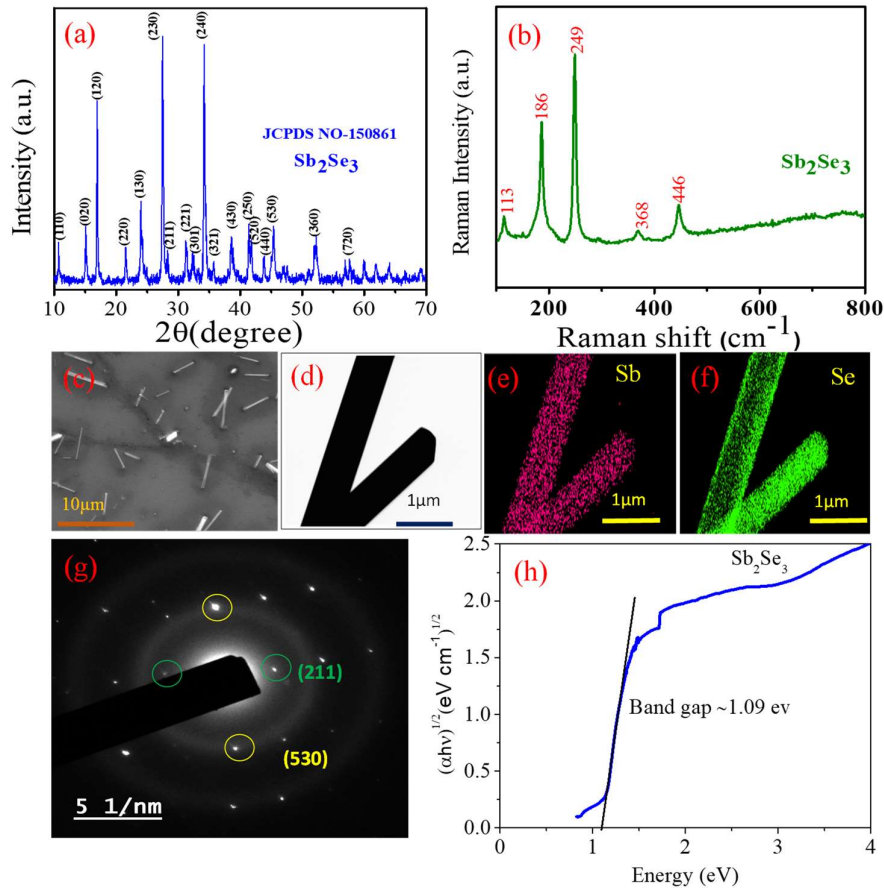
### 5.2.2. Synthesis of SnS<sub>2</sub> nanosheets

SnS<sub>2</sub> nanosheets were synthesized by previously reported procedure by Zhang et al. [39] In a typical procedure, SnCl<sub>4</sub> · 5H<sub>2</sub>O (220 mg) and thioacetamide (280 mg) were added in 60 mL DI water and stirred unless mixed. After that, the solution was transferred into a 100 mL Teflon-lined autoclave for heating at 180 °C for 24 h. Then the autoclave was naturally cooled down to room temperature before collecting the yellow color samples by centrifugation process (10000 rpm). After collecting, the samples were dried in a hot air oven 80 °C for 6 h and stored carefully before further utilization.

### 5.2.3 Material Characterizations

Crystallinity of Sb<sub>2</sub>Se<sub>3</sub> was obtained by X-ray diffraction at room temperature with Rigaku Ultima III X ray diffractometer using Cu K $\alpha$  radiation having wavelength 1.54 Å. Raman spectroscopy (Witech) was employed to obtain the characteristic vibrational mode of Sb<sub>2</sub>Se<sub>3</sub>. Surface morphology was revealed by Field emission scanning electron microscopy (FESEM, Hitachi, S -4800) and high-resolution transmission electron microscopy (HRTEM, JEOLJEM 2100). TEM-EDX (Transmission electron microscopy-energy dispersive X ray spectroscopy) Elemental mapping shows the chemical composition and stoichiometric ratio of Sb<sub>2</sub>Se<sub>3</sub>. The bandgap was obtained by Kubelka-munk plot, from UV-Visible diffuse reflectance spectroscopy.

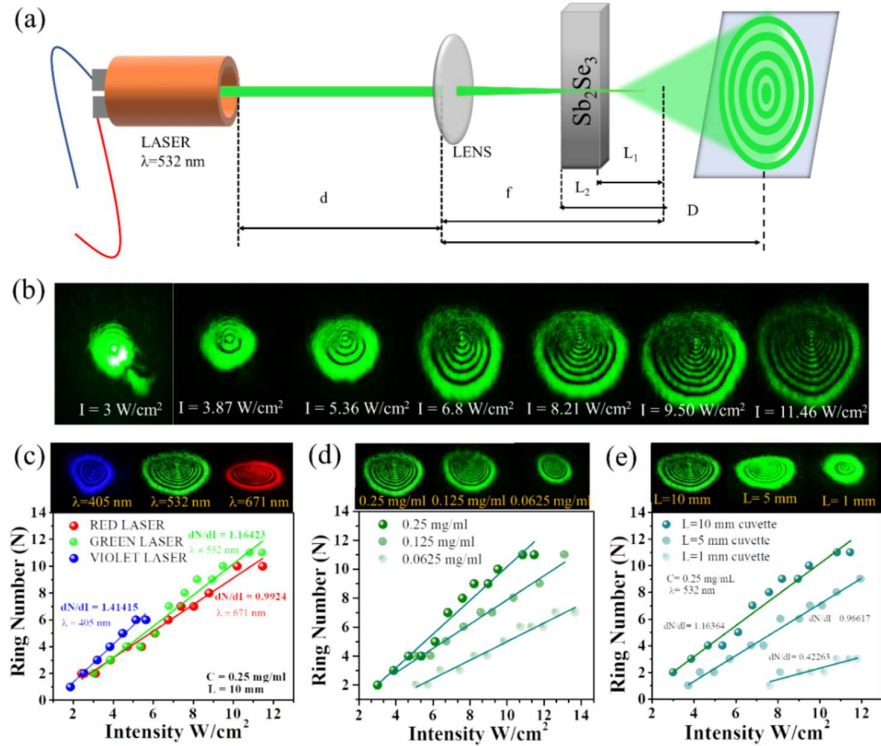
### 5.3. Results & Discussion



**Figure 5.1:** Characterizations of  $Sb_2Se_3$  NRs: (a) XRD pattern, (b) Raman spectra, (c) Scanning electron microscopy image, (d) Transmission electron microscopy image, (e-f) FETEM colour mapping of Sb and Se, (g) Selected area electron diffraction pattern and (h) Kubelka-Munk plot

XRD patterns in figure 5.1(a) demonstrates highly polycrystalline  $Sb_2Se_3$ , corresponding to orthorhombic phase with space group  $Pbnm$  (62) (JCPDS card No.15-0861). Raman spectrum in figure 5.1(b) evinces prominent peaks at 115, 187, 251, 369 and 446  $cm^{-1}$  which designate the formation of phase pure  $Sb_2Se_3$ . [33] The peak at 115  $cm^{-1}$  is accompanying with the Se-Se bond vibration and the peak at 187

$\text{cm}^{-1}$  is ascribed to the Sb-Se heteropolar stretching vibrational mode in the  $\text{Sb}_2\text{Se}_3/2$  pyramids. [33] The Sb-Sb bond vibration in  $(\text{Se}_2\text{Sb-SbSe}_2)$  structural unit arises at  $251 \text{ cm}^{-1}$  whereas Sb-Se stretching vibrations are originated at  $369$  and  $446 \text{ cm}^{-1}$ . [33] The FESEM micrograph (Figure 5.1(c)) shows  $\text{Sb}_2\text{Se}_3$  NRs with diameter  $< 1 \mu\text{m}$  and length  $< 10 \mu\text{m}$ . Again, surface morphology is probed by TEM (Figure 5.1(d)) which corroborate well with FESEM image. Further, FETEM micrographs in figure 5.1(e-f) suggest that Sb and Se elements are uniformly distributed throughout the nanorods. Figure 5.1(g) displays the selected area electron diffraction (SAED) pattern, verifying the (211) and (530) planes in agreement with the XRD pattern of the  $\text{Sb}_2\text{Se}_3$  nanorods. Moreover,  $\text{Sb}_2\text{Se}_3$  possesses indirect bandgap  $\sim 1.09 \text{ eV}$  as obtained by Kubelka-munk plot in figure 5.1(h) which is therefore sensitive to electronic excitation of laser beams employed for our investigation.



**Figure 5.2:** Schematic of SSPM experiment at various condition: (a) Schematic diagram of experimental setup of Spatial Self-Phase Modulation (SSPM), (b) The real image of SSPM diffraction pattern of 532 nm laser beam (c) SSPM ring number variation with intensities at excitation of continuous laser beam of wavelength  $\lambda = 671, 532, 405 \text{ nm}$ . (d) The diffraction ring number vs intensity of laser ( $\lambda = 671 \text{ nm}$ ) with various concentrations of  $\text{Sb}_2\text{Se}_3$  (0.25 mg/ml, 0.0625 mg/ml and 0.03125 mg/ml) (e) The variation of diffraction ring numbers with incident laser ( $\lambda = 671 \text{ nm}$ ) intensity with cuvette lengths of 1, 5 and 10 mm

### 5.3.1 SSPM effect dominated by nonlinear Kerr effect: nonlinear refractive index

Figure 5.2(a) displays a brief schematic of our experimental setup, where a coherent and continuous laser with different wavelengths (405, 532 and 671 nm) has been

focused onto the suspended solution of  $\text{Sb}_2\text{Se}_3$  by a lens with a focal length of 200 mm. Focused laser light illuminates the suspended  $\text{Sb}_2\text{Se}_3$  inside the quartz cuvette, and the strong nonlinearity of the material induces the self-focusing effect and forms a concentric diffraction ring at the far field. These diffraction rings appear due to the optical Kerr effect, where a phase shift of the coherent laser beam has been observed during the propagation through the  $\text{Sb}_2\text{Se}_3$  suspension. Due to the thermal effect, these concentric diffraction rings are distorted and vertically collapsed and became stable after some time. A digital camera has been placed behind the white screen to capture this continuous variation of this diffraction rings formation, collapse and steady state. According to the optical Kerr effect, the refractive index ( $n$ ) of the materials has a strong dependence on the intensity ( $I$ ) of the incident laser light and the relation between them has been presented as  $n = n_0 + n_2 I$ ; where  $n_0$  and  $n_2$  are the linear and nonlinear refractive index of the material, respectively. [40] The phase shift ( $\Delta\psi(r)$ ) of the incident gaussian laser beam ( $\psi(r)$ ) has strong dependence upon the  $n_2$  of the material in the SSPM experiment, and it can be expressed by, [41]

$$\Delta\psi(r) = \frac{2\pi}{\lambda} \int_0^{L_{eff}} n_2 I(r, z) dz \quad (1)$$

Where,  $r \in [0, \infty)$  is the radial co-ordinate,  $\lambda$  is the wavelength of the incident laser beam and  $L_{eff}$  is the effective propagation path passing through the  $\text{Sb}_2\text{Se}_3$  dispersion. For a Gaussian light beam, the central light intensity ( $I(0, z)$ ) is twice the value of average light intensity( $I$ ). This phase modulation of the output beam is proportional to the incident intensity ( $I(r, z)$ ) of the laser beam. The diffraction ring number ( $N$ ) can be expressed as. [16]

$$\Delta\psi(r_1) - \Delta\psi(r_2) = 2M\pi \quad (2)$$

Where  $M$  is the integer number, and odd or even value of  $M$  corresponds to the dark or bright diffraction pattern, respectively. From the following equation  $L_{eff}$  of the laser beam can be determined, [42-43]

$$L_{eff} = \int_{L_1}^{L_2} \left(1 + \frac{z^2}{z_0^2}\right)^{-1} dz = z_0 \tan^{-1} \left[ \frac{z}{z_0} \right]_{L_1}^{L_2} \quad (3)$$

where,  $z_0 = \pi\omega_0^2 / \lambda$ ;  $L_1, L_2$  are the distance from the focus ( $f$ ) to the side of the quartz cuvette;  $z_0$  is the diffraction length,  $\omega_0 = 1/e^2$  beam radius. Therefore, the nonlinear refractive index of  $Sb_2Se_3$  can be determined from the formula, [31]

$$n_2 = \frac{\lambda}{2n_0 L_{eff}} \frac{dN}{dl} \quad (4)$$

subsequently, the third order nonlinear susceptibility  $\chi_{total}^{(3)}$  of  $Sb_2Se_3$  can be determined as, [29,31]

$$\chi_{total}^{(3)} = \frac{cn_0^2}{12} 10^{-7} n_2 \quad (\text{esu}) \quad (5)$$

Where  $c$  is the velocity of light in free space. As,  $Sb_2Se_3$  is a layered material, nonlinear susceptibility of a single layer has a significant role and can be determined by [29,31]

$$\chi_{total}^{(3)} = \chi_{singlelayer}^{(3)} \times N_{eff}^2 \quad (6)$$

Here  $N_{eff}$  is the effective number of charge carrier layers present in the material and calculation of effective number of charge carrier layers are in the following.

### 5.3.2. Calculation of $N_{\text{eff}}$

The number of effective layers is estimated in the following based on the calculation of [23]

Total number of molecules in solution of cuvette of volume  $V$ , is  $N_{\text{tot}} = \rho * V * N_A$

Where,  $\rho$  = concentration of the solution in mol/L,

$V$  = volume of the cuvette in L,  $N_A$  = Avogadro's number ( $6.023 * 10^{23}$ )

There are four effective number of molecules per unit cell of  $\text{Sb}_2\text{Se}_3$  so,

Total number of unit cell of  $\text{Sb}_2\text{Se}_3$  in solution of cuvette of volume  $V$ , is

$$N_{\text{unit}} = N_{\text{tot}} / 4.$$

Thus, a single effective layer contains,  $m = S / (a * c)$  unit cell.

Where,  $S$  = area of the plane of the cuvette which is perpendicular to the direction of light propagation in  $\text{cm}^2$ . ( $a = 11.63 \text{ \AA}$ ,  $b = 3.97 \text{ \AA}$ ,  $c = 11.78 \text{ \AA}$ , are the lattice constant of  $\text{Sb}_2\text{Se}_3$ ). Then the layer number is  $N_{\text{cell}} = N_{\text{unit}} / m$ . The number of charge carrier layers that the incident laser beam encounter is  $N_{\text{eff}} = 2 * N_{\text{cell}}$ . (there are two effective charge carrier layers per unit cell).

Figure 5.2(b) shows the variation of the diffraction ring numbers as a function of the intensity of the incident laser beam (532 nm). Each picture presented is taken after sufficient time of laser incident on the sample, and the corresponding images present their steady condition. The results show that the number of rings and their diameter

increases with the increase of the laser beam intensity, which arises due to the strong nonlinear response of  $\text{Sb}_2\text{Se}_3$ . To quantify this nonlinear response, the experimental results for all wavelengths (405, 532 and 671 nm) are also presented in figure 5.2(c). The solid points represent our experimental data, and the straight line is fitted linearly based on the experimental data, and the corresponding slopes ( $dN/dI$ ) are 0.9924, 1.1642, 1.4142 for wavelength  $\lambda = 671, 532, 405$  nm, respectively. Figure 5.2(c)'s upper panel displays the respective diffraction images at the highest intensity for all three incident laser lights. The nonlinear refractive index ( $n_2 = 7.12 \times 10^{-6} \text{ cm}^2/\text{W}$ ) and third-order nonlinear susceptibility ( $\chi^{(3)} = 0.00343 \text{ esu}$ ) have been determined using the equation (4) -(5) for  $\lambda = 532$  nm. The  $n_2$  and  $\chi^{(3)}$  for other wavelengths are also calculated and presented in table 5.1.

**Table 5.1. Representing nonlinear refractive index and third order nonlinear susceptibility of  $Sb_2Se_3$  under different experimental conditions.**

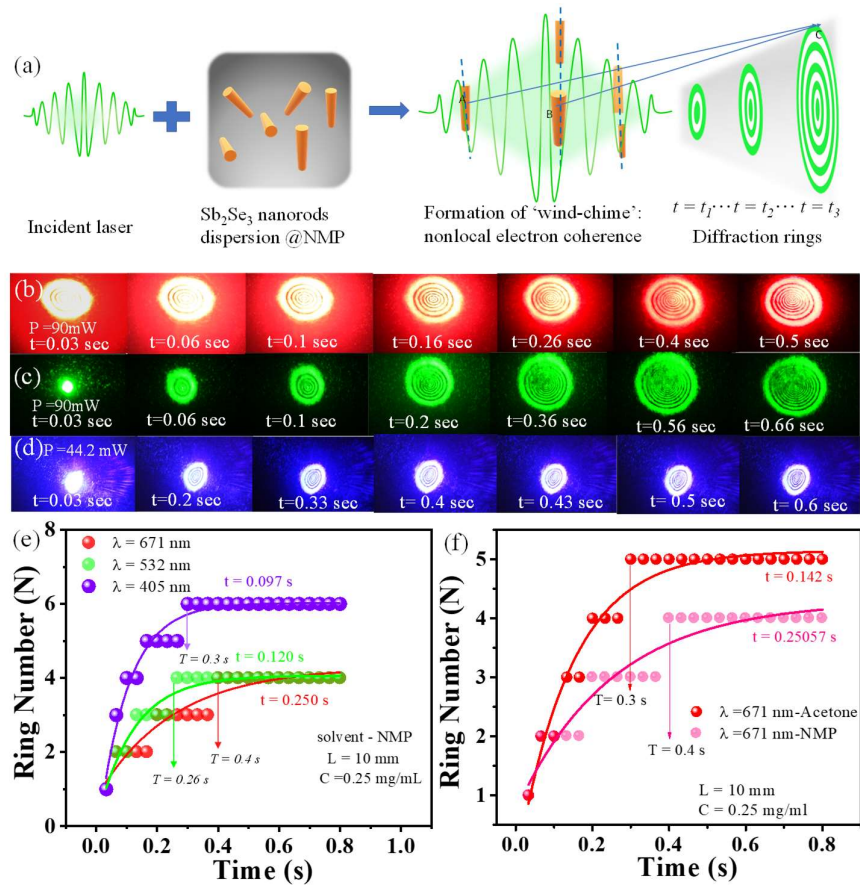
Experimental condition	$\lambda$ (nm)	L (mm)	C (mg/ml)	$\frac{dN}{dI}$ ( $cm^2/W$ )	$n_2$ (in $10^{-6}$ $cm^2/W$ )	$\chi_{total}^{(3)}$ [esu]	$\chi_{singlelayer}^{(3)}$ [in $10^{-9}$ esu]
Wavelength variation	671	10	0.25	0.9924	6.67	0.00423	1.05
	532	10	0.25	1.16423	7.12	0.00343	0.85
	405	10	0.25	1.41415	9.12	0.00228	0.57
Concentration variation	532	10	0.2500	1.16423	7.12	0.00343	0.85
	532	10	0.1250	0.8372	7.05	0.00179	1.55
	532	10	0.0625	0.641	5.39	0.00137	4.73
Cuvette thickness variation	532	10	0.25	1.16423	7.12	0.00343	0.85
	532	5	0.25	0.96617	16.2	0.00414	3.58
	532	1	0.25	0.42263	35.6	0.00907	198

Therefore, it is evidenced that the slope increases as the wavelength decreases, conclusively showing that higher photon energy produces higher SSPM effects which corroborate well with previous investigations of different semiconducting materials, and a comparison has been presented in the table 5.2. [1, 27]

**Table 5.2. Third order optical susceptibilities of reported materials.**

Materials	Dimensions	Solvent	Concentrations	Laser parameters	$\chi^{(3)}_{\text{monolayer}}$	References
MoSe <sub>2</sub>	2D	NMP	7.1*10 <sup>-4</sup> mol/L	532 nm CW Laser	10 <sup>-9</sup> esu	[44]
MoS <sub>2</sub>	2D	Acetone	0.14 g/L	532 nm CW Laser, 400 nm and 800 nm ultrafast lasers	10 <sup>-9</sup> esu	[4]
MoTe <sub>2</sub>	2D	NMP	0.1 g/L	473 nm, 532 nm, 750 nm and 801 nm CW Lasers	10 <sup>-9</sup> esu	[22]
Black phosphorus	2D	NMP	4.03*10 <sup>-3</sup> mol/L	350- 1160 nm femtosecond Lasers	10 <sup>-8</sup> esu at multiple wavelengths.	[42]
Graphite	3D	NMP	0.075 mg/L	532 nm CW Laser	2.2*10 <sup>-9</sup> esu	[20]
Graphene	2D	NMP	-	532 nm CW Laser	10 <sup>-7</sup> esu	[16]
Ti <sub>3</sub> C <sub>2</sub> T <sub>x</sub>	2D	-	-	800 nm femtosecond Laser and 1064 nm picosecond Laser	10 <sup>-15</sup> esu at 800 nm and 10 <sup>-7</sup> esu at 1064 nm	[47]
TaAs	3D	NMP	0.2 g/L	532 nm laser	9.9*10 <sup>-9</sup> esu	[23]
Te NTs	1D	-	0.25 mg/mL	532 nm laser	-	[48]
Te@BiQDNTs	1D@0D	-	0.25 mg/mL	532nm laser	-	[48]
Sb <sub>2</sub> Se <sub>3</sub>	1D	NMP	0.25 mg/mL	671 nm laser	1.05*10 <sup>-9</sup> esu	This Work

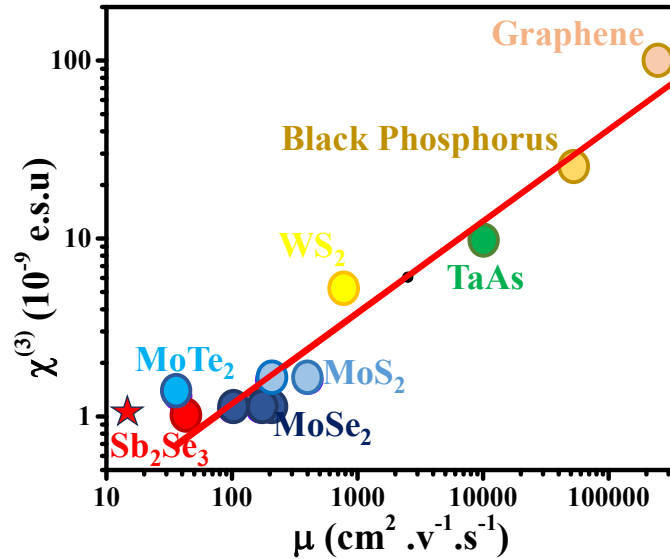
The diffraction patterns are formed due to the SSPM, which depends on the active material's concentration and the laser beam's effective path length. [31] To observe the above dependency, we further validate the SSPM experiments with different concentrations (C: 0.25, 0.125, 0.0625 mg/ml) of the active  $\text{Sb}_2\text{Se}_3$  NRs suspension in a fixed cuvette length (L=10 mm) and also various cuvette thicknesses (L: 10, 5, 1 mm) with a fixed concentration of  $\text{Sb}_2\text{Se}_3$  NRs (0.25 mg/ml). The experiment above was performed with the  $\lambda=532\text{nm}$  laser, and the corresponding results are presented in figure 5.2(d) and 5.2 (e), respectively. The corresponding stable diffraction patterns at maximum intensity are presented on the top panel of figure 5.2(d) & 5.2 (e). The calculated nonlinear refractive index ( $n_2$ ) and third-order nonlinear susceptibility  $\chi^{(3)}$ , along with the concentration of active material and cuvette thickness variation, are enlisted in table 5.1. The results indicate that effective path length is vital during diffraction ring formation. As effective path length increases either by decreasing dispersion concentration or by increasing cuvette thickness, the slopes  $dN/dI$  also increase (see Table 5. 1), which necessarily alters the nonlinear properties of the active  $\text{Sb}_2\text{Se}_3$  NRs dispersion. Again,  $N_{\text{eff}}$  also depends on the number of molecules present in the cuvette i.e concentration. Lesser concentration along with thinner cuvette width make layers number in cuvette less, and less  $N_{\text{eff}}$  make  $\chi_{\text{singlelayer}}^{(3)}$  higher, which are listed in table 5.1.



**Figure 5.3:** Experimental verification of 'Wind-chime' model: (a). Schematic illustration of 'Wind-Chime model' showing the mechanism of light-matter interaction and related diffraction pattern (b-d) Diffraction ring pattern appeared on the screen as a function of time for wavelength 671, 532 and 405 nm respectively. Time evolution of diffraction ring: (e) Diffraction ring numbers vs. time at intensity  $I = 5.36, 5.36, 5.62 \text{ W/cm}^2$  for wavelength 671, 532 and 405 nm and (f) Effect of different viscous medium (NMP and acetone) for wavelength 671 nm

### 5.3.3. Wind Chime Model

By manipulating laser light,  $\text{Sb}_2\text{Se}_3$  NRs in a homogeneous NMP solution produce diffraction rings, whose origin is effectively explained by the 'Wind-Chime' model.[4] Wind-Chime' model is complete with the validation of electronic origin and ring formation time. When an optical electromagnetic wave ( $10^{14}$  Hz) interacts with atomic charged particles (electrons and holes), they undergo forced oscillations and respond by re-emitting the wave at the same frequency. Quasiparticles which are subjected to forced oscillation are coherent to incoming E.M. wave and they are mutually coherent with one another. The intensity of re-radiation depends on how freely the charged particles oscillate in response to the incoming wave. The primary limitation to these oscillations arises from the material's tendency for its electronic distribution to return to its preferred state. However, a balance exists between this restoring force and the extent to which the particles can be driven by the electromagnetic wave. This behaviour is quantitatively described by charged particles mobility.  $\text{Sb}_2\text{Se}_3$  is a p-type material, and its  $\chi_{singlelayer}^{(3)}$  shows a strong correlation with its hole mobility ( $\mu_h \sim 42 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ ,  $\mu_e \sim 15 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ ) [29] as illustrated in figure 5.4. The data aligns well with an empirical relationship, highlighting the dominant role of hole coherence in generating the diffraction ring pattern.



**Figure 5.4.** Linear relation between  $\chi_{singlelayer}^{(3)}$  and carrier mobility  $\mu$  for 2D layered materials, 3D TaAs and  $Sb_2Se_3$ . Red star and red circle depict electron and hole mobility of  $Sb_2Se_3$  respectively. All the values of  $\chi_{singlelayer}^{(3)}$  except  $Sb_2Se_3$  are adapted from ref [4],[22],[20],[47],[44]. All the values of  $\mu$  are adapted from ref [49-59]

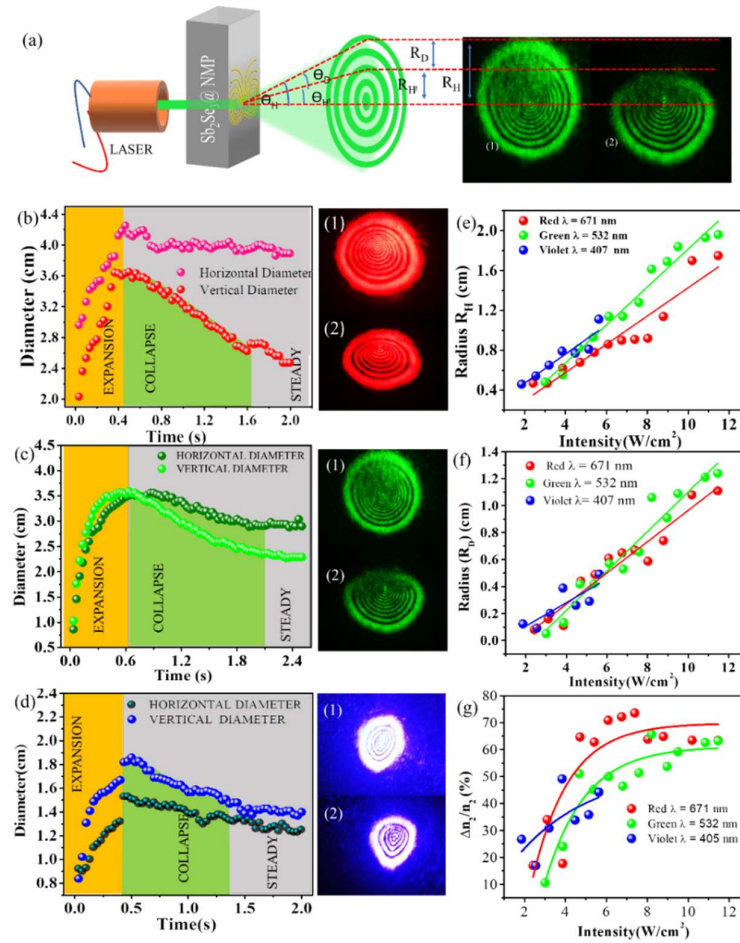
As hole mobility is not much greater in  $Sb_2Se_3$  than electron mobility, the electronic contribution in the origin of coherence is inevitable. In plane carrier mobilities are profoundly dominated in 2D materials.  $Sb_2Se_3$  possesses anisotropic carrier mobility due to its van der Waals bond along x and y axis and covalent bond along z axis. However, for distinctive carrier mobilities along different direction it exhibits torque. Hence alignment of  $Sb_2Se_3$  NRs takes some time to form ring pattern formation. The dielectric environment and refractive index are attributed to the time required for the ring formation. Figure 5.3(a) represents schematic illustration of 'Wind-Chime

model'. According to the 'Wind-Chime' model, the time required to attain the maximum diameter of the ring is the time required to fully align of all the  $\text{Sb}_2\text{Se}_3$  NRs with the field direction. This phenomenon has been experimentally observed for all three laser sources (671, 532, 405 nm) and the snap-shots at different times during the dynamic of ring formations are presented in figure 5.3(b-d). The evolution time of ring formation follows the exponential model as below [4, 43]

$$N = A \left( 1 - e^{-\frac{t}{t_c}} \right) \quad (7)$$

Where N is the number of rings,  $t_c$  is the rising time for the ring formation and A is a constant. Figure 5.3(e) shows the ring number vs. time graph which is fitted with the above function. The rising time of diffraction pattern for the  $\lambda = 671, 532$  and 405 nm lights are estimated to be 0.25, 0.12 and 0.09 s respectively. Also, from the 'Wind-Chime' model, the time required for aligned most of the nanodomains inside the viscous medium has been presented as  $T = \frac{\epsilon\pi\eta\xi RC}{1.72(\epsilon-1)Ih}$  where  $\epsilon$  is the relative dielectric constant of  $\text{Sb}_2\text{Se}_3$ ,  $\eta$  is the co-efficient of viscosity of the solvent, R is the  $\text{Sb}_2\text{Se}_3$  domain radius, h is the thickness of  $\text{Sb}_2\text{Se}_3$  and I is the laser intensity. The minimum time required to reach the highest number of rings for wavelengths 671, 532 and 405 nm has been experimentally determined to be  $T = 0.4, 0.26$  and 0.3 s, respectively. Here, the intensities considered for the ring formation have been considered to be  $I = 5.36, 5.36$  and 5.62  $\text{W}/\text{cm}^2$  for  $\lambda = 671, 532$  and 405 nm, respectively. In addition, T also depends on the viscosity of the solvent and related experimental results are provided in figure 5.3(f). The value of  $\tau$  in solvent NMP ( $\eta = 1.65 \times 10^{-3}$  Pa s) and acetone ( $\eta = 3.2 \times 10^{-4}$  Pa s) are 0.4 and 0.3 s respectively for

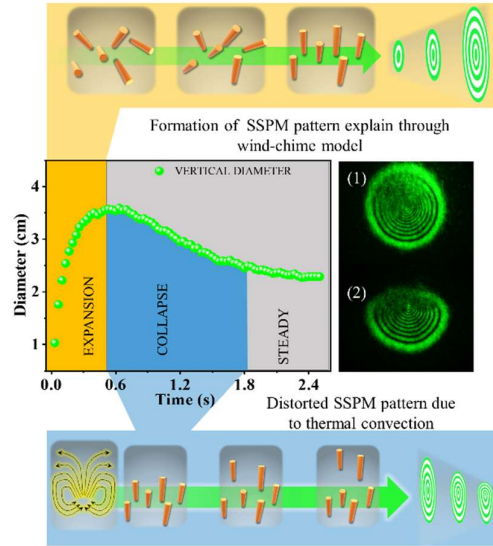
wavelength 671 nm. According to the 'Wind-Chime' model, time T is proportional to the viscosity of the solvent. Hence experimental results validate the 'Wind-Chime' model.



**Figure 5.5:** Evolution of diffraction rings in SSPM: (a) Schematic illustration of collapse phenomenon. (b)-(d) Horizontal and vertical ring diameter evolution over time and their corresponding real SSPM patterns at maximum and distorted conditions for wavelength 671, 532, 405 nm respectively. (e) Maximum radius ( $R_H$ ) versus incident laser intensity at maximum ring evolution condition and (f) Distorted radius ( $R_D$ ) versus incident laser intensity at distorted ring condition for different laser beam wavelength 671, 532, 405 nm (g) Relative change in the nonlinear refractive index with incident laser light intensity for wavelength 671, 532 and 405 nm

### 5.3.4. Dynamics of collapse phenomena of the SSPM diffracted laser beam

The diffraction patterns are spread to perfect concentric rings and reach their maximum condition through the 'Wind-Chime' alignment of the  $\text{Sb}_2\text{Se}_3$  NRs with the laser's electric field. Due to the thermal effect, especially vertical thermal convection, a concentration gradient of  $\text{Sb}_2\text{Se}_3$  has been established along the optical path. [40] Therefore, the vertical upper half of the diffraction patterns is distorted compared to the lower half. Figure 5.5(a) shows the schematic and experimental results of the distortion. This collapse process has due to thermal convection, and it must depend upon the energy of the photons and the intensity of the incident laser beam. Details study in formation and collapse process of diffraction ring is in figure 5.6.



**Figure 5.6.** Formation and distortion of SSPM pattern through wind-chime model and thermal convection respectively

### 5.3.4.1 Formation of SSPM pattern

As the laser light passed through the sample, diffraction rings appeared and continued to increase in size. This was due to the reorientation of  $\text{Sb}_2\text{Se}_3$  nanomaterials layers. The process occurred as follows: when the laser hit the material, electrons and holes were excited and moved in opposite directions, causing polarization of the layered nanomaterial. This polarization caused the layered structure to realign itself parallel to the electric field to minimize the system's energy. The time taken for the diffraction rings to form is equal to the time required for the layered nanomaterial to reorient itself. The formation time of the diffraction rings is dependent on several factors, including the size and permittivity of the material, the

viscosity of the solvent and the intensity of the incident laser light. This wind chime model provides a clear understanding of the formation process.

### 5.3.4.2 Distortion of SSPM pattern

Once the diffraction ring reaches its maximum diameter, the upper half of the ring becomes distorted due to thermal convection. As laser light passes through the solvent, it heats the liquid, creating a vertical thermal convection. This convection causes a decrease in the effective nonlinear refractive index  $n_2$  in the lower region, resulting in a lower number of polarized  $\text{Sb}_2\text{Se}_3$  molecules in the lower part compared to the upper part. The distortion area of the SSPM pattern is opposite to the refractive index distribution. As a result, the upper part of the diffraction rings becomes distorted compared to the lower part.

we have measured the dynamic change of the vertical and horizontal diameters for all the lasers at their highest intensities. Figure 5.5 (b)-(d) presents the diameter's change during the expansion, collapse and stable condition for  $\lambda = 671, 532$  and  $405$  nm, respectively. The side panel of the respective figures shows the maximum expansion and stable condition. From the above data, the collapse time is obtained as 1, 1.534 and 0.9s for 671 nm ( $I=11$  W/cm<sup>2</sup>), 532 nm ( $I=11$  W/cm<sup>2</sup>) and 405 nm ( $I=5.5$  W/cm<sup>2</sup>), respectively. Also, this dynamic collapse process can be quantified by the half cone angle ( $\theta_H$ ) of the diffraction pattern formed by the laser beam (Figure 5.5(a)) using the following expression, as [44]

$$\theta_H = n_2 I \left[ -\frac{8rL}{\omega_0^2} \exp\left(-\frac{2r^2}{\omega_0^2}\right) \right]_{max} \quad (8)$$

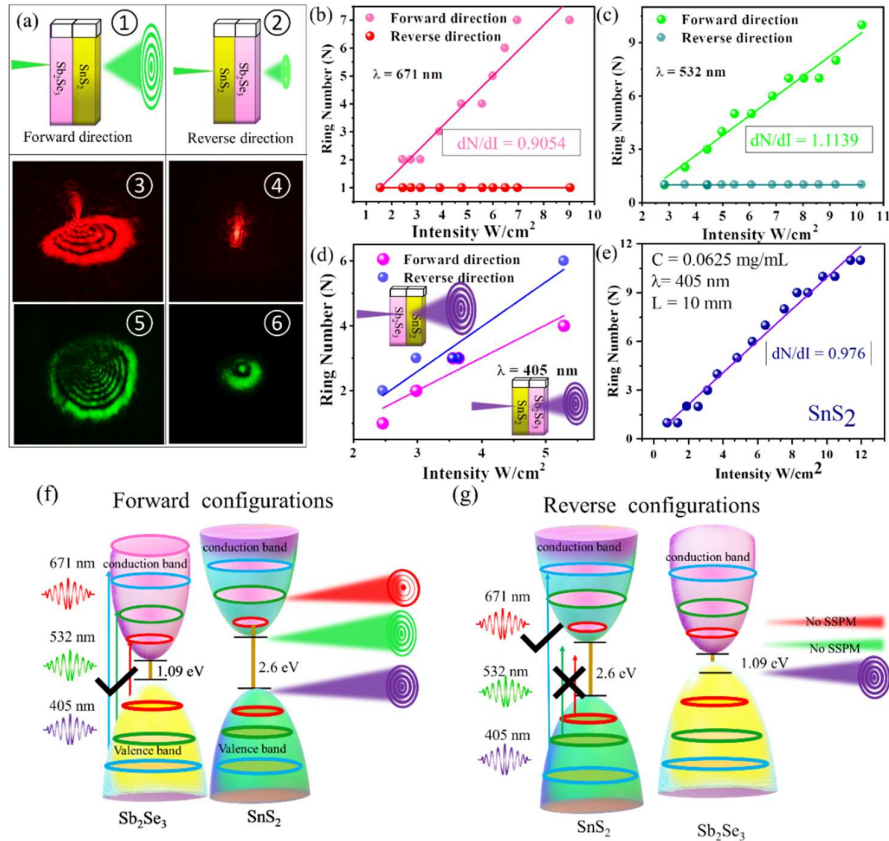
Where  $\left[-\frac{8r}{\omega_0^2} \exp\left(-\frac{2r^2}{\omega_0^2}\right)\right]$  is a constant =K and  $r \in [0, \infty)$ . So,  $\theta_H$  is proportional to the nonlinear refractive index. During the collapse process, due to thermal convection, the concentration gradient of  $\text{Sb}_2\text{Se}_3$  NRs changes between the upper and lower half of the optical path, which breaks the diffraction patterns' axial symmetry. As a result, the nonlinear refractive index has also been changed from  $n_2$  to  $n'_2$ .

Therefore, the relative change in the nonlinear refractive index has been expressed by the collapsed angle  $\theta_D$  as

$$\theta_D = (\theta_H - \theta_{H'}) = (n_2 - n'_2)IK = \Delta n_2 IK \quad (9)$$

Using the above expressions,  $\theta_D/\theta_H$  can be expressed as the  $\Delta n_2/n_2$ .

Using simple trigonometry, the half angles are converted to the radius of the diffraction rings and the relative change in the nonlinear refractive index can be expressed as  $\frac{\Delta n_2}{n_2} = \frac{\Delta \theta_D}{\theta_H} = \frac{R_D}{R_H} = \frac{R_H - R_{H'}}{R_H}$ . [45-46] Figure 5.5(e-f) has been represented as the experimentally measured maximum vertical radius ( $R_H$ ) before the collapse process and the radius after the collapse ( $R_D$ ) as a function of the intensity of the incident laser for all the wavelengths. Moreover, figure 5.5(g) depicts the relative change in the nonlinear refractive index  $\Delta n_2/n_2$  of  $\text{Sb}_2\text{Se}_3$  NRs with the intensity of the incident laser, which has obtained to be 69% (for 11 W/cm<sup>2</sup>) 60% (for 11 W/cm<sup>2</sup>) and 42% (for 5.55 W/cm<sup>2</sup>) for red, green and violet laser respectively.

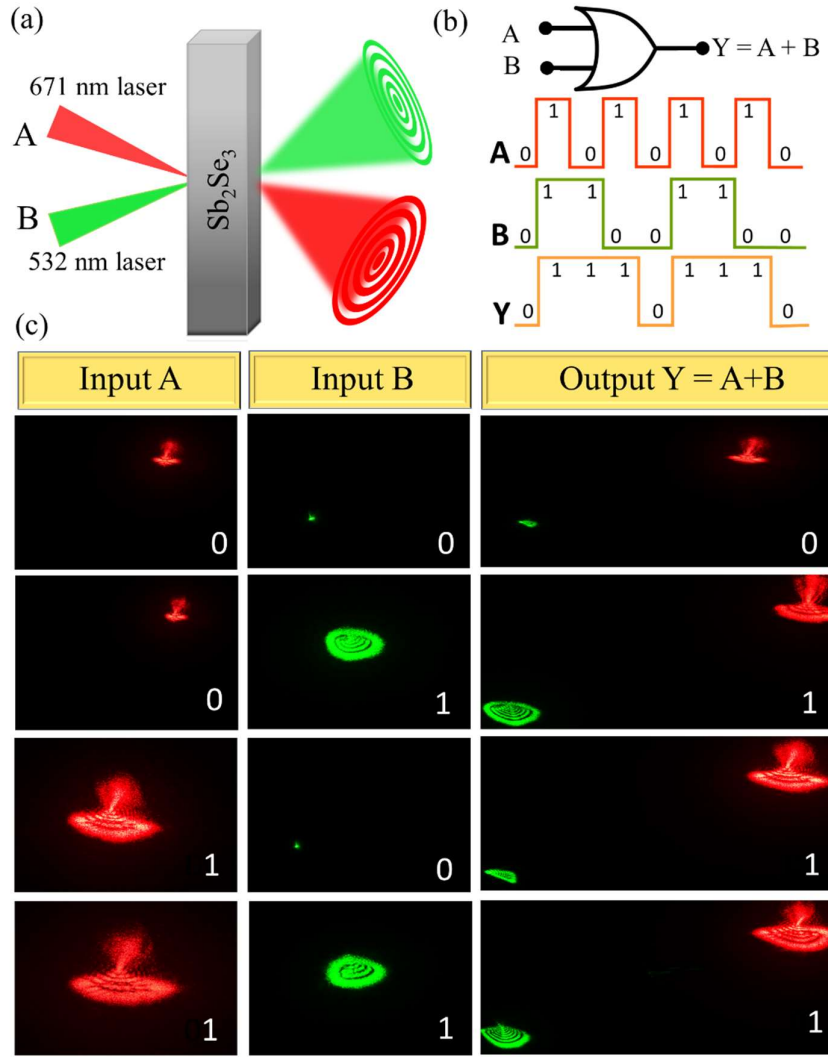


**Figure 5.7:** All-optical diode application: (a) (1-2) Schematic presentation of nonreciprocal light propagation in forward and reverse direction [(3-4) Diffraction patterns obtained for 671 nm wavelength in forward and reverse condition respectively (5-6) Diffraction patterns obtained for 532 nm wavelength in forward and reverse condition respectively] The experimental results obtained for nonlinear photonic diode of hybrid structure  $Sb_2Se_3/SnS_2$  for incident laser intensity of wavelength (b) 671nm and (c) 532 nm (d) Experimentally obtained intensity Vs. diffraction ring number for the photonic diode  $Sb_2Se_3/SnS_2$  for wavelength 405 nm (e) intensity Vs. diffraction ring number for  $SnS_2$  for wavelength 405 nm. Schematic presentation of band diagram model for (f)forward configuration of  $Sb_2Se_3/SnS_2$  (g)reverse configuration of  $Sb_2Se_3/SnS_2$

### 5.3.5. Demonstration of all-optical diode ( $\text{Sb}_2\text{Se}_3 \leftrightarrow \text{SnS}_2$ )

$\text{Sb}_2\text{Se}_3$  NRs possess a strong optical Kerr effect and show a high nonlinear refractive index and third-order nonlinear susceptibility as compared to other chalcogenide-based 2D materials. This nonlinear property has been used to construct  $\text{Sb}_2\text{Se}_3$  NRs/ $\text{SnS}_2$  hybrid structure for an all-optical diode application. Initially,  $\text{Sb}_2\text{Se}_3$  NRs and  $\text{SnS}_2$  with the same concentration were dispersed in an NMP solution and put in two different cuvettes placed side-by-side to construct an all-optical diode. These two cuvettes are placed in front of the optical path of the coherent laser light. Laser light has been passed through two configurations. One is first through  $\text{Sb}_2\text{Se}_3$ , then  $\text{SnS}_2$ , and another configuration is first through  $\text{SnS}_2$  and then  $\text{Sb}_2\text{Se}_3$ . Compared to the electronic diode structure, the first configuration is referred to as the forward configuration, while the latter is called the reversed configuration. Both configurations schematically have been presented in figure 5.7(a) ① and ②, respectively. As the name suggested, in forward configuration, the SSPM phenomena have been occurring and the diffraction rings are observed on the screen for the laser of wavelength 671 and 532 nm (Figure 5.7(a)③, ⑤). Similarly, no SSPM has happened in the reverse configuration and no diffraction rings are observed at the far field (Figure 5.7(a)④, ⑥). This all-optical diode for 671 and 532 nm is observed for the entire intensity range, and results are presented in figure 5.7(b) and 5.7(c), respectively. This diode response has not been observed for the 405 nm laser light. Both the forward and reverse configurations have shown the SSPM, and the diffraction ring variation with intensity is presented in figure 5.7(d). The above effect is attributed due to the reverse saturation absorption property of  $\text{SnS}_2$ . [31] The

bandgap of SnS<sub>2</sub> is 2.6 eV, which is larger than the forbidden bandgap range corresponding to the wavelength 671 and 532 nm. However, a 405 nm laser can create SSPM in SnS<sub>2</sub>; the results are presented in figure 5.7(e). Hence, 671 and 532 nm laser does not create any interaction with the SnS<sub>2</sub>, and as a result, in reverse configuration, all lights are absorbed by the SnS<sub>2</sub>, and the remaining light does not have enough intensity to interact with Sb<sub>2</sub>Se<sub>3</sub> to create SSPM. From the band diagram model, we present schematically both forward and reverse configurations in figure 5.7(f) and 5.7(g), respectively.



**Figure 5.8:** All-optical logic application: Realization of all-optical modulation by self-phase modulation and demonstration of OR logic function (a) Schematic of light-light modulation system, (b) Two input OR gate: input and output waveform of OR logical operation, (c) Experimental results of OR logical operation with 671 and 532 nm laser beam

### 5.3.6. $\text{Sb}_2\text{Se}_3$ optical modulator/switcher- based on SSPM

Taking advantage of the strong optical Kerr effect,  $\text{Sb}_2\text{Se}_3$  NRs can be used not only in all-optical diode but it can be used for all-optical logic function like OR gate using the self-phase modulation (SPM) technique. Here, a relatively strong laser light has been employed to modulate the propagation of another laser light with relatively weak intensity. The experimental setup for this light-light modulation technique has been schematically presented in figure 5.8(a). Here, the SPM technique has been employed between the 671 and 532 nm laser sources when they interact through the  $\text{Sb}_2\text{Se}_3$  NRs. Low and high intensity of the lasers are considered to be as a "0" and "1" state in input, and the diffraction ring due to SPM (when both the laser shows diffraction rings) has to be considered to be "1", otherwise the output be "0". Figure 5.8(b) shows the symbolic and wavefront presentation of the OR logic gate. Two inputs, "A" and "B", are considered 671 and 532 nm laser, respectively. When both the laser is low intensity, they can't create any diffraction ring patterns on the screen, so for "0", "0" input, we get "0" output. Again, when both the lasers are at the highest intensities, they create diffraction rings on the screen. This state can be attributed as "1", "1" input, and we have got "1" as output. But interestingly, when any of one has low power and the others have high power, we also get the diffraction rings for both lasers in output. This happens due to the SPM of lights passing through the  $\text{Sb}_2\text{Se}_3$  NRs. As a result, the output is "1" whenever anyone's input is "1". This function is typically an OR logic function. The experimental results are presented in a truth table format in figure 5.8(c). In summary, these two laser lights can achieve mutual modulation using the strong optical Kerr effect of  $\text{Sb}_2\text{Se}_3$  NRs.

## 5.4. Conclusions

In summary, after the measurement and calculation, we found that the  $\text{Sb}_2\text{Se}_3$  NRs could obtain a narrow bandgap material to excite strong nonlinear optical responses, especially high nonlinear refractive index ( $n_2$ ) and third-order nonlinear susceptibility  $\chi^{(3)}$ , for the first time using the SSPM technique. Due to the strong light-matter interaction between the intense laser light ( $\lambda = 671, 532, 405$  nm) and  $\text{Sb}_2\text{Se}_3$  NRs, results in diffraction ring patterns in the far field. These nonlinear properties are strongly depending upon the wavelength of the laser, the concentration of NRs and the effective optical path lengths. The 'Wind-Chime' model was used to analyze the formation process of these diffraction rings, and the thermal convection through suspended NRs has explained the distortion of diffraction rings. By taking advantage of the strong optical Kerr effect and reverse saturation absorption properties of  $\text{Sb}_2\text{Se}_3$  and  $\text{SnS}_2$ , we have demonstrated an all-optical diode for 671 and 532 nm laser sources. Furthermore, a novel, all-optical logic function, the OR logic gate, has been demonstrated by taking advantage of SPM, where a weak light can be modulated in the presence of a strong light due to the strong nonlinear response of  $\text{Sb}_2\text{Se}_3$  NRs. Therefore, exploring the nonlinear optical properties of  $\text{Sb}_2\text{Se}_3$  NRs and demonstrating all-optical diode and logic applications could pave the way for developing future devices utilizing all-optical signal processing.

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## Chapter 6

### **Efficient light to heat conversion in $\text{Sb}_2\text{Se}_3$ nanorods and the role of macro-channel imprinted $\text{Sb}_2\text{Se}_3$ loaded hybrid membrane for superior desalination performance**

The study demonstrates promising light-to-heat conversion efficiencies of  $\text{Sb}_2\text{Se}_3$  for red (671 nm) and green (532 nm) lasers, through customized water droplet experiments. Hybrid PVDF(M)/ $\text{Sb}_2\text{Se}_3$  NRs membranes were developed for solar desalination, with heat generation driven by electron/hole-acoustic phonon scattering. However, the hydrophobic  $\text{Sb}_2\text{Se}_3$  NRs layer limits water diffusion to hot zones, reducing solar evaporation efficiency. To address this, a novel strategy of mechanically imprinting macro-channels in the membranes was introduced, enhancing water transport to hot zones and offering a solution for hydrophobic materials in desalination applications. This chapter has been published in the journal *Small*

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## 6.1. Introduction

The facile utilization of solar energy has effectively addressed the scarcity of potable water on earth, highlighting the interconnected role of two natural resources. [1-2] The implementation of solar energy in steam and clean water generation from seawater or contaminated water is recognized as a promising, green and sustainable processes in the era of water scarcity. [3-5] However, common solar to vapor generation yields only 30 to 45% photothermal conversion efficiency, a consequence of inadequate solar light absorption and significant heat losses in bulk water. [6-7] This drawback impedes practical applications. A team of scientist has devised an enhanced light absorption system known as a volumetric heating module, achieved through the dispersion of light absorbing nanoparticles in bulk water. [8-10] While this volumetric heating system enhances light absorption, the substantial heat loss persists owing to the coexisting bulk water. [11-14] Subsequently, an interfacial solar evaporation system has emerged. It consists of a bulk water reservoir in the bottom, followed by a polystyrene foam, a hydrophilic substrate and light absorbing materials on the top. [11-14] The hydrophilic substrate receives water through capillary force. The incident solar light generates heat on the top absorbing materials. As a results, water molecules which diffuse in the surface evaporates easily. [11-14] Additionally, the inclusion of polystyrene foam serves to mitigate bulk heat loss. [11,12]

The accomplishment of efficient freshwater production through interfacial solar evaporation system stands as a notable success. Identification of potential photothermal materials and engineering are crucial for development of this system. [15-22] Within the pool of materials science, photothermal materials exhibit notable efficiency in effectively harnessing the entire solar spectrum. Their capacity for converting light into heat demonstrates a high efficiency. Diverse categories of materials, including carbonaceous materials, plasmonic nanoparticles, semiconductors and polymers delineate the landscape of solar absorber materials. [20,23-35] These materials generate heat through distinct mechanisms: thermal vibration of molecules, plasmonic localized heating and electron-hole generation and relaxation respectively. [20,23-26,1,2,14,27-30] In addition to these methods, diverse microscopic and macroscopic structural configurations are utilized to attain heat localization on the evaporative surface for faster evaporation process.[1] The incorporation of distinct one-dimensional (1D), two-dimensional (2D), and three-dimensional (3D) pathways for water molecules allows for precise control over the supply of optimized water which minimizes heat loss and improves water evaporation rates. [36,37] The conventional theory emphasizes that only hydrophilic solar absorbers are suitable for solar water evaporation. [38] Recently, a combination of a top hydrophobic absorber along with a hydrophilic substrate beneath the former yields 94% efficiency under  $5 \text{ kW m}^{-2}$  solar irradiation. [39] Hence, both the utilization of photothermal materials and the precise adjustment of the dimensions of water path channels are equally crucial for enhancing solar water evaporation.

Recently,  $\text{Sb}_2\text{Se}_3$  has been identified as potential low dimensional materials owing to its structural diversity and fascinating electronic and optoelectronic properties.[40] It is an indirect band gap semiconductor with band gap ranging from 1.0 to 1.2 eV, effectively covering the solar spectrum up to 1200 nm. Moreover, it exhibits a high absorption coefficient of  $10^5 \text{ cm}^{-1}$  at shorter wavelengths. [41,42] The application of  $\text{Sb}_2\text{Se}_3$  is not only limited in photovoltaic, photoelectrochemical devices also in electrocatalysis, photodetector, nonlinear photonic devices etc. [43] Importantly, due to nonradiative nature of  $\text{Sb}_2\text{Se}_3$  it generates phonon and it possess low thermal conductivity  $k \sim 0.36\text{--}1.9 \text{ W m}^{-1} \cdot \text{K}^{-1}$ . [41,42] These properties are ideal for photothermal heat generation and localization. There are very few literatures available which explore full potential of  $\text{Sb}_2\text{Se}_3$  as photothermal applications. Likely, Chen et. al., reported UV-vis photothermal response of  $\text{Sb}_2\text{Se}_3$  nanowires in differential calorimetric approach. [44] Zhou et. al., demonstrated  $\text{Sb}_2\text{Se}_3$  nanosheets in photonic cancer theranostics utilizing 808 nm laser. [45] However, full potential of  $\text{Sb}_2\text{Se}_3$  is yet to be unlocked. Subsequently, we propose  $\text{Sb}_2\text{Se}_3$  as a top solar absorbing hydrophobic material combined with hydrophilic PVDF membrane positioned underneath to obtain substantial solar water evaporation. Initially, we assess the light-to-heat conversion efficiency by carefully designing a laser heating experiment utilizing  $\text{Sb}_2\text{Se}_3$  NRs suspended in water droplets. The outcomes reveal notable light-to-heat conversion efficiencies, reaching  $\sim 57.8\%$  and  $58\%$  for lasers with wavelengths of 671 and 532 nm, respectively. These findings underscore the superior photothermal properties of  $\text{Sb}_2\text{Se}_3$ . After integration in desalination device, we found intrinsic hydrophobic surface limits the faster water evaporation owing to

the delay of supply to the hot zones. Consequently, it restricts higher mass evaporation rate. So, creatively we imprint macro-channel on top  $\text{Sb}_2\text{Se}_3$  layer for easy water transport through the channel region. Subsequently, the hybrid membrane with channel shows higher mass evaporation rate, attaining  $2.37 \text{ kg m}^{-2} \text{ h}^{-1}$  with an efficiency of 148% under mercury vapor lamp of  $1000 \text{ W m}^{-2}$  intensity. Moreover, we extend the desalination performance in outdoor sunlight and a commendable efficiency of 112% is found under an average irradiance of  $950 \text{ W m}^{-2}$ . Elevating the mass of  $\text{Sb}_2\text{Se}_3$  as an absorber leads to a heightened evaporation rate, particularly in conditions characterized by a high degree of salinity. The substantial sustainability demonstrated across various pH levels establishes  $\text{Sb}_2\text{Se}_3$  as a promising material in the realm of light-to-heat conversion.

## 6.2. Materials and methods

### 6.2.1. Synthesis of $\text{Sb}_2\text{Se}_3$

$\text{Sb}_2\text{Se}_3$  was synthesized through a conventional solvothermal synthesis approach, described in our earlier work. [46] Initially, 0.086 gm of  $\text{SbCl}_3$  was dissolved in 75.8 ml of diethylene glycol (DEG), followed by the addition of ammonia with continuous magnetic stirring. Subsequently, a mixture of sodium sulfite (0.095 gm) and Se powder (0.048 gm) was introduced into the solution under constant stirring. The resulting mixture was then transferred into a 100 ml teflon-lined stainless-steel autoclave and subjected to 22 hours of heating at  $180 \text{ }^\circ\text{C}$ . Upon completion of the reaction, the system was allowed to cool, and the resulting products were separated using vacuum filtration. The obtained sample was subsequently washed with

deionized water and absolute ethanol. Finally, the sample was dried in a vacuum oven at 80 °C overnight for subsequent use.

### 6.2.2. Fabrication of M/Sb<sub>2</sub>Se<sub>3</sub> hybrid membrane

Utilizing vacuum filtration method, a thin membrane of Sb<sub>2</sub>Se<sub>3</sub> was fabricated. Various masses (1.5 mg, 3 mg, 4.5 mg, 6 mg) of Sb<sub>2</sub>Se<sub>3</sub> in an aqueous solution were subjected to filtration through a hydrophilic PVDF membrane (Merck Millipore, 0.22 micrometres). This process yielded a thin layer of Sb<sub>2</sub>Se<sub>3</sub> deposited onto the PVDF membrane. Following the vacuum filtration method, the deposition of Sb<sub>2</sub>Se<sub>3</sub> on the bare membrane results in a transition from a white color to black.

### 6.2.3. Characterizations

The phase of the synthesized sample was verified through X-ray diffraction (XRD) analysis. The XRD measurement was carried out utilizing Cu K $\alpha$  radiation with a wavelength of 1.54 Å, using a Rigaku Ultima III X-ray diffractometer. Raman spectroscopy (Witech) was conducted to examine the purity of Sb<sub>2</sub>Se<sub>3</sub>. Field-emission scanning electron microscopy (FESEM, Hitachi, S-4800) and high-resolution transmission electron microscopy (HRTEM, JEOL-JEM 2100) was utilized to investigate the morphology of the sample. The reflectance spectra of the prepared samples were recorded using a UV-Vis-NIR spectrophotometer (Shimadzu, UV-3600) in the range of 200 to 2000 nm. The surface temperature of our interfacial system was rigorously analysed using an infrared RAY CAM1886 camera. Inductively coupled plasma-mass spectrometry (ICP-MS, PerkinElmer model Avio-200) was employed to measure the ion content of the salt water before desalination

and the condensed water after desalination, imaging characterizations of macro channel was recorded by 3D optical microscope HIROX HR-01.

#### 6.2.4. Theoretical methods

First principles calculations regarding electronic, vibrational and thermodynamic properties of  $\text{Sb}_2\text{Se}_3$  are performed using the CASTEP (CAMbridge Serial Total Energy Package), based on density functional theory (DFT).[47,48] To describe the electron-ion interactions, norm conserving plane-wave pseudopotentials are deployed.[49] The exchange-correlation energy between different electrons is described by the Perdew–Burke–Ernzerhof (PBE) functional under the generalized gradient approximation (GGA) [50] including Koeling-Harmon relativistic treatment. [51] The electronic band-structure, electronic density of states (DOS), phonon dispersion, density of phonon states and thermodynamic properties are calculated against a  $2 \times 7 \times 2$  k-point mesh, where the cut-off energy is limited to 440 eV. Both the shape and volume of the initial unit cell are optimized accompanying the relaxation of all atomic positions. In these calculations, convergence thresholds for energy, maximum displacement, maximum force and stress are chosen as  $5 \mu\text{eV}/\text{atom}$ ,  $5.0 \times 10^{-4} \text{ \AA}$ ,  $0.01 \text{ eV}/\text{ \AA}$  and  $0.02 \text{ GPa}$ , respectively.

We performed the first principles calculations using Vienna ab-initio simulation package (VASP) [52-54]. The projector augmented wave (PAW) [55] method as implemented in VASP was applied for the all-electron frozen core calculations with plane wave basis set cut-off 500 eV. The Perdew–Burke–Ernzerhof (PBE) [56] functional was utilized to treat the exchange-correlation terms of the Hamiltonian

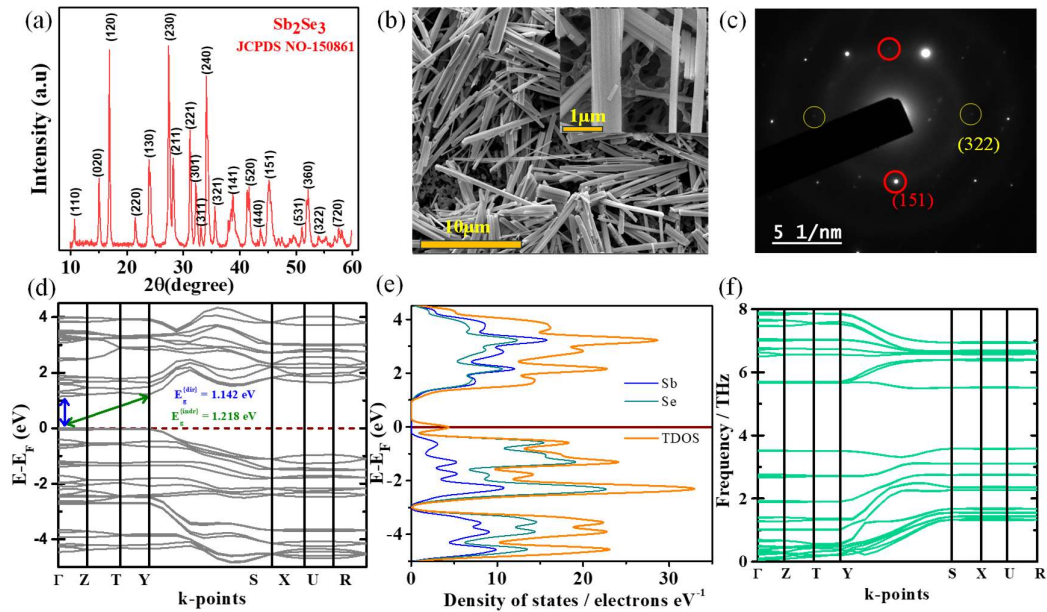
within the scope of generalized gradient approximation (GGA). The structural optimizations were carried out with the energy and force convergence criteria of  $10^{-5}$  eV/atom and  $0.02$  eV/Å respectively. K point mesh of  $(4 \times 2 \times 1)$  was implemented during the structural optimization of the surface slab with and without the adsorbate species. A vacuum slab of  $20$  Å perpendicular to the surface slab was considered during all the calculations to avoid any spurious interaction from the periodic images. All the calculations were carried out in spin unrestricted manner and the contribution of dispersive forces were taken into account using DFT+D3 Grimme's method [57].

The adsorption energy of adsorbate species on the  $\text{Sb}_2\text{Se}_3$  surface slab was calculated using the following equation:

$$E_{Ads} = E_{S+A} - E_S - E_A$$

Where,  $E_{S+A}$ ,  $E_S$  and  $E_A$  are the ground state energies of ( $\text{Sb}_2\text{Se}_3$  surface + adsorbate), bare  $\text{Sb}_2\text{Se}_3$  surface and a free unit of the adsorbate species respectively. The lower the value of  $E_{Ads}$ , the stronger is the adsorption. The values of  $E_A$  for NaCl,  $\text{H}_2\text{O}$ , Na and Cl was calculated by considering cubic  $\text{Fm}\bar{3}\text{m}$  NaCl, an isolated  $\text{H}_2\text{O}$  molecule, trigonal  $\text{R}\bar{3}\text{m}$  Na and an isolated  $\text{Cl}_2$  molecule respectively as reference.

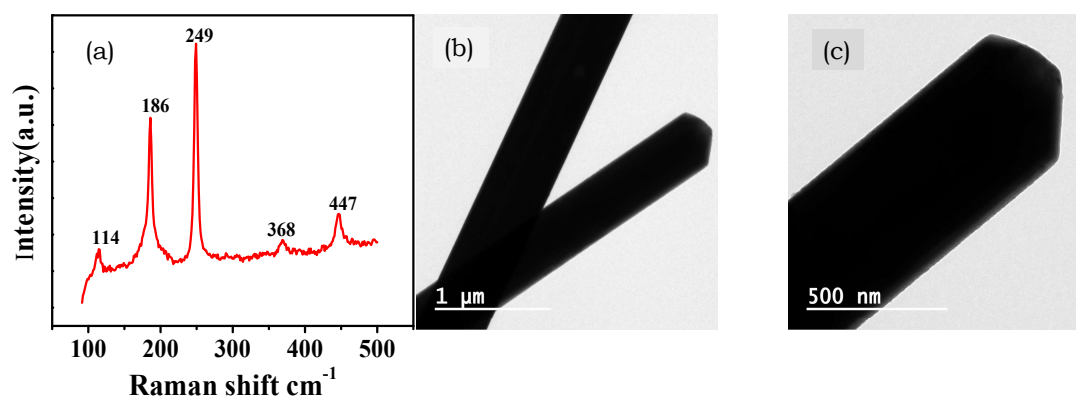
### 6.3. Results and discussion



**Figure 6.1.** (a) XRD (X-ray diffraction) pattern, (b) SEM (scanning electron microscope) image of  $\text{Sb}_2\text{Se}_3$  nanorods on PVDF membrane; inset: higher magnification image of the same. and (c) SAED (selected area electron diffraction) pattern of  $\text{Sb}_2\text{Se}_3$  nanorods, (d) electronic band-structure (direct and indirect band-gaps are indicated with blue and olive-coloured arrows, respectively), (e) total and projected density of states (TDOS and PDOS) with atomic contributions, (f) phonon dispersion of  $\text{Sb}_2\text{Se}_3$

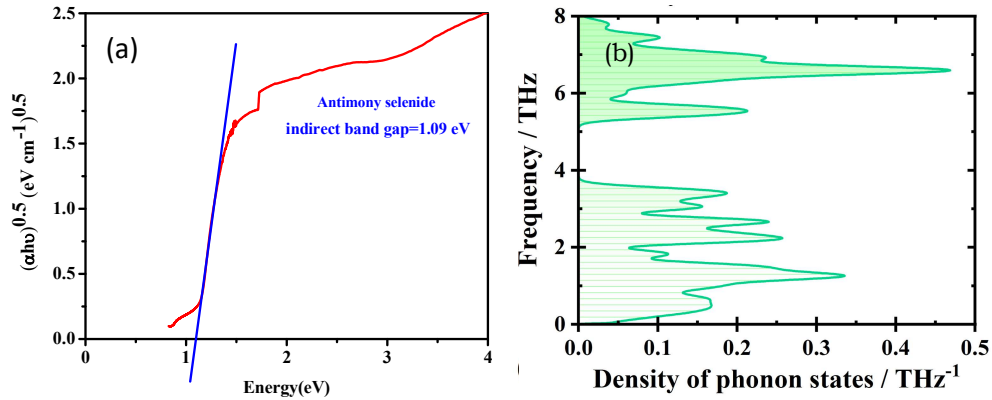
Milligrams-level  $\text{Sb}_2\text{Se}_3$  are synthesized via solvothermal method (See methods). The crystallinity and phase purity of the yielded are confirmed by the X-ray diffraction (XRD) pattern in (Figure 6.1(a)). All  $2\theta$  positions are well matched with JCPDS card no- 150861 corresponding to space group of Pbnm (62) orthorhombic phase of  $\text{Sb}_2\text{Se}_3$ . Absence of other peak confirms the pure phase of  $\text{Sb}_2\text{Se}_3$  and peak intensity reflects the nature of high crystallinity. Moreover, Raman

vibrational modes figure 6.2 (a) at 114 for pure Sb vibrations, 186 for Sb-Se heteropolar vibrations, 249 for Sb-Sb bond vibrations, 368 and 447  $\text{cm}^{-1}$  for Sb-Se stretching vibrations again confirms the pure phase of  $\text{Sb}_2\text{Se}_3$ . [58-62] Morphology of the as synthesized  $\text{Sb}_2\text{Se}_3$  is examined by field emission scanning electron microscope (FE-SEM) image in (Figure 6.1(b)), which evinces rod like structure, having length up to few micrometers and diameter  $<1$  micrometer. Morphology is further confirmed by transmission electron microscopy image figure 6.2 (b-c). The  $\text{Sb}_2\text{Se}_3$  NRs are randomly stacked in porous PVDF membranes. The higher magnification FESEM image in inset of (Figure 6.1b) shows  $\text{Sb}_2\text{Se}_3$  laden nanoporous PVDF membrane which shows that after fabrication of vacuum assisted PVDF (M)/  $\text{Sb}_2\text{Se}_3$  hybrid membrane, the porous skeleton of PVDF membrane is intact. Furthermore, selected area electron diffraction (SAED) pattern in (Figure 6.1(c)) corroborates well with the XRD results.



**Figure 6.2.** (a) Raman spectra of  $\text{Sb}_2\text{Se}_3$  nanorods (b) low magnification and (c) high magnification Transmission electron microscope image (TEM) of  $\text{Sb}_2\text{Se}_3$  nanorods

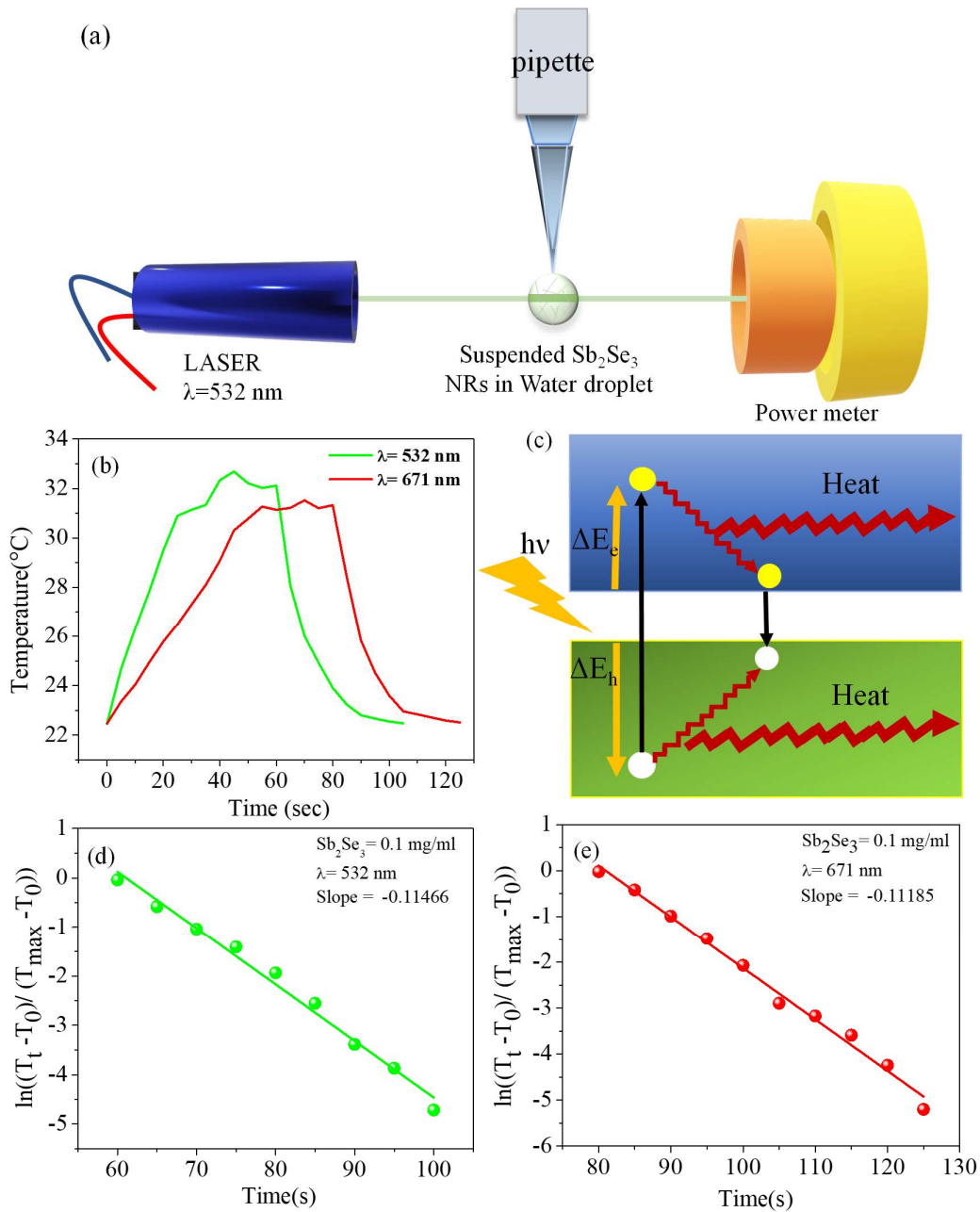
Further density functional calculations are performed to understand the band structures and vibrational properties of  $\text{Sb}_2\text{Se}_3$ . Based on the orthorhombic  $\text{Sb}_2\text{Se}_3$  unit cell as per the  $Pnma$  space group, the following high-symmetry k-path is chosen for band structure calculations:  $\Gamma \rightarrow Z \rightarrow T \rightarrow Y \rightarrow S \rightarrow X \rightarrow U \rightarrow R$ . The electronic band-structure in this path and DOS are displayed in (Figure 6.1(d-e)). From band-structure, we find the direct gap is,  $E_g^{dir} = 1.142$  eV at the  $\Gamma$  -point, while an indirect gap of  $E_g^{indr} = 1.218$  eV can also manifest between the valence band maximum (VBM) at the  $\Gamma$  -point and a local conduction band minimum (CBM) at the  $Y$  -point.



**Figure 6.3.** (a) Kubelka-Munk plot (b) Density of phonon states

Experimentally, in figure 6.3 (a) a little lower indirect gap is detected (1.09 eV), which might be ascribed to surface defects. The projected DOS suggests that, the major contributor in the valence band is the Se atoms, while in the conduction band, Sb atoms contribute slightly higher. Various electronic attributes accord with the previous literature. [63] Standard sunlight (AM 1.5) having average energy ( $\sim 1.6$  eV) excites the carrier of  $\text{Sb}_2\text{Se}_3$  to a significantly higher energy levels than the band edges. The generated electron-hole pairs above the bandgap relaxes near the band

edges and converts extra energy into heat through photo-carrier thermalization process. Notably, understanding of the photo-carrier relaxation process is quite complicated in nature. So, we further calculate the phonon band structure (Figure 6.1(f)) and density of phonon states figure 6.3 (b) following the same symmetry path along with LO-TO splitting.[64] All phonon bands have positive frequency, which means, the system is dynamically stable. The flat branches in phonon dispersion in (Figure 6.1(f)) decipher low group velocities of acoustic phonons indicating low thermal conductivity, which is desirable for efficient solar heat capture as it allows the material to maintain a higher temperature. Moreover, a forbidden gap is present at  $\sim(4 - 5)$  THz between a section of optical bands specified in figure 6.3(b), which therefore can suppress phonon-phonon scattering for particular phonon energy.[65] Consequently, the heat generation in  $\text{Sb}_2\text{Se}_3$  predominantly attributes to the electron/hole- acoustic phonon scattering mechanism which corroborates well with previous findings for low band gap semiconductors.[28] Furthermore, a low phonon DOS at smaller frequencies typically limits the thermal conductivity in  $\text{Sb}_2\text{Se}_3$ .

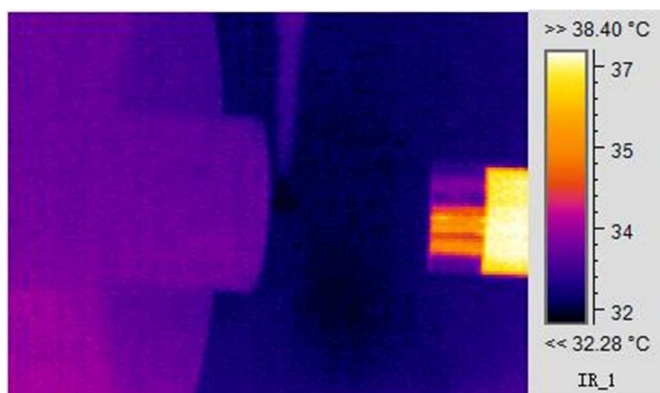


**Figure 6.4.** (a) Schematic of  $\text{Sb}_2\text{Se}_3$  suspended water droplet laser heating experiment for evaluating light-to-heat conversion efficiency; (b) Heating and cooling of water droplet containing  $\text{Sb}_2\text{Se}_3$  under two lasers, (c) Schematic of heat generation process and (d-e)

Determination of slopes from cooling region by  $\ln\left(\frac{T - T_0}{T_{\text{max}} - T_0}\right)$  Vs. time. plot

### 6.3.1. Laser heating experiment

Efficient conversion of light to heat is pivotal for the effectiveness of photothermal materials. So, the first attempt is made to investigate the light-to-heat conversion efficiency of  $\text{Sb}_2\text{Se}_3$  nanorods before proceeding to desalination performance. The conventional assessment of solar-to-heat conversion efficiency in desalination provides a comprehensive overview of the overall conversion process. [66] In contrast, a meticulously controlled experiment (Figure 6.4(a)) employing interaction of laser light and  $\text{Sb}_2\text{Se}_3$  suspended droplet offers a detailed analysis of light-to-heat conversion efficiency at a specific wavelength. A droplet of diameter (2.5 mm) is hung by an PTFE pipette which is illuminated by monochromatic laser beam (671 and 532 nm) with incident laser power 100 mW and laser beam spot size was 0.5 mm in diameter. Consequently, droplet containing  $\text{Sb}_2\text{Se}_3$  absorbs light and gains heat. The subsequent heat generation in the droplet is quantified using a pre-calibrated infrared camera as shown in figure 6.5.



**Figure 6.5.** IR image of water droplet (contained  $\text{Sb}_2\text{Se}_3$  nanorods)-laser experiment

(Figure 6.4(b)) evinces the distinct elevation of temperature for 671 and 532 nm laser. When equilibrium is reached (fluctuation of temperature is less than 1 °C) the

laser beam was shut off. Instantly, droplet starts cooling and the temperature slowly falls down to the room temperature with increase of time scale. In (Figure 6.4(b)), the time of laser shut off for green and red laser was 60 and 80 sec, respectively. The process of heat generation is schematically described in (Figure 6.4(c)), leading to the photothermal effect in  $\text{Sb}_2\text{Se}_3$  which is called carrier thermalization.[67]

The incident beam of laser absorbed by  $\text{Sb}_2\text{Se}_3$  comprises of two parts, one part of gaining heat energy is converted to the internal energy of the droplet -  $\text{Sb}_2\text{Se}_3$  system. This is empirically witnessed in figure 6.4(b), where there is a distinct elevation in the temperature of the droplet followed by reaching a state of equilibrium. The other part of the heat energy gets dissipated to the environment. Hence, the full process is described by the energy balanced equation;

$$P\eta = \frac{dQ_i}{dt} = mc_p \frac{dT}{dt} + \frac{dQ_{ext}}{dt} \quad \dots\dots (1)$$

P is the power that is absorbed by the droplet.  $\eta$  is the light-to-heat energy conversion efficiency of the material.  $Q_i$  is the gained heat energy of the droplet. m,  $C_p$ , T are mass, heat capacity and temperature of the droplet respectively.  $Q_{ext}$  is the heat energy that is dissipated to the environment from the droplet. Therefore, the absorbed light power by the droplet is,

$$P = P_{in} - P_{out} = P_{in} (1 - 10^{-A_\lambda}) \quad \dots\dots (2)$$

$$A_\lambda = -\log_{10} \frac{P_{in}}{P_{out}} \quad \dots\dots (3)$$

Where  $A_\lambda$  is the light absorbance of  $\text{Sb}_2\text{Se}_3$ ,  $P_{in}$  and  $P_{out}$  are the incident and transmitted laser power before and after absorption by the  $\text{Sb}_2\text{Se}_3$  droplet,

respectively. This procedure is regarded as unaffected by the shapes and size distribution of the materials involved.

### 6.3.1.1 Cooling stage of laser beam

When equilibrium is reached (fluctuation of temperature is less than 1 °C) the laser beam was shut off. Instantly, droplet starts cooling and the temperature slowly falls down to the room temperature with increase of time scale. In figure 6.4(b), the time of laser shut off for green and red laser was 60 and 80 sec, respectively. The energy balance equation during this refrain stage of temperature,

$$mc_p \frac{dT}{dt} + \frac{dQ_{ext}}{dt} = 0 \quad \dots (4)$$

According to the Newtons cooling law, the heat dissipation is proportional to the difference between droplet temperature and its surroundings;

$$\frac{dQ_{ext}}{dt} = F(T - T_0) \quad \dots (5)$$

F is the proportional coefficient of heat loss. T is the temperature of the droplet and  $T_0$  is the temperature of the ambient air. Replacing  $\frac{dQ_{ext}}{dt}$  by  $- mc_p \frac{dT}{dt}$  and integrating the equation (5) from maximum temperature,  $T_{max}$  to ambient temperature  $T_0$  we get,

$$T = T_0 + (T_{eq} - T_0)e^{-\left(\frac{F}{mc_p}\right)t} \quad \dots (6)$$

Where  $T_{max}$  has been taken when temperature fluctuation is all about ( $\pm 1$  °C).

Finally,

$$F = - \frac{\ln\left(\frac{T - T_0}{T_{max} - T_0}\right)}{t} mc_p \quad \dots (7)$$

(Figure 6.4(d-e)) depict the representative plot of  $\ln\left(\frac{T-T_0}{T_{max}-T_0}\right)$  vs. time in cooling stage for green and red laser, respectively which are fitted linearly. The corresponding slopes for green and red laser are -0.11466 and -0.11185, respectively. However,  $Sb_2Se_3$  concentrations is very low  $0.1 \text{ mg mL}^{-1}$  and it presumably does not contribute to the heat capacity of  $Sb_2Se_3$ . So, heat capacity  $C_p$  of water is  $4.2 \text{ J g}^{-1}$  and density of the droplet is  $0.996 \text{ g mL}^{-1}$ . In this calculation water droplet shrinkage is negligibly small. Evaporation induced heat loss are already incorporated in the value F. At equilibrium the energy balance equation can be described as,

$$P\eta = \frac{dQ_i}{dt} = \frac{dQ_{ext}}{dt} \quad \dots\dots (8)$$

$$P_{in}(1 - 10^{-A\lambda})\eta = F (T_{eq} - T_0) \quad \dots\dots (9)$$

$$\eta = \frac{F(T_{eq}-T_0)}{P_{in}(1-10^{-A\lambda})} \quad \dots\dots (10)$$

Eventually, the light to heat conversion efficiency ( $\eta$ ) of  $Sb_2Se_3$  are found 58% and 57.8% for green and red laser respectively. The light-to-heat conversion efficiency ( $\eta$ ) is quantified by  $\eta = \frac{F(T_{eq}-T_0)}{P_{in}(1-10^{-A\lambda})}$ . Where  $F = -\frac{\ln\left(\frac{T-T_0}{T_{max}-T_0}\right)}{t} mC_p$ ;  $T_{eq}$  =equilibrium temperature;  $T_0$  = ambient temperature;  $P_{in}$  = input power of laser;  $A\lambda$  = light absorbance of  $Sb_2Se_3$ . [66] The details calculations are provided in supporting information. (Figure 6.4(d-e)) depict the representative plot of  $\ln\left(\frac{T-T_0}{T_{max}-T_0}\right)$  vs. time in cooling stage for green and red laser, respectively which are fitted linearly. Eventually, the light-to-heat conversion efficiency ( $\eta$ ) of  $Sb_2Se_3$  are found 58% and 57.8% for green and red laser respectively. The relevant parameters of efficiency calculations are enlisted in subsequent table 6.1.

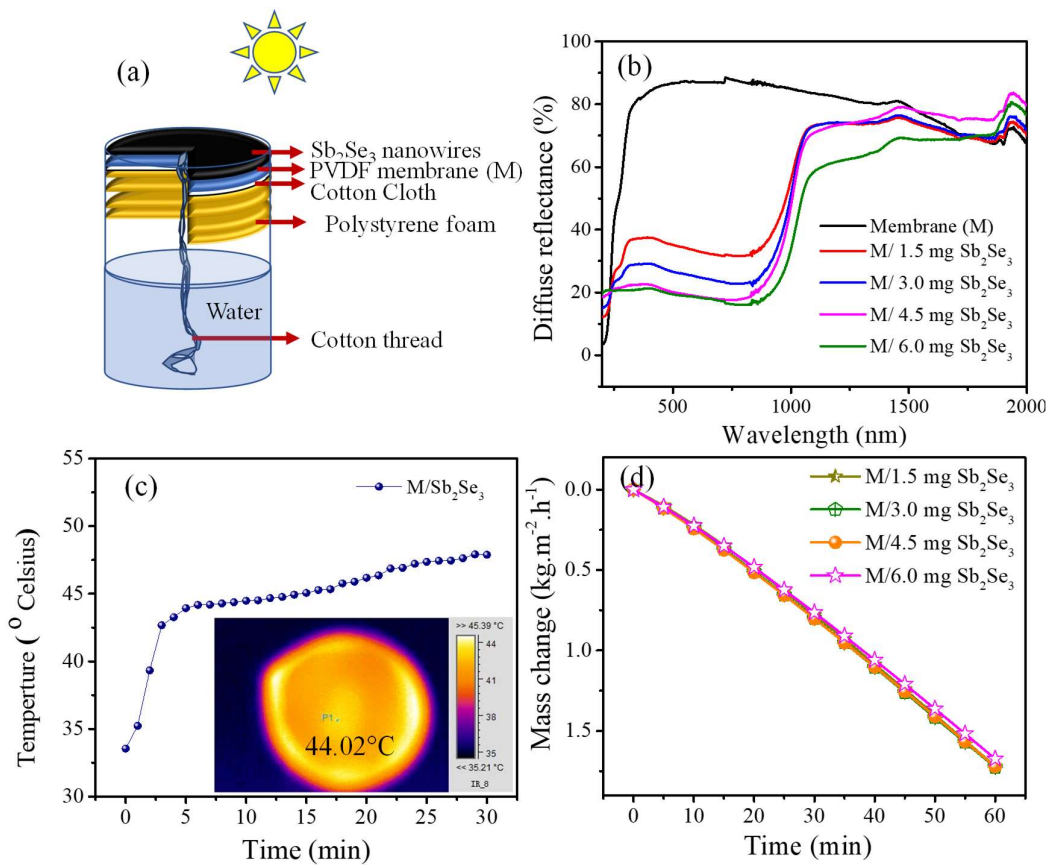
**Table 6.1: Relevant parameters in water droplet experiment.**

Wavelength ( $\lambda$ ) nm	Concentration (mg/mL)	$A_\lambda$	$T_{equ}$ (°C)	Time to achieve equilibrium (s)	F (J s <sup>-1</sup> °C <sup>-1</sup> )	$\eta$
671	0.1	0.338	30.82	61.04	0.003825	0.578
532	0.1	0.395	31.50	34.63	0.003921	0.580

### 6.3.2. Carrier thermalization process

Upon illumination,  $Sb_2Se_3$  absorbs light energy above the bandgap otherwise it transmits the light. For above bandgap illumination, the excess energy distributed between electron and hole in the way,  $\Delta E_e = (h\nu - E_g) (1 + \frac{m_e^*}{m_h^*})^{-1}$  and  $\Delta E_h = (h\nu - E_g) - \Delta E_e$ . [67]  $\Delta E_e$  is the energy difference between conduction band bottom and energy of the electron above conduction band bottom.  $\Delta E_h$  is the energy difference between valence band top and energy levels of holes below the valence band top.  $E_g$  is the bandgap of semiconductor.  $m_e^*$  and  $m_h^*$  is the effective mass of electrons and holes respectively. In the initial stage, prior to illumination, carrier distributions in the semiconductor are not Boltzmann-like. Upon continuous illumination, photo-carriers in the semiconductor exhibit a Boltzmann-like distribution. If photon absorption takes place above the bandgap, the surplus kinetic energy results in electrons and holes residing within  $kT$  above the bottom of the conduction band and below the top of the valence band, respectively. Hot carriers, characterized by

electron and hole temperatures surpassing the lattice temperature, undergo relaxation to the conduction band edge and valence band edge, respectively. This relaxation process results in the generation of heat and is commonly referred to as carrier thermalization, signifying the establishment of a thermal distribution.



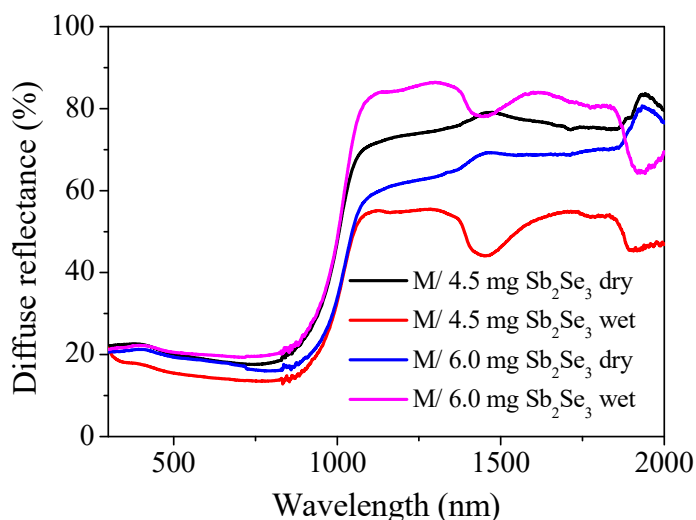
**Figure 6.6.** (a) Schematic of photo assisted desalination experimental set-up, (b) Diffuse reflectance spectra of hybrid PVDF membrane (M)/  $Sb_2Se_3$  membrane with different amount of mass loading (c) Photothermal heat generation of M/  $Sb_2Se_3$  hybrid membrane under mercury vapor lamp (1000 W/m<sup>2</sup>) and IR image of the same at an intermediate time (d) Mass loss of 3.5 wt% NaCl saline water for various mass loaded hybrid membranes under illumination of mercury vapor lamp

### 6.3.3. Feasibility of photothermal behavior on evaporation system

Driving by promising light-to-heat conversion efficiency, we have integrated  $\text{Sb}_2\text{Se}_3$  nanorods in solar desalination devices. (Figure 6.6(a)) demonstrates customized experimental set-up for water desalination experiment with specified component similar to described in literatures.[12] Here, solar absorber layer is designed by a uniform  $\text{Sb}_2\text{Se}_3$  nanorods film deposited on PVDF membrane (pore size  $0.22 \mu\text{m}$ ) by vacuum filtration method. The randomly distributed  $\text{Sb}_2\text{Se}_3$  nanorods modifies the surface of porous network of PVDF membrane (M), retaining its original structure as previously indicated in (Figure 6.1(b)). Thereafter, M/ $\text{Sb}_2\text{Se}_3$  hybrid membrane with different mass loading of  $\text{Sb}_2\text{Se}_3$  is used in solar absorber module for studying desalination experiment.

The photothermal conversion efficiency of the hybrid membrane mainly depends on how strong their optical absorption in the range (200-1200 nm) is. Following reflectance measurements (Figure 6.6(b)), it was found that the bare membrane exhibits strong reflection more than 80% over the visible-NIR spectral region. Whereas upon designing of M/ $\text{Sb}_2\text{Se}_3$  hybrid membrane, there is a drastic reduction of reflectance, owing to strong absorption of visible-NIR light. It is also observed that upon enhancing mass loading the visible-NIR region spectral reflectance is consistently decreasing owing to the enhanced absorbance and 6 mg  $\text{Sb}_2\text{Se}_3$  loaded membrane shows only 20 % reflectance in VIS -near IR region. Importantly, thicker layer of  $\text{Sb}_2\text{Se}_3$  leads less reflectance due to its high absorption coefficient  $10^5 \text{ cm}^{-1}$  at shorter wavelength side. Notably, in solid-air interfaces light suffers more scattering loss than solid-liquid interface. As a consequence of capillary

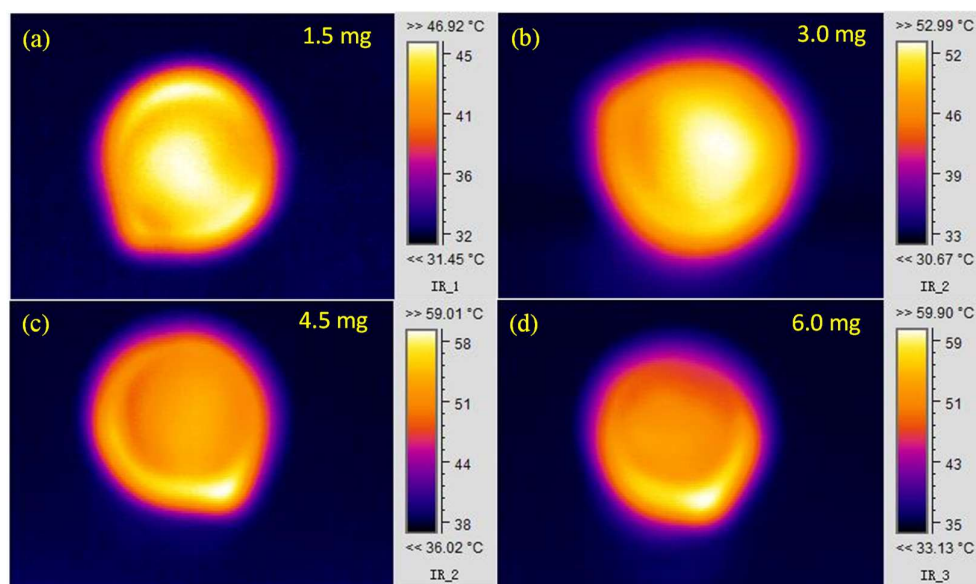
rise of water, the membranes become wet slowly and suffers less scattering, thus absorbs more light, mainly in IR region. Importantly, M/ 6.0 mg  $\text{Sb}_2\text{Se}_3$  membrane in wet condition suffers comparatively more scattering loss than 4.5 mg membrane Figure 6.7 which confirms that water distribute more uniformly in 4.5 mg membranes.



**Figure 6.7.** Diffuse reflectance spectra of M/4.5 mg  $\text{Sb}_2\text{Se}_3$  and M/ 6.0 mg  $\text{Sb}_2\text{Se}_3$  in dry and wet conditions

For feasible water evaporation, the surface temperature of the  $\text{Sb}_2\text{Se}_3$  evaporator module under the mercury vapor lamp with intensity of ( $1000 \text{ W m}^{-2}$ ) is recorded by a thermocouple first. As shown in (Figure 6.6©), the surface temperature of M/ $\text{Sb}_2\text{Se}_3$  hybrid membrane in the evaporator module is elevated from room temperature after a few minutes of illumination, which is progressively

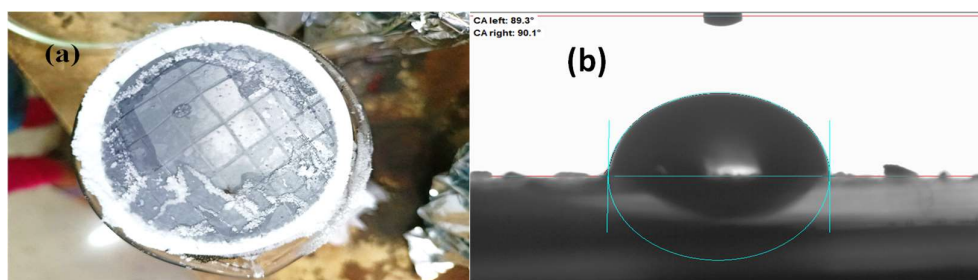
increasing with time, reaches  $\sim 48\text{ }^{\circ}\text{C}$  after 30 minutes. At intermediate time (5 minutes) it evinces a temperature  $44.05\text{ }^{\circ}\text{C}$  in thermal camera, (inset of (Figure 6.6(c)) which corroborates well with thermocouple results. Highest recorded temperature is  $\sim 59\text{ }^{\circ}\text{C}$  represented in figure 6.8.



**Figure 6.8.** IR image of (a)  $M/Sb_2Se_3$  (1.5 mg), (b)  $M/Sb_2Se_3$  (3.0 mg), (c)  $M/Sb_2Se_3$  (4.5 mg), (c)  $M/Sb_2Se_3$  (6 mg)

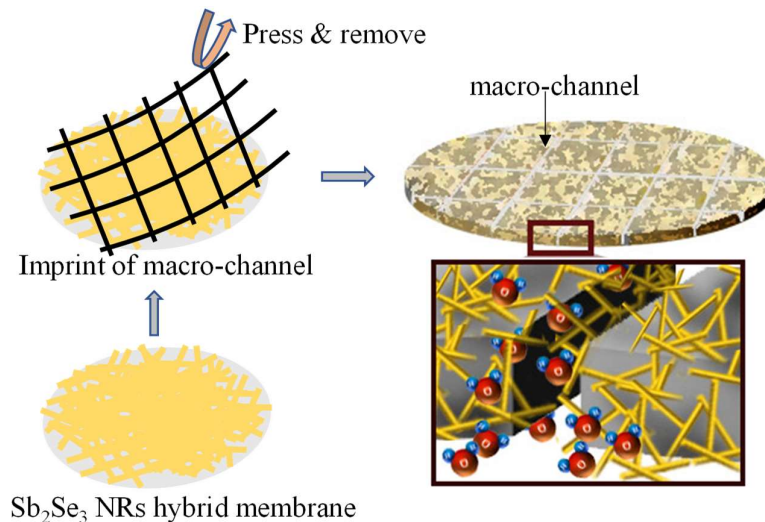
This generated heat then instigates the saline water (3.5 wt% NaCl) evaporation process and finally pure water can be collected by condensation process, retaining the salt at the surface of hybrid membrane figure 6.9 (a). (Figure 6.3(d)) provides the overview about the desalination performance of the designed hybrid membranes, showing mass loss of saline water as noted with course of time. It is found that the mass losses for M/ 1.5, 3, 4.5 mg hybrid membranes are quite similar ( $1.72\text{ kg m}^{-2}\text{ h}^{-1}$ ) in nature. However, for 6 mg  $Sb_2Se_3$  loaded membrane, mass loss is slightly

declining to  $1.67 \text{ kg m}^{-2} \text{ h}^{-1}$ . Hence, the desalination performance trend is quite conflicting with mass loading of  $\text{Sb}_2\text{Se}_3$ , although the trend of absorbance (reflectance measurement) and photothermal heat generation are consistently elevated with increase of mass loading; figure 6.8 In principle, the solar evaporation efficiency depends not only on absorption of visible-NIR light and rise in localized temperature but intrinsically connected with the water content supplied timely to the hot zones. In experiment, PVDF membrane (pore size =  $0.22 \text{ }\mu\text{m}$ .) of the absorbing module supplies water to the hot surfaces efficiently, owing to its porous and hydrophilic nature. However, upon fabrication of hybrid membrane, the top surface turns into hydrophobic nature; figure 6.9(b), which hinders uniform water transport from bottom PVDF membrane to the hot surface of  $\text{Sb}_2\text{Se}_3$  layer, restricting the faster water evaporation. Thus, increase of mass loading/thickness of  $\text{Sb}_2\text{Se}_3$  layer further delays the water transport to the hot zones and limits the evaporation rate.



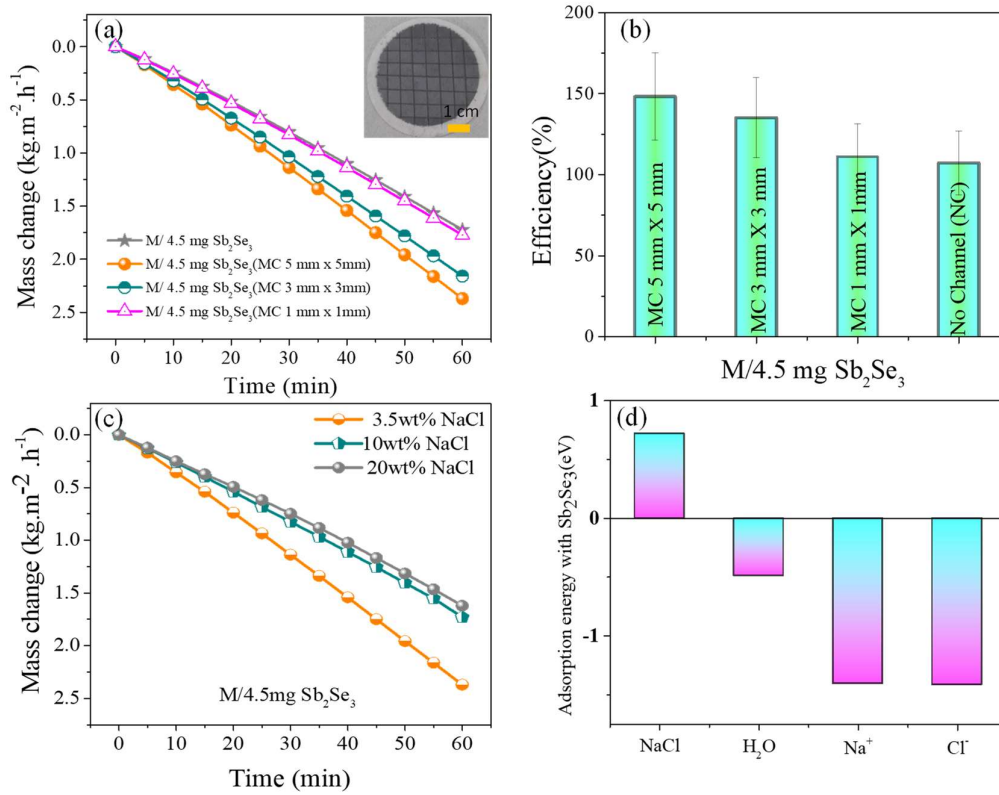
**Figure 6.9.** (a) Digital photograph of salt retaining on membrane after 1 hour desalination experiment (b) Contact angle of PVDF (M)/ $\text{Sb}_2\text{Se}_3$  hybrid film

To remove the delay of water transport to the hot zones the skeleton of solar absorber module is very important for revealing the best performance of the absorbing material. The limitation is successfully eliminated by introducing the concept of mechanical imprinting of macro-channel over the hybrid membrane. The imprinted channel creates favorable water transport path from bottom PVDF membrane to  $\text{Sb}_2\text{Se}_3$  layer and therefore evenly distributes the water in hot zones and making evaporation rate much higher. Schematic in (Figure 6.10), presents the brief process of inscribing macro-channel over M/ $\text{Sb}_2\text{Se}_3$  hybrid membrane where a metal mesh is employed to pattern the channel. By choosing suitable mesh one can easily mimic the process in large scale and vary the channel width and distance simultaneously.



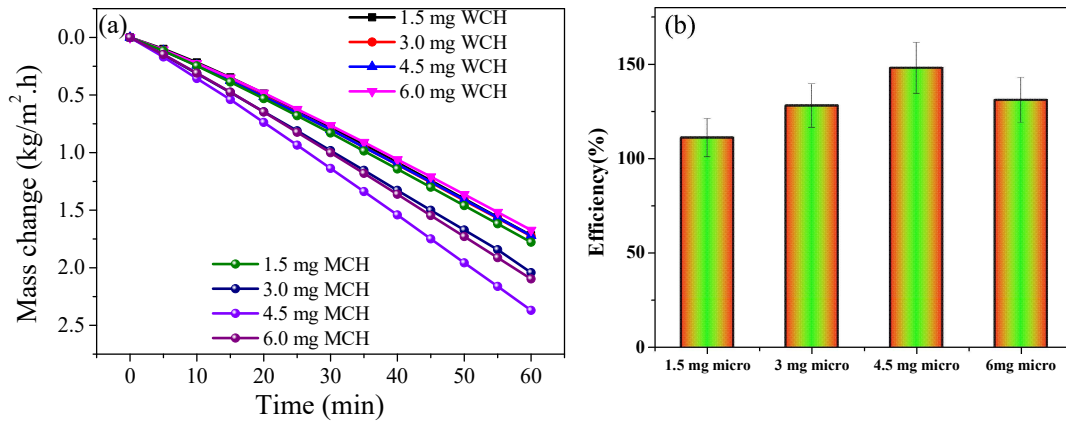
**Figure 6.10.** Schematic illustration of macro-channel imprint process in M/ $\text{Sb}_2\text{Se}_3$  hybrid membrane

### 6.3.4. Validation of macro-channel influence in desalination



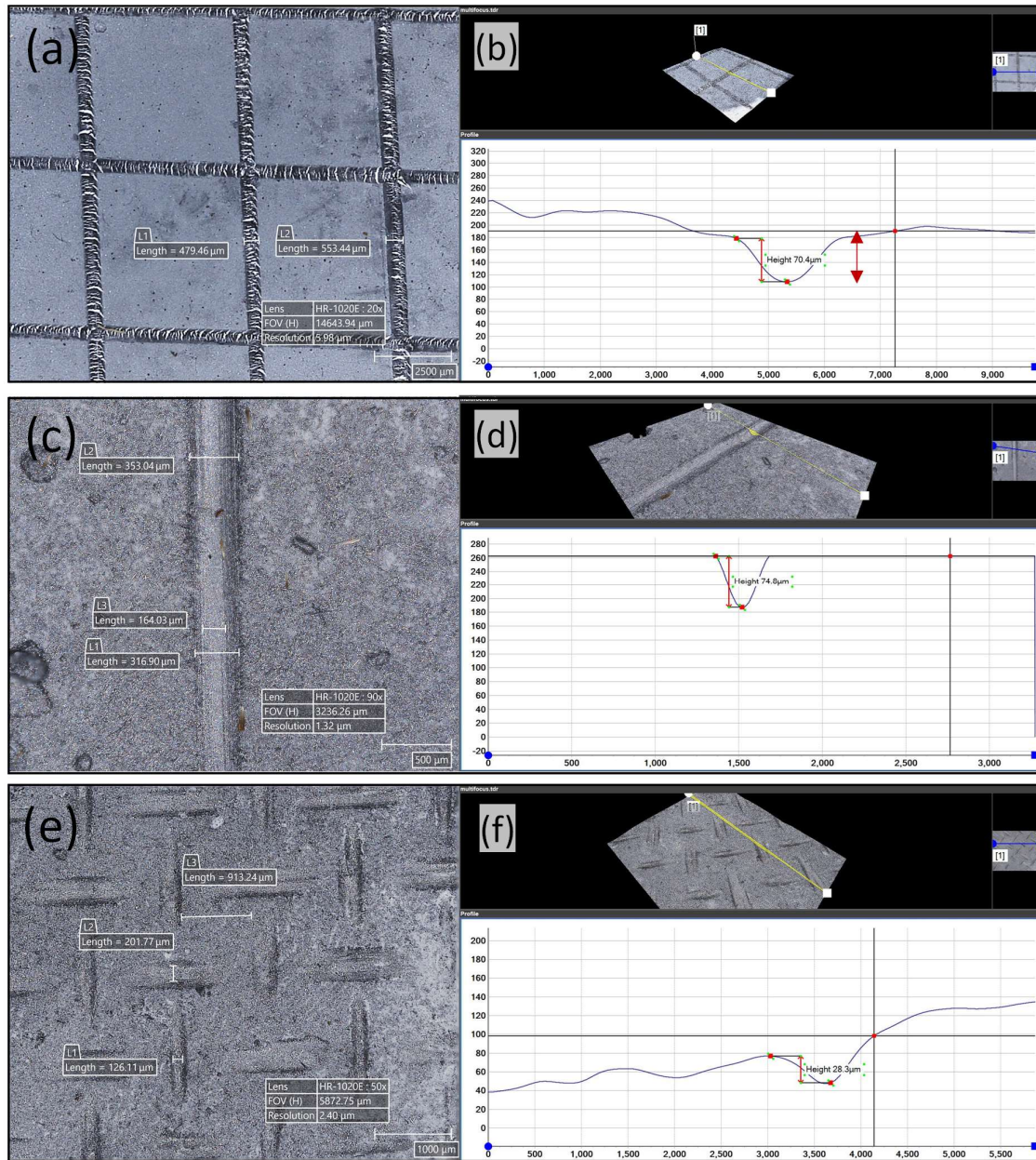
**Figure 6.11.** (a) Effect of macro-channel on desalination performance of  $M/4.5\text{ mg Sb}_2\text{Se}_3$  and corresponding; inset: channel inscribed membrane (b) corresponding evaporation efficiency Desalination performance on salinity variation of (c)  $M/4.5\text{ mg Sb}_2\text{Se}_3$  and (d) adsorption energy of NaCl,  $\text{H}_2\text{O}$ ,  $\text{Na}^+$  and  $\text{Cl}^-$  on (230) surface of  $\text{Sb}_2\text{Se}_3$

(Figure 6.11(a)) demonstrates the prominent effect on desalination performance of macro-channel mechanically inscribed in the M/Sb<sub>2</sub>Se<sub>3</sub> hybrid membrane. Furthermore, the separation of channels is carefully optimized by choosing different mesh of 1 mm × 1 mm, 3 mm × 3 mm and 5 mm × 5 mm separation. The dominant effect is found for 4.5 mg M/Sb<sub>2</sub>Se<sub>3</sub> hybrid membrane with 5 mm × 5 mm channel, displaying maximum mass loss of 2.37 kg m<sup>-2</sup> h<sup>-1</sup>, which is elevated from the no channel counterpart (mass loss only 1.72 kg m<sup>-2</sup> h<sup>-1</sup>). Solar evaporation efficiency is an important factor for evaluating the performance of the absorber module which is calculated by formula,  $\eta = \frac{m \cdot h}{E}$ ; where  $\eta$  is the receiver efficiency,  $m$  is the mass evaporation rate of water and  $h$  is the total enthalpy of water transport from the liquid to vapor phase (2260 J g<sup>-1</sup>). [12]  $E_{in}$  (kJ m<sup>2</sup> h<sup>-1</sup>) is the corresponding power density of the incident light (1000 W m<sup>-2</sup>). (Figure 6.11(b)) presents the corresponding solar evaporation efficiency of 4.5 mg M/Sb<sub>2</sub>Se<sub>3</sub> hybrid membrane with macro-channel. It is found that the solar evaporation efficiency gradually increases from ~ 107 (no channel) to 111 (1 mm × 1 mm), 135 (3 mm × 3 mm), 148 % (5 mm × 5 mm) respectively, establishing the prominent role of endowed macro-channel. Similarly, 1.5, 3 and 6 mg M/Sb<sub>2</sub>Se<sub>3</sub> hybrid membrane with 5 mm × 5 mm channel, shows improved desalination performance, offering 1.77, 2.04 and 2.09 kg m<sup>-2</sup> h<sup>-1</sup> mass loss respectively than the no channel counterpart; figure 6.12. Notably, the evaporation rate of 4.5 mg M/Sb<sub>2</sub>Se<sub>3</sub> hybrid membrane (MC 5 mm × 5mm) is better than the rest of the membranes.



**Figure 6.12:** (a) Desalination performance of the hybrid membranes with and without channel and (b) Solar evaporation efficiency of M/ 1.5, 3.0, 4.5 and 6.0 mg  $Sb_2Se_3$  hybrid membranes

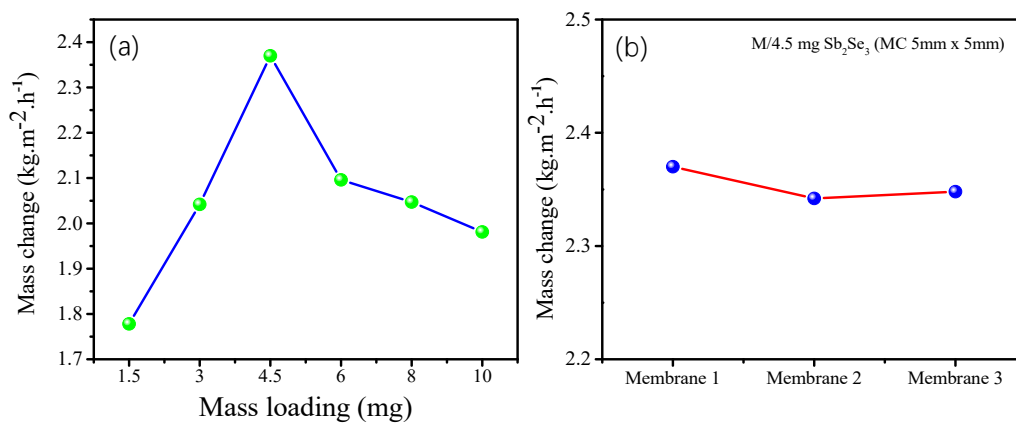
3D optical images of macro-channel are provided in figure 6.13.



**Figure 6.13.** 3D microscope images of (a-b) 5mm x5 mm MC, (c-d) 3mm x 3 mm MC and (e-f) 1mm x 1mm MC 4.5 mg hybrid membrane

The strong electromagnetic absorption capacity, (which was shown in the above absorption curve), increase of localized temperature zone and reinforcement of the

diffusion limited absorber surface by inscribing macro-channel, lead to the better desalination performance. Nevertheless, one of major limitations for profitable vapor generation is the thicker layer of hot zones. In thicker layer, vapor molecule could not escape easily from the membrane, longer time is required. Meanwhile they loss some of its energy and returns back into liquid form. In shallow level, vapor molecule easily escapes from the surface without losing any energy during its travelling path. Therefore, from 4.5 to 6 mg thicker absorber, the corresponding evaporation efficiency drops from 148 % to 131 %. In spite of stronger electromagnetic absorption in 6 mg sample, the decrease in evaporation efficiency is attributed to the increase of thickness of the absorber layers, rendering longer evaporation time. Thus, achieving an optimal sample loading (Figure 6.14) becomes crucial to maintain a balance between light absorbance and water transfer rate.



**Figure 6.14.** (a) Evaporation rate of different mass loaded hybrid membrane and (b) Evaporation rate after 1hour of light illumination of three different 4.5 mg MC 5 mm x 5mm hybrid membrane

The outstanding performance in evaporation efficiency of  $\text{Sb}_2\text{Se}_3$  can be elucidated by energy exchange between the solar evaporator and the surrounding environment. Under white light illumination of intensity ( $1000 \text{ W m}^{-2}$ ) the top surface temperature of the absorber is higher than the surrounding environment temperature causes an energy loss to the environment by thermal convection and thermal radiation. The lower temperature of the sidewall of the evaporator module drag temperature from surrounding environment by thermal convection and thermal radiation. The net energy ( $E_{\text{en}}$ ) obtained from the surrounding environment during the water evaporation time can be calculated by [14]

$$E_{\text{environment}} = -A_1\epsilon\sigma (T_1^4 - T_s^4) - A_2\epsilon\sigma (T_2^4 - T_s^4) - A_1h (T_1 - T_s) - A_2h (T_2 - T_s) - E_{\text{conduction}} \quad \dots (11)$$

Where  $E_{\text{environment}}$  is the net energy gained from the environment.  $A_1$  ( $12.56 \text{ cm}^2$ ) and  $A_2$  ( $135 \text{ cm}^2$ ) are the top and sidewall areas of the evaporator module respectively.  $\epsilon$  is the emissivity of the absorber (0.83) and  $\sigma$  is the Stefan-Boltzmann constant ( $5.67 \times 10^{-8} \text{ W m}^{-2} \text{ K}^{-4}$ ).  $h$  is the convection heat transfer coefficient assumed to be ( $10 \text{ W m}^{-2} \text{ K}^{-1}$ ).  $T_1$  ( $44 \text{ }^\circ\text{C}$ ) and  $T_2$  ( $28 \text{ }^\circ\text{C}$ ) are the top and sidewall evaporation surface temperature respectively during evaporation time.  $T_s$  ( $38 \text{ }^\circ\text{C}$ ) is the surrounding temperature during evaporation. By calculating environmental energy gain during experiment, the environmental energy gain is  $1.958 \text{ W}$  and which is conducive to efficiency greater than 100%. A comparison table (Table 6.2) is provided, highlighting key parameters affecting heat gain and environmental heat gain reported in previous studies.

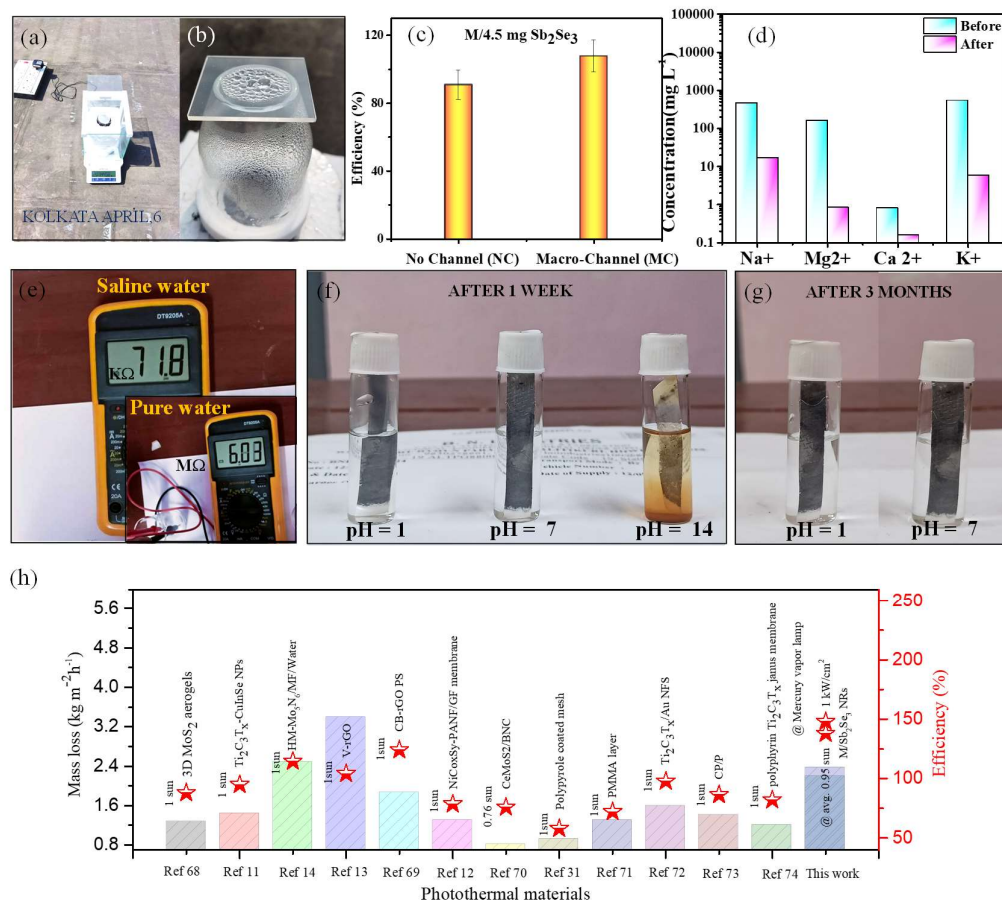
**Table 6.2. comparison table highlighting key parameters affecting heat gain and environmental heat gain reported in previous studies**

Material	Emissivity	Light	Top surface area	Side area (wall surface)	Top surface temperature (T <sub>1</sub> ) °C	Side surface temperature (T <sub>2</sub> ) °C	Surrounding environmental temperature (T <sub>e</sub> ) °C	Net energy gained	References
HM-MO <sub>5</sub> N <sub>6</sub> /MF	0.94	Xenon lamp	3 cm <sup>2</sup>	30 cm <sup>2</sup>	45 °C	20 °C	27 °C	0.1795 W	[14]
MXene - decorated cellhouse acetate	0.90	CEL-HXF 300-T3 solar simulator	3.88 cm <sup>2</sup>	65.94 cm <sup>2</sup>	31.2 °C	21.6 °C	23.5 °C	0.1487 W	[75]
V-rGO foam	0.98	CEL-HXUV 300 solar simulator	1.62 cm <sup>2</sup>	1.80 cm <sup>2</sup>	35.2 °C	28.0 °C	33.1 °C	0.00909 W	[13]
rGO based aerogel sheet	0.90	Solar simulator Newport Oriel	21.23 cm <sup>2</sup>	163.2 cm <sup>2</sup>	29.2 °C	19.4 °C	25 °C	1.31 W	[76]
Sb <sub>2</sub> Se <sub>3</sub> nanorods	0.83	Mercury - Vapor lamp	12.56 cm <sup>2</sup>	135 cm <sup>2</sup>	44 °C	28 °C	38 °C	1.95 W	This work

### 6.3.5. Variations in evaporation rate influenced by changes in salinity concentration

While investigating the influence of salinity concentration on evaporation rate, we found that owing to increase of salinity concentration mass evaporation rate decreases consistently. In theory, Raoult's law states  $P_{solution} = X_{solvent} \times P_{solvent}$ ; where  $P_{solution}$  = Vapor pressure of the solution,  $X_{solvent}$  = mole fraction of the solvent,  $P_{solvent}$  = Vapor pressure of the pure solvent. Elevated salt concentration in saline water (3.5 to 10 and 20 wt%) leads to a reduced mole fraction of pure water, consequently resulting in decreased vapor pressure of the solution, thereby lowering the rate of mass evaporation (2.37 to 1.72 and 1.62 kg m<sup>-2</sup> h<sup>-1</sup>), shown in figure 6. 11(c). Moreover, binding strength of NaCl, H<sub>2</sub>O, Na<sup>+</sup> and Cl<sup>-</sup> is very crucial to understand salt adsorption in Sb<sub>2</sub>Se<sub>3</sub> surfaces during water evaporation process. Therefore, we further studied the adsorption of an NaCl block, a H<sub>2</sub>O molecule, an Na and a Cl atom on the (230) Miller plane of Sb<sub>2</sub>Se<sub>3</sub>. The adsorption energy of NaCl, H<sub>2</sub>O, Na and Cl on the (230) Sb<sub>2</sub>Se<sub>3</sub> surface slab was calculated to be 0.72 eV, -0.49 eV, -1.41 eV and -1.42 eV respectively. Na and Cl atoms were found to be coordinated with nearby Se and Sb atoms. The site preference of Na and Cl atoms arises most likely from their electronegativity differences. The H<sub>2</sub>O molecule interacts with the Sb<sub>2</sub>Se<sub>3</sub> surface only via weak van der Waals interactions. The adsorption energy analysis reveals that the binding strength of H<sub>2</sub>O to the Sb<sub>2</sub>Se<sub>3</sub> is stronger than NaCl. However, the binding strength of isolated Na<sup>+</sup> and Cl<sup>-</sup> ions are significantly higher as prominent from the considerably negative adsorption

energies of Na and Cl atoms on the  $\text{Sb}_2\text{Se}_3$  surface which instigates to successful separation of salt during water evaporation.



**Figure 6.15.** Digital photograph of (a) Desalination experiment in outdoor sunlight with an average intensity  $950 \text{ W/m}^2$ , (b) Condensation of water vapor after 2 hours outdoor sunlight irradiation. (c) evaporation efficiency of  $\text{M}/4.5 \text{ mg } \text{Sb}_2\text{Se}_3$  no channel and macro-channel under outdoor solar intensity of  $950 \text{ W/m}^2$ . (d) ICP-MS (Inductively coupled plasma mass spectrometry) data collected before and after desalination experiment (e) Water purification experiment by a multimeter before and after desalination respectively. Digital photographs of  $\text{M}/\text{Sb}_2\text{Se}_3$  exposed to various pH value (f) (pH =1,7,14), (g) (pH =1,7) after 1 week and 3 months respectively. (h) Comparison of  $\text{M}/4.5 \text{ mg } \text{Sb}_2\text{Se}_3$  hybrid membrane with several best performing hydrophilic and hydrophobic photothermal materials.

For practical implementation the photothermal evaporation of the absorber is performed in the outdoor sunlight at 36°C, relative humidity 66%. (Figure 6.15(a)) displays the digital photograph of the evaporator module placed on the balance machine under sunlight of average intensity 950 W m<sup>-2</sup>. The evaporator module comprises of two parts, salt water reservoir container and condensation chamber. In former chamber polyethylene foam was used to resist the heat transfer from membrane towards water. A continuous water transport from the bottom of the water reservoir to the membrane is facilitated by a cotton thread. A thermocol's white cover was utilized to shield against any form of environmental radiation disturbance. Direct sunlight is incident on the membrane through the quartz plate without absorption loss. (Figure 6.15(b)) displays water condensation in the top chamber. Water was collected from the tiny openings in the white segment. In (Figure 6.15(c)) the evaporation efficiency of M/4.5 mg Sb<sub>2</sub>Se<sub>3</sub> is 91% when no channel is inscribed over it. Whereas upon mechanical imprint of macro-channel, the efficiency reaches 108% which justify the importance of macro-channel. Moreover, collected ICPMS data in (Figure 6.15(d)) shows the purity of collected water from the condensation chamber. Only nominal 16.97, 0.85, 0.16 and 5.95 mg L<sup>-1</sup> traces of Na<sup>+</sup>, Mg<sup>2+</sup>, Ca<sup>2+</sup> and K<sup>+</sup> are found which are in line with the health standards set by the World Health Organization (WHO). These results thus demonstrate the superior desalination performance of the hybrid membrane. Moreover, after desalination the condensed water displays a resistance value ~ 6.03 MΩ which is much higher than the saline water resistance ~ 71.8 kΩ (Figure 6.15 (e)) and the inset). Owing to the removal of salts, the desalination yield water instigates the high resistance. To verify

the stability, few parts of a hybrid membrane are stored in different pH condition for a longer period (Figure 6.15 (f-g)). Eventually, no visible change is observed in pH 1, 7 even after 3 months whereas it degrades in alkaline condition within 3 weeks. Finally, we compare figure 6.15(h) the performance of our hybrid membrane with several other membranes. After careful evaluation it is found that the M/  $\text{Sb}_2\text{Se}_3$  hybrid membrane shows one of the high performing materials so far for solar desalination.

## 6.4. Conclusions

A cost-effective and environmentally friendly solar water evaporator material,  $\text{Sb}_2\text{Se}_3$  NRs, was synthesized using a straightforward solvothermal approach. The effectiveness of light to heat conversion is demonstrated by unique water droplet experiment which offers a conversion efficiency ~58%. Following this, a desalination experiment is established by designing  $\text{Sb}_2\text{Se}_3$  NRs loaded PVDF membrane via vacuum assisted process which shows commendable mass loss  $\sim 1.72 \text{ kg m}^{-2} \text{ h}^{-1}$ . Most importantly, a simple, cost-effective and scalable method of imprinting macro-channel over the hybrid membrane presents a unique approach to elevate the desalination performance of the hybrid membrane. The mass evaporation rate of  $\text{Sb}_2\text{Se}_3$  attains  $2.37 \text{ kg m}^{-2} \text{ h}^{-1}$ , accompanied by an efficiency of 148% when subjected to a mercury vapor lamp with an intensity of  $1000 \text{ W m}^{-2}$ . In actual sunlight conditions, it consistently sustains a commendable efficiency level of 108% under an irradiance of  $950 \text{ W m}^{-2}$ . Elevating the mass of  $\text{Sb}_2\text{Se}_3$  as an absorber leads to a heightened evaporation rate, particularly in conditions characterized by a high degree of salinity. The substantial sustainability demonstrated across various pH

levels establishes  $\text{Sb}_2\text{Se}_3$  as a promising material in the realm of light-to-heat conversion. The steam produced in the solar thermal heat generation process effectively eliminates heavy metal ions, adhering to the health standards set by the World Health Organization (WHO) for potable water. This work introduces  $\text{Sb}_2\text{Se}_3$  as a new photothermal material and achieves high-performance solar-steam generation through a simple macro channel strategy, aiming to exploit it for seawater desalination and wastewater purification.

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## Chapter 7

## Conclusions

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This chapter serves as a comprehensive conclusion to all the work presented, marking the culmination of this dissertation.

## 7. Grand conclusions and future scopes

Research fields such as renewable energy, electronics and semiconductors, healthcare and medicine, aerospace etc. needs materials with desired attributes and functionalities. With high surface to volume ratio, quantum confinement effects, enhanced mechanical properties and tunable band gaps materials at the lower dimensions are superior to their bulk counterpart. However, nanomaterials with broad applicability remain challenging to develop and implement. This thesis focuses on the application of  $\text{Sb}_2\text{Se}_3$  nanomaterials in three different specific fields. Prior to this work, literature survey indicated that  $\text{Sb}_2\text{Se}_3$  materials were mainly used for solar cell research and to some extent in photodetectors and photoelectrochemical systems. However, the potential of  $\text{Sb}_2\text{Se}_3$  nanostructures in electrocatalysis, desalination and SSPM has been largely overlooked. For HER we successfully synthesized an  $\text{Sb}_2\text{Se}_3/\text{rGO}$  hybrid using a one-step solvothermal approach and demonstrated its effectiveness as a hydrogen evolution electrocatalyst.  $\text{Sb}_2\text{Se}_3$  nanorods were formed simultaneously with the reduction of GO, creating an interconnected conductive rGO network and generating numerous heterointerfaces between the two materials. The hybrid exhibited a significantly reduced onset potential of -0.32 V and a lower Tafel slope of 109 mV/dec compared to pristine samples in acidic media.

The formation of heterointerfaces in the hybrid enhances catalytically active sites and decreases the charge transfer resistance. Theoretical investigations revealed a notable reduction in the Gibbs free energy for  $\text{H}^+$  adsorption on the active (001) and (230) planes, attributed to diminished coulombic repulsion. The rGO facilitates

charge redistribution at the  $\text{Sb}_2\text{Se}_3/\text{rGO}$  heterointerfaces, enhancing  $\text{H}^+$  adsorption on the Se sites by reducing charges at these locations.

This synergy between theoretical and experimental results underscores the role of heterointerface formation in significantly improving the electrocatalytic HER performance of  $\text{Sb}_2\text{Se}_3$  nanorods. Consequently, this study highlights the potential of  $\text{Sb}_2\text{Se}_3$  and other chalcogenide-based heterointerfaces for the development of advanced, site-selective HER catalysts. In desalination  $\text{Sb}_2\text{Se}_3$  material's light-to-heat conversion efficiency was demonstrated through a unique water droplet experiment, achieving a conversion efficiency of approximately 58%. Building on this, desalination experiments were conducted by fabricating a PVDF membrane loaded with  $\text{Sb}_2\text{Se}_3$  NRs using a vacuum-assisted process, resulting in a mass loss of  $\sim 1.72 \text{ kg m}^{-2} \text{ h}^{-1}$ .

A notable advancement was achieved by imprinting macro-channels on the hybrid membrane, employing a straightforward, cost-effective and scalable method to significantly enhance its desalination performance. The  $\text{Sb}_2\text{Se}_3$  membrane achieved an evaporation rate of  $2.37 \text{ kg m}^{-2} \text{ h}^{-1}$  and an efficiency of 148% under a mercury vapor lamp at  $1000 \text{ W m}^{-2}$ . Under natural sunlight with an irradiance of  $950 \text{ W m}^{-2}$ , it maintained an impressive efficiency of 108%. Increasing the mass of  $\text{Sb}_2\text{Se}_3$  as a light absorber further improved the evaporation rate, especially in high-salinity conditions.

The material demonstrated remarkable sustainability across a wide range of pH levels, making it a promising candidate for light-to-heat conversion applications. Steam generated through the solar thermal process effectively removed heavy metal

ions, meeting the World Health Organization's (WHO) standards for potable water. This study introduces  $\text{Sb}_2\text{Se}_3$  as an innovative photothermal material and showcases high-performance solar steam generation through a macro-channel strategy, positioning it as a viable solution for seawater desalination and wastewater purification.  $\text{Sb}_2\text{Se}_3$  nanorods (NRs) have been shown to exhibit a narrow bandgap, enabling strong nonlinear optical responses, particularly a high nonlinear refractive index ( $n_2$ ) and third-order nonlinear susceptibility  $\chi(3)$ , as observed using the SSPM technique. The intense interaction between laser light ( $\lambda=671$  nm, 532 nm, 405 nm) and  $\text{Sb}_2\text{Se}_3$  NRs generates distinct diffraction ring patterns in the far field. These nonlinear optical properties are strongly influenced by the laser wavelength, the concentration of nanorods and the effective optical path length.

The formation of these diffraction rings was analysed using the 'Wind-Chime' model, while thermal convection through suspended nanorods explained the distortion of the rings. Leveraging the strong optical Kerr effect and reverse saturation absorption properties of  $\text{Sb}_2\text{Se}_3$  and  $\text{SnS}_2$ , we successfully demonstrated an all-optical diode for laser sources at 671 and 532 nm. Additionally, a novel all-optical logic function, the OR logic gate, was achieved using SPM, where weak light was modulated by the presence of strong light due to the pronounced nonlinear response of  $\text{Sb}_2\text{Se}_3$  NRs.

This exploration of the nonlinear optical properties of  $\text{Sb}_2\text{Se}_3$  NRs, along with the demonstration of all-optical diodes and logic gate applications, represents a significant step toward the development of advanced devices for all-optical signal processing in future technologies.

**Future Scope of research:**

Potential for  $\text{Sb}_2\text{Se}_3$  nanostructures as a multifunctional material for diverse area of applications seems huge and remains mostly unexplored. Also modifying the electrical and electronic properties of  $\text{Sb}_2\text{Se}_3$  for the areas of applications that we have studied are not done. Some of the areas of future research are following:

- Exploring doping possibilities of  $\text{Sb}_2\text{Se}_3$  to alter its electronic and electrical properties for enhancing its catalytic performance
- Fabrication of quantum dots of  $\text{Sb}_2\text{Se}_3$  and explore its utilizations in the areas of electrocatalysis, desalination etc.
- Formation of heterointerfaces with other 2D materials and study of their performances for the areas like electrocatalysis, nonlinear optics and desalination

